Program Announcement

Department of Defense Congressionally Directed Medical Research Programs

Prostate Cancer Research Program

Synergistic Idea Development Award

Funding Opportunity Number: W81XWH-11-PCRP-SIDA Catalog of Federal Domestic Assistance Number: 12.420

SUBMISSION AND REVIEW DATES AND TIMES

- **Pre-application Submission Deadline:** 5:00 p.m. Eastern time (ET), June 2, 2011
- Invitation to Submit an Application: July 12, 2011
- **Application Submission Deadline:** 11:59 p.m. ET, August 23, 2011
- Scientific Peer Review: October 2011
- Programmatic Review: December 2011

New for fiscal year 2011 (FY11): The Grants.gov Research & Related Budget form is a mandatory component of all Grants.gov application packages.

TABLE OF CONTENTS

I.	Funding Opportunity Description	3
	A. Program Description	
	B. Award Information	4
	C. Eligibility Information	5
	D. Funding	5
II.	Submission Information	
	A. Where to Obtain the Application Package	6
	B. Pre-Application Submission Content and Form	6
	C. Application Submission Content and Form	9
	D. Submission Dates and Times	14
	E. Other Submission Requirements	14
III.	Application Review Information	14
	A. Application Review and Selection Process	14
	B. Application Review Criteria	15
	C. Recipient Qualification	17
	D. Application Review Dates	17
	E. Notification of Application Review Results	17
IV.	Administrative Actions	17
	A. Rejection	17
	B. Modification	18
	C. Withdrawal	18
	D. Withhold	18
V.	Award Administration Information	199
	A. Award Notice	19
	B. Administrative and National Policy Requirements	19
	C. Reporting	
	D. Award Transfers	
VI.	Agency Contacts	19
	A. CDMRP Help Desk	
	B. Grants.gov Contact Center	
VII.	Application Submission Checklist	20

I. FUNDING OPPORTUNITY DESCRIPTION

A. Program Description

The Prostate Cancer Research Program (PCRP) was established in fiscal year 1997 (FY97) to promote innovative research focused on eradicating prostate cancer. Appropriations for the PCRP from FY97 through FY11 totaled \$1.05 billion. The FY11 appropriation is \$80 million (M).

The overall goal of the FY11 PCRP is to find and fund innovative, high-impact research that will eliminate death and suffering from prostate cancer. Specifically, the PCRP seeks to support innovative, high-risk, high-gain research with potential near-term impact; sponsor multidisciplinary synergistic research; fund translational studies to promote the fluid transfer of knowledge between bedside and bench; invest in research on patient survivorship (quality of life); foster the next generation of prostate cancer investigators through mentored research; and promote research into prostate cancer health disparities.

PCRP Overarching Challenges

Consistent with the program's overall goal, each PCRP funding opportunity either requires or encourages (see Award Information below) applications to address one of the following PCRP overarching challenges:

- Develop effective treatments for advanced prostate cancer (i.e. disease relapse with no available curative therapy)
- Distinguish aggressive from indolent disease

PCRP Focus Areas (revised for FY11)

All applications for FY11 PCRP funding opportunities should also address at least one of the following PCRP focus areas:

Biomarkers: Discovery and validation of biomarkers for the detection, prediction of response to therapy, prognosis, and progression of prostate cancer.

Genetics: Understanding the genetics and epigenetics responsible for susceptibility, disease progression, and treatment outcomes for clinically significant prostate cancer.

Imaging: Development of new anatomic and molecular imaging technology for the detection and management of prostate cancer.

Survivorship: Studies on the impact of treatment, nutrition, metabolism, and exercise on the well-being of prostate cancer patients and their families.

Therapy: Identification of new targets, pathways, and therapeutic modalities, including immunotherapy and mechanisms of resistance.

Tumor Biology and Immunology: Understanding prognosis and progression of prostate cancer.

B. Award Information

The PCRP Synergistic Idea Development Award mechanism was first offered in FY07. Since then, 260 research projects have been proposed and 26 (representing 57 individual awards) have been recommended for funding.

The Synergistic Idea Development Award supports new ideas that represent innovative approaches to prostate cancer research involving two or three independent, faculty-level (or equivalent) Principal Investigators (PIs). These investigators should use complementary and synergistic perspectives to address a central problem or question in prostate cancer research. *This award is designed to encourage and support both new and pre-existing partnerships.* The overall goal of this award is to significantly accelerate advances in prostate cancer research to support the PCRP vision of conquering prostate cancer. Although groundbreaking research often involves a degree of risk, applications should be based on a sound scientific rationale that is established through logical reasoning and/or critical review and analysis of the literature. Due to this award's emphasis on innovation, presentation of preliminary data relevant to prostate cancer and the proposed project is encouraged but not required. Any preliminary data provided should be from the laboratories of the PIs or member(s) of the collaborating team.

All applications for the Synergistic Idea Development Award are highly recommended to address one of the FY11 PCRP overarching challenges. The PCRP seeks to fund projects from the wide spectrum of basic to clinical research; however, if the proposed project does not address one of the overarching challenges, the application should provide a description to justify how the project will nevertheless address a critical need in the field of prostate cancer research and/or patient care.

The Synergistic Idea Development Award requires that multiple investigators jointly design a single project. However, each partner will be recognized as a PI, submit a separate application, and receive an individual award. The research project must be supported by the unique expertise, experience, and abilities of each PI, and it must clearly define the synergistic components that will facilitate and accelerate progress in a way that could not be accomplished through independent efforts. Multidisciplinary projects are encouraged, and multi-institutional projects are allowed. Each proposed study must include clearly stated plans for interactions among all PIs and institutions involved. The plans must include communication, coordination of research progress and results, and data transfer. Additionally, multi-institutional applications must provide an intellectual property plan to resolve potential intellectual and material property issues, and to remove institutional barriers that might interfere with achieving high levels of cooperation to ensure the successful completion of this award.

PIs wishing to apply for funding for population-based studies should consider submitting an application for the FY11 PCRP Population-Based Research Award.

Research involving human subject use is permitted under this funding opportunity, but is restricted to studies without clinical trials. A clinical trial is defined as a prospective accrual of human subjects where an intervention (e.g., device, drug, biologic, surgical procedure, rehabilitative modality, behavioral intervention or other) is tested on a human subject for a measurable outcome with respect to exploratory information, safety, effectiveness and/or

efficacy. This outcome represents a direct effect on the human subject of that intervention or interaction. For more information on clinical research, a Human Subject Resource Document is provided at https://cdmrp.org/Program_Announcements_and_Forms/. PIs seeking funding for a clinical trial should consider submitting an application for the FY11 PCRP Clinical Trial Award.

C. Eligibility Information

- Each PI must be an independent investigator at or above the level of Assistant Professor (or equivalent).
- Cost sharing/matching is not an eligibility requirement.
- Refer to the General Application Instructions, Appendix 1, for general eligibility information.

D. Funding

- The maximum period of performance is **3** years.
- The maximum allowable combined direct costs amount for the entire period of performance is \$750,000 plus indirect costs.
- All direct and indirect costs of any subaward (subgrant or subcontract) must be included in the total direct costs of the primary award.
- The applicants may request the entire maximum funding amount for a project that may have a period of performance less than the maximum 3 years.
- Regardless of the period of performance proposed, the applicant may not exceed the maximum allowable direct costs. Indirect costs shall be proposed in accordance with the organization's negotiated rate agreement.
- A separate award will be made to each PI's organization.
- The PIs are expected to be equal partners in the research, and direct cost funding should be divided accordingly unless otherwise warranted and clearly justified.

Refer to the General Application Instructions, Section II.C., for budget regulations and instructions for the Research & Related Budget form. In addition, for this award mechanism, direct costs:

Must be requested for:

• Travel to one 3½-day PCRP IMPaCT (Innovative Minds in Prostate Cancer Today) Meeting, which is held to disseminate the results of PCRP-sponsored research.

May be requested for (not all-inclusive):

- Salary
- Research supplies
- Equipment
- Clinical research costs (other than cost for clinical trials, which are not allowed)

- Travel between collaborating organizations
- Travel costs of up to \$1,800 per year to attend scientific/technical meetings

The Office of the Congressionally Directed Medical Research Programs (CDMRP) expects to allot approximately \$8.4M of the \$80M FY11 PCRP appropriation to fund approximately 7 Synergistic Idea Development Award research projects (representing 14-21 individual awards), depending on the quality and number of applications received. Funding of applications received in response to this Program Announcement/Funding Opportunity is contingent upon the availability of Federal funds for this program.

II. SUBMISSION INFORMATION

Submission is a multi-step process requiring both (1) pre-application submission through the CDMRP eReceipt System (https://cdmrp.org/) and (2) application submission through Grants.gov (http://www.grants.gov/).

The Synergistic Idea Development Award mechanism is structured to accommodate two or three PIs. One partner will be identified as the Initiating PI and will be responsible for the majority of the administrative tasks associated with application submission. The other PI(s) will be identified as the Partnering PI(s). Initiating and Partnering PIs each have different submission requirements; however, all PIs should contribute significantly to the development of the proposed research project including the Project Narrative, Statement of Work, and other required components. The Initiating PI must complete the pre-application submission process and submit the contact information for each Partnering PI. Each Partnering PI will then be notified separately by email. *Each Partnering PI must follow the link in the notifications email and register with CDMRP eReceipt in order to associate his/her grant application package with that of the Initiating PI*. If an application is invited, only the Initiating PI will receive a letter of invitation via email from CDMRP. The letter will provide the information necessary to begin application submission through Grants.gov.

Submission of the same research project to different funding opportunities within the same program and fiscal year is discouraged. The Government reserves the right to reject duplicative applications.

A. Where to Obtain the Application Package

To obtain the complete application package, including all required forms, perform a Grants.gov (http://www.grants.gov/) basic search using the Funding Opportunity Number: W81XWH-11-PCRP-SIDA.

B. Pre-Application Submission Content and Form

All pre-application components must be submitted by the Initiating PI through the CDMRP eReceipt System (https://cdmrp.org/). Because the invitation to submit an application is based on the contents of the pre-application, investigators should not change the title or research objectives after the pre-application is submitted.

PIs and organizations identified in the application should be the same as those identified in the pre-application. If a change in PI or organization is necessary after submission of the pre-application, the PI must contact the CDMRP Help Desk at help@cdmrp.org or 301-682-5507.

The Initiating PI is responsible for submission of all pre-application components.

The pre-application consists of the following components, which are organized in the CDMRP eReceipt System by separate tabs (refer to the General Application Instructions, Section II.B., for additional information on pre-application submission):

- Application Information Tab 1
- Application Contacts Tab 2
- Collaborators and Conflicts of Interest (COI) Tab 3

The Initiating PI must enter the contact information for the Partnering PI(s) in the Partnering PI section. This funding opportunity allows a *maximum of two* Partnering PIs.

Required Files – Tab 4

Preproposal Narrative (three-page limit): The Preproposal Narrative page limit applies to text and any figures, tables, graphs, photographs, diagrams, chemical structures, pictures, pictorials, and cartoons.

The Preproposal Narrative should include the following:

- o **Rationale:** Clearly articulate the rationale for the project by presenting the ideas and reasoning that support it; include relevant literature citations.
- o **Hypothesis or Objective:** State the hypothesis to be tested or the objective to be reached.
- Research Strategy: Concisely describe the project's specific aims.
- Synergy: Describe how the combined efforts of the PIs will result in a level of productivity that is greater than that achievable by each PI working independently. Describe how the combined efforts are centered on a unified objective and how the PIs will work together to achieve that objective from different perspectives. Briefly describe the PIs' histories of collaborative study with each other or with other investigators, including the PIs' abilities to function in a synergistic project among equals.
- Innovation: Describe how the proposed study is innovative. Research deemed innovative may represent a new paradigm, challenge current paradigms, or look at existing problems from new perspectives, or exhibit other uniquely creative qualities. Innovative research may include high-risk approaches to prostate cancer research. Research that is an incremental advance upon published data is not considered innovative.

- o **Impact:** Describe the potential short-term and long-term impact of this study on prostate cancer research and/or patient care. Research that has high impact will, if successful, significantly accelerate the elimination of death and suffering from prostate cancer.
- Overarching Challenges and Focus Areas: Describe how the proposed study is responsive to one of the PCRP overarching challenges. If the proposed project does not address at least one of the overarching challenges, provide a description to justify how the project will nevertheless address a critical need in the field of prostate cancer research and/or patient care. In addition, state at least one of the PCRP focus areas to which the proposed study is responsive.

Pre-Application Supporting Documentation: The items to be included as supporting documentation for the pre-application are limited to:

- o References Cited: (one-page limit): List relevant references using a standard reference format that includes the full citation (i.e., author(s), year published, title of reference, source of reference, volume, chapter, page numbers, and publisher, as appropriate). The inclusion of Internet URLs to references is encouraged.
- o List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols.
- Key Personnel Biographical Sketches (four-page limit per individual)
- Submit Pre-application Tab 5
- Other Documents Tab

No additional documents are required.

Pre-Application Screening

• Pre-Application Screening Criteria

To determine the technical merits of the pre-application and the relevance to the mission of the mission of the Department of Defense (DOD) and CDMRP, pre-applications will be screened based on the criteria shown below. Of these, Synergy, Innovation, and Impact are equally most important, with the remaining criteria listed in decreasing order of importance.

- Synergy: How well the proposed study represents a synergistic collaboration that will produce results greater than those of the PIs working independently. To what degree it is evident that all PIs have provided comparable levels of intellectual input into the proposed project.
- o **Innovation:** To what degree the proposed research is uniquely creative and represents more than an incremental advance upon published data.
- o **Impact:** To what degree the proposed study could, whether short-term or long-term, make a significant impact on prostate cancer research and/or patient care,

including its potential contribution to the elimination of death and suffering from prostate cancer.

- Research Strategy: How well the specific aims support the scientific rationale/research idea and feasibility. This award may not be used to conduct clinical trials.
- o **Responsiveness to Overarching Challenges:** How well the proposed research addresses one of the PCRP overarching challenges or is otherwise justified as addressing another critical issue in prostate cancer research and/or patient care.

• Notification of Pre-Application Screening Results

Following the pre-application screening, Initiating PIs will be notified of whether or not they are invited to submit an application; however, they will not receive feedback (e.g., a critique of strengths and weaknesses) on their pre-application. Pre-application notification dates are indicated on the <u>title page</u> of this Program Announcement/ Funding Opportunity.

C. Application Submission Content and Form

Applications will not be accepted unless the Initiating PI has received a letter of invitation.

Each application submission must include the completed application package of forms and attachments provided in Grants.gov for this Program Announcement/Funding Opportunity. The application package is submitted by the Authorized Organizational Representative (AOR) through the Grants.gov portal (http://www.grants.gov/).

The CDMRP requires separate Grants.gov application package submissions for the Initiating PI and each Partnering PI(s). Initiating and Partnering PIs will each be assigned unique and separate log numbers by the CDMRP eReceipt System. Each Grants.gov application package must be submitted using the unique log number.

Application Components for the Initiating PI:

Grants.gov application package components: For the Synergistic Idea Development Award, the Grants.gov application package includes the following components (refer to the General Application Instructions, Section II.C., for additional information on application submission):

1. SF 424 (R&R) Application for Federal Assistance Form: Refer to the General Application Instructions, Section II.C., for detailed information.

2. Attachments Form

• Attachment 1: Project Narrative (15-page limit): Upload as "ProjectNarrative.pdf."

Describe the proposed project in detail using the outline below. The inclusion of preliminary data relevant to prostate cancer and the proposed project is encouraged but not required. Any preliminary data provided should be from the laboratories of the PIs or member(s) of the collaborating team.

- **Background:** Present the ideas and reasoning behind the proposed research; include relevant literature citations. Describe previous experience most pertinent to this application, including each PI's history of synergistic and collaborative study with one another and/or with other investigators.
- **Hypothesis or Objective:** State the hypothesis to be tested or the objective to be reached.
- Specific Aims: Concisely explain the project's specific aims. If this
 application is part of a larger study, present only tasks that this award would
 fund.
- Research Strategy: Describe the experimental design, methods, and analyses including appropriate controls, in sufficient detail for analysis. *Include specific examples of synergistic elements incorporated into the research design*.
 Address potential problem areas and present alternative methods and approaches. If human subjects or human biological samples will be used, include a detailed plan for the recruitment of subjects or the acquisition of samples. *This award may not be used to conduct clinical trials*.
- Project Coordination and Communication: Describe plans for communication, decision-making, allocation of resources, coordination of research progress and results, and sharing of data among all PIs and institutions participating in the project.
- Overarching Challenges and Focus Areas: Describe how the proposed study is responsive to one of the PCRP overarching challenges. If the proposed project does not address at least one of the overarching challenges, provide a description to justify how the project will nevertheless significantly address a critical need in the field of prostate cancer research and/or patient care. In addition, state at least one of the PCRP focus areas to which the proposed study is responsive.
- Attachment 2: Supporting Documentation. Start each document on a new page. Combine and upload as a single file named "Support.pdf." If documents are scanned to pdf, the lowest resolution (100 to 150 dpi) should be used. *Each component has no page limit unless otherwise noted*.
 - References Cited: List the references cited (including URLs if available) in the
 project narrative using a standard reference format that includes the full citation
 (i.e., author[s], year published, title of reference, source of reference, volume,
 chapter, page numbers, and publisher, as appropriate).
 - List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols.
 - Facilities, Existing Equipment, and Other Resources: Describe the facilities and equipment available for performance of the proposed project and any additional facilities or equipment proposed for acquisition at no cost to the US Army Medical Research and Materiel Command (USAMRMC). Indicate if Government-owned facilities or equipment are proposed for use. Reference should be made to the original or present contract under which the facilities or

- equipment items are now accountable. There is no form for this information. Provide this information for both the Initiating and Partnering PI(s).
- Publications and/or Patent Abstracts (five-document limit): Include relevant publication URLs and/or patent abstracts. If publications are not publicly available, then they must be included. Extra items will not be reviewed.
- Letters of Organizational Support: Provide a letter (or letters if applicable), signed by the Department Chair or appropriate organization official, reflecting the laboratory space, equipment, and other resources available for the project.
 Provide a letter for each PI's organization.
- Letters of Collaboration (if applicable): Provide a signed letter from each collaborating individual or organization that will demonstrate that the PI has the support or resources necessary for the proposed work.
- Intellectual and Material Property Plan (if applicable): Provide a plan for resolving intellectual and material property issues among participating organizations.
- Attachment 3: Technical Abstract (one-page limit): Upload as "TechAbs.pdf."
 - Describe the proposed research project, including the following elements: Background, Objective/Hypothesis, Study Design and Specific Aims, Synergy, Innovation, and Impact. The technical abstract is used by all reviewers; however, programmatic reviewers do not have access to the full application and rely on the technical abstract for appropriate description of the project's key aspects.
- Attachment 4: Public Abstract (one-page limit): Upload as "PublicAbs.pdf." Public abstracts should be written using the outline below. Do not duplicate the technical abstract. The public abstract is used by consumer peer reviewers along with other components of the application package.
 - Describe the scientific objective and rationale for the proposed project in a manner that will be *readily understood by readers without a background in* science or medicine.
 - Describe the ultimate applicability of the research.
 - What types of patients will it help, and how will it help them?
 - What are the potential clinical applications, benefits, and risks?
 - What is the projected time it may take to achieve a patient-related outcome?
 - If the research is too basic for clinical applicability, describe the interim outcomes.
 - What are the likely contributions of this study to advancing the field of research?
- Attachment 5: Statement of Work (SOW) (three-page limit): Upload as "SOW.pdf." Refer to the General Application Instructions, Section II.C., for detailed information.

Each PI must submit an identical copy of a jointly created SOW. The contributions of the Initiating PI and Partnering PI(s) should be noted for each task.

• Attachment 6: Impact Statement (one-page limit). Upload as "Impact.pdf."

Explain in detail why the proposed research project is important.

Describe the short-term impact: Detail the anticipated outcome(s)/product(s) that will be directly attributed to the results of the proposed research.

Describe the long-term impact: Explain the anticipated long-term gains from the proposed research, including the long-term anticipated advantages that the new understanding may ultimately contribute to the goal of eliminating death and suffering from prostate cancer.

PCRP Overarching Challenges: Summarize how the proposed project addresses one of the PCRP overarching challenges or another critical issue in prostate cancer research and/or patient care.

• Attachment 7: Innovation Statement (one-page limit). Upload as "Innovation.pdf."

Describe how the proposed work is innovative. Proposing research that represents an incremental advancement on published data is not considered innovative.

The following examples of ways in which the proposed work may be innovative, although not all-inclusive, are intended to help the PIs frame the innovative features of their application:

- Study concept Investigation of a novel idea and/or research question.
- Research method or technology Use of novel research methods or new technologies, including technology development, to address a research question.
- Novel method or technology Development of a novel method or technology for prevention, detection, diagnosis, or treatment.
- Existing methods or technologies Application or adaptation of existing methods or technologies for novel research or clinical purposes, or for research or clinical purposes that differ fundamentally from those originally intended.
- Attachment 8: Synergy Statement (one-page limit). Upload as "Synergy.pdf."

Discuss in detail the advantages of addressing this problem through the combined expertise of the PIs and how this contributes to the synergy of the application. Describe the elements of interdependence in the proposed work and the contributions of each PI to the overall synergy of the project. Describe how the combined efforts of the PIs will result in a level of productivity that is greater than that achievable by each PI working independently.

- **3.** Research & Related Senior/Key Person Profile (Expanded) Form: Refer to the General Application Instructions, Section II.C., for detailed information.
 - PI Biographical Sketch (four-page limit): Upload as "Biosketch_LastName.pdf."
 - o Include biosketches for both the Initiating and Partnering PI(s).
 - PI Current/Pending Support (no page limit): Upload as "Support_LastName.pdf."
 - Include Current/Pending Support for both the Initiating and Partnering PI(s).
 - Key Personnel Biographical Sketches (four-page limit each): Upload as "Biosketch_LastName.pdf."
 - Key Personnel Current/Pending Support (no page limit): Upload as "Support_LastName.pdf."
- **4. Research & Related Budget:** Refer to the General Application Instructions, Section II.C., for detailed information.
 - Budget Justification (no page limit): Upload as "BudgetJustification.pdf."

Initiating and Partnering PIs must each submit a budget and justification as part of their separate Grants.gov application packages. The Research & Related Budget form for the Initiating PI should not include budget information for the Partnering PIs, even if they are at the same organization.

- **5. Project/Performance Site Location(s) Form:** Refer to the General Application Instructions, Section II.C., for detailed information.
- **6. R & R Subaward Budget Attachment(s) Form (if applicable):** Refer to the General Application Instructions, Section II.C., for detailed information.

Application Components for the Partnering PI(s):

The Partnering PI(s) must follow the link in the email from CDMRP eReceipt and complete the registration process prior to the application submission deadline in order to associate his/her/their grant application package(s) with that of the Initiating PI.

The application submission process for the Partnering PI(s) uses an abbreviated application package of forms and attachments from Grants.gov that includes:

- 1. SF 424 (R&R) Application for Federal Assistance Form
- 2. Attachments Form
 - Attachment 5: Statement of Work (SOW) (three-page limit): Upload as "SOW.pdf." Refer to the General Application Instructions, Section II.C., for detailed information on completing the SOW. *Each PI must submit an identical*

copy of a jointly created SOW. The contributions of the Initiating PI and Partnering PI (s) should be noted for each task.

- **3. Research & Related Budget:** Refer to the General Application Instructions, Section II.C., for detailed information.
 - Budget Justification (no page limit): Upload as "BudgetJustification.pdf."

Initiating and Partnering PIs must each submit a budget and justification as part of their separate Grants.gov application packages. The Research & Related Budget form for the Initiating PI should not include budget information for the Partnering PIs, even if they are at the same organization.

- **4. Project/Performance Site Location(s) Form:** Refer to the General Application Instructions, Section II.C., for detailed information.
- **5. R & R Subaward Budget Attachment(s) Form (if applicable):** Refer to the General Application Instructions, Section II.C., for detailed information.

D. Submission Dates and Times

All submission dates and times are indicated on the <u>title page</u> of this Program Announcement/ Funding Opportunity. Pre-application and application submissions are required. Failure to meet any one of the deadlines shall result in application rejection.

E. Other Submission Requirements

Refer to the General Application Instructions, Appendix 2, for detailed formatting guidelines.

All applications must be submitted through Grants.gov. Organizations are required to provide a Data Universal Number System (DUNS) number and register with the Central Contractor Registry (CCR) to submit applications through the Grants.gov portal. Refer to the General Application Instructions, Appendix 3, for information on Grants.gov requirements.

III. APPLICATION REVIEW INFORMATION

A. Application Review and Selection Process

All applications are evaluated by scientists, clinicians, and consumer advocates using a two-tier review process. The first tier is a peer review of applications against established criteria for determining technical merit. The second tier is a programmatic review that compares applications to each other and makes recommendations for funding to the Commanding General, USAMRMC, based on technical merit, the relevance of the mission of the DOD and CDMRP, and the specific intent of the award mechanism. The highest scoring applications from the first tier of review are not automatically recommended for funding. Additional information about the two-tier review process used by the CDMRP can be found at http://cdmrp.army.mil/about/fundingprocess.

All CDMRP review processes are conducted confidentially to maintain the integrity of the merit-based selection process. Each level of review requires panelists to sign a non-disclosure statement attesting that application and evaluation information will not be disclosed outside the panel. Violations of the non-disclosure statement can result in the dissolving of a panel(s) and other corrective actions. Organizational personnel and PIs are prohibited from contacting persons involved in the review process to gain protected evaluation information or to influence the evaluation process. Violations of these prohibitions will result in the administrative withdrawal of the organization's application. Violations by panelists or PIs that compromise the confidentiality of the review process may also result in suspension or debarment of their employing organizations from Federal awards. Furthermore, it is a crime for Federal officials to disclose confidential information of one party to another third party (Title 18 United States Code 1905).

B. Application Review Criteria

1. **Peer Review:** To determine technical merit, all applications will be evaluated according to the following scored criteria. Of these criteria, Synergy, Innovation, and Impact are equally the most important, with the remaining criteria listed in decreasing order of importance.

Synergy

- To what degree the proposed partnership between independent PIs is likely to result in a level of productivity that is greater than that achievable by each PI working independently.
- o To what degree the contributions of each PI to the overall synergy of the project are appropriately balanced.
- To what degree the proposed project is centered on a unified theme that addresses a single research question rather than an additive set of unrelated subprojects.
- o How well the application addresses processes for ongoing communication, decision-making, allocation of resources, coordination of research progress and results, and sharing of data among all participating PIs and institutions.

Innovation

- To what degree the research proposes new paradigms or challenges existing paradigms, or is otherwise uniquely creative in one or more of the following ways: Concept or question, research methods or technologies, adaptations of existing methods or technologies, or other ways.
- o To what degree the proposed research represents more than an incremental advance upon published data.

Impact

o To what degree the project could, whether short-term or long-term, make a significant impact on prostate cancer research and/or patient care, including its

potential contribution to the elimination of death and suffering from prostate cancer.

 How well the proposed research addresses one of the PCRP overarching challenges or is otherwise justified as significantly addressing another critical issue in prostate cancer research and/or patient care.

Research Strategy and Feasibility

- o How well the scientific rationale supports the project and its feasibility as demonstrated by a critical review and analysis of the literature, prostate cancer-relevant preliminary data, and/or logical reasoning.
- How well the hypotheses or objectives, aims, experimental design, methods, and analyses are developed.
- o How well the PIs acknowledge potential problems and address alternative approaches.

Personnel

- To what degree each PI possesses the research experience to function as a PI in a synergistic project.
- o How the research team's background and prostate cancer-related expertise are appropriate with respect to its ability to perform the proposed work.
- o To what degree the levels of effort are appropriate for successful conduct of the proposed work.

In addition, the following unscored criteria will also contribute to the overall evaluation of the application:

Environment

- o To what degree the scientific environment is appropriate for the proposed research.
- How well the research requirements are supported by the availability of and accessibility to facilities and resources (including collaborative arrangements).
- o To what degree the quality and extent of organizational support are appropriate.

Budget

- Whether the budget is appropriate for the proposed research and within the limitations of this Program Announcement/Funding Opportunity.
- Whether the resources are divided appropriately among all PIs.

• Application Presentation

• To what extent the writing, clarity, and presentation of the application components influenced the review.

- **2. Programmatic Review:** To determine the application's relevance to the mission of the DOD and CDMRP, as well as to make funding recommendations, the following equally considered criteria are used by programmatic reviewers:
 - Adherence to the intent of the award mechanism
 - Programmatic relevance
 - Ratings and evaluations of the peer reviewers
 - Relative synergy, innovation and impact
 - Program portfolio composition

C. Recipient Qualification

Refer to the General Application Instructions, Appendix 1, for additional information on organization and Government agency requirements.

D. Application Review Dates

All application review dates and times are indicated on the <u>title page</u> of this Program Announcement/Funding Opportunity.

E. Notification of Application Review Results

Each PI and organization will receive notification of the funding recommendation. PIs will receive a scientific peer review summary statement on the strengths and weaknesses of the application.

IV. ADMINISTRATIVE ACTIONS

After receipt of pre-applications from CDMRP eReceipt or applications from Grants.gov, the following administrative actions may occur:

A. Rejection

The following will result in administrative rejection of the pre-application:

- Preproposal Narrative exceeds page limit.
- Preproposal Narrative is missing.

The following will result in administrative rejection of the application:

- Project Narrative exceeds page limit.
- Project Narrative is missing.
- Budget is missing.
- Submission of an application for which a letter of invitation was not received.
- All associated (Initiating and Partnering PI) applications are not submitted by the deadline.

B. Modification

- Pages exceeding the specified limits will be removed prior to review for all documents other than the Project Narrative and Preproposal Narrative.
- Documents not requested will be removed.
- Following the application deadline, you may be contacted by CDMRP via email with a request to provide certain missing supporting documents (excluding those listed in Section IV.A., Rejection). The missing documents must be provided by 5:00 p.m. ET on the second full business day following the date the email was sent. Otherwise, the application will be reviewed as submitted.

C. Withdrawal

The following may result in administrative withdrawal of the pre-application or application:

- FY11 PCRP Integration Panel (IP) member is found to be involved in the preapplication or application processes including, but not limited to, concept design, application development, budget preparation, and the development of any supporting document. A list of the FY11 PCRP IP members may be found at http://cdmrp.army.mil/pcrp/panels/panel11.
- Submission of the same research project to different funding opportunities within the same program and fiscal year.
- The application does not conform to this Program Announcement/Funding Opportunity description to an extent that precludes appropriate review.
- Direct costs as shown on the Research and Related Budget form exceed the maximum allowed by this Program Announcement/Funding Opportunity.
- Inclusion of URLs with the exception of links to published references.
- Page size is larger than 8.5 inches x 11.0 inches (approximately 21.59 cm x 27.94 cm).
- The proposed research is or includes a clinical trial.
- The Initiating or Partnering PI(s) does/do not meet the eligibility criteria as described in this Program Announcement/Funding Opportunity.

D. Withhold

Applications that appear to involve research misconduct will be administratively withheld from further consideration pending institutional investigation. The institution will be required to provide the findings of the investigation to the US Army Medical Research Acquisition Activity (USAMRAA) Contracting/Grants Officer for a determination of the final disposition of the application.

V. AWARD ADMINISTRATION INFORMATION

A. Award Notice

Awards will be made no later than September 30, 2012. Refer to the General Application Instructions, Appendix 4, for additional award administration information.

B. Administrative and National Policy Requirements

Refer to the General Application Instructions, Appendix 4, Section C, for general information regarding administrative and national policy requirements.

C. Reporting

Refer to the General Application Instructions, Appendix 4, Section D, for general information on reporting requirements.

D. Award Transfers

Refer to the General Application Instructions, Appendix 4, Section E, for general information on organization or PI changes.

VI. AGENCY CONTACTS

A. CDMRP Help Desk

Questions related to Program Announcement/Funding Opportunity content or submission requirements and questions related to the submission of the pre-application through the CDMRP eReceipt System should be directed to the CDMRP Help Desk, which is available Monday through Friday from 8:00 a.m. to 5:00 p.m. ET. Response times may vary depending upon the volume of inquiries.

Phone: 1-301-682-5507 Email: help@cdmrp.org

B. Grants.gov Contact Center

Questions related to application submission through the Grants.gov portal should be directed to the Grants.gov Contact Center, which is available 24 hours a day, 7 days a week (closed on U.S. Federal holidays). Note that the CDMRP Help Desk is unable to provide technical assistance with Grants.gov submission.

Phone: 1-800-518-4726
Email: support@grants.gov

Sign up on Grants.gov for "send me change notification emails" by following the link on the Synopsis page for the Program Announcement/Funding Opportunity. If the application package is updated or changed, the original version of the application package may not be accepted by Grants.gov.

VII. APPLICATION SUBMISSION CHECKLIST

Grants.gov Application Components	Action	Initiating PI Completed	Partnering PI Completed
SF-424 (R&R) Application for Federal Assistance Form	Complete form as instructed.		
	Upload Project Narrative (ProjectNarrative.pdf) as Attachment 1.		
	Upload Supporting Documentation (Support.pdf) as Attachment 2.		
	Upload Technical Abstract (TechAbs.pdf) as Attachment 3.		
Attachments Form	Upload Public Abstract (PublicAbs.pdf) as Attachment 4.		
Attachments Form	Upload Statement of Work (SOW.pdf) as Attachment 5.		
	Upload Impact Statement (Impact.pdf) as Attachment 6.		
	Upload Innovation Statement (Innovation.pdf) as Attachment 7.		
	Upload Synergy Statement (Synergy.pdf) as Attachment 8.		
	Attach PI Biographical Sketch (Biosketch_LastName.pdf) to the appropriate field.		
December & Deleted	Attach PI Current & Pending Support (Support_LastName.pdf) to the appropriate field.		
Research & Related Senior/Key Person Profile (Expanded)	Attach Biographical Sketch (Biosketch_LastName.pdf) for each senior/key person to the appropriate field.		
	Attach Current & Pending Support (Support_LastName.pdf) for each senior/key person to the appropriate field.		
Research & Related Budget	Complete form as instructed. Attach Budget Justification (BudgetJustification.pdf) to the appropriate field.		
Project/Performance Site Location(s) Form	Complete form as instructed.		
R & R Subaward Budget Attachment(s) Form	Complete form as instructed.		