REVIEW

Candida glabrata: a review of its features and resistance

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Received: 16 September 2013 / Accepted: 22 October 2013 / Published online: 19 November 2013 © Springer-Verlag Berlin Heidelberg 2013

Abstract Candida species belong to the normal microbiota of the oral cavity and gastrointestinal and vaginal tracts, and are responsible for several clinical manifestations, from mucocutaneous overgrowth to bloodstream infections. Once believed to be non-pathogenic, Candida glabrata was rapidly blamable for many human diseases. Year after year, these pathological circumstances are more recurrent and problematic to treat, especially when patients reveal any level of immunosuppression. These difficulties arise from the capacity of C. glabrata to form biofilms and also from its high resistance to traditional antifungal therapies. Thus, this review intends to present an excerpt of the biology, epidemiology, and pathology of C. glabrata, and detail an approach to its resistance mechanisms based on studies carried out up to the present.

Introduction

The incidence of fungal infections has increased significantly in the last several decades, contributing to high levels of morbidity and mortality [1]. This fact is mainly due to the rise in antimicrobial resistance and the limited number of efficient antifungal drugs, which still have many side effects. Of the fungi considered as human pathogens, some members of the genus *Candida* are the most commonly recovered from fungal infections [2]. The use of broad-spectrum antibiotics, catheters and parenteral nutrition, the presence of immunosuppression, the disruption of mucosal barriers, and the use of chemotherapy and radiotherapy are among the most significant predisposing factors for invasive fungal infections development [3]. The *Candida* genus comprises over 150 heterogeneous

species [4], but only a minority are associated to human candidosis, since roughly 65 % of *Candida* species are unable to grow at a temperature of 37 °C, enabling them to prosper as pathogens or commensals of humans [4]. The genus is composed of a heterogeneous group of organisms, and more than 17 different *Candida* species are known to be etiological agents of human infections; however, more than 90 % of invasive infections are due to *Candida* species [5]. The pathogenicity of *Candida* species is attributed to certain virulence factors, such as the ability to evade host defenses, adhesion and biofilm formation (on host tissue or on medical devices), and the production of tissue-damaging hydrolytic enzymes such as proteases, phospholipases, and hemolysins [2].

Of the *Candida* species isolated from humans, *Candida albicans* is the most prevalent in both health and disease conditions. However, whilst mycological studies have been shown that *C. albicans* represents over 80 % of isolates, in the last two decades, the number of infections due to non-*Candida albicans Candida* (NCAC) species has increased significantly, namely due to *Candida glabrata*. In fact, recent studies suggested that fungemia has been associated with NCAC species [6–9] and the incidence of *C. glabrata* is higher in adults than in children, and is lower in neonates [10, 11]. This species lacks a number of the virulence factors allied to other *Candida* pathogenicity, such as hyphal growth or the ability to secrete proteases [12]. Despite that, *C. glabrata* is a growing concern in clinical settings, where it causes mucosal infections and is related to around 15 % of all *Candida*-related systemic bloodstream infections [13–19].

Candida epidemiology has shown that humans are exposed recurrently to Candida in food and other sources. Vazquez and colleagues [20] revealed that patients with a new acquisition of C. glabrata had extended hospitalization and repeated prior antimicrobial use compared to patients with no Candida exposition. Moreover, differently from C. albicans, former studies [21, 22] indicated that 75 % of patients generally carried the same strain type of C. glabrata

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over time [20], with minimal strain diversity among individual patients.

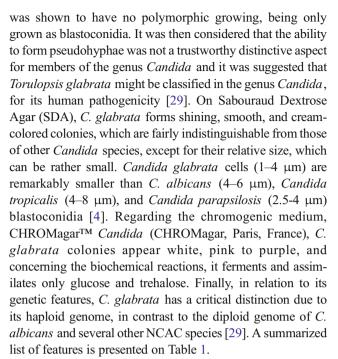
Candida infection is the third most common cause of nosocomial infections in patients requiring intensive care and the most common etiologic agent of fungal-related biofilm infections. Biofilms are biological communities with an extraordinary degree of organization, in which micro-organisms form structured, coordinated, and functional communities, embedded in a self-created extracellular matrix. Biofilm production is also associated with a high level of antimicrobial resistance of the associated organisms. The ability of Candida species to form drug-resistant biofilms is an important factor in their contribution to human disease, but it is also recognized that the more general provision of new medical practices (immunosuppressive therapy, invasive surgical procedures, and the use of broadspectrum antibiotics) are highly significant as well [23–25]. In the widely held view of microbial biofilms [26], sessile cells within biofilms are less susceptible to antimicrobial agents than planktonic cells [27], since the development of drug resistance has been linked with an increase in the maturation process.

Antifungal drugs are active by either killing the fungal cells, e.g., affecting a substance in the cell wall, causing a leak out of the cell contents and death, or by preventing fungal cells from growing and reproducing. There are many antifungal classes: polyenes, which includes amphotericin, nystatin, and pimaricin; azoles, including fluconazole, itraconazole, ketoconazole, miconazole, voriconazole, posaconazole, and rosaconazole; echinocandins, such as caspofungin and micafungin; and allylamines, including naftifine, terbinafine, morpholine drug, amorolfine, and griseofulvin; and the antimetabolite antifungal drugs, in which 5-fluorocytosine is incorporated.

Amphotericin B and fluconazole are among the antifungal agents most widely used to treat systemic fungal infections. The former has its use limited due to its high degree of toxicity in humans. The latter is frequently prescribed to treat *Candida* infections due to its excellent patient tolerance and minimal side effects, although a great number of species of *Candida* display fluconazole resistance. The growing worldwide use of this drug to treat candidemia is one of the principal causes of the recent increase in the prevalence of NCAC species [28]. Concerning that information and despite significant research aimed at identifying virulence factors of *C. glabrata* [2, 4, 15], little is known about the mechanism of its resistance to antifungal agents. Thus, in this review, we aim to provide an overview of the *C. glabrata* resistance factors, as well as the biology and virulence factors of *C. glabrata*.

Physiological and biochemical characterization of *Candida glabrata*

Originally, *C. glabrata* was classified in the *Torulopsis* genus due to its lack of pseudohyphae. In fact, in 1978, this species



The genome of *C. glabrata* (CBS138/American Type Culture Collection, ATCC2001) was sequenced [29, 30], showing that this species shares a common ancestor with *Saccharomyces cerevisiae* [31]. Thus, the first has lost many more genes than *S. cerevisiae*, decreasing traces of duplication to a minimum and leading to the complete loss of some metabolic pathways [29, 30]. Even though it has reserved homology with the *S. cerevisiae* genes of the mating pathway [32], *C. glabrata* is haploid and, indeed, several deficiencies in the mating pathway have been also identified [33, 34].

Moreover *C. glabrata* has lost various genes, such as the gene needed for galactose (*GAL1*, *GAL7*, *GAL10*), phosphate (*PHO3*, *PHO5*, *PHO11*, *PHO12*), nitrogen (*DAL1*, *DAL2*), sulfur metabolism (*SAM4*), and pyridoxine biosynthesis (*SNO1*, *SNO2*, *SNO3*) [12, 35]. The natural auxotrophy of *C. glabrata*, such as the inability to synthesize nicotinic acid, pyridoxine, and thiamine [7], and the inability to use galactose or engineered amino acid auxotrophy [35, 36], is generally compensated by the mammalian host environment. Additionally, expansion of genes involved in cell wall organization occurred in *C. glabrata*, possibly facilitating adherence to a broad spectrum of surfaces. It is important to emphasize that the gain and loss of these relevant genes may be crucial for a functional differentiation between species and could be related to *C. glabrata*'s adaptation as a mammalian commensal [12, 33–36].

Nutrient limitation, oxidative response, competition with other microorganisms, causative agents as protective mechanisms, and the impossibility to generate resistant spores are stress causes (intrinsic or habitat sourced) to *C. glabrata* [37]. Hence, somatic cells require holding viability on surfaces outside the host, demanding a high stress tolerance. Matched to other *Candida* species, *C. glabrata* appears to be one of the



Table 1 Characterization of *Candida glabrata* general features [12, 29, 30]

Feature	Candida glabrata characteristics
Ploidy	Haploid
Hyphae/pseudohyphae	Absent
Colonies on SDA	Very small/cream-color
Cell size	1-4 μm
Growth on CHROMagar™	White, pink/purple
Biochemical reactions	Ferments and assimilates glucose and trehalose
Virulence	Opportunistic pathogen
Major sites of infection	Vaginal, oral, disseminated
Biofilm formation	Yes
Major adhesins	Lectins
Mating genes	Present
Sexual cycle	Unknown
Clonal population structure	Yes
Phenotypic switching	Present
Auxotrophy	Niacin, thiamine, pyridoxine
Mitochondrial function	Petite-positive
Epidemiology of infection	Principally nosocomial (except vaginal) (frequently mixed fungal infection)
	Immunocompromised or debilitated host
	Specific risk factors: prolonged hospitalization, prior antibiotic use, use of fluconazole, patient exposure, hand carriage by hospital personnel

more robust, being able to survive on inanimate surfaces for more than 5 months, while the viability of *C. albicans* is limited to 4 months and *C. parapsilosis* cells die after 2 weeks [37, 38].

Candida glabrata-host interactions

Candida glabrata cells are able to colonize commensally the mouth, esophagus, intestines, and vagina mucosal surfaces, but very little is known about its interaction with the host and defense mechanisms. It is expected that host mechanisms control *C. glabrata*, suppressing the expression of its pathogenic properties, and, in this manner, preventing infection [37, 38].

Phagocytic cells (neutrophils, dendritic cells, and macrophages) constitute the primary response of the innate host immune system against *Candida* infections. After internalization of the fungal pathogen, formation of the phagolysosome continues inside the phagocytic cells, for the destruction of the overwhelmed microorganism. The organelle harbors a damaging and highly toxic internal phagolysosome for the elimination, including low pH, hydrolytic enzymes, potent reactive oxygen and nitrogen species (DNA damage), proteins, and lipids of the pathogen. Trapped microorganisms have developed diverse strategies to survive the oxidative burst and to escape from the phagolysosome, including many antioxidant defense enzymes (catalase, reductase, superoxide dismutase,

thioredoxin- and glutathione-dependent peroxidases) [38–40]. Candida albicans and C. glabrata carry only one catalase gene (CTA1) [41, 42]. Since both stress and non-fermentable carbon source can induce the expression of CTA1, its regulation is a combination between two catalase genes which can be found in S. cerevisiae [43]. Though not yet unswervingly shown, the complex regulation and conservation of this enzyme in C. glabrata suggests a role in peroxide stress resistance in the host environment. In yeasts, a great majority of the almost 500 stress genes are under the control of four transcription factors: ScYap1 (the central component of the oxidative stress response regulated by H₂O₂ or diamine), ScSkn7 (important for peroxide stress protection and for the induction of TRX2, TRR1, TSA1, and CTA1 [44]), and ScMsn2/ScMsn4 [45, 46]. The core response to oxidative stress consists of thioredoxin cofactor Trx2, glutathione peroxidase Gpx2, and catalase Cta1, thioredoxin peroxidases (Tsa1 and Tsa2), and thioredoxin reductases (Trr1 and Trr2). Finally, the transcription of the C. glabrata copper-zinc superoxide dismutase (SOD) genes, SOD1 and SOD2, is regulated in another way from yeast. Both SOD genes are induced by carbon source depletion, whereas, significantly, SOD1 is expressed constitutively [47].

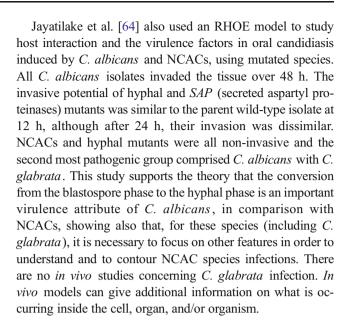
Surrounded fungal pathogens control their metabolism in the glucose-deficient environment inside the macrophage and adjust their expression profile towards genes involved in the employment of alternative carbon sources. Genes of the glycolytic pathway are repressed, whereas genes encoding for



proteins are needed for gluconeogenesis, β-oxidation, glyoxylate cycle, autophagy, peroxisome proliferation, and amino and acetate transports are induced [48, 49]. Glucose sensing involves two antagonizing mechanisms, depending on the glucose availability or glucose limitation. Snf1, a protein kinase, which stimulates the transcription of glucose-repressed genes, plays a central role during glucose starvation in S. cerevisiae and C. glabrata. The presence and absence of glucose in C. glabrata leads to similar changes of transcription, as in S. cerevisiae [50]. To escape the host defense mechanisms, variations of the yeast morphology can permit the burst from phagocytotic cells. Candida glabrata is capable of detoxifying radical oxidative species and seems to disrupt normal phagosomal maturation, leading to the inhibition of phagolysosome formation and phagosome acidification [51]. In terms of starvation, C. glabrata autophagy is used for intracellular nutrient mobilization, being vital for its virulence. It is a highly controlled process and needs a number of factors for the correct recognition, packaging, and delivery of cargo to the vacuole [52-54]. Candida glabrata survives the defense mechanisms induced by the macrophage, including largely oxidative stress.

Host-pathogen interaction demands numerous crucial functions achieved by proteins of the cell wall, such as tissue adhesion, invasion and biofilm formation, protection from host defense mechanisms, triggering of the host immune system, and resistance to antifungal drugs [55]. Candida glabrata adherence to the host epithelial tissue is mainly mediated by a number of glycosylphosphatidylinositol (GPI)-linked adhesin genes [56, 57], some induced in *C. glabrata* cells from biofilms [58]. Its genome (ATCC 2001) covers 67 genes encoding putative adhesin-like GPI-modified cell wall proteins, including the Epa family with 17 members [59], and their expression is regulated. Moreover, C. glabrata cells carrying mutations in some loci show a rise in adherence to epithelial cells and are able to colonize organs more efficiently [60–62]. In fact, there are several factors tangled in the development of C. glabrata infection. Naturally, these features promote the pathogenicity performing together and depend on the species characteristics itself and the host physiology conditions, specifically the state of its immune system [62].

A model using a reconstituted human oral epithelium (RHOE) infected only with *C. glabrata* or simultaneously with *C. glabrata* and *C. albicans* showed that *C. glabrata* strains originating from the oral, vaginal, and urinary tract were able to colonize the tissue but in a strain-dependent manner. Single infection with *C. glabrata* showed no invasion of the RHOE but mixed infection showed that *C. albicans* enhanced the invasiveness of *C. glabrata* [63] (Fig. 1). The results obtained by these authors demonstrated an enhanced invasion and increased tissue damage caused by mixed *C. glabrata* and *C. albicans* infections, which has important clinical significance and highlights the need to better identify *Candida* species involved in oral candidosis.



Virulence factors in Candida glabrata

Decades ago, it was assumed that yeasts passively contributed to the process of pathogenesis in the establishment of a fungal infection. Consequently, organic weakness or an immunocompromised host was considered the only mechanism responsible for the establishment of opportunistic infection. Nowadays, this concept has been modified and it is known that these organisms dynamically participate in the pathophysiology of the disease process using mechanisms of aggression called virulence factors [2, 65]. Moreover, the pathogenicity of *Candida* species is attributed to certain virulence factors, such as the ability to evade host defenses by filamentous forms, adherence ability, biofilm formation capacity (on host tissue and on medical devices), and the production of tissue-damaging hydrolytic enzymes such as phospholipases, lipases, and hemolysins [2, 65].

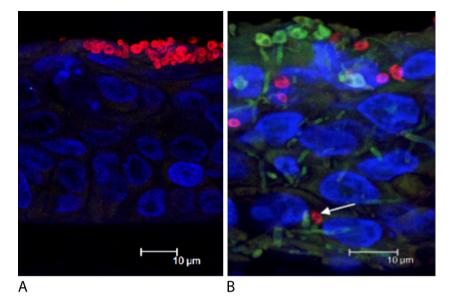
The fairly non-pathogenic nature of *C. glabrata* in animal models suggests that it has few virulence attributes; nevertheless, its high mortality rate and rapid dissemination points to the opposite. However, it is known that *C. glabrata* possess several factors tangled in the development of an infection. Naturally, these features promote the pathogenicity performing together and depend on the *C. glabrata* characteristics itself and the host physiology conditions, specifically, the state of its immune system [65].

Adhesion and biofilm formation

Candida glabrata is capable of colonizing host tissues as well as abiotic surfaces, where it develops as a multilayered biofilm structure [66, 67], despite not being able to form filaments. An important factor correlated with the adhesion ability of



Fig. 1 Single (a) and coinfection (b) of *Candida glabrata* and *Candida albicans* of a reconstituted human epithelium after 12 h, assessed by laser scanning microscopy. The *arrow* indicates *C. glabrata* cells invading the epithelium



Candida species is the presence of specific proteins on its cell wall, namely, adhesins. In *C. glabrata*, a major group of adhesins is encoded by the *Epa* (epithelial adhesin) gene family [68]. The overall structure of Epa proteins is similar to that of the *Als* (agglutinin-like sequence) proteins of *C. albicans*. Although there are few studies concerning *C. glabrata* Epa proteins, it is known that Epa1p is a Ca²⁺-dependent lectin that binds to N-acetyllactosamine-containing glycoconjugates [69]. Furthermore, despite the large number of *EPA* genes, it has been shown that the deletion of merely *EPA1* reduces in vitro adhesion [68]. However, although *EPA6* is not expressed in vitro, its expression increases during in vivo urinary infection, suggesting that *C. glabrata* is able to adapt to different environmental conditions [60, 64].

EPA6 and its close paralogue EPA7 are located in subtelomeric regions and their transcription is regulated by Sir4p and Rif1p, two proteins involved in subtelomeric silencing. Biofilm growth conditions induce the transcription of EPA6 and EPA7: this is dependent on the presence of an intact subtelomeric silencing machinery and is independent of the Mpk1p signaling pathway. Finally, the kinase Yak1p is required for the expression of both adhesin genes and acts through a subtelomeric silencing machinery-dependent pathway [67].

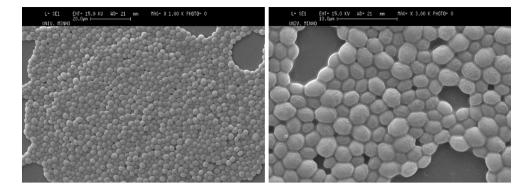
Biofilm forming ability may confer *C. glabrata* an ecological advantage, aiding survival as commensals and pathogens of humans by allowing them to evade host immune mechanisms, resist antifungal treatment, and withstand the competitive pressure from other microorganisms [70]. Biofilm formation in *C. glabrata*, besides possibly being a key factor for the survival of these species, may also be responsible for them being particularly well adapted to the colonization of tissues and indwelling medical devices. Comparing with the other *Candida* species, *C. glabrata* displays the lowest biofilm

metabolic activity, despite having the highest number of biofilm cultivable cells. Likewise, the metabolic activity of resuspended *C. glabrata* biofilm and planktonic cells is lower than that of the other species [70]. Thus, generally, *C. glabrata* biofilms have less total biomass and its strains show similar biofilm forming ability, in opposition to *C. parapsilosis* and *C. tropicalis*. *Candida glabrata* biofilms often display a structure of a multilayer with blastoconidia intimately packed or constituted by clusters of cells with, in both cases, total absence of pseudohyphae and hyphae (Fig. 2). Remarkably, biofilm matrices of *C. glabrata* have relatively higher quantities of protein and carbohydrate (in some cases, five times higher) compared with other NCACs [2, 64, 70].

Biofilm conditions and high cell density are adhesion inducers, activating EPA6, whereas EPA1 is triggered typically in the lag phase [67, 68]. This complex regulation of adhesin genes in C. glabrata is reminiscent of the greatly and complicated regulation of S. cerevisiae flocculation (FLO) genes. Indeed, FLO11 is one of the genes regulated by the Swi/Snf complex [71, 72]. Thus, reliable with the knowledge that adhesion of C. glabrata to host epithelial tissue plays an important role in virulence, the genes FLO1, FLO5, and FLO10 were studied and were found to be Pdr1-dependent [73]. In C. glabrata, FLO10 (named EPA1) is essential for effective in vitro adherence to human epithelial cells, evidencing a role for *EPA1* in adherence to abiotic materials [69]. FLO5 has been described as a member of the Epa family of adhesion proteins in C. glabrata; however, its role in adherence has not been openly studied. The link between FLO and EPA genes prove that its regulation may control the adherence of Candida species during the host interactions, conferring distinct adhesion profiles towards human proteins and cells [74, 75]. This regulation is variable among species and even between strains of the same species [75].



Fig. 2 Candida glabrata American Type Culture Collection (ATCC) 2001 48-h biofilm formation in Sabouraud Dextrose Broth (SDB). Magnification 1,000× (*left*) and 3,000× (*right*)



A study using isolated mutant strains allowed the identification of four genes involved in biofilm formation: silent information regulator (SIR4), telomere-binding (RIF1), EPA6, and serine-threonine protein kinase, YAK1 [69]. Moreover, like in C. albicans and C. parapsilosis, in C. glabrata, the transcription factor Bcr1 is a dominant regulator of the biofilm development, though the full extent of its regulation remains unknown [76].

Enzyme production

In the *Candida* genus, the so-called virulence factors comprise not only the adhesion and biofilm formation, but also the ability to destroy host tissues, which may be facilitated by the release of hydrolytic enzymes into the local environment. The general secretion of enzymes, such as proteases, phospholipases, lipases, and hemolysins, help the yeasts to survive and replicate within macrophages, being able to destroy the epithelium. As mentioned earlier, *C. glabrata* do not produce proteases, in opposition to the rest of the *Candida* species [69, 70]. In fact, there is one study that has shown that this species is capable of proteinase production, but the type of proteinase was not specified [77].

Phospholipases (PLs) hydrolyze phospholipids into fatty acids and their production contribute to host cell membrane damage, promoting cell damage or exposition of receptors that facilitate adherence. *Candida glabrata* produces phospholipases, which clearly promotes a greater and powerful interface with the host mucosae, destroying it and endorsing an effective invasion of the tissues involved [78–80].

Lipases are tangled in the hydrolysis of triacylglycerols, which are also produced by *C. glabrata*. In *C. albicans*, ten genes encoding for lipases have been identified and it has been shown that mutants were significantly less virulent in a murine intravenous infection model. Sequences similar to *C. albicans* (LIP1-10) were also detected in *C. tropicalis*, but not in *C. glabrata* [78–82].

Newly, some authors described that NCAC species, such as *C. glabrata*, have been able to degrade hemoglobin using hemolysins in order to obtain iron [83, 84]. Like other *Candida* species, *C. glabrata* can grow in the host by degrading

hemoglobin and extracting the elemental iron from host cells for metabolic processes. The assembly of this hemolytic factor may be regulated by the presence of glucose in the growth medium. *Candida glabrata* and other NCAC species are able to produce hemolysins in vitro, bringing partial or total erythrocyte lyses, though the extent of this is both strain- and species-dependent [85, 86]. Although hemolysins are known to be putative virulence factors backing pathogenicity in *Candida* species, the genetic expression of hemolytic activity in *C. glabrata* is poorly understood. Luo et al. [85] revealed that a hemolysin-like protein (HLP) gene was allied with the hemolytic activity of *C. glabrata*.

Drug resistance mechanisms in Candida glabrata

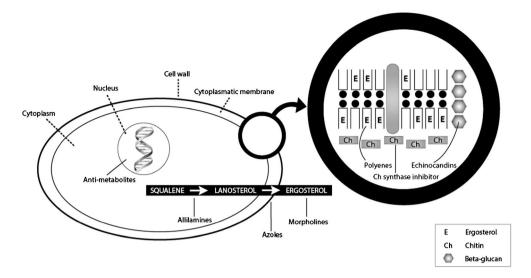
Antifungal drug mechanisms

As already remarked, there are many antifungal classes, which have different mechanisms of fungicidal or fungistatic action on Candida species. Azoles, like fluconazole, itraconazole, ketoconazole, miconazole, voriconazole, posaconazole, and rosaconazole, are able to block the ergosterol biosynthesis by the inhibition of lanosterol 14α -demethylase, leading to a diminished ergosterol production. Moreover, they are responsible for the lack of ability to build and renew sterols in the cellular membranes, changing the membrane fluidity and function of vital processes such as signaling, transport, exocytosis, and endocytosis. Polyenes, such as amphotericin B, nystatin, and pimaricin, link to the ergosterol molecules, resulting in pores on the cell wall and, thus, increasing cell permeability. Antimetabolite antifungal drugs, such as 5fluorocytosine, inhibit RNA function and, finally, the allylamines and a chitin synthase inhibitor, the nikkomycin Z. Figure 3 summarizes the mechanisms of antifungal action.

Each antifungal class uses distinct means to eradicate or inhibit the growth of fungal pathogens. The mechanisms of antifungal resistance are categorized as primary or secondary and are related to intrinsic or acquired characteristics of the fungal pathogen, including interference with the antifungal



Fig. 3 Mechanisms of action of antifungal drugs



mechanism of the respective drug or the decrease in target drug levels [15].

Genomic plasticity is an important machinery for adaptation to environmental signs, such as host responses and antifungal drug pressure in many fungi, including *C. glabrata*. For example, the *C. glabrata* genome has lost genes involved in galactose and sucrose assimilation, phosphate, nitrogen, and sulfur metabolism, as well as thiamine, pyridoxine, and nicotinic acid biosynthesis [12, 15].

In 2012, Bader et al. [87] analyzed the derivatives in C. glabrata strains' genomes. These were shown to be indistinguishable by multilocus sequence typing, but dissimilar phenotypic groups that correlated with specific karyotypic changes were also detected. Alterations that can significantly affect phenotypic properties, for example, in the quantitative changes in adhesin genes expression and the cell wall carbohydrate composition, could also be noticed rather than subtelomeric genes loss or differences in the number of macrosatellite repeats within adhesin genes. It is important to highlight that these phenomena, such as chromosomal aberrations and functional adaptations, may occur during infection and under antimicrobial therapy, but also under laboratory conditions deprived of extreme selective pressures. Rai et al. [88] showed that chromatin alterations could happen as essential strategies of survival, which facilitates a reprogramming of cellular energy metabolism in macrophage-internalized C. glabrata cells, and provide protection against DNA damage.

So, *C. glabrata*, like all *Candida* species, possess the ability to answer to the environmental alterations aimed to adapt to the presence of antifungal agents and, in this way, provide protection against antimicrobial therapies [62].

Antifungal resistance is both complex and multifaceted. It can be inducible in response to a compound or be an irreversible genetic change resulting from prolonged exposure. In detail, these include alterations or even an overexpression of target molecules, active extrusion through efflux pumps,

limited diffusion, tolerance, and cell density, which are all characterized mechanisms utilized by fungi to combat the effects of antifungal treatments [89]. Planktonic cells generally rely on irreversible genetic changes to maintain a resistant phenotype, whereas biofilm cells are able to persist due to their physical presence and the density of the population, which provides an almost inducible resistant phenotype irrespective of defined genetic alterations. Thus, *C. glabrata* has an intrinsically reduced susceptibility to commonly used antifungal drugs due to different properties, which are summarized in Table 2.

Active efflux from procaryotic as well as eucaryotic cells strongly modulates the activity of a large number of antifungals. Inside the group of primary active transporters, predominant in eucaryotes, six families belonging to the ATP-binding cassette superfamily, and including the P-glycoprotein in the MDR (multidrug resistance) group and the MRP (multidrug resistance protein), have been recognized as being responsible for antibiotic efflux [90, 91]. Within the class of secondary active transporters (antiports, symports, and uniports), ten families of antibiotic efflux pumps have been described and distributed in five superfamilies [SMR (small multidrug resistance), MET (multidrug endosomal transporter), MAR (multiantimicrobial resistance), RND (resistance nodulation division), and MFS (major facilitator superfamily)]. In eucaryotes, antibiotic efflux pumps modulate the accumulation of antimicrobials in phagocytic cells and play major roles in their transepithelial transport [91].

Table 3 summarizes the genes discussed above and others that are involved in *C. glabrata* resistance to antifungal drugs.

Azoles and resistance

Candida glabrata grows only as a yeast form in vivo and its adhesion is relatively weak [91–93], so it is believed that the increase of *C. glabrata* infections is due to its intrinsically low



Table 2 Summary of the most relevant factors involved in Candida glabrata antifungal resistance

Factor	Description	References
Tolerance	Pathogenic fungi encounter and bear a range of physiological stresses from different environments: temperature changes, ionic stress, changes in osmolarity, and oxidative stress (e.g., phagosomes of neutrophils)	[118]
Environmental stress	pH, temperature, oxygen availability, etc.	[119, 121–125]
Cell density	Mature biofilms, whilst densely populated, exhibit spatial heterogeneity	[119, 126, 127]
Efflux pump-mediated resistance	Exocitosis of the drug	[128–134]
Extracellular matrix	Presence of extracellular matrix, which is an essential characteristic of fungal biofilms, providing the cells with protection from hostile factors	[21, 34, 135]
Genetic alterations	Like overexpression of genes related with drug targets	[135–141]
Persister cells	These are "dormant variants of regular cells that form stochastically in microbial populations and are highly tolerant to antibiotics". They are a vital mechanism of resistance in chronic infections	[24, 142–144]

susceptibility to azoles, including the imidazoles (e.g., miconazole) and the oral-parenteral triazoles (e.g., fluconazole, voriconazole) [94]. The development of *C. glabrata* infections parallels the introduction, in the early 1990s, of triazoles and over-the-counter imidazoles. Acquired resistance results from rare mutations that are selected by drug pressure [95]. All the genes involved in the biosynthesis of ergosterol are expected to be upregulated in case of the presence of azole molecules. Nevertheless, *ERG* genes are the ones more focused on in studies. Between them are *ERG1*, *ERG3*, *ERG6*, *ERG7*,

Table 3 Genes of *Candida glabrata* involved in resistance to antifungal drugs

Gene	Function	References
EPA family	Adhesion	[67–69]
SIR3, RIF1		[69]
ERG family	Ergosterol biosynthesis	[101]
PDR1	ABC transporters	[102]
CDR1-4, SNQ2		[101-103]
BCR1	Biofilm formation	[104]
FKS1-2	β-1,3 glucan biosynthesis	[61, 101, 105–108]
YPS family	Adhesion Colonization	[109]
CTA1	Oxidative stress processes	[43, 110]
ATG11, ATG17	Phagocytosis survival	[43]
MDR1 and FLR1	Major facilitator family; MFS transporters	[101]
Kre1 and SKN1	β-1,6 glucan biosynthesis	[101]
ACE2	General virulence	[111–114]
ARO	Pigmentation Oxidative stress processes	[115]
ZAP1, GCAL1, ADH5, CSH1	Extracellular matrix regulators	[101]
Afu MDR4	ABC transporters	[101]
MCK1	MAPK	[101]
HSP90	Heat shock processes	[101]

ERG9, and especially ERG11. ERG11 is involved in the reaction that converts lanosterol into 4,4-dimethylcolesta-8, 14.24-trienol. This gene is noticeably more referred as the central point on the increase of ergosterol production, in response to the azole attack to the Candida cell membrane, especially in C. glabrata, which has great ease to acquire azole resistance [90, 95]. Potential mechanisms to azole resistance include a small affinity of its lanosterol 14α -demethylase or a high ability to upregulate ERG11 (ergosterol biosynthesis genes), CDR1, and PDR1 expression following azole exposure [48]. Acquired resistance results from rare mutations that are selected by drug pressure [95–97]. Mitochondrial dysfunction is another of the possible mechanisms by which azole resistance can occur in C. glabrata. Moreover, the development of the "petite mutants" (cells with mitochondrial DNA deficiency) upregulates the ATP-binding cassette (ABC) transporter genes, exposes amplified resistance to azoles, and endears a drastic improvement of fitness. However, there is a lack of information about the real involvement of the "petite mutants" in azoles' Candida resistance [98]. However, by screening a library of 9,216 random insertion mutants, Kaur and colleagues [99] identified a set of 27 genes, which, upon mutation, confer changed fluconazole susceptibility in C. glabrata. These genes comprise those belonging to the family of ABC transporters (PDR5 and PDR16), genes involved in retrograde signaling from mitochondria to nucleus (RTG2), and genes involved in diverse cellular functions (activation of RNA polymerase II transcription, calcium homeostasis, ribosomal biogenesis and mitochondrial function, nuclear ubiquitin ligase function, and cell wall biosynthesis). Those authors studied two kinds of mutants, the first being the Cch1-Mid1, defective in calcium uptake in response to fluconazole exposure, in which they noticed that the strains flawed in a putative plasma membrane calcium channel were discreetly more susceptible to fluconazole but revealed a significant loss of viability upon prolonged fluconazole exposure, proposing that calcium signaling is necessary for the survival of azole stress



in C. glabrata. Thus, in the absence of Ca²⁺ signaling, fluconazole has a fungicidal rather than a fungistatic effect on C. glabrata. Finally, in the second set of mutants, defective in mitochondrial assembly and organization, the cells exhibited very high levels of fluconazole resistance, indicating an existence of a mechanism for the reversible loss of mitochondrial function that does not involve loss of the mitochondrial genome and that C. glabrata can shift between states of mitochondrial competence and incompetence in response to fluconazole exposure [100]. Candida glabrata calcineurin mutants exhibit increased susceptibility to both azoles and cell wall-damaging agents and are also attenuated in virulence. While a mutant lacking the transcription factor Crz1, a calcineurin-regulated transcription factor, displayed a cell wall-associated phenotype intermediate to that of the calcineurin mutant and was modestly attenuated in virulence, it did not show amplified azole susceptibility, suggesting that calcineurin regulates both Crz1-dependent and -independent pathways, depending on the type of stress [100].

Candida glabrata has intrinsic azole resistance or acquires, very easily, drug resistance during prolonged azole therapy, by undergoing mutation either in vitro or in vivo [101]. Several ABC transporters, including Cdr1p, Pdh1p (also known as CDR2), Yor1p, and Snq2p, contribute to xenobiotic drug efflux. The transcription factor Pdr1p is the principal regulator of ABC transporter gene expression and has been found to be a key component of pleiotropic drug resistance (PDR) [102, 103]. A C. glabrata open reading frame (CAGL0I02552g) codes for a protein conserved among hemiascomycete binuclear zinc cluster proteins, showing a common ancestry and, probably, an important function in these yeasts. Pdrlp has been found to form a heterodimer with Stb5p in S. cerevisiae. Transcriptional analysis signposted a shared regulon among the homologues of these two genes, PDR1 and STB5, in C. glabrata, and many of the genes upregulated by the overexpression of PDR1 were upregulated by the deletion of STB5. Thus, the PDR1 overexpression and STB5 deletion are correlated [104, 105]. The overexpression of STB5 in C. glabrata represses azole resistance, while the deletion of STB5 produces a shy intensification in resistance. Expression analysis assays established that STB5 shares many transcriptional targets with PDR1 but, unlike the second, it is a negative regulator of pleiotropic drug resistance (including the ABC transporter genes CDR1, PDH1, and YOR1) [105, 106].

Transcript profiling with microarrays showed that more than 385 genes are differentially regulated by a selected number of the gain-of-function (GOF) mutations expressed in the same genetic background, with a minimal overlap in coregulated genes. *CDR1* and *PUP1* (for *PDR1* upregulated and encoding a mitochondrial protein) were generally upregulated by all tested GOFs. While both genes mediated azole resistance (with in vitro and in vivo expression, though to

different extents), their deletions in an azole-resistant isolate managed a decline in virulence and a decrease in tissue load. Their individual overexpression was showed to partially restore phenotypes obtained in clinical isolates [106].

AP1 ortholog encodes a transcription factor involved in various stress responses. Chen et al. [107] showed that AP1 plays a critical role in the reaction to various stresses in C. glabrata and reduces the stress through transcriptional activation of its target genes, including FLR1. They demonstrated that the deletion of FLR1 in C. glabrata only resulted in increased sensitivity to benomyl, diamide, and menadione, but not 4-NQO, cycloheximide, or fluconazole.

The aldo-keto reductase superfamily is known to be upregulated in the resistant $C.\ glabrata$ clinical isolates. Reverse transcription quantitative polymerase chain reaction (RT-qPCR) reveals AKR mRNA expression about twice that seen in the sensitive isolates. Thus, this overexpression of the AKR gene is associated with amplified fluconazole and itraconazole resistance, suggesting that upregulation of the AKR gene might give a new insight into the mechanism of azole resistance [61, 108].

Echinocandins and resistance

Echinocandins are front-line agents against invasive candidiasis (IC), but conjectures for echinocandin therapeutic failure are arising; however, they are not well demarcated. This class of antifungal agents is considered to be the first-line treatment of IC due to *C. glabrata*, but this NCAC species has already been described as developing reduced susceptibility to caspofungin during prolonged therapy. Studies involving sequentiation of hot spots, known to confer echinocandin resistance, revealed an F659V substitution within the *FKS2* region of the glucan synthase complex [109].

Recently, strains of C. glabrata have also been detected to be resistant to both fluconazole and the echinocandins. In these strains, an acquired mutation in FKS1 or FKS2 was detected [110]. These mutations in Candida FKS genes, which encode the enzyme targeted by echinocandins, end in elevated minimum inhibitory concentration (MIC) and have been linked to therapeutic failures. Micafungin MICs of C. glabrata FKS hot spot mutant isolates were perceived to be less elevated than those obtained for the other echinocandins, showing that the efficacy of micafungin could be differentially dependent on specific FKS genes mutations [111]. Interestingly, in another study, echinocandin MICs and FKS1 and FKS2 mutations among C. glabrata isolates were correlated with echinocandin therapeutic responses. FKS mutations were detected and the median caspofungin and anidulafungin MICs were higher for patients who failed therapy [112]. In another study [113] from patients with C. glabrata bloodstream infections at Duke University Hospital in Durham, NC, the treatment outcome (using anidulafungin, caspofungin, and



micafungin) was correlated with the MIC results and the presence of *FKS1* and *FKS2* gene mutations. The results showed that the resistance to echinocandins increased from 4.9 to 12.3 % between 2001 and 2010. Moreover, among the 78 fluconazole-resistant isolates, 14.1 % were resistant to one or more echinocandins. Almost 8 % of the isolates had an *FKS* mutation, which appeared due to a prior echinocandin therapy, and virtually all of them demonstrated intermediate or resistant MICs to an echinocandin (failure or recurrence of infection). Additionally, the new Clinical and Laboratory Standards Institute (CLSI) clinical breakpoints differentiate wild-type from *C. glabrata* strains bearing clinically significant *FKS1/FKS2* mutations.

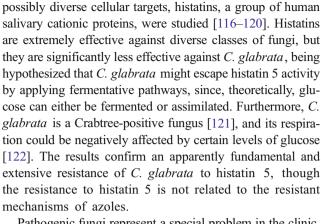
Polyenes and resistance

The molecular mechanisms that lead to polyene resistance are still poorly documented, especially in *C. glabrata* strains. In a previous study [114], a clinical isolate of *C. glabrata* showed lower ergosterol content in its membrane than the wild-type, and this low content was established to be linked to a nonsense mutation in the *ERG6* gene and to the induction of the ergosterol biosynthesis pathway. Variations of the cell wall were also perceived, accompanied by improved susceptibility to cell wall-perturbing agents, with a high rate of cell mortality. In conclusion, the nonsense mutation detected in the *ERG6* gene of this isolate led to a decrease in ergosterol content [114].

Moreover, an other clinical isolate of C. glabrata with a poor susceptibility to polyenes and recovered from a patient treated with amphotericin B was analyzed by Vandeputte et al. [115], demonstrating that a mutation in the ERG6 gene leads to a reduced susceptibility to polyenes and to subsequent changes in the sterol content of the plasma membrane. Thus, a deficiency of ergosterol and an accumulation of late sterol intermediates was shown, highlighting a defect in the final steps of the ergosterol pathway. Sequencing exposed a unique missense mutation in ERG6, leading to the substitution of a cysteine by a phenylalanine in the corresponding protein. Later, a real-time PCR proved an overexpression of the genes that encode enzymes involved in late steps of the ergosterol pathway. The complementation of this strain with a wild-type copy of the ERG6 gene reestablished susceptibility to polyenes and a classical morphology [115].

Other antifungal agents' resistance

Allylamines: A study developed by Vermitsky and Edlind [103] showed that the treatment with terbinafine, which targets the enzyme squalene epoxidase in the ergosterol biosynthetic pathway, found upregulation on *ERG11* as previously reported but, unlike in the azoles case, had a minimal effect on *CDR1* and *PDH1*.



Histatin 5: In order to develop new antimycotics with

Pathogenic fungi represent a special problem in the clinic, as the range of drugs that can be used to treat these kinds of infections is restricted. This situation is further complicated by the presence of robust inducible gene networks encoding different proteins that confer tolerance to many available antifungal drugs [123]. Moreover, it is important to state that C. glabrata has frequently been reported as exhibiting variable karyotypes between isolates [124-131] and several works have shown that these karyotypes are rather stable, hypothesizing that the karyotype of virulent species is more stable than avirulent species [132]. The major karyotypic differences between C. glabrata strains are related to a small number of chromosomal translocations. Along with variation in the subtelomeric EPA genes, the other genomic rearrangements are copy number variations in tandem gene repeats, encoding putative or known cell wall proteins [125].

The role of biofilms in Candida glabrata resistance

As already seen, most microorganisms exist in nature as complex communities, the biofilms, which are attached to surfaces rather than isolated free-floating planktonic organisms. These structurally and functionally complex communities consist of one or more microbial species encased in an extracellular polymeric substance, and attached to one another or to a solid surface [132]. A broad variety of environmental factors contribute to the initial surface attachment of Candida cells: pH, temperature, osmolarity, presence of bacteria, the flow of the surrounding medium (urine, blood, saliva, and mucus), presence of antimicrobial agents, and host immune factors [133-137]. Fungal biofilms are formed in different phases of development, including arriving at an appropriate substratum, adhesion, colonization, extracellular matrix production, biofilm maturation, and dispersal [125, 134, 138–140].

Comparing planktonic cells to biofilms, the latter are much more resistant to antimicrobials, and are thought to be involved in up to 65 % of all human microbial infections [141]. It has recently been proved that the cells that detach



from the biofilm have a greater association with mortality than equivalent planktonic yeasts [142]. As yet, there appears not to be one specific resistance factor responsible for the increased recalcitrance to antifungal agents exhibited by biofilms. Instead, biofilm resistance is a complex multifactorial phenomenon, which still remains to be fully elucidated and understood. Different mechanisms may be responsible for the intrinsic resistance of *Candida* biofilms and specifically *C. glabrata*. These include the following: (i) high density of cells within the biofilm; (ii) effects of the biofilm matrix; (iii) decreased growth rate and nutrient limitation; (iv) expression of resistance genes, particularly those encoding efflux pumps; and (v) presence of "persister" cells.

The cell density is an important factor for antifungal resistance in biofilms, particularly for azoles. One study showed that both planktonic and biofilm cells exhibit sensitivity to azoles with low numbers of cells (10³ cells/mL), becoming increasingly resistant with an improved density. In dense biofilms, there is a cooperation between cells through processes named "quorum sensing", enabling organizations to communicate and coordinate their behavior by the secretion of signaling molecules, which are dependent on the population density [143, 144].

Regarding the biofilm matrix, one supposition is that the existence of the matrix reduces the penetration of drugs through the establishment of a diffusion barrier, as an ionexchange resin, binding charged antibiotic molecules [24], like antifungals. Moreover, it is known that planktonic cells generally rely on irreversible genetic changes to maintain a resistant phenotype, whereas biofilms are able to persist due to their physical presence and the density of the population, which provides an almost inducible resistant phenotype irrespective of defined genetic alterations [144]. Candida albicans experiments with resuspended sessile cells recovered from mature biofilms indicated that, when tested as freefloating cells, they were also resistant to fluconazole and amphotericin B challenge, but not to the same level as that found in the mature biofilms from which they were derived. Moreover, in mixed biofilms, the extracellular matrix produced by bacterial cells was shown to retard the diffusion of the antifungal agents, but poor penetration did not account for the drug resistance of Candida biofilm cells. Overall, these results seem to indicate that, although the extracellular matrix plays a partial role in sessile resistance, the influence of other factors is also significant [144].

In fact, the ability to form biofilms is intimately associated with the ability to cause infection and, so, it should be considered an important virulence determinant during candidosis. The biofilm lifestyle results in antifungal drug resistance and protection from host defenses, both of which carry important clinical repercussions. Molecular studies on biofilm formation have begun to shed light on the driving forces behind the transition to the biofilm mode of existence, which, in the

future, may offer a potential therapeutic avenue. The application of powerful DNA microarray and proteomic technologies should facilitate a more detailed analysis of the biofilm lifestyle [145, 146].

Some biofilm formation genes are beginning to be brought up by researchers concerning different roles in this process. Between them, we can find the peroxisomal catalase (*CTA1*), the biosynthesis and degradation of tyrosine genes (*ARO*), the muscle creatine kinase (*MSK*), the heat shock protein 90 (*HSP90*), the sphingolipid biosynthesis (*SKN1* and *KRE1*), *SIR*, *RIF*, and, finally, the extracellular matrix regulators: zinc-regulated genes (*ZAP1*), g-carbonic anhydrase (*GCAL1*), alcohol dehydrogenase (*ADH5*), and also cell surface hydrophobicity (*CSH1*) [144, 145].

The immunoevasion and intracellular persistence of *C. glabrata* may be a key factor in the capability of this species to persist in the course of multiple antifungal treatments and develop multidrug resistance. Second, changes in the cell wall associated with antifungal resistance often favor evasion for the host immune response [67, 72].

Lastly, extracellular polysaccharides are crucial elements of the biofilm matrix of many microorganisms. β -1,3 glucan, a very important polysaccharide in yeast, has been associated to biofilm protection from antifungal agents. The authors [147] identified three glucan modification enzymes that deliver glucan from the cell to the extracellular matrix, playing a biofilm-specific role, by mediating the delivery and organization of mature biofilm matrix. The enzymes consist of two glucan transferases and an exoglucanase, encoded by BGL2, PHR1, and XOG1, respectively. The enzymes did not appear to affect the cell wall glucan composition of biofilm cells, nor are they necessary for filamentation or biofilm formation. Later, they also revealed that mutants missing these genes exhibit higher susceptibility to fluconazole during biofilm growth only. Transcriptional analysis and biofilm phenotypes of strains with multiple mutations propose that these enzymes allocate matrix downstream of the primary β-1,3 glucan synthase encoded by FKS1 [147].

The responses of organisms to stress have been recognized as antifungal resistance mechanisms of biofilms. Fungi are faced with different types of physiological stress from the surrounding environment, including changes in temperature or nutrients, ionic stress, changes in osmolality, and oxidative stress. These receptors are detected by a number of cells which induce responses by signaling pathways [148]. A study with *C. glabrata* showed that there is a positive regulatory protein of the stress response in biofilms of this kind, shown in the heat shock proteins (Hsp12p) and other stress proteins (Trx1 and Pep4) [149]. Persister cells are an important mechanism of resistance in chronic infections [144], which has received some attention in fungal biofilms. They are variants dormant in normal cells formed occasionally in microbial populations which are highly tolerant to antimicrobial agents. The first



study of persister cells in *Candida* was performed with *C. albicans*, where such cells were detected only in biofilms. In this study, re-inoculation of cells that survived the destruction of biofilms with amphotericin B produced a new biofilm with a new subpopulation of persister cells, suggesting that these cells were not mutants but wild-type phenotypic variants and that the binding to a substrate initiated the dormancy state. Subsequently, a study with isolated persister cells of *C. glabrata* demonstrated that prolonged and ineffective antifungal therapy may be beneficial for the biofilm and its effect may be responsible for the failure and recurrence of fungal infections [150–153].

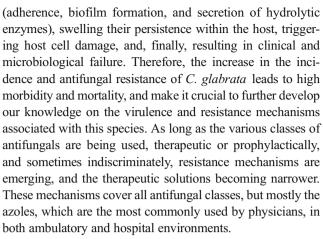
Main research to develop regarding Candida glabrata

Unfortunately, there are still several issues to explore regarding the course of infection by *C. glabrata*. Among them, we highlight the following avenues:

- Definition of the true role of FLO genes, which can bring useful answers regarding the adhesion process during the biofilm production;
- Introduction of the in vivo studies. The in vivo cells contain several types of machinery and molecules that can be helpful to the experiment. The in vivo approach could also be important to the evolution of host-interaction research and the definition of new tactics regarding the strategies of remission of the *C. glabrata* pathologies;
- Revisions on the topic of the genes involved directly on the biofilm formation. Their role is still not well elucidated and could yield the key to blocking biofilm growth;
- Explanation of the real part of the biofilm in *C. glabrata* in the antifungal resistance process (and the other species of the genus). Characterization of the existent molecules and their function in the therapeutics and the clinical approach:
- Future studies should also focus on C. glabrata biofilms' matrices composition in the presence and absence of antifungal agents and, thus, investigate the use of new alternative therapies to reduce and/or eradicate biofilms.

Conclusion

Fluctuations in the host are generally required for the opportunistic yeasts to modify from harmless commensal microorganisms to potentially life-threatening human pathogens. The management of candidosis comprises the identification and control of host factors that may predispose one to infection. *Candida glabrata* displays several virulence factors



An improved understanding of the pharmacological tolerance phenomena, towards the biochemical mutations and the pharmacokinetics and pharmacodynamics modifications, can contribute actively to the identification of new targets for novel therapeutics against *C. glabrata*.

Acknowledgments The authors are grateful to strategic project PTDC/SAU-MIC/119069/2010 for the financial support to the research center and for Célia F. Rodrigues' grant.

Conflict of interest The authors declare that they have no conflict of interest

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