



## Recent research trends in organic Rankine cycle technology: A bibliometric approach

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1           **Recent research trends in organic Rankine cycle technology: A**  
2                                   **bibliometric approach**

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## 1 Abstract

2 This work describes the contribution of researchers around the world in the field of the organic  
3 Rankine cycle in the period 2000-2016. A bibliometric approach was applied to analyze the  
4 scientific publications in the field using the Scopus Elsevier database, together with Science  
5 Citation Index Expanded. Different aspects of the publications were analyzed, such as  
6 publication type, major research areas, journals, citations, authorship pattern, affiliations as  
7 well as the keyword occurrence frequency. The impact factor, h-index and number of citations  
8 were used to investigate the strength of active countries, institutes, authors, and journals in the  
9 organic Rankine cycle technology field. From 2000 to 2016, there were 2120 articles published  
10 by 3443 authors from 997 research institutes scattered over 71 countries. The total number of  
11 citations and impact factor are 36739 and 4597, respectively, corresponding to 17 citations per  
12 paper and an impact factor of 2.168 per publication. The research articles originate primarily  
13 from China, the USA, and European countries. Results indicate that China, the United States,  
14 Italy, Greece, Belgium, Spain, Germany and the United Kingdom are the leading countries in  
15 organic Rankine cycle research and account for 64 % of the total number of publications. The  
16 core research activities in the field are mainly focused on applications of the organic Rankine  
17 cycle technology, working fluids selection/performance, cycle architecture, and  
18 design/optimization. The most productive journal, author, institution, and country are Energy,  
19 Ibrahim Dincer, Tianjin University China and China, respectively.

20

21 **Keywords:** Organic Rankine Cycle, Scientometric, Bibliometric, Research trends, Low  
22 temperature, Waste heat

## 23 1. Introduction

24 In the last two decades, the growing concern over energy efficiency, finite fossil fuel resources  
25 and their environmental impact has accelerated the research work in the field of clean and  
26 efficient energy technologies [1]. Efficient conversion of low temperature heat and waste heat  
27 into power can effectively reduce the greenhouse gas emission and significantly improve energy  
28 efficiency of energy systems [2]. However, conventional energy conversion technologies are not  
29 suitable for efficient conversion of low temperature heat sources [3]. The Organic Rankine Cycle  
30 (ORC) technology is considered viable technology, being progressively adopted as the premier  
31 technology for efficient conversion of low temperature heat into power [4].

32 Extensive research activities have been observed in ORC technology from 2000 onwards due to  
33 the increased attention to low-to-medium temperature heat recovery. The adoptability to  
34 various heat sources, low complexity, automated control and distributed power generation  
35 ability make the ORC technology an ideal choice for power production from low temperature  
36 heat and waste heat [5]. Potential applications of the ORC technology include biomass, solar,  
37 geothermal, ocean thermal energy and waste heat recovery from various thermal processes [6].  
38 It appears that future regulations will focus more on CO<sub>2</sub> emissions and energy efficiency, thus  
39 providing ample chances of further research and development in the ORC field.

40 A number of review articles have been published in the past covering different aspects of the  
41 ORC technology. Lion et al. [7], Sprouse III and Depcik [8], and Saidur et al. [9] reviewed the use  
42 of ORC power systems for waste heat recovery from internal combustion engines.

1 Tocci et al. [10] and Rahbar et al. [11] investigated the small-scale applications of ORC power  
 2 systems. Regarding working fluids for ORC units, Bao and Zhao [12] and Chen et al. [13]  
 3 provided a comprehensive review and selection criteria of pure working fluids. Modi and  
 4 Haglind [14] and Abad and Kim [15] investigated the potential and challenges of the use of  
 5 zeotropic mixtures for ORC applications. Later, Dai et al. [16] analyzed the thermal stability of  
 6 the working fluids. As for component level reviews, Imran et al. [17], Song et al. [18], and Bao et  
 7 al. [12] provided comprehensive reviews of selection and performance of expanders for ORC  
 8 power systems. Lecompte et al. [19] presented a generalized overview of cycle configurations  
 9 and cycle architectures, and Zhai et al. [20] investigated the potential heat sources and  
 10 categorized them for ORC applications.

11 With the advancement of ORC technology, the literature related to the ORC field has grown  
 12 substantially. Therefore, it is of crucial importance to identify the core research themes,  
 13 contribution of authors and institutes in the ORC field, and qualitatively assess the ORC  
 14 publications. None of the previous review works on the ORC technology addresses these  
 15 aspects. Moreover, there has been a significant increase in quantitative evaluation of the  
 16 literature using scientometric and bibliometric approaches in recent years.

17 A number of studies have been conducted in this regard to assess and evaluate the research  
 18 activities in a certain field. A list of scientometric and bibliometric studies in the field of energy  
 19 is shown in Table 1.

20 Table 1: Scientometric and bibliometric studies in the field of energy

Ref.	Description	Literature timeframe
[21]	Research output and priorities in renewable energy	1996-1999
[22]	Emerging technologies in the energy research field	1970-2005
[23]	Hydrogen energy research and literature review	1965-2005
[24]	Evaluation of research on algae and bio-energy	1980-2010
[25]	Scientometric profile of solar energy research in India	1999-2011
[26]	Research profile of production of Bioenergy from biomass	1980-2011
[27]	Mapping organic farming and Bioenergy research work	1980-2012
[28]	International collaboration in wind/ solar energy	1998-2010
[29]	Recent advances in energy efficiency research	1990-2010
[30]	Research trends in energy in Spain	1957-2012
[31]	Recent solar energy literatures	1990-2011
[32]	Alternative energy research profile and research output	1994-2013
[33]	Past, present and future of biomass energy research	1990-2011
[34]	Energy management strategies for hybrid electric vehicles	1998-2014
[35]	Analysis of energy poverty research on a global scale	1981-2013
[36]	Evaluation of research on multi-energy systems	1996-2015
[37]	Energy-related issues in green supply chain management	1995-2012
[38]	Scientometric global synthesis and challenges of microbial fuel cells	1985-2015
[39]	Chinese energy and fuels research priorities	1993-2012
[40]	Characteristics and research trends of waste-to-energy incineration	1999-2015
[41]	Research trends of low-carbon energy technology investment	1981-2015
[42]	Modeling-based bibliometric exploration of hydropower research	1994-2013

1 The primary objective of this study is to evaluate quantitatively and qualitatively the global  
2 trend of research activities within the ORC field, considering scientific papers published in the  
3 period from 2000 to 2016.

4 The publication statistics, geographical distribution of authors and institutions, list of authors,  
5 institutions and journals with significant contribution in the field of ORC technology, citations  
6 and authorship pattern are investigated in the present study. Effective performance parameters  
7 are selected for the comparative evaluation of the contribution of authors, institutions, and  
8 countries. This is the first review paper on ORC technology taking a bibliometric approach, and  
9 by providing a very useful overview for researchers active in the field, it may influence  
10 researchers' future research directions.

11 The paper is divided into four sections. The research methods are briefly explained in section 2,  
12 while section 3 covers the results and discussion. Finally, a few concluding remarks are outlined  
13 in section 4.

## 14 **2. Methods**

15 A complete search in the Scopus database was carried out using the words "organic Rankine  
16 cycle" in the search bar in "article title, abstract, and keywords", considering the period 2000-  
17 2016. The search results were further filtered using the language "English" and document type  
18 as "article and conference proceedings". Finally, the complete data of 2124 documents whose  
19 topics (titles, keywords and abstracts) contain the word "organic Rankine cycle" and 4221  
20 patents in the ORC field were obtained. The results were further filtered to remove irrelevant  
21 and incomplete data. Finally, the refined data consisting of 2120 articles and 3472 patents were  
22 considered for the scientometric study of the ORC technology.

### 23 **2.1 Research output indicators**

24 Impact factor, h-index, and source normalized impact factor were chosen to analyze the  
25 influence of the journals, authors, institutions and countries. The quality of modern research is  
26 measured on the basis of impact factor; the impact factor of a journal in the nth year is the  
27 number of citations in nth year divided by the number of publications in the same year. The  
28 impact factor was introduced by the Institute for Scientific Information (ISI) and is indexed in  
29 the Journal Citation Reports (JCR) yearly. The h-index measures both the productivity and  
30 citation impact of the publications. The h-index is N if N publications, each of which has been  
31 cited in other papers at least N times. The source normalized impact factor measures contextual  
32 citation impact by weighting citations based on the total number of citations in a subject field. In  
33 the present study, the impact factor of a given journal was determined as reported in the 2016  
34 Journal Citation Report.

35 In order to measure the qualitative research output at the institution and country level, a  
36 number of research indicators have been used. These research indicators include the i-10 index,  
37 productive authors, productive institution, and hot articles. The i-10 index is the number of  
38 publications having more than 10 citations. The authors and institutions having more than five  
39 publications are termed as productive authors and institutions, respectively. Articles with more  
40 than 50 citations are entitled hot articles. For comparative assessment of research output, the  
41 impact factor per publication (IFPP) and citation per publication (CPP) were also used.

## 1 2.2 Collaboration degree

2 Three indicators were chosen to investigate the effect of research collaboration. These factors  
3 are the auctorial collaboration degree, institutional collaboration degree, and national  
4 collaboration degree and can be represented as

$$D_a = \frac{\sum_{i=1}^n \alpha_i}{N} \quad (1)$$

$$D_i = \frac{\sum_{i=1}^n \beta_i}{N} \quad (2)$$

$$D_n = \frac{\sum_{i=1}^n \gamma_i}{N} \quad (3)$$

5  $D_a, D_i, D_n$  are the auctorial collaboration degree, institutional collaboration degree, and national  
6 collaboration degree, respectively, and  $\alpha_i, \beta_i,$  and  $\gamma_i$  are the number of the authors, countries,  
7 and institutions for each paper. The parameter  $N$  represents the total number of papers. It  
8 needs to be noted that the number of countries is the sum of all authors' countries, and similarly  
9 the number of institutions is the sum of institutions of all the authors.

## 10 2.3 Research output indicators

11 In order to analyze the qualitative research output at the institute and country levels, a  
12 comprehensive approach was adopted. Eight research output indicators were chosen, namely,  
13 the number of publications, number of citations, h-index, cumulative impact factor<sup>1</sup>, number of  
14 productive authors<sup>2</sup>, number of productive institutions<sup>3</sup>, number of hot articles<sup>4</sup>, and the  
15 number of citations of hot articles. These indicators were used to calculate the standard  
16 research score of each country:

$$S_{pq} = \frac{x_{pq} - \bar{x}_q}{\bar{x}_q} + 1 \quad (4)$$

17 where  $S_{pq}$  is the standard research score of indicator  $q$  in country  $p$ ,  $x_{pq}$  is the original score of  
18 indicator  $q$  in country  $p$ , and  $\bar{x}_q$  is the average score of indicator  $q$ . The sum of all standard  
19 research scores of a country is

$$S_p = \sum_{q=1}^8 S_{pq} \quad (5)$$

20 where  $S_p$  is the cumulative research output score of country  $p$ , and  $q$  is the research output  
21 indicator. There are eight research indicators used in the present study.

22  
23  
24

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<sup>1</sup>Sum of impact factors of all the publications from 2000 to 2016 in the ORC technology field.

<sup>2</sup> Authors who have published more than four research articles in the ORC technology field.

<sup>3</sup> Institutions which have more than four research articles in the ORC technology field.

<sup>4</sup>Articles that have more than 50 citations.

### 3. Results and discussion

#### 3.1 General statistics

From 2000 to 2016, the total number of publications amounts to 2120 in the Scopus indexed journals and conference proceedings. The timeline of ORC publications from 2000 to 2016 is shown in Figure 1. The research publications and the patents have overall increasing trends from 2000 to 2015; however, a very slight decrease in publications and patents is observed in the year 2016.

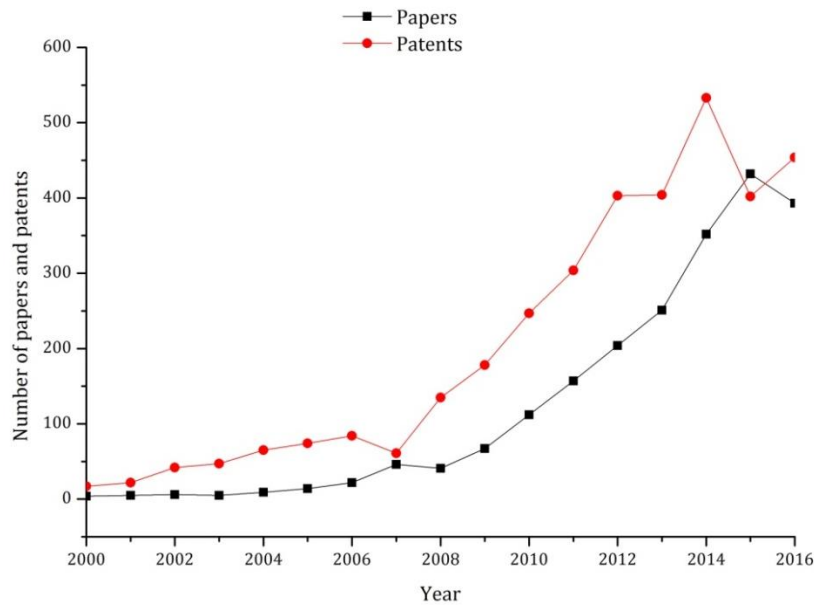


Figure 1: Timeline of publications and patents from 2000-2016

The rapid increase in publications from 2008 onwards may, among other things, be attributed to the growing concerns of the environmental impact and need for efficiency improvement of energy systems. An analysis of the type of publications suggests that the "Original articles" account for the largest share of the publications, about 61.84 % of the published papers as shown in Figure 2.

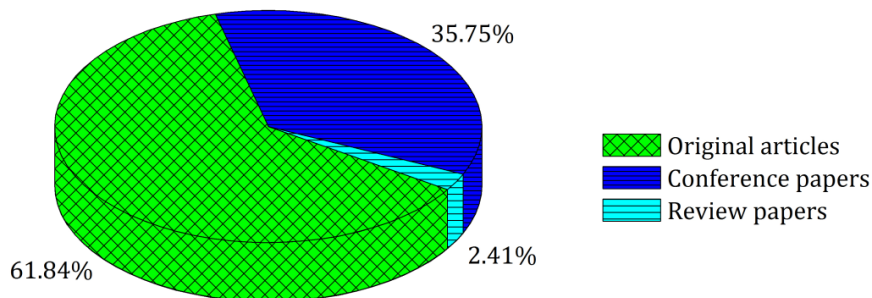


Figure 2: Types of publications within the ORC technology field from 2000 to 2016

#### 3.2 Country statistics

The published articles originate from over 71 countries as shown in Figure 3. However, it can be observed that the largest share of publications originates from only a few countries.

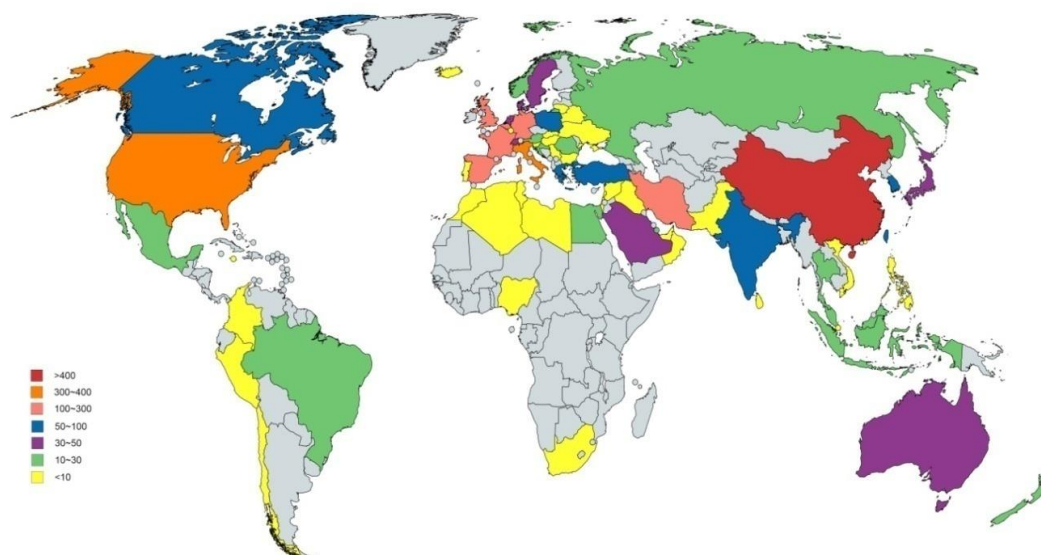


Figure 3: Geographical distribution of publications in the ORC technology field

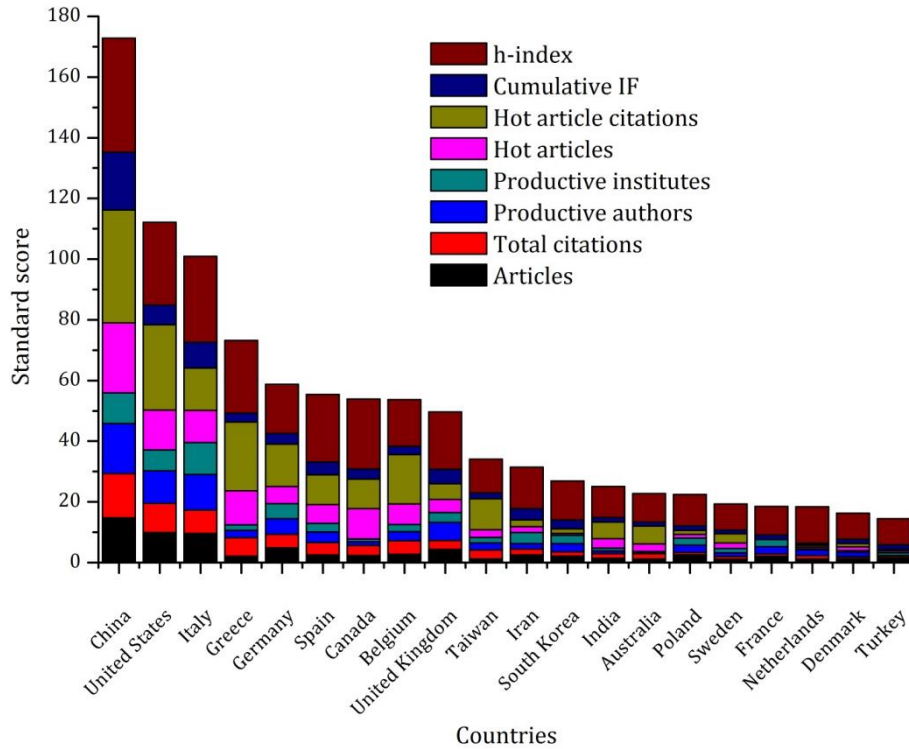
The most productive countries on the basis of number of publications are listed in Table 2. About 83.7 % of the publications are from the top 20 countries shown in Table 2. In the statistical analysis, if a publication has more than one author from each country, the publication was considered for both countries. The ORC publications originate primarily from China, the USA, and Europe (Italy, Germany, Spain, Poland, Belgium, and France), with China being the leading country representing 17.3 % of the total number of ORC publications from 2000 to 2016.

Table 2: Top 20 countries of ORC publications from 2000 to 2016

Country	Total		Number of productive		Hot articles		Quality	
	Articles	Citations	Authors	Institutions	No.	Citations	Total IF	h-index
China	440	7562	55	22	37	4162	1227.58	44
United States	297	4930	36	15	21	3147	415.302	32
Italy	286	4006	39	23	17	1568	549.124	33
Germany	145	2312	17	11	9	1557	228.241	19
United Kingdom	130	1510	20	7	7	576	311.022	22
Belgium	81	2335	10	5	11	1818	172.49	18
Iran	76	1000	6	8	3	252	238.62	16
Poland	74	467	8	5	2	144	100.676	12
Spain	74	2108	12	6	10	1093	278.29	26
Canada	69	1746	4	2	16	1088	214.86	27
Greece	63	3169	8	4	18	2530	188.375	28
South Korea	62	767	9	6	1	171	187.285	15
France	60	448	8	5	0	0	97.593	11
India	41	807	3	2	5	610	98.301	12
Turkey	41	347	1	2	1	51	99.477	10
Denmark	38	348	5	1	2	126	91.441	10
Netherlands	37	551	6	1	1	60	43.258	14
Taiwan	37	1487	8	4	4	1141	123.366	13
Australia	35	910	1	1	4	649	87.782	11
Sweden	32	515	4	3	3	338	77.904	10



1 Furthermore, the results indicate that more publications originate from China, the United States,  
 2 and Italy than all the other countries put together, accounting for 40.27 % of the total ORC  
 3 publications. The research output of different countries is presented as a standard score of a  
 4 single research indicator and also as accumulative (the sum of standard scores of all research  
 5 indicators). The results are depicted in Figure 4.



6  
 7 Figure 4: Standard research output score of the most productive countries

8 China, the United States, and Italy are still the leading countries in terms of the number of  
 9 publications as well as the standard score of research output, attaining values of 172, 112, and  
 10 100, respectively. The standard score indicates that the number of publications alone does not  
 11 indicate the quality of research output. For example, Greece is at the 11th position with 63 as  
 12 the number of publications, but stands at the fourth position with respect to the standard score  
 13 of research output indicators (having a value of 73) when the impact factor, citations, hot  
 14 articles, productive authors, and productive institutes are considered.

### 15 3.3 Journal distribution

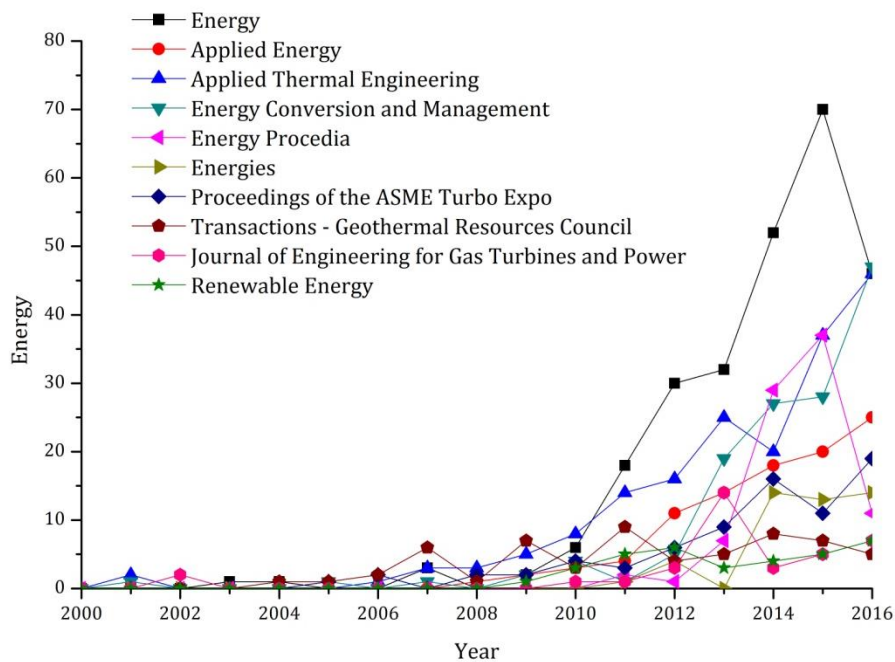
16 The source of publications was analyzed to identify the journals with the highest share of  
 17 publications. The 10 most productive journals/publishers in the ORC field are shown in Table 3.  
 18 The Energy journal has the largest share of publications and accounts for 12.35 % of the total  
 19 ORC publications from 2000 to 2016. The top 10 journals account for 47.78 % of the total ORC  
 20 publications. Energy and Applied Thermal Engineering, and Applied Energy have more than 37  
 21 citations per publication and an h-index of more than 110. These three journals account for 30  
 22 % of the total ORC publications and 56 % of the total citations. The percentage of the papers,  
 23 citations, and journal quality indicate that these journals are the leading journals in the field of  
 24 ORC power systems. The publishing houses of these journals originate from three countries,  
 25 namely, the USA, the UK, and the Netherlands.

1

Table 3: Top 10 productive journals in the ORC technology field from 2000 to 2016

Journal	Total		Relative (%)		Journal quality		
	Papers	Citation	Papers	Citation	h-index	IF	SNP
Energy	262	9719	12.36	26.45	134	4.52	1.798
Applied Thermal Engineering	180	7078	8.49	19.27	112	3.356	1.828
Energy Conversion and Management	136	3857	6.42	10.50	139	5.589	2.065
Applied Energy	98	3537	4.62	9.63	125	7.182	2.573
Energy Procedia	87	324	4.10	0.88	51	NA	NA
Proceedings of the ASME Turbo Expo	75	206	3.54	0.56	28	NA	NA
Transactions - Geothermal Resources Council	59	149	2.78	0.41	20	NA	NA
Energies	46	324	2.17	0.88	48	2.262	NA
Journal of Engineering for Gas Turbines and Power	36	437	1.70	1.19	66	1.534	NA
Renewable Energy	34	1243	1.60	3.38	134	4.357	2.044

2 The timeline of the publications in the top journals is shown in Figure 5.



3

4 Figure 5: Timeline of ORC publications of the most productive journals from 2000 to 2016

5 The exponential rise in publications is observed from 2010 and onwards. The Energy journal is  
 6 the leading journal in number of publications from the early 2000 to the present with more than  
 7 70 publications in 2015.

8 **3.4 Subject distribution**

9 Research work in the ORC field can be broadly classified into six major categories including  
 10 applications of the ORC technology, working fluids, expansion machines, cycle architecture,  
 11 design and optimization, and dynamics and control of ORC systems. The distribution of ORC  
 12 publications on the basis of the major core research areas is shown in Figure 6.

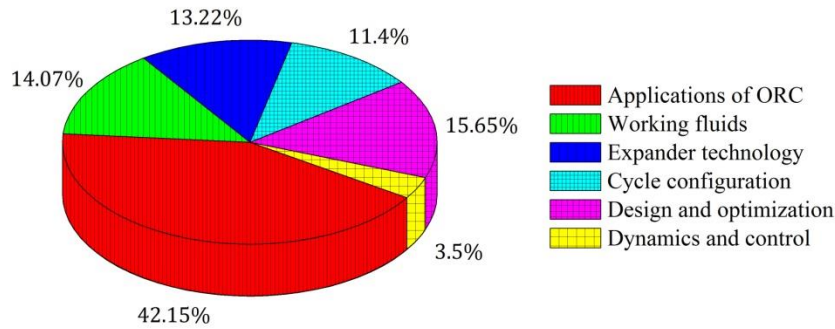


Figure 6: Distribution of core research areas of the ORC

1  
2  
3 The largest share of publications, 42.15 %, deals with the application of ORC technology which  
4 can further be classified into solar, geothermal, biomass, waste heat recovery, and ocean  
5 thermal energy based ORC systems. The topic of dynamic modeling and control of ORC systems  
6 has a relatively low share of publications, about 3.5 %, which can be explained by the recent  
7 interest in the development of mini-ORC units for automotive applications – an area of which  
8 the control aspect is of crucial importance. In the case of most other applications of the ORC, the  
9 temperature and mass flow rate profile is relatively constant, making the control logics simpler.  
10 The major core research areas are further categorized into sub-research areas as shown in  
11 Figure 7.

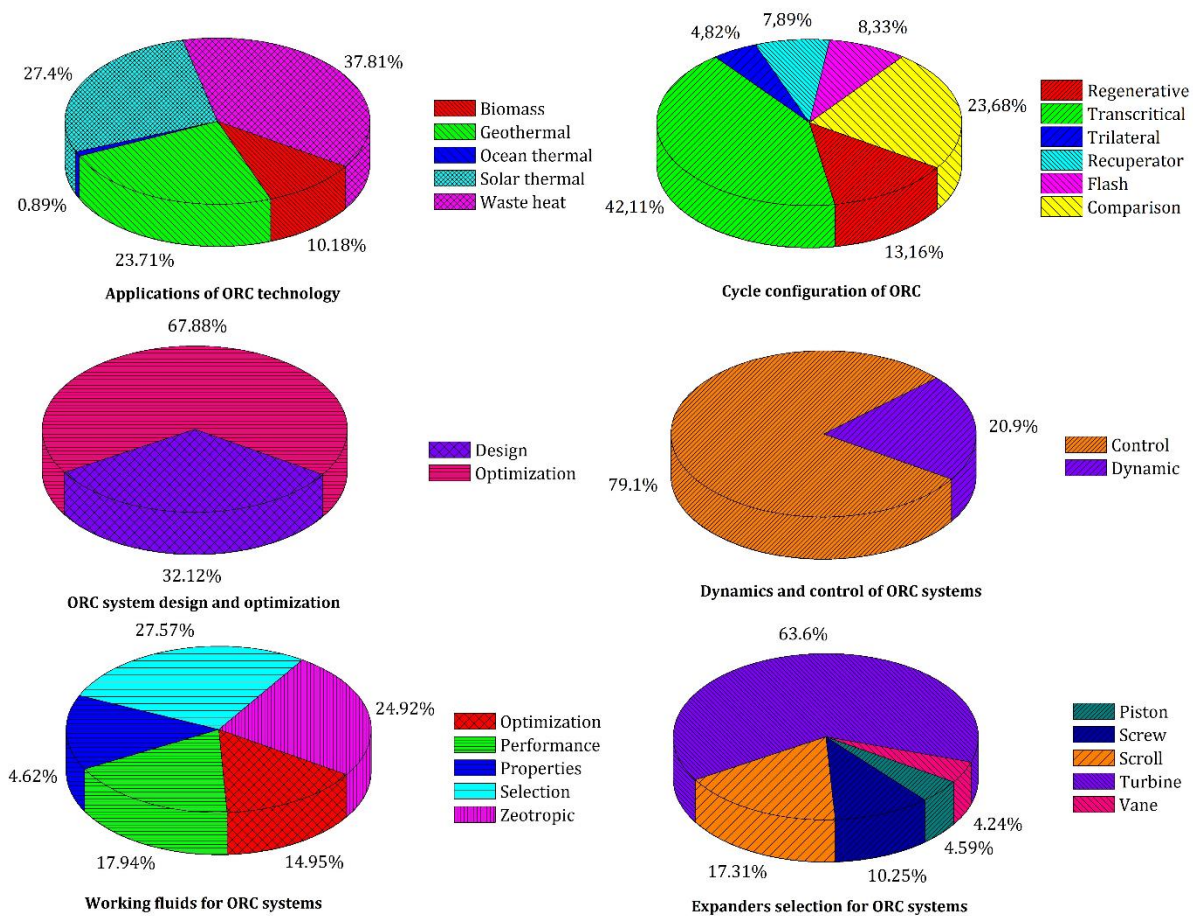
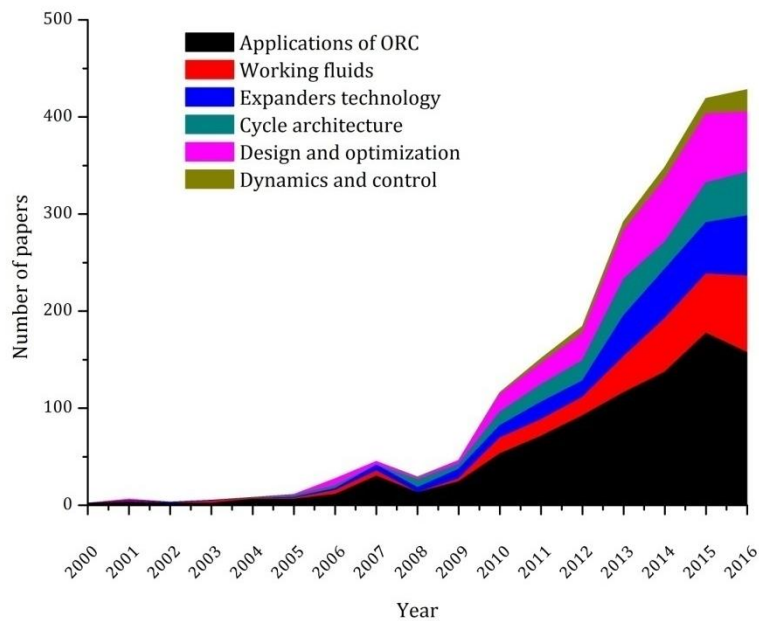


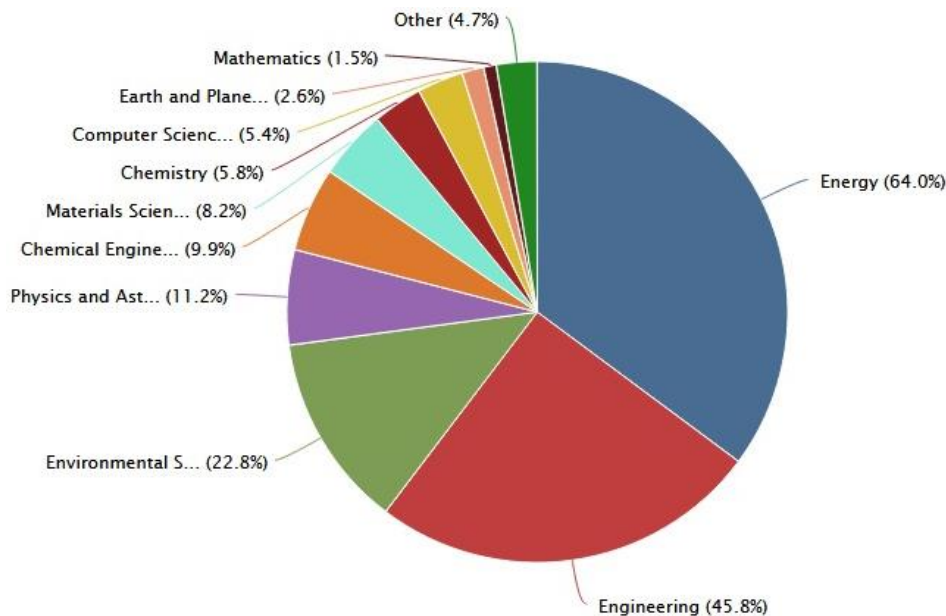
Figure 7: Classification of the core research areas of the ORC technology and their relative distribution

1 The number of publications in the core research areas of the ORC has increased significantly  
 2 from 2008 to 2016. The timeline of the core research areas and the number of the publications  
 3 are shown in Figure 8.



4  
 5 Figure 8: Core research areas and publication timeline

6 During the search in Scopus, the subject areas are restricted to physical sciences and social  
 7 sciences only. Figure 9 depicts the research publications in the ORC field according to subject  
 8 categories.

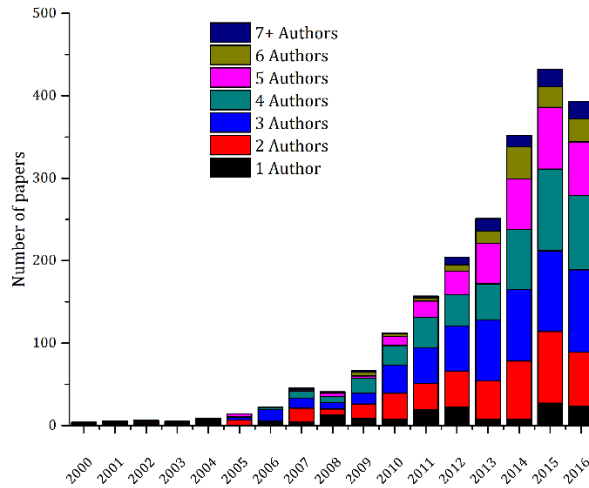


9  
 10 Figure 9: Publication distribution according to subjects classified by Scopus

11 The research work in the ORC field mainly falls into engineering, energy, and environmental  
 12 studies. It should be noted that one publication may be listed in more than one subject category.  
 13 Moreover, it can be noted that only 11 % of the total ORC publications are based on  
 14 experimental data or experimental verification.

### 1 3.5 Authorship pattern

2 The authorship results suggest that these 2120 articles were published by 3443 authors from  
 3 71 countries. Statistical analysis indicates that 321 authors are productive authors, that is,  
 4 authors who have published five or more papers. Productive authors account for 13.2 % of the  
 5 total authors, and their contribution in total ORC publications is about 21.7 %. The authorship  
 6 pattern is shown in Figure 10.



7  
8 Figure 10: Authorship pattern in the field of ORC publications from 2000 to 2016

9 The authorship pattern was also analyzed on the basis of the number of authors per publication.  
 10 It can be seen from Figure 10 that papers with three authors in a paper make up 25 % of the  
 11 total ORC publications. The result of the authorship pattern indicates that the number of papers  
 12 with two, three, and four authors, makes up the maximum number of papers, accounting for  
 13 67.2 % of the total number of ORC publications. The 10 most productive authors in the ORC  
 14 technology field are listed in descending order of total number of publications in Table 4.

15 Table 4: Top 10 authors in the ORC technology field during 2000-2016

Author	Institute	TP	CT	IF	CPP	IFPP	h-index	HA	HACT
Dincer I.	University of Ontario Institute of Technology, Canada	38	1336	146	35	3.84	21	13	919
Quoilin S.	University of Liege, Belgium	36	1725	71	48	1.97	12	8	1563
Lemort V.	University of Liege, Belgium	35	1705	68	49	1.94	12	8	1563
Haglund F.	Technical University of Denmark, Denmark	31	322	79	10	2.55	10	2	126
Tian H.	Tianjin University, China	28	532	101	19	3.61	11	5	338
Shu G.	Tianjin University, China	28	532	101	19	3.61	11	5	338
Dai Y.	Xi'an Jiaotong University, China	25	1124	96	45	3.84	13	6	846
Colonna P.	Delft University of Technology, Netherlands	24	489	32	20	1.33	14	1	60
Wang J.	Xi'an Jiaotong University, China	23	1087	84	47	3.65	13	6	848
Papadakis G.	Agricultural University of Athens, Greece	22	1524	92	69	4.18	16	8	1203

1 The most productive author is Ibrahim Dincer, also named one of the most influential scientific  
 2 minds in 2014 in Engineering by Thomson Reuters due to his top 1 % of total citations in the  
 3 field of energy. The generalized statistics show that out of the top 10 authors, five are from  
 4 Europe and four are from China. The influence of authors has been investigated on the basis of  
 5 total number of publications (TP), citations (CT), impact factor (IF), citation per publication  
 6 (CPP), impact factor per publication (IFPP), h-index, hot articles (HA), and hot article citations  
 7 (HACT). The highest citation per publication is 69 by Papadakis G., the highest impact factor per  
 8 publication is 4.18 by Papadakis G., and the highest number of hot articles are written by Dincer  
 9 I. totaling 13. Lemort V. and Quoilin S. have the highest number of total citations, 1725 citations  
 10 from 36 publications.

### 11 3.6 Institutional statistics

12 The result shows that the publications are distributed among 997 research institutes across the  
 13 world. The number of productive institutes are 159, and these account for 82.57 % of the ORC  
 14 publications. The details of the leading research institutes are shown in Table 5 (listed in  
 15 descending order of total number of publications). These research institutes have published  
 16 31.58 % of the total ORC publications from 2000 to 2016.

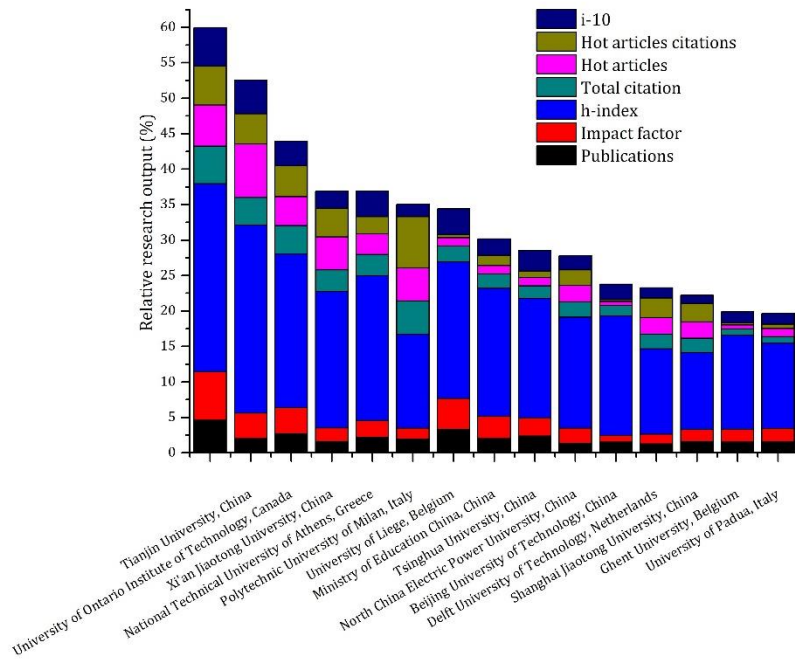
17 Table 5: Most productive institutes in the ORC technology field from 2000 to 2016

Institute	Key indicators				Relative performance (%)			
	Papers	IF	h-index	TC	Papers	IF	h-index	TC
Tianjin University, China	98	313	22	1943	4.6	6.8	26.5	5.3
Ministry of Education China, China	70	200	16	817	3.3	4.4	19.3	2.2
Xi'an Jiaotong University, China	57	169	18	1466	2.7	3.7	21.7	4.0
North China Electric Power University, China	50	117	14	656	2.4	2.5	16.9	1.8
Polytechnic University of Milan, Italy	47	106	17	1093	2.2	2.3	20.5	3.0
University of Ontario Institute of Technology, Canada	43	165	22	1430	2.0	3.6	26.5	3.9
Tsinghua University, China	43	143	15	743	2.0	3.1	18.1	2.0
University of Liege, Belgium	41	70	11	1726	1.9	1.5	13.3	4.7
Gent University, Belgium	34	77	9	741	1.6	1.7	10.8	2.0
National Technical University of Athens, Greece	34	87	16	1117	1.6	1.9	19.3	3.0
Technical University of Denmark, Denmark	33	85	10	336	1.6	1.9	12.0	0.9
University of Padua, Italy	32	82	11	329	1.5	1.8	13.3	0.9
Delft University of Technology, Netherlands	32	43	14	528	1.5	0.9	16.9	1.4
Beijing University of Technology, China	28	99	13	786	1.3	2.2	15.7	2.1
Shanghai Jiaotong University, China	27	63	10	749	1.3	1.4	12.0	2.0

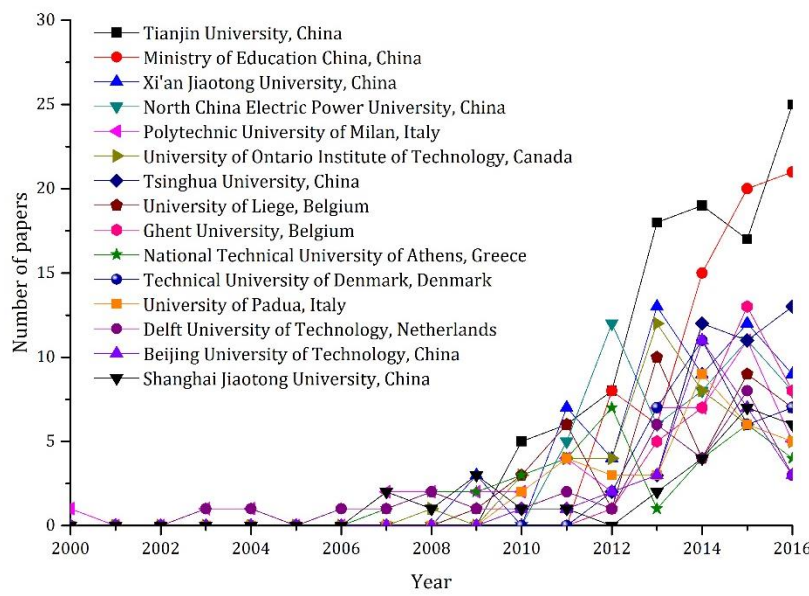
18 For each publication, all the affiliated institutes were considered for the analysis. Among the top  
 19 ten research institutes, five institutes are from China, two from Belgium, and one research  
 20 institute each from Canada, Italy, Denmark, and Greece.



1 The research output of these institutes relative to the total ORC publications from 2000-2016 is  
 2 shown in Figure 11.



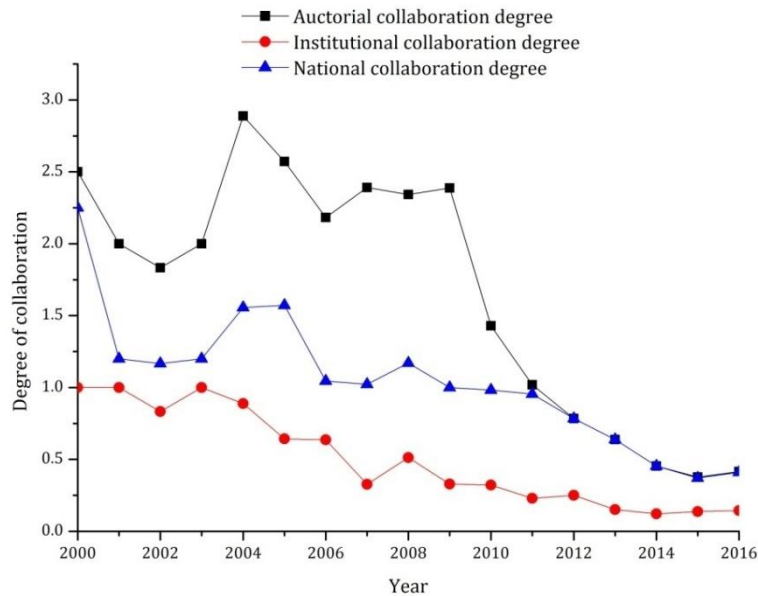
3  
 4 Figure 11: Relative research output of the leading research institutes in the ORC technology field  
 5 The relative research output of these institutes shows that the share of hot articles and hot  
 6 article citations of these institutes to the total ORC publications is about 42 % and 39 %,  
 7 respectively. The National Technical University of Athens is at number 10 with 34 as their  
 8 number of publications, but it stands at number four when all the research indicators are taken  
 9 into account. The timeline of publications of these research institutes is shown in Figure 12.



10  
 11 Figure 12: Timeline of ORC publications of the world's leading research institutes

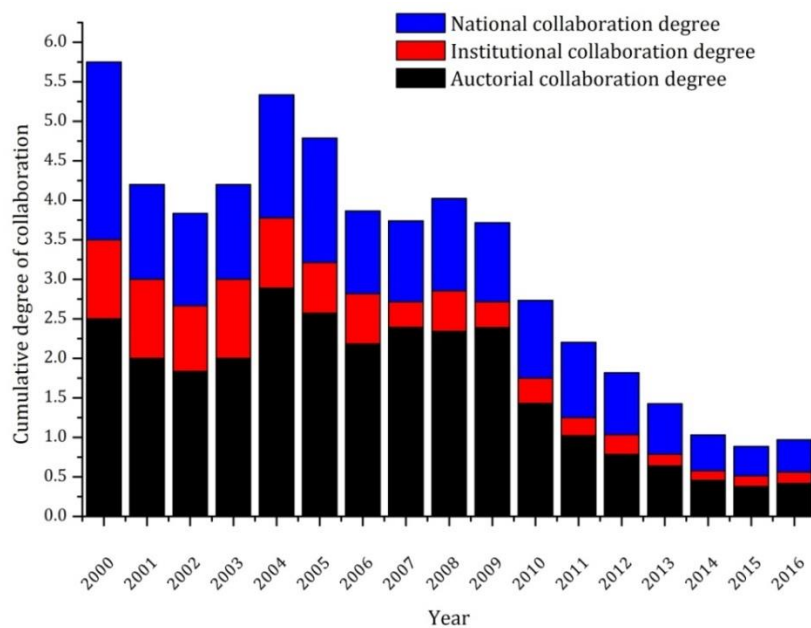
1 **3.7 Academic collaboration**

2 The level of degree of collaboration, as quantified in Equations (1) to (3), is shown in Figure 13.  
 3 From 2000 to 2016 there are decreasing trends in collaboration according to the auctorial, the  
 4 institutional and the national degree of collaboration indicators.



5  
 6 Figure 13: Auctorial, institutional and national collaboration degrees

7 It may be observed that the auctorial degree has decreased from 2.5 in 2000 to 0.5 in 2016, the  
 8 institutional degree has decreased from 2.4 in 2000 to 0.5 in 2016, and the national degree has  
 9 decreased from 1.0 in 2000 to 0.25 in 2016. The average degree of collaboration (sum of  
 10 auctorial, institutional and national collaboration degrees) is shown in Figure 14. The results  
 11 suggest that, on average, from 2000 to 2016, 1.6 authors, 1 institute, and 0.5 country have  
 12 participated in each publication. The lower values of the collaboration degree show that the  
 13 ORC publications are concentrated to only a few countries and institutes.



14  
 15 Figure 14: Timeline of average degree of collaboration in the ORC technology field from 2000 to 2016



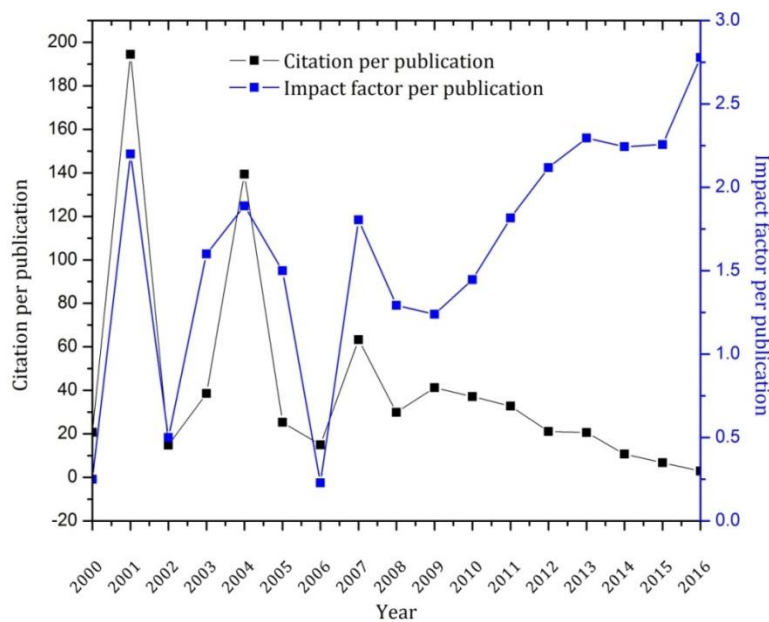
### 1 3.8 Article citation

2 The citation pattern was investigated in terms of the hot articles, i-10, and h-index from 2000 to  
 3 2016. The timeline of the citation pattern of the ORC publications is shown in Table 6.

4 Table 6: Publication statistics of ORC publications from 2000 to 2016

Year	Publications			Total		Hot article		Quality	
	Cited	Un-cited	Total	Citation	IF	No.	Citation	i-10	h-index
2016	256	137	393	1132	1092	0	0	27	13
2015	330	102	432	2898	975	0	0	102	23
2014	284	68	352	3772	790	9	512	135	32
2013	205	46	251	5162	576	29	2521	120	40
2012	166	38	204	4304	432	28	2413	94	36
2011	133	24	157	5141	285	34	3999	68	39
2010	87	25	112	4157	162	22	3438	44	30
2009	54	13	67	2759	83	16	2334	27	21
2008	38	3	41	1227	53	7	880	20	15
2007	35	11	46	2912	83	10	2431	26	20
2006	15	7	22	329	5	1	214	6	7
2005	12	2	14	355	21	4	266	8	8
2004	9	0	9	1254	17	3	1146	6	6
2003	3	2	5	193	8	1	137	2	3
2002	5	1	6	89	3	1	54	3	4
2001	5	0	5	972	11	4	970	4	4
2000	2	2	4	83	1	1	50	2	2

5 The result suggests that the number of publications and corresponding citations has an  
 6 increasing trend, and the highest values of hot articles, i-10, and h-index can be observed  
 7 from 2010 to 2013. The highest citation counts are 5141 in the year 2011. Since the number of  
 8 citable documents is increasing, it is expected that the number of citations will have an  
 9 increasing trend from 2013 and onwards as well. The number of citations per publication (CPP)  
 10 is an effective tool to evaluate the productivity of the research. The number of citations per  
 11 publication and the impact factor per publication from 2000 to 2016 are shown in Figure 15.



12

13

Figure 15: Timeline of citations per publication from 2000 to 2016

1 It can be observed that the CPP has overall a decreasing trend with the highest value of 194 in  
 2 2003. From 2009 to 2016, a decreasing trend indicates the number of published papers has  
 3 increased significantly, and most of these articles do not have even a single citation. The un-  
 4 cited documents have increased from 13 in 2009 to 137 in 2016. However, the impact factor per  
 5 publication has an increasing trend from 2008 onwards. The impact factor per publication has  
 6 increased from 1.4 in 2010 to 2.8 in 2016.

7 The 15 most cited articles are listed in Table 7. These highly cited articles account for 16.43 % of  
 8 the total number of citations. Out of these 15 highly cited articles, three originate from the USA,  
 9 three from China, five from Europe, and two from Taiwan. These 15 highly cited articles are  
 10 published with Elsevier in its leading journals in the field of energy. Out of these 15 highly cited  
 11 articles, four papers are published in Applied Thermal Engineering, four in Energy, three in  
 12 Energy Conversion and Management, and three in Renewable and Sustainable Energy Reviews.

13 Table 7: The 15 most cited articles in the ORC technology field from 2000 to 2016

Author	Country	Year	Total Citations	Relative Citations (%)	Journal
Saleh B. [43]	Austria	2007	711	1.94	Energy
Chen H. [13]	United States	2010	490	1.33	Renew Sust Energ Rev
Liu B.-T. [44]	Taiwan	2004	467	1.27	Energy
Madhawa H.D. [45]	United States	2007	453	1.23	Energy
Mills D. [46]	Australia	2004	437	1.19	Solar Energy
Dai Y. [47]	China	2009	399	1.09	Energ Convers Manage
Hung T.C. [48]	Taiwan	2001	385	1.05	Energ Convers Manage
Tchanche B.F. [6]	Greece	2011	375	1.02	Renew Sust Energ Rev
Drescher U. [49]	Germany	2007	372	1.01	Appl Therm Eng
Tchanche B.F. [50]	Greece	2009	362	0.99	Appl Therm Eng
Wei D. [51]	China	2007	338	0.92	Energ Convers Manage
Yamamoto T. [52]	Japan	2001	338	0.92	Energy
S. Quoilin [3]	Belgium	2013	306	0.83	Renew Sust Energ Rev
Shengjun Z. [53]	China	2011	301	0.82	Applied Energy
Mago P.J. [54]	United States	2008	300	0.82	Appl Therm Eng

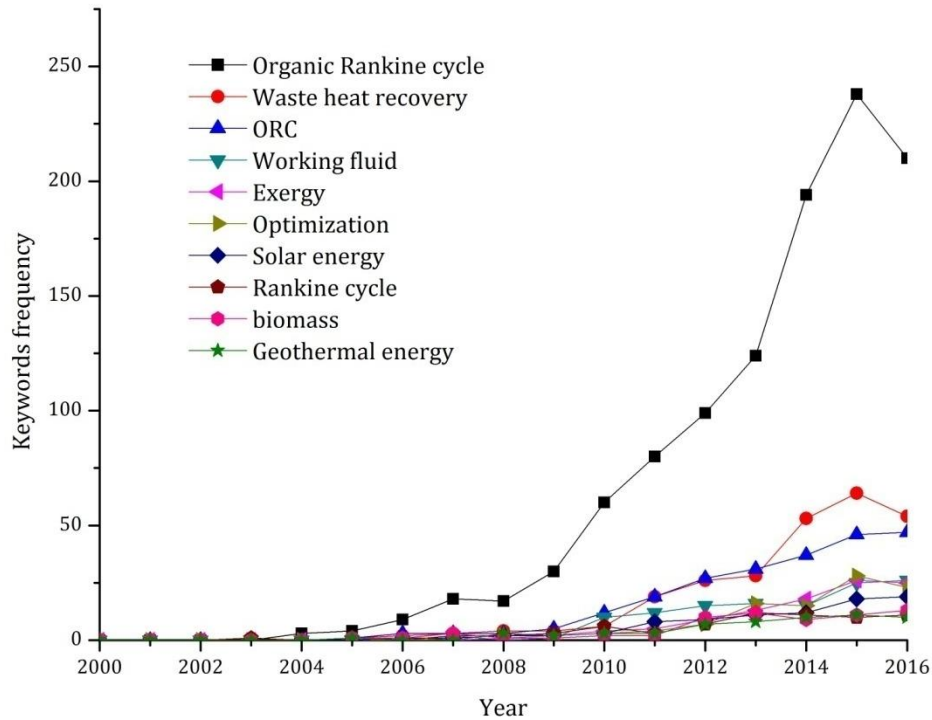
### 14 3.9 Research hotspots

15 The most frequently used keywords among the ORC publications were also analyzed. The  
 16 frequency of the keywords is shown in Table 8.

17 Table 8: Frequency of keywords in the ORC publications from 2000 to 2016

Rank	Words	Frequency	Rank	Words	Frequency
1	Organic Rankine Cycle	1086	11	Biomass	58
2	Waste Heat Recovery	264	12	Geothermal energy	56
3	ORC	234	13	Efficiency	53
4	Working fluid	122	14	Scroll Expander	52
5	Exergy	103	15	Waste heat	50
6	Optimization	101	16	Geothermal	46
7	Solar Energy	86	17	Heat recovery	46
8	Energy efficiency	69	18	Thermal efficiency	46
9	Rankine cycle	66	19	Zeotropic mixtures	45
10	Exergy analysis	60	20	Power Generation	44

1 Around 2864 keywords have been used in total, and the keyword “Organic Rankine Cycle”  
 2 accounts for 38 % of occurrences of keywords. The most commonly used keyword mostly  
 3 focused on the application of ORC technology, such as waste heat recovery, solar, biomass,  
 4 geothermal, etc. The timeline of the frequency of keywords is shown in Figure 16.



5  
 6 Figure 16: Timeline of keywords frequency from 2000 to 2016

#### 7 4. Concluding remarks

8 From 2000 to 2016, 2120 articles were published in the ORC technology field by Scopus indexed  
 9 journals and conference proceedings by 3443 authors from 997 research institutes originating  
 10 from 71 countries. The total impact factor and citations of ORC publications amount to 4597 and  
 11 36739, respectively. The publications originate mainly from China, the USA, and Europe. The top  
 12 10 leading countries and research institutes account for 65.8 % and 24.4 % of the total ORC  
 13 publications, respectively. From 2000 to 2016, 1.5 authors, 1.5 institutes, and 0.5 country have  
 14 participated on average in each publication, indicating that ORC research is concentrated to few  
 15 countries and institutes. The most productive journal is Energy with 262 publications; the  
 16 highest cited article [43] is also published in Energy and it accounts for 2 % of the total citations.  
 17 The most productive author is Ibrahim Dincer, who has 38 papers with 35 citations per  
 18 publication and an impact factor per publication of 3.8; the most productive institution is  
 19 Tianjin University China with 98 publications. According to the analysis of keyword frequency,  
 20 2864 keywords have been used in 2120 publications, and the keyword “Organic Rankine Cycle”  
 21 accounts for 38 % of total keywords. The research work in the ORC field can be broadly  
 22 classified into six major categories, which are applications of ORC technology, working fluids,  
 23 expansion machines, cycle architecture, design and optimization, and dynamics and control of  
 24 ORC systems. The largest share of publications, 42 %, deals with the application of ORC  
 25 technology, while dynamic modeling and control of ORC systems have the lowest share of  
 26 publications.

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