Program Announcement

Department of Defense (DOD) Prostate Cancer Research Program (PCRP)

Funding Opportunity Number: W81XWH-07-PCRP-STP

Collaborative Undergraduate HBCU Student Summer Training Program Award

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I. HELP LINE INFORMATION

A. Agency Name

US Army Medical Research and Materiel Command (USAMRMC), Office of the Congressionally Directed Medical Research Programs (CDMRP), 1077 Patchel Street, Fort Detrick, Maryland 21702-5024.

B. Agency Contact(s)

1. Program announcement, proposal format, or required documentation: Principal Investigators (PIs) and Authorized Organizational Representatives (AORs) should submit questions as early as possible. Every effort will be made to answer questions within 5 working days.

 Phone:
 301-619-7079

 Fax:
 301-619-7792

 Email:
 cdmrp.pa@amedd.army.mil

2. eReceipt system: A help line for questions relating to the submission of pre-application components through the CDMRP eReceipt system is available Monday through Friday from 8:00 a.m. to 5:00 p.m. Eastern time at 301-682-5507. Help also is available on the CDMRP website or by email as follows:

Website:https://cdmrp.orgEmail:help@cdmrp.org

3. Grants.gov: Issues in submitting applications through the <u>Grants.gov</u> (<u>http://www.grants.gov/</u>) portal should be directed to Grants.gov at 800-518-4726 or email <u>support@grants.gov</u>. The Grants.gov hours of operation are Monday through Friday, 7:00 a.m. to 9:00 p.m. Eastern time. Deadlines for proposal submission are set at 11:59 p.m. Eastern Time on the deadline date. Therefore, there is an approximate 3-hour period during which the Grants.gov Help Desk will NOT be available to assist with Grants.gov submissions. Please plan ahead accordingly, as the CDMRP Help Desk is not able to answer questions about Grants.gov submissions.

Grants.gov will only notify PIs of changes made to this Program Announcement and/or Application Package if the PI clicks on the "send me change notification emails" link and subscribes to the mailing list on the Opportunity Synopsis Page for this announcement. Please note that if the PI does not subscribe and the Application Package is updated or changed, the original version of the Application Package may not be accepted.

C. Anticipated Instrument Type(s)

The USAMRMC implements its extramural research program predominantly through the award of grants and cooperative agreements. More information on these funding instruments may be obtained by request via:

Fax:301-619-2937Email:qa.baa@amedd.army.mil

D. Catalog of Federal Domestic Assistance (CFDA) Number 12.420

Military Medical Research and Development.

E. Commonly Made Mistakes

- Pre-application submission is not completed before the mandatory pre-application deadline (pre-application remains in draft status).
- Failure to request updates on any modifications made to the application package.
- Incorrect application package or award mechanism is used to submit a proposal through Grants.gov.
- Attachments are uploaded into the incorrect form on Grants.gov.
- Files are attached in the wrong location on Grants.gov forms.
- Attachments are not PDF documents.
- Page limitations are exceeded.

II. FUNDING OPPORTUNITY DESCRIPTION

Funding of proposals received in response to this program announcement is contingent on the availability of Federal funds appropriated in a bill for this program.

A. Award Description

The Prostate Cancer Research Program (PCRP) Collaborative Undergraduate HBCU Student Summer Training Program Award supports the training of the next generation of prostate cancer researchers. This award funds new or existing summer prostate cancer training programs for undergraduate students from Historically Black Colleges and Universities (HBCU) at institutions with ongoing prostate cancer research. This award also requires collaboration with faculty advisors from the undergraduate trainees' home HBCU.

The PI's institution, which may be another HBCU, must have a record of achievement in prostate cancer research and in research training. A goal of this award is to establish or strengthen collaborations between the PI's institution and the undergraduate trainees' HBCU, which will lead to recruitment of talented trainees from the HBCU to the PI's institutional prostate cancer training program. It is expected that these awards will provide educational and training opportunities for undergraduate HBCU students at an important career decision-making point. When the PI's institution is an HBCU, the undergraduate trainees must be recruited from a different HBCU.

Proposals for this award should address the following key aspects for the proposed prostate cancer undergraduate training program:

- the qualifications of the Program Director (mentor; designated as the PI), other program faculty and staff who will serve as collaborating mentors, and their experiences in training undergraduate students;
- the proposed training program for the undergraduate HBCU trainees at the PI's institution;
- the plans for recruitment of undergraduate HBCU trainees and for continued interaction of the PI with the trainees beyond the summer internship period;
- the qualifications and the role of the faculty advisor at the trainees' HBCU.

Proposals must enroll a minimum of four undergraduate HBCU trainees per year. Trainees must spend 8 to 12 weeks during the summer participating in the program at the PI's institution. The trainees in this program can be named or designated "to be named" (TBN) at the time of proposal submission; however, the criteria for selection of the trainees at the HBCU must be detailed within the body of the proposal.

When a proposal includes multiple mentors, a single individual must be clearly designated as the PI. The mentor(s) must show a commitment to the undergraduate trainees' HBCU through a plan to provide additional mentoring opportunities to participating trainees at the institution beyond the summer internship period. A faculty advisor at the trainees' HBCU must be named

and his or her qualifications provided with the proposal. This faculty advisor will be responsible for working with the PI to coordinate trainee activities during both the summer training program and throughout the corresponding academic year.

B. Eligibility

PIs must be independent investigators at or above the level of Assistant Professor (or equivalent) and have a proven record in prostate cancer research and training. Additional information about individual and institutional eligibility may be found in <u>Appendix 1</u>.

C. Funding

Funding for a Collaborative Undergraduate HBCU Student Summer Training Program Award can be requested for up to \$185,000 for direct costs for up to a 3 year performance period plus indirect costs as appropriate.

Indirect costs will not exceed 8% of the direct costs for these proposals. Funds from this award cannot be used to support research with animals, human subjects, or human biological substances.

Funds can cover:

- \$5,000 stipend per undergraduate HBCU trainee per summer
- Up to \$25,000 per year for administrative costs for speakers, trainee tracking, and other administrative expenses
- Tuition
- Health insurance
- Faculty salaries at both the PI's and trainees' institutions
- \$1,800 for the PI to travel to scientific/technical meetings
- Faculty travel between participating institutions

In addition, funds must be allocated for PI, HBCU faculty advisor, and trainee travel to the next PCRP IMPaCT meeting (tentatively scheduled for 2010).

The nature of the PCRP does not allow for renewal of grants or supplementation of existing grants. Projects requiring lower levels of funding may also be submitted.

The CDMRP expects to allot approximately \$0.7 million (M) of the \$80M Fiscal Year 2007 (FY07) PCRP appropriation to fund approximately 3 Collaborative Undergraduate HBCU Student Summer Training Program Awards, depending on the quality and number of proposals received.

D. Award Administration

A change in PI is not allowed for the Collaborative Undergraduate HBCU Student Summer Training Program Award mechanism.

E. Submission and Review Timeline

Proposal submission is a two-step process consisting of (1) pre-application submission and (2) proposal submission.

٠	Pre-application Submission Deadline:	5:00 p.m. Eastern time, April 26, 2007			
•	Proposal Submission Deadline:	11:59 p.m. Eastern time, May 16, 2007			
•	Peer Review:	July 2007			
•	Programmatic Review:	October 2007			

Awards will be made approximately 4 to 6 months after receiving the funding notification letter, but no later than September 30, 2008.

III. PROGRAM HISTORY AND OBJECTIVES

The PCRP was established in FY97 to promote innovative research focused on eradicating prostate cancer. Appropriations for the PCRP from FY97 through FY06 totaled \$730M. During this time, 16 Collaborative Undergraduate HBCU Student Summer Training Program Award proposals have been received and 11 have been recommended for funding. The FY07 appropriation is \$80M.

The overall goal of the FY07 PCRP is to find and fund innovative, high-impact research that seeks to (1) prevent prostate cancer, (2) detect and diagnose prostate cancer in its earliest stages of development, and (3) treat prostate cancer.

The FY07 PCRP is focusing on the following areas of programmatic interest:

- Animal Models
- Basic Biology of the Prostate
- Biomarkers
- Bone Metastases
- Cancer Stem Cells
- Correlative Science
- Clinical Endpoints
- Development of New Products
- Dietary/Environmental Factors

- Genomics
- Health Disparity*
- Imaging
- Immunology
- Inflammation
- Metabolomics
- Proteomics
- Quality of Life
- Targets (e.g. Androgen Receptor)

*Appropriate disparity research areas include, but are not limited to, race and ethnicity, socioeconomic status, access to health care, insurance status, age, geography, and cultural beliefs. PIs submitting health disparity-based research proposals should have or be part of a team that has experience in prostate cancer research and, if applicable, a connection to, or effectiveness in working with, an affected population or community.

IV. SUBMISSION PROCESS STEP 1: PRE-APPLICATION SUBMISSION

Proposal submission is a two-step process, consisting of (1) a pre-application submission through the <u>CDMRP eReceipt system (https://cdmrp.org/)</u> and (2) a proposal submission through <u>Grants.gov (http://www.grants.gov/)</u>. This section describes the process for pre-application submission. For proposal submission, see <u>Section V</u>. *Proposal submission will not be accepted unless a pre-application was previously submitted*. The PI and Organization identified in the proposal submitted through Grants.gov should be the same as those identified in the preapplication. If there is a change in PI or Organization after submission of the pre-application, please contact the eReceipt helpdesk at <u>help@cdmrp.org</u> or 301-682-5507.

For assistance, please see Help Line Information (Section I).

A. Pre-application Components and Submission

The pre-application for a Collaborative Undergraduate HBCU Student Summer Training Program Award consists of a Letter of Intent (LOI) Narrative and the other components discussed below. This subsection provides a summary of the pre-application submission requirements.

All pre-application components for the PCRP Collaborative Undergraduate HBCU Student Summer Training Program Award mechanism, including the LOI Narrative, must be submitted electronically through the <u>CDMRP eReceipt system</u> by the *5:00 p.m. Eastern time, April 26, 2007 deadline.* Material submitted after the pre-application submission deadline, unless specifically requested by the Government, will not be forwarded for processing. Failure to meet this deadline shall result in pre-application rejection and subsequent proposal rejection.

1. Proposal Information: PIs must enter the Proposal Information as described in the <u>CDMRP eReceipt system</u> before uploading the LOI Narrative.

2. Proposal Contacts: Enter contact information for the PI.

3. Collaborators and Conflicts of Interest (COI): To avoid COI during the screening and review processes, list the names of all scientific participants in the proposed research project including collaborators, consultants, and subawardees. Add all individuals outside of the proposal who may have a conflict of interest in the review of this proposal and choose "COI" from the drop-down list to indicate a conflict of interest. Inclusion of FY07 PCRP Integration Panel (IP) members in any capacity in the proposal, budget, or any supporting document is considered a conflict of interest and will result in administrative withdrawal of the proposal. A list of the FY07 PCRP IP members may be found at http://cdmrp.army.mil/pcrp/panel07

4. LOI Narrative: The LOI Narrative has a *one-page limit* inclusive of figures, tables, graphs, photographs, diagrams, chemical structures, pictures, pictorials, and cartoons. The LOI Narrative should be a brief description of the research to be conducted.

5. Formatting Guidelines and Submission: The LOI Narrative must be a PDF file, in accordance with the <u>formatting guidelines</u>, and uploaded under the "Required Files" tab of the <u>CDMRP eReceipt system</u>.

6. PI's Responsibility: The PI is responsible for uploading the LOI Narrative (one-page limit) as a PDF file under the "Required Files" tab of the <u>CDMRP eReceipt system</u>.

The electronic PDF file uploaded in the CDMRP eReceipt system is the official preapplication submission file. After conversion of word processing documents to PDF files and before electronic submission, PIs should review their files to ensure that the preapplication complies with the <u>formatting guidelines</u>.

Once the PI has completed the pre-application submission process, the eReceipt system will generate a pre-application file. The PI should download the pre-application file (in XML format) and attach it to form SF424 in Block 20 (pre-application) as part of the proposal submission through Grants.gov. Do not convert this file. *After submitting the pre-application, do not delay in submitting the proposal.*

7. AOR Approval: The pre-application submission does not require approval by the AOR before submission. Please see <u>Appendix 2</u> for the definition of an AOR.

B. LOI Narrative Review

The LOI will be administratively reviewed prior to peer review; it will not be reviewed during peer and programmatic reviews.

V. SUBMISSION PROCESS STEP 2: PROPOSAL SUBMISSION

This section describes the process for submission of a proposal, once a pre-application has been submitted. Proposals must be submitted electronically by the AOR through Grants.gov (www.grants.gov). No paper copies will be accepted.

Proposal submission will not be accepted unless a pre-application was previously submitted. The PI and Organization identified in the proposal submitted through Grants.gov should be the same as those identified in the pre-application. If there is a change in PI or Organization after submission of the pre-application, please contact the eReceipt helpdesk at <u>help@cdmrp.org</u> or 301-682-5507.

For complete information regarding forms and submission components, as well as general proposal preparation and submission instructions, please see <u>Appendix 3</u>.

Please note, submission of a proposal requires institutional registration with the Central Contractor Registry (CCR), which requires a Data Universal Number System (DUNS) number, Tax Identification Number (TIN) or Employer Identification Number (EIN), and a Commercial and Government Entity (CAGE) code and must be completed well in advance of Grants.gov registration and proposal submission. Please note that CCR registrations have expirations. Plan accordingly and allow several weeks for these registration processes. Grants.gov will not allow proposals to be submitted unless all of the registration steps have been completed.

A. Proposal Components Summary

Each proposal submission must include the completed Grants.gov application package of forms and attachments identified in <u>www.grants.gov</u> for the US Army Medical Research Acquisition Activity (USAMRAA) program announcement. The package includes:

1. SF-424 (R&R) Application for Federal Assistance Form

• Pre-application file downloaded from the CDMRP eReceipt system

2. Attachments Form

- Attachment 1: Project Narrative (10-page limit)
- Attachment 2: Supporting Documentation
 - o References Cited and Acronyms and Symbol Definitions
 - Facilities & Other Resources
 - Description of Existing Equipment
 - Publications and/or Patent Abstracts
 - Letters of Institutional Support
 - Letters of Collaboration
- Attachment 3: Public Abstract

- Attachment 4: Statement of Work (SOW)
- Attachment 5: Impact Statement
- Attachment 6: Federal Agency Financial Plan (if applicable)
- 3. Research & Related Senior/Key Person Profile (Expanded Form)
 - PI Biographical Sketch (four-page limit)
 - PI Current/Pending Support
 - Key Personnel Biographical Sketches (four-page limit each)
 - Key Personnel Current/Pending Support
- 4. Research & Related Budget Form
 - Budget Justification
- 5. Research & Related Project/Performance Site Location(s) Form
- 6. R&R Subaward Budget Attachment(s) Form (if applicable)

Grants.gov will only notify PIs of changes made to this Program Announcement and/or Application Package if the PI clicks on the "send me change notification emails" link and subscribes to the mailing list on the Opportunity Synopsis Page for this announcement. Please note that if the PI does not subscribe and the Application Package is updated or changed, the original version of the Application Package may not be accepted.

VI. PROPOSAL REVIEW INFORMATION

A. Proposal Review and Selection Overview

All proposals are evaluated by scientists, clinicians, and consumer advocates using a two-tier review process. The first tier is a scientific peer review of proposals against established criteria for determining scientific merit. The second tier is a programmatic review that compares submissions to each other and recommends proposals for funding based on scientific merit and overall goals of the program. Additional information about the two-tier review process used by the CDMRP may be found at http://cdmrp.army.mil/fundingprocess

The Government reserves the right to review all proposals based on one or more of the required attachments or supporting documentation (e.g., Impact Statement).

B. Review Criteria

1. Peer Review: All proposals will be evaluated according to the following criteria. Of these, Collaborative Training Program, Program Director and Training Staff, and Impact are the most important.

• Collaborative Training Program

- How the number of undergraduate HBCU trainees is appropriate for the available faculty and resources.
- How the training program offers a structured, focused experience in prostate cancer research.
- How the training program ensures direct, structured interaction between mentor(s) and trainees.
- How plans have been developed to provide trainees with a stimulating, problemsolving research experience.
- How the training program provides opportunities for trainees to summarize and present their work and interact with other program mentors outside the laboratory in which they are working during the summer program.
- How the training program is likely to train and encourage candidates to pursue careers in prostate cancer research.
- How the training program provides opportunities for trainees to interact with the program mentors beyond the timeframe of the summer internship period.
- The quality of the plan to track the trainees' future careers and the effectiveness of the program for initiating careers in prostate cancer research.

• Program Director and Training Staff

- How the PI and program staff are trained and well-suited to lead and successfully manage this training program.
- The appropriateness of the mentors' research interests and records of past

experience in training and mentoring undergraduates.

- Whether former trainees (if applicable) have gone on to pursue careers in prostate cancer research.
- Whether participating mentors have sufficient research resources to ensure adequate guidance for the number of trainees.
- How the PI and mentors demonstrate a genuine commitment to training undergraduate HBCU students in prostate cancer research.
- Whether the PI meets the appropriate eligibility requirements (at or above the level of the Assistant Professor [or equivalent] with a proven record in prostate cancer research).

• HBCU Faculty Advisor

- How the qualifications and experience of the HBCU faculty advisor are appropriate to facilitate recruitment and retention of undergraduate HBCU trainees and to support post-summer training and mentoring.
- The appropriateness of the trainees' HBCU faculty advisor's role.

• Trainee Recruitment

- The appropriateness of the trainee recruitment methods and selection criteria.
- Whether the recruitment methods are likely to attract trainees with a high likelihood of pursuing a career in prostate cancer research.

• Impact

- The impact of the proposed training on the undergraduate HBCU students, including their scientific and technical education and future careers.
- The impact of the proposed training on the trainees' and the PI's institutions.

• Environment

- The potential for the PI's institution to develop productive collaborations with the trainees' HBCU.
- Whether there is evidence of a strong institutional commitment by the PI's and the trainees' institutions to undergraduate research training in prostate cancer.
- How the environment facilitates interaction among mentors and trainees during the summer and during the academic year.
- How the laboratory facilities, equipment, and other relevant resources are appropriate to support these training activities.
- How the collaborating institutions and the PI's institution propose to sustain an interactive, ongoing partnership.

• Budget

• How the budget is appropriate for the proposed work.

2. Programmatic Review: Criteria used by the IP to make funding recommendations that maintain the program's broad portfolio include:

- Ratings and evaluations of the peer reviewers (scientific and consumer),
- Programmatic relevance,
- Relative impact,
- Program portfolio balance, and
- Adherence to the intent of the award mechanism.

Scientifically sound proposals that best fulfill the above criteria and most effectively address the unique focus and goals of the program will be selected by the IP and recommended for funding to the Commanding General, USAMRMC.

VII. COMPLIANCE GUIDELINES

Compliance guidelines have been designed to ensure the presentation of all proposals in an organized and easy-to-follow manner. Peer reviewers expect to see a consistent, prescribed format for each proposal. *Failure to adhere to formatting guidelines* (<u>Appendix 4</u>) makes proposals difficult to read, may be perceived as an attempt to gain an unfair competitive advantage, and may result in proposal rejection.

The following will result in administrative rejection of the entire proposal:

- All attached files are not in PDF, except for the pre-application file.
- Project Narrative exceeds page limit.
- Project Narrative is missing.
- Margins are less than specified in the formatting guidelines.
- Print Area exceeds that specified in the formatting guidelines.
- Spacing is less than specified in the formatting guidelines.
- Public Abstract is missing.
- Statement of Work is missing.
- Impact Statement is missing.
- Required supporting documentation is missing.
- Biographical sketches are missing.
- Budget justification is missing.
- FY07 PCRP IP members are included in any capacity in the pre-application process, the proposal, budget, and any supporting document. A list of the FY07 PCRP IP members may be found at http://cdmrp.army.mil/pcrp/panel07

For any other sections of the proposal with a defined page limit, pages exceeding the specified limit will be removed and not forwarded for peer review.

Material submitted after the submission deadline, unless specifically requested by the Government, will not be forwarded for peer review.

VIII. APPENDICES

APPENDIX 1

ELIGIBILITY INFORMATION

To protect the public interest, the Federal Government ensures the integrity of Federal programs by only conducting business with responsible recipients. The US Army Medical Research and Materiel Command (USAMRMC) uses the Excluded Parties List System (EPLS) to exclude recipients ineligible to receive Federal awards. The EPLS is online at <u>http://epls.arnet.gov</u>. (Reference Department of Defense Grant and Agreement Regulations [DODGAR] 25.110.)

All individuals, regardless of ethnicity, nationality, or citizenship status, may apply as long as they are employed by, or affiliated with, an eligible institution.

Eligible Institutions: USAMRMC makes awards to institutions; eligible institutions include for-profit, nonprofit, public, and private organizations, such as universities, colleges, hospitals, laboratories, and companies.

Historically Black Colleges and Universities/Minority Institutions (HBCU/MI): A Department of Defense goal is to allocate funds for the Congressionally Directed Medical Research Programs (CDMRP) peer reviewed research to fund proposals from HBCU/MI. This provision is based on guidance from Executive Orders 12876, 12900, and 13021. Proposals are assigned HBCU/MI status when the submitting institution is so designated by the Department of Education on the date the program announcement is released. The most current Department of Education list is posted on the CDMRP website at <u>http://cdmrp.army.mil/spp</u> under "Minority Institutions."

Government Agencies: Local, state, and Federal Government agencies are eligible to the extent that proposals do not overlap with their fully funded intramural programs. Federal agencies are expected to explain how their proposals do not overlap with their intramural programs.

Duplicate Submissions: Submission of the same research project to different award mechanisms within the same program or to other CDMRP programs is discouraged. The Government reserves the right to reject duplicative proposals.

APPENDIX 2

GRANTS.GOV INSTRUCTIONS

A. Public Law 106-107

Proposals requesting funding from the CDMRP will be submitted through the Federal Government's single entry portal, <u>Grants.gov</u>, in compliance with Public Law 106-107 (P.L. 106-107). The Federal Financial Assistance Management Improvement Act of 1999, also known as P.L. 106-107, was enacted in November 1999. The purposes of the P.L. 106-107 are to (1) improve the effectiveness and performance of Federal financial assistance programs, (2) simplify Federal financial assistance application and reporting requirements, (3) improve the delivery of services to the public, and (4) facilitate greater coordination among those responsible for delivering services.

Individual program announcements and required forms can also be found on this website. As in previous years, award mechanisms requiring pre-applications including Letter of Intent Narrative, preproposals, and/or nominations will be submitted through the CDMRP eReceipt system at https://cdmrp.org.

B. Grants.gov

Grants.gov is an E-Government initiative to provide a simple, unified electronic storefront for interactions between Principal Investigators (PIs) and the Federal agencies that manage grant funds. The grant community, including state, local, and tribal governments, academia and research institutions, commercial firms and not-for-profits, can access the annual grant funds available across the Federal Government through one website, Grants.gov. In addition to simplifying the grant application process, Grants.gov also creates avenues for consolidation and best practices within each grant-making agency.

In compliance with P.L. 106-107, the USAMRMC requires proposals submitted in response to the program announcement to be submitted through Grants.gov. This requires that organizations register in Grants.gov to submit proposals through the Grants.gov portal. Individual PIs/Project Directors DO NOT register; however, the AOR is required to register. The registration process can take several weeks, so please register as soon as possible.

The following actions are required as part of the registration process. *The registration process can take several weeks.* If you do business with the Federal Government on a continuing basis, it is likely you have already completed some of the actions, e.g., obtaining a DUNS number or registration in CCR. Detailed information, automated tools, and checklists are available at http://www.grants.gov/applicants/get_registered.jsp

1. Applicant Organization Must Have a Data Universal Number System (DUNS) Number

An organization will need a DUNS number. A DUNS number is a unique nine-character identification number provided by the commercial company Dun & Bradstreet (D&B)

(http://fedgov.dnb.com/webform/displayHomePage.do). If an organization does not have a DUNS number, an authorized official of the organization can request one by calling 866-705-5711 or online via web registration (http://fedgov.dnb.com/webform/index.jsp). Organizations located outside of the United States can request and register for a DUNS number online via web registration.

2. Applicant Organization Must be Registered with the Central Contractor Registry (CCR)

An organization must be registered with CCR before submitting a grant application through Grants.gov or receiving an award from the Federal Government. CCR validates institution information and electronically shares the secure and encrypted data with Federal agencies' finance offices to facilitate paperless payments through electronic funds transfer. *CCR registrations have an expiration – please verify the status of your organization's CCR registration well in advance of the proposal submission deadline.*

You can register by calling the CCR Assistance Center at 888-227-2423 or register online at <u>http://www.ccr.gov</u>. Collecting the information (Employer Identification Number [EIN] or Tax Identification Number [TIN]) can take 1-3 days. If you have the necessary information, online registration will take about 30 minutes to complete, depending upon the size and complexity of your organization. Allow a minimum of 5 business days to complete the entire CCR registration. If your organization does not have either an EIN or TIN, allow at least 2 weeks to obtain the information from the Internal Revenue Service (IRS).

Foreign organizations must obtain a CAGE code prior to registering with the CCR. A CAGE code can be obtained by calling 269-961-7766 or online at <u>http://www.dlis.dla.mil/Forms/Form_AC135.asp</u>.

3. Authorized Organizational Representative (AOR) must be registered with Grants.gov

Before submitting a proposal, an organization representative needs to register to submit on behalf of the organization at Grants.gov - <u>https://apply.grants.gov/OrcRegister</u>. An organization's E-Business point of contact (POC), identified during CCR registration, must authorize someone to become an AOR. This safeguards the organization from individuals who may attempt to submit proposals without permission. The AOR's username and password serve as "electronic signatures" when an application is submitted on Grants.gov. *Note: In some organizations, a person may serve as both an E-Business POC and an AOR*.

An AOR must first register with the Grants.gov credential provider at <u>https://apply.grants.gov/OrcRegister</u> to obtain a username and password. The AOR must then register with Grants.gov for an account at <u>https://apply.grants.gov/GrantsgovRegister</u>. Once an AOR has completed the Grants.gov process, Grants.gov will notify the E-Business POC for assignment of user privileges. When an E-Business POC approves an AOR, Grants.gov will send the AOR a confirmation email.

APPENDIX 3

INFORMATION FOR PROPOSAL SUBMISSION

Proposal submission is a two-step process consisting of (1) a pre-application submission through the <u>CDMRP eReceipt system (https://cdmrp.org/)</u> and (2) a proposal submission through <u>Grants.gov (http://www.grants.gov/)</u>. This section describes the process for proposal submission. For pre-application submission, see <u>Section IV</u>. Proposal submission will not be accepted unless a pre-application was previously submitted. This appendix outlines how to prepare a proposal application for submission through Grants.gov.

Each submission must include the completed package of forms identified in <u>www.grants.gov</u> for the US Army Medical Research Acquisition Activity (USAMRAA) program announcement. The submission of specific documents will depend upon the award mechanism for which this proposal is being submitted, as specified in <u>Section V</u> and described below. All attachments must be uploaded as a PDF file in accordance with the formatting guidelines in <u>Appendix 4</u> except for the pre-application XML file.

Fill in the *Application Filing Name* on the first screen of the Grant Application Package using the CDMRP log number acquired during the pre-application process. *Do not fill in the Competition ID.*

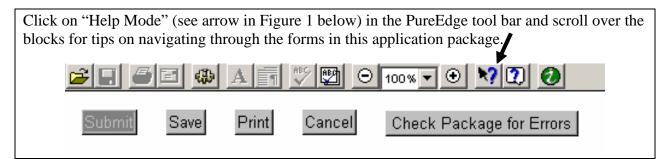


Figure 1: Grants.gov Application PureEdge Toolbar

Form	Attachment	Action
SF-424 (R&R) Application for Federal Assistance Form	Pre-application XML File	Enter the appropriate information in data fields
	Project Narrative (Narrative.pdf)	Upload as Attachment 1
	Supporting Documentation (Support.pdf)	Upload as Attachment 2
	Public Abstract (Abstract.pdf)	Upload as Attachment 3
Attachments Form	Statement of Work (SOW) (SOW.pdf)	Upload as Attachment 4
	Impact Statement (Impact.pdf)	Upload as Attachment 5
	Federal Agency Financial Plan (if applicable) (FedFin.pdf)	Upload as Attachment 6
	PI Biographical Sketch (Biosketch_LastName.pdf)	Attach to PI Biographical Sketch field
Research & Related	PI Current/Pending Support (Support_LastName.pdf)	Attach to PI Current & Pending Support field
Senior/Key Person Profile (Expanded) Form	Key Personnel Biographical Sketches (Biosketch_LastName.pdf)	Attach to Biographical Sketch field for each senior/key person
	Key Personnel Current/Pending Support (Support_LastName.pdf)	Attach to Current & Pending Support field for each senior/key person
Research & Related Budget Form	Budget Justification for entire performance period (Justification.pdf)	Attach to Section K in budget period one
Research & Related Project/Performance Site Location(s) Form		Enter the appropriate information in data fields
R&R Subaward Budget Attachment(s) Form (if applicable)	Individual subaward budgets and justifications (Justification_LastName.pdf)	Attach a separate budget with justification for each subaward

During award negotiations, the Certificate of Environmental Compliance and Principal Investigator Safety Program Assurance will be requested from the PIs. At that time, the negotiated indirect rate agreement, Certifications and Assurances for Assistance Agreements, and Representations for Assistance Agreements will be requested from the AOR.

A. SF-424 (R&R), Application for Federal Assistance Form.

This form is required for each application. All appropriate information must be entered into this form to allow for auto-population of all subsequent forms in this application package. The form is self-explanatory, with the following exceptions:

- **Applicant Identifier** box should be filled in with the submitting Institution's Control Number.
- State Application Identifier is not applicable.
- Block 1 Type of Submission. For all submissions the "Application" box should be chosen. For substantial changes that must be made after the original submission, the complete application package must be resubmitted. In these cases, the "Changed/Corrected Application" box must be checked and the Grants.gov tracking number must be entered in Block 4 Federal Identifier.
- Block 3 Date Received by State is not applicable
- **Block 4 Federal Identifier Box.** This box will be populated by Grants.gov for an original application, but the Grants.gov tracking number (i.e., the Federal Identifier Number assigned to the original application) must be manually entered for changed or corrected applications.
- **Block 13 Proposed Project.** The start date should be 9 months to a year from deadline for proposal submission for this award mechanism.
- Block 14 Congressional Districts Of. If applying from a foreign institution enter "00-000" for both applicant and project.
- Block 17 Is Application Subject to Review by State Executive Order 12372 Process? Choose option, b. NO, program is not covered by E.O.12372.
- **Block 19 Authorized Representative.** The "signature of AOR" is not an actual signature and is automatically completed upon submission of the electronic application package. *Hard copies of applications will not be accepted.*
- Block 20 Pre-application box and attachment should be used to attach the preapplication file associated with this proposal. This pre-application file must be downloaded from the CDMRP eReceipt system. *Please do not convert this XML file to PDF*.

B. Attachments Form

The following information must be included as attachments to this form in accordance with the <u>formatting guidelines</u> specified in <u>Appendix 4</u>:

Attachment 1: Project Narrative: 10-page limit. The Project Narrative is the main body of the proposal. The Project Narrative must be submitted as a single PDF file named "Narrative.pdf," in accordance with the <u>formatting guidelines</u> specified in <u>Appendix 4</u>.

Describe the proposed project using the following outline:

1. Program Director and Training Staff: The qualifications of the Program Director (the PI of the proposal), faculty and staff, and any additional mentors, including their experience in training undergraduate students;

2. Faculty Advisor: The qualifications of the HBCU faculty advisor at the trainees' institution and his or her role in the project;

3. Training Program: The proposed training program for the undergraduate HBCU trainees with an emphasis on the training environment, facilities, and the proposed research opportunities available for trainees at the PI's institution and their own as applicable;

a. Course Work: A description of any courses or seminar series that will be available as part of the undergraduate training program;

b. Trainee Recruitment: The trainee recruitment and selection plans, including the method of assigning trainees to a mentor;

c. Extended Mentoring: The plans for continued interaction of the Program Director and program faculty/staff with the trainees beyond the timeframe of the summer internship period and the role of HBCU faculty advisor in the process;

d. Undergraduate Presentations: The plan for the trainees to summarize and present their work (e.g., seminars, presentations, or publications) at the conclusion of the summer training program;

4. Trainee Tracking: The plan for tracking trainees after participation in the program to determine career progress;

5. Environment: The institutional commitment and resources to support training activities, the development of a productive collaboration with an HBCU, and the ability to sustain an interactive, ongoing partnership.

The 10-page limit of the Project Narrative is inclusive of any figures, tables, graphs, photographs, diagrams, chemical structures, pictures, pictorials, cartoons, and other relevant information needed to judge the proposal.

Attachment 2: Supporting Documentation. Upload these sections as a single PDF file named "Support.pdf," in accordance with the <u>formatting guidelines</u> specified in <u>Appendix 4</u>.

a. References Cited and Acronyms and Symbol Definitions: No page limit.

• **References Cited:** List all relevant references using a standard reference format that includes the full citation (i.e., author(s), year published, title of reference, source of reference, volume, chapter, page numbers, and publisher, as appropriate). The inclusion of Internet URLs to references is encouraged.

• Acronyms and Symbol Definitions: Starting on a new page titled "Acronyms and Symbol Definitions," provide a glossary of acronyms and symbols.

b. Facilities & Other Resources: No page limit. Describe the facilities available for performance of the proposed request and any additional facilities or equipment proposed for acquisition at no cost to the USAMRMC. Indicate if Government-owned facility or equipment is proposed for use. Reference should be made to the original or present contract under which the facilities or equipment items are now accountable. There is no form for this information

c. Description of Existing Equipment: No Page Limit. Include a description of existing equipment to be used for the proposed research project.

d. Publications and/or Patent Abstracts: Five-document limit. Include up to five relevant publication reprints and/or patent abstracts. A patent abstract should provide a non-proprietary description of the patent application. A maximum of five publication reprints and/or patent abstracts is allowed; extra items will not be reviewed.

- e. Letters of Institutional Support: Provide the following:
 - A letter of support signed by the Department Chair, Dean, or equivalent official from the PI's institution indicating a strong commitment to the summer training program and to prostate cancer research. Additional emphasis should be placed on the training environment and the qualifications of the Program Director (PI) and other collaborating mentors.
 - A letter of support signed by the Department Chair, Dean, or equivalent official from the undergraduate students' HBCU indicating commitment to identify students with a strong interest in prostate cancer research.

f. Letters of Collaboration: Provide signed letters of support from all collaborating mentors at the PI's institution and the faculty advisor from the trainees' HBCU demonstrating their commitment to and support of the trainees and the proposed training program.

Submitting material that was not requested may be construed as an attempt to gain a competitive advantage and such material will be removed; submitting such material may be grounds for administrative rejection of the proposal. *This section is not intended for additional figures, tables, graphs, photographs, diagrams, chemical structures, pictures, pictorials, cartoons, or other information needed to judge the proposal.*

Attachment 3: Public Abstract: One-page limit. The Public Abstract must be submitted as a single PDF file named "Abstract.pdf," in accordance with the <u>formatting guidelines</u> specified in <u>Appendix 4</u>. Abstracts of all funded proposals will be posted on the CDMRP website at <u>http://cdmrp.army.mil</u>. Proprietary or confidential information should not be included in the public abstract. The technical abstract is not required for this award mechanism.

Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed in the abstract.

The public abstract is an important component of the proposal review process because it addresses issues of particular interest to the consumer advocate community. Use the outline below when preparing the public abstract:

- **Background:** Provide a rationale for the training program.
- **Objective:** State the objective of the training program.
- Specific Aims: State concisely the specific aims of the training program.
- **Program Design:** Briefly describe the training program and plans for recruitment and tracking of trainees.
- **Impact:** Briefly describe how the proposed program will promote/encourage the participating undergraduate HBCU trainees to pursue successful careers in prostate cancer research.

Attachment 4: Statement of Work (SOW): Two-page limit. The SOW must be submitted as a single PDF file named "SOW.pdf," in accordance with the <u>formatting guidelines</u> specified in <u>Appendix 4</u>. The Statement of Work is a concise restatement of the research proposal that outlines, step by step, how each major goal or objective of the proposed research/services will be accomplished during the period for which the USAMRMC will provide financial support. When a proposal requesting funding as part of a larger study is submitted, the proposal's Statement of Work must include aims to be funded by this proposal. The Statement of Work should:

- Describe the training program to be accomplished as tasks (tasks may relate to specific aims);
- Identify the timeline and milestones for the work over the period of performance for the proposed effort;
- Identify methods for recruitment and training; and
- Identify outcomes, products, and deliverables for each phase of the project.

Attachment 5: Impact Statement: One-half-page limit. The Impact Statement must be submitted as a single PDF file named "Impact.pdf," in accordance with the <u>formatting</u> <u>guidelines</u> specified in <u>Appendix 4</u>. State explicitly how the proposed work will have an impact on opportunities for undergraduate HBCU student training. State explicitly how the training program will be designed to offer a structured, well-rounded, focused experience in prostate cancer research for undergraduate HBCU students. Include how the training program will foster the likelihood of its trainees to pursue a career in prostate cancer research. Describe the impact of the proposed work on the PI's and students' institutions.

The Impact Statement will be available for both peer and programmatic review.

Attachment 6: Federal Agency Financial Plan (if applicable). Proposals from Federal agencies *must* provide a plan delineating how all funds will be obligated by September 30,

2008, and how funds will be available to cover research costs over the entire award period. The plan must include the funding mechanism(s) that will be used to carry over funds between fiscal years, such as through agreements with foundations, non-Federal institutions, and universities. The Federal Agency Financial Plan must be submitted as a single PDF file named "FedFin.pdf," in accordance with the <u>formatting guidelines</u> specified in <u>Appendix 4</u>.

C. Research & Related Senior/Key Person Profile (Expanded Form)

Include the requested information for each senior/key person proposed on the project. Each attachment must be a single PDF file, in accordance with the <u>formatting guidelines</u>.

1. PI Biographical Sketch: Four-page limit. Suggested format is provided as <u>Form 1</u>. The biosketch must be saved as "Biosketch_LastName.pdf" where "LastName" is the last name of the PI.

2. PI Current/Pending Support: No page limit. Current/Pending Support for the PI must be submitted as a PDF file in accordance with the <u>formatting guidelines</u> specified in <u>Appendix 4</u>. This file must be named "Support_LastName.pdf," where "LastName" is the last name of the PI.

Proposals submitted under this program announcement should not duplicate other funded research projects.

For all existing and pending research projects involving the PI include:

- Title
- Time commitments
- Supporting agency
- Name and address of the Funding Agency's Procuring Contracting/Grants Officer
- Performance period
- Level of funding
- Brief description of the project's goals
- List of the specific aims.

Provide justification for the requested support and identify where the projects overlap or parallel. If no current support exists, enter "None." Updated current and pending support will be required during award negotiations.

3. Key Personnel Biographical Sketches: Four-page limit per individual. Suggested format is provided as <u>Form 1</u>. Each biosketch must be saved as "Biosketch_LastName.pdf" where "LastName" is the last name of the appropriate individual.

4. Key Personnel's Current/Pending Support: No page limit. Current/Pending Support for each individual must be submitted as a PDF file in accordance with the <u>formatting</u> <u>guidelines</u> specified in <u>Appendix 4</u>. Each file must be named "Support_LastName.pdf,"

where "LastName" is the last name for the individual. Refer to "PI's Current/Pending Support" above for content of this document, except substituting individual information for that of the PI.

D. Research & Related Budget Form

An estimate of the total research project cost, with a breakdown by category and year, must accompany each proposal. All costs must be entered in US dollars. Recipients performing research outside of the United States should include the cost in local currency, the rate used for converting to US dollars, and justification/basis for the conversion rate used.

The following cost regulations and principles must be adhered to budget calculations:

- **Subcontracting Indirect Costs:** When the applicant institution calculates its own indirect costs, it can only calculate indirect costs on the first \$25,000 of each subaward.
- **Maximum Obligation:** The USAMRMC does not amend grants to provide additional funds for such purposes as reimbursement for unrecovered indirect costs resulting from the establishment of final negotiated rates or for increases in salaries, fringe benefits, and other costs.
- **Cost Regulations and Principles:** Costs proposed must conform to the following regulations and principles:
 - **Commercial Firms:** Federal Acquisition Regulation (FAR) Part 31 and Defense FAR Supplement Part 31 (<u>http://farsite.hill.af.mil</u>), Contract Cost Principles and Procedures.
 - **Educational Institutions:** OMB Circular A-21, Cost Principles for Educational Institutions.
 - Nonprofit Organizations: OMB Circular A-122, Cost Principles for Nonprofit Organizations. OMB Circular A-133, Audits of Institutions of Higher Education and Other Nonprofit Organizations.
 - **State, Local, and Tribal Governments:** OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments.
 - **Cost of Preparing Proposals:** The cost of preparing proposals in response to this program announcement is not considered an allowable direct charge to any resultant contract, grant, or cooperative agreement. It is, however, an allowable expense to the bid and proposal indirect cost specified in FAR 31.205-18, and OMB Circulars A-21 and A-122.

Section A & B – Senior/Key Person and Other Personnel: The basis for labor costs should be predicated upon actual labor rates or salaries. Budget estimates may be adjusted upward to forecast salary or wage cost-of-living increases that will occur during the period of performance. The proposal should separately identify and explain the ratio applied to base salary/wage for cost-of-living adjustments and merit increases in the budget justification (Section K).

The qualifications of the PI and the amount of time that he or she and other professional personnel will devote to the research are important factors in selecting research proposals for funding. For each key staff member identified on the budget form, list the percentage of each appointment to be spent on this project.

Section C – Equipment Description: It is DOD policy that all commercial and nonprofit recipients provide the equipment needed to support proposed research. In those rare cases where specific additional equipment is approved for commercial and nonprofit organizations, such approved cost elements shall be separately negotiated.

An itemized list of permanent equipment is required, showing the cost for each item. Permanent equipment is any article of nonexpendable tangible property having a useful life of more than 2 years and an acquisition cost of \$5,000 or more per unit. The justification for the cost of each item of equipment included in the budget must be disclosed in the budget justification (Section K) to include:

- Vendor Quote: Show name of vendor and number of quotes received and justification if intended award is to other than the lowest bidder.
- Historical Cost: Identify vendor, date of purchase, and whether or not cost represented the lowest bid. Include reason(s) for not soliciting current quotes.
- Estimate: Include rationale for estimate and reasons for not soliciting current quotes.
- Special test equipment to be fabricated by the contractor for specific research purposes and its cost.
- Standard equipment to be acquired and modified to meet specific requirements, including acquisition and modification costs; list separately.
- Existing equipment to be modified to meet specific research requirements, including modification costs. Do not include as special test equipment those items of equipment that, if purchased by the contractor with contractor funds, would be capitalized for Federal income tax purposes.
- Title of equipment or other tangible property purchased with Government funds may be vested in institutions of higher education or with nonprofit organizations, whose primary purpose is the conduct of scientific research. Normally, the title will vest in the recipient if vesting will facilitate scientific research performed by the institution or organization for the Government.
- Commercial organizations are expected to possess the necessary plant and equipment to conduct the proposed research. Equipment purchases for commercial organizations will be supported only in exceptional circumstances.

Section D – Travel

- **Travel costs to attend one scientific/technical meeting per year.** Costs should not exceed \$1,800.
- **Travel costs associated with the execution of the proposed work.** If applicable, reasonable costs for travel between collaborating institutions should be included and

are not subject to the yearly \$1,800 limitation on travel to meetings. Justification for these travel costs should be provided. Travel outside the United States, including between foreign countries, requires prior approval from USAMRAA 90 days before travel.

• **Travel to CDMRP-required meetings** (if applicable) (<u>Section II.C</u>). Costs should be reasonable.

Section E – Participant/Trainee Support Costs: This section is self-explanatory.

Section F – Other Direct Costs (as applicable)

Section F.1 – Materials and Supplies (Consumables): The justification (to be included in Section K) supporting material and supply (consumable) costs should include a general description of expendable equipment and supplies. If animals are to be purchased, state the species, strain (if applicable), and the number to be used. If human cell lines are to be purchased, state the source and the description.

Section F.2 – Publication Costs: This section is self-explanatory.

Section F.3 – Consultant Services: Regardless of whether funds are requested, the justification (to be included in Section K) should include the names and organizational affiliations of all consultants. State the daily consultant fee, travel expenses, nature of the consulting effort, and why consultants are required for the proposed research project.

Section F.4 – ADP/Computer Services: This section is self-explanatory.

Section F.5 – Subaward/Consortium/Contractual Costs: On the project's Research and Related Budget Form, enter the total funds requested for (1) all subaward/consortium organization(s) proposed for the project and (2) any other contractual costs proposed for the project.

Section F.6 – Equipment or Facility Rental/User Fees: This section is self-explanatory.

Section F.7 – Alterations and Renovations: Not allowable.

Sections F.8–F.10 – Research-Related Subject Costs: Not applicable for Collaborative Undergraduate HBCU Student Summer Training Program Award proposals.

Sections F.8–F.10 – Other Direct Costs (if applicable): Include other anticipated direct costs that are not specified elsewhere in the budget. Unusual or expensive items should be fully explained and justified in Section K.

Section G – Direct Costs: This section is self-explanatory. All direct and indirect costs of any subaward must be included in the total direct costs of the primary award.

Section H – Indirect Costs (overhead, general and administrative, and other): The most recent rates, dates of negotiation, base(s), and periods to which the rates apply should be

disclosed along with a statement identifying whether the proposed rates are provisional or fixed. If negotiated forecast rates do not exist, provide sufficient detail in the budget justification (Section K) regarding a determination that the costs included in the forecast rate are allocable according to applicable FAR/DFARS or OMB Circular provisions. Commercial firms can also visit <u>www.dcaa.mil</u> for additional information on indirect rates. Disclosure should be sufficient to permit a full understanding of the content of the rate(s) and how it was established. When the applicant institution calculates its own indirect costs, it can only calculate indirect costs on the first \$25,000 of each subaward.

As a minimum, justification for indirect costs should identify:

- All individual cost elements included in each forecast rate;
- The basis used to prorate indirect expenses to cost pools, if any;
- How each rate was calculated; and
- The distribution basis of each developed rate.

Section I – Total Direct and Indirect Costs: This section is self-explanatory.

Section J – Fee: A profit or fixed fee is not allowable on grants or cooperative agreements. If a profit/fee is negotiated, a contract will be awarded. Any fixed fee applied to the research project must be listed and any claimed Facilities Capital Cost of Money supported by **DD Form 1861** (<u>www.dtic.mil/whs/directives/infomgt/forms/forminfo/forminfopage2192.html</u>)</u> must be submitted with the proposal.

Section K – Budget Justification: The Budget Justification for the entire performance period must be attached as a PDF file named "Justification.pdf" to the Research & Related Budget – Section K (under budget period one). Organizations must provide sufficient detail and justification so that the Government can determine the proposed costs to be allocable and reasonable for the proposed research effort.

The budget justification must include information for all budget periods. This file must be uploaded for budget period one before you will be allowed to access subsequent budget periods.

E. Research & Related Project/Performance Site Location(s) Form

Indicate the primary site where the work will be performed. If a portion of the work will be performed at any other site(s), include the name and address for each collaborating location in the data fields provided. If more than eight performance site locations are proposed, provide the requested information in a separate file and attach to this form. Please note that each additional research site requesting funds will require a subcontract budget.

F. R&R Subaward Budget Attachment(s) Form (optional form; use if applicable)

Please note that the files to be attached to the R&R Subaward Budget Attachment(s) Form must be PureEdge documents. Extract an R&R Subaward Budget Attachment for each subaward,

using the button provided on this form. Save each attachment to your computer and complete the form(s).

The Budget Justification for each subaward must be attached as a PDF file named "Justification_LastName.pdf" (where "LastName is the investigator of the subaward) to the Research & Related Budget – Section K for that subaward. Each subaward budget justification must include information for all budget periods. This file must be uploaded for budget period one before you will be allowed to access subsequent budget periods for the subaward. Once all subaward budget files are completed, attach all subaward budget file(s) for this application to the R&R Subaward Budget Attachment(s) Form.

The DUNS number for each subaward site should be included on this form.

A description of services or materials that are to be awarded by subcontract or subgrant is required. Organizations must provide sufficient detail and justification so that the Government can determine the proposed costs to be allocable and reasonable for the proposed research effort. The following information must be provided on subawards totaling \$10,000 or more:

- Identification of the type of award to be used (e.g., cost reimbursement, fixed price);
- Identification of the proposed subcontractor or subgrantee, if known, and an explanation of why and how the subcontractor or subgrantee was selected or will be selected;
- Whether the award will be competitive and, if noncompetitive, rationale to justify the absence of competition; and
- The proposed acquisition price.
- The applicant's cost or price analysis for the subgrant or subcontract proposed price (applicable only if the award exceeds \$500,000).

If the resultant award is a contract that exceeds \$500,000 and the applicant is a large business or an educational institution (other than a Historically Black College or University/Minority Institution), the applicant is required to submit a subcontracting plan for small business and small disadvantaged business concerns, in accordance with FAR 19.7. A mutually agreeable plan will be incorporated as part of the resultant contract.

APPENDIX 4

FORMATTING GUIDELINES

The proposal must be clear and legible and conform to the formatting guidelines described below. The font size, spacing, page size, and margins may differ between the word processing, PDF, and printed versions. These guidelines apply to the document properties of the electronic version of the PDF file(s) as viewed on a computer screen.

- **Document Format:** All attachments must be in PDF, except for the pre-application file (XML file) attached to block 20 of SF-424.
- Font Size: 12 point or larger.
- Font Type: Times New Roman is strongly recommended.
- **Spacing:** No more than six lines of type within a vertical inch (2.54 cm).
- **Page Size:** No larger than 8.5 inches x 11.0 inches (21.59 cm x 27.94 cm).
- Margins: Must be at least 0.5 inch (1.27 cm) in all directions.
- **Print Area:** 7.5 inches x 10.0 inches (19.05 cm x 25.40 cm).
- Color, High-Resolution, and Multimedia Objects: Proposals may include color, high-resolution, or multimedia objects (e.g., MPEG, WAV, or AVI files) embedded in the PDF files; however, these objects must not exceed 15 seconds in length and a size of 10 MB. Since some reviewers work from black and white printed copies, Principal Investigators may wish to include text in the proposal directing the reviewer to the electronic file for parts of the proposal that may be difficult to interpret when printed in black and white. Photographs and illustrations must be submitted in JPEG format; bit map or TIFF formats are not allowed.
- **Internet URLs:** URLs directing reviewers to websites containing additional information about the proposed research are not allowed in the proposal or its components. Inclusion of such URLs may be perceived as an attempt to gain an unfair competitive advantage. Links to publications referenced in the proposal are encouraged.
- Language: English.
- Headers and Footers: Should not be used.
- **Page Numbering:** Should not be used.

All attachments that require signatures must be filled out, printed, signed, scanned, and then uploaded as a PDF file.

APPENDIX 5

AWARD ADMINISTRATION INFORMATION

A. Award Notices

Each Principal Investigator (PI) will receive notification of the award status of his or her proposal. A copy of the peer review summary statement, if applicable, will be posted to the Congressionally Directed Medical Research Programs (CDMRP) eReceipt system. PIs can expect to receive this notification approximately 4 weeks after programmatic review.

B. Administrative Requirements

Awards are made to organizations, not individuals. The PI must submit a proposal through, and be employed by or affiliated with, a university, college, nonprofit research institution, commercial firm, or Government agency (including military laboratories) to receive support. A prospective recipient must meet certain minimum standards pertaining to institutional support, financial resources, record of performance, integrity, organization, experience, operational controls, facilities, and conformance with safety and environmental statutes and regulations (OMB Circular A-110 and Department of Defense [DOD] Grant and Agreement Regulations) to be eligible for an award. Any organization requesting receipt of an award through this program announcement must be registered in the Central Contractor Registration (CCR) database. Access to the CCR online registration is through the CCR homepage at http://www.ccr.gov.

If allowed, a change in institutional affiliation will require the investigator to resubmit the entire proposal packet through his or her new institution to include any regulatory documentation that may require protocols, etc., to be approved for the new institution. The investigator's original institution must agree to relinquish the award. Any delay in the submission of the new information will result in a delay in contracting and regulatory review and a subsequent delay in resuming work on the project.

C. Award Negotiation

Award negotiation consists of discussions, reviews, and justifications of critical issues involving the US Army Medical Research Acquisition Activity (USAMRAA). A Contract Specialist and/or representative from the USAMRAA will contact the Contract Representative authorized to negotiate contracts and grants at the PI's institution. Additional documentation and justifications related to the budget may be required as part of the negotiation process.

The award start date will be determined during the negotiation process.

D. Disclosure of Proprietary Information outside the Government

By submitting a proposal, the PI understands that proprietary information may be disclosed outside the Government for the sole purpose of technical evaluation. The US Army Medical Research and Materiel Command (USAMRMC) will obtain a written agreement from the evaluator that proprietary information in the proposal will only be used for evaluation purposes and will not be further disclosed or used. Funded proposals may be subject to public release under the Freedom of Information Act; proposals that are not selected for funding are not subject to public release.

E. Government Obligation

PIs are cautioned that only an appointed Contracting/Grants Officer may obligate the Government to the expenditure of funds. No commitment on the part of the Government to fund preparation of a proposal or to support research should be inferred from discussions with a technical project officer. PIs who, or organizations that, make financial or other commitments for a research effort in the absence of an actual legal obligation signed by the USAMRAA Contracting/Grants Officer do so at their own risk.

F. Information Service

PIs may use the technical reference facilities of the National Technical Information Service (<u>www.ntis.gov</u>), for the purpose of surveying existing knowledge and avoiding needless duplication of scientific and engineering effort and the expenditure thereby represented. All other sources also should be consulted to the extent practical for the same purpose.

G. Inquiry Review Panel

PIs may submit a letter of inquiry to the USAMRMC in response to funding decisions made for a given proposal. Members of the CDMRP staff, the USAMRMC Judge Advocate General staff, and USAMRAA Grants Officers constitute an Inquiry Review Panel and review each inquiry to determine whether factual or procedural errors in either peer or programmatic review have occurred, and if so, what action should be taken.

H. Title to Inventions and Patents

In accordance with the Bayh-Dole Act (Title 35, United States Code, Sections 200 et seq.), title to inventions and patents resulting from such Federally funded research may be held by the grantee or its collaborator, but the US Government shall, at a minimum, retain nonexclusive rights for the use of such inventions. An investigator must follow the instructions in the assistance agreement concerning license agreements and patents.

I. J-1 Visa Waiver

It is the responsibility of the awardee to ensure that the research staff is able to complete the work without intercession by the DOD for a J-1 Visa Waiver on behalf of a foreign national in the United States under a J-1 Visa.

APPENDIX 6

REGULATORY REQUIREMENTS AND REVIEWS

The Principal Investigator (PI) may not use, employ, or subcontract for the use of any human subjects, human biological substances, or laboratory animals until applicable regulatory documents are requested, reviewed, and approved by the US Army Medical Research and Materiel Command (USAMRMC).

Concurrent with the US Army Medical Research Acquisition Activity (USAMRAA) negotiation, the Office of Surety, Safety and Environment will review the Certificate of Environmental Compliance and the Principal Investigator Safety Program Assurance form to be submitted upon request. The applicable USAMRMC regulatory office will review documents related to research involving human subjects, human anatomical substance use, and animal use, which should be submitted upon request to ensure that Department of Defense (DOD) regulations are met.

A. Certificate of Environmental Compliance

The Certificate of Environmental Compliance will be requested at award negotiations. If multiple research sites/institutions are funded in the proposal, then a Certificate of Environmental Compliance for each site will also be requested.

B. Safety Program Documents

The Principal Investigator Safety Program Assurance form will be requested at award negotiations.

A Facility Safety Plan is required; it will be requested at award negotiations. A Facility Safety Plan from the PI's institution may have been received previously and approved by the USAMRMC. A list of institutions that have approved Facility Safety Plans can be found on the USAMRMC website at

https://mrmc.amedd.army.mil/docs/rcq/sohd/Facility_Safety_Plan_Approved_Institutions.pdf. If the PI's institution is not listed on the website, contact the institution's Facility Safety Director/Manager to initiate completion of the institution-based Facility Safety Plan. Specific requirements for the Facility Safety Plan can be found at https://mrmc.detrick.army.mil/docs/rcq/FY02FSPAppendix.doc.

If multiple research sites/institutions are funded in the proposal, a Facility Safety Plan for each site/institution not listed in the aforementioned website will be requested at a later date.

C. Research Involving Animal Use

Not applicable for Collaborative Undergraduate HBCU Student Summer Training Program Award proposals

D. Research Involving Human Subjects or Biological Substances

Not applicable for the Collaborative Undergraduate HBCU Student Summer Training Program Award mechanism

APPENDIX 7

REPORTING REQUIREMENTS

The Government requires reports to be submitted for continuation of the research and funding. The specific reports due to the Government will be described in each award instrument. (Full US Army Medical Research and Materiel Command reporting requirements can be found at https://mrmc-www.army.mil, under "Links and Resources.") *Failure to submit required reports by the required date may result in a delay in or termination of award funding.*

Reporting requirements include the following:

1. Research Progress Reports. Reporting requirements consist of an annual report (for each year of research except the final year) that presents a detailed summary of scientific issues and accomplishments and a final report (submitted in the last year of the award period) that details the findings and issues for the entire project. Additional reporting may be required as stipulated during award negotiations. Copies of all scientific publications and patent applications resulting from Congressionally Directed Medical Research Programs funding should be included in the progress report. The Government reserves the right to request additional reports.

2. Fiscal Reports. Quarterly fiscal report requirements may include the Standard Form Report, SF 272, Federal Cash Transaction, used for grants and cooperative agreements to track the expenditure of funds on the research project.

3. Non-Exempt Human Studies Reports. Not applicable for Collaborative Undergraduate HBCU Student Summer Training Program Award proposals.

4. Animal Use Reports. Not applicable for Collaborative Undergraduate HBCU Student Summer Training Program Award proposals.

APPENDIX 8

ACRONYM LIST

	Animal Care and Use Office
	Automated Data Processing
	Automated Data Processing Authorized Organizational Representative
	Autism Research Program
	Audio Video Interleave
	Breast Cancer Research Program
	Central Contractor Registration
	Congressionally Directed Medical Research Programs
	Catalog of Federal Domestic Assistance
	Code of Federal Regulations
	Current Good Manufacturing Practices
	Commercial and Government Entity
COI	
	Chronic Myelogenous Leukemia Research Program
	Contract Representative
	Department of Defense Federal Acquisition Supplement
	Department of Defense
	Department of Defense Grant and Agreement Regulations
	Data Universal Number System
	Employer Identification Number
	Excluded Parties List System
	Federal Acquisition Regulation
	Food and Drug Administration
FY	Fiscal Year
GCP	Good Clinical Practice
	Good Laboratory Practice
GWVIRP	Gulf War Veterans' Illnesses Research Program
HBCU/MI	Historically Black Colleges and Universities/Minority Institutions
HIPAA	Health Insurance Portability and Accountability Act
hES	Human Embryonic Stem
HRPO	Human Research Protection Office
HSRRB	Human Subjects Research Review Board
IDE	Investigational Device Exemption
IND	Investigational New Drug
IP	
	Institutional Review Board
IRS	Internal Revenue Service
JPEG	Joint Photographic Experts Group
	Legally Authorized Representative
LOI	
M	
MB	

MPEG	Moving Picture Experts Group
	National Institutes of Health
	Neurofibromatosis Research Program
	Ovarian Cancer Research Program
	Office of Management and Budget
	Office of Research Protections
PCRP	Prostate Cancer Research Program
PDF	Portable Document Format
PI	Principal Investigator
P.L	
POC	Point of Contact
PRMRP	Peer Reviewed Medical Research Program
	Research & Related Other Project Information
SOW	Statement of Work
SPORE	Specialized Programs of Research Excellence
TIFF	Tagged Image File Format
TIN	Tax Identification Number
TSCRP	Tuberous Sclerosis Complex Research Program
URL	Uniform Resource Locator
USAMRAA	US Army Medical Research Acquisition Activity
USAMRMC	US Army Medical Research and Materiel Command
USC	United States Code
WAV	
XML	Extensible Markup Language

IX. CDMRP-SPECIFIC FORMS

FORM 1

BIOGRAPHICAL SKETCH

Provide the following information for each individual included in the Research & Related Senior/Key Person Profile (Expanded) Form.						
NAME	POSITION TITLE					
EDUCATION/TRAINING (Begin with baccalau and include postdoctoral training).	reate or	other initial j	professional	education, such as nursing,		
ISTITUTION AND LOCATION DEGREI		E LICABLE)	YEAR(S)	FIELD OF STUDY		

RESEARCH AND PROFESSIONAL EXPERIENCE: Concluding with present position, list in chronological order, previous employment, experience, and honors. Include present membership on any Federal Government public advisory committee. List in chronological order the titles, all authors, and complete references to all publications during the past 3 years and to representative earlier publications pertinent to this application. If the list of publications in the last 3 years exceeds 2 pages, select the most pertinent publications. PAGE LIMITATIONS APPLY. DO NOT EXCEED 4 PAGES FOR THE ENTIRE BIOGRAPHICAL SKETCH PER INDIVIDUAL.

RESEARCH AND PROFESSIONAL EXPERIENCE (CONTINUED). PAGE LIMITATIONS APPLY. DO NOT EXCEED 4 PAGES FOR THE ENTIRE BIOGRAPHICAL SKETCH PER INDIVIDUAL.