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Explanatory Memorandum](#)

**AN BILLE UM DHLÍNSE CÚIRTEANNA AGUS
FORGHNÍOMHÚ BREITHIÚNAS (LEASÚ), 2011
JURISDICTION OF COURTS AND ENFORCEMENT OF
JUDGMENTS (AMENDMENT) BILL 2011**

*Mar a tionscnaíodh
As initiated*

ARRANGEMENT OF SECTIONS

Section

1. Amendment of Jurisdiction of Courts and Enforcement of Judgments Act 1998.
2. Amendment of Maintenance Act 1994.
3. Short title, collective citation and construction.

SCHEDULE

ACTS REFERRED TO

Courts (Supplemental Provisions) Acts 1961 to 2008	
Defence Act 1954	1954, No. 18
Enforcement of Court Orders (Amendment) Act 2009	2009, No. 21
Enforcement of Court Orders Act 1940	1940, No. 23
Family Law (Maintenance of Spouses and Children) Act 1976	1976, No. 11
Family Law Act 1995	1995, No. 26
Jurisdiction of Courts and Enforcement of Judgments Act 1998	1998, No. 52
Maintenance Act 1994	1994, No. 28
Status of Children Act 1987	1987, No. 26
Trustee Savings Banks Acts 1989 and 2001	



**AN BILLE UM DHLÍNSE CÚIRTEANNA AGUS
FORGHNÍOMHÚ BREITHIÚNAS (LEASÚ), 2011
JURISDICTION OF COURTS AND ENFORCEMENT OF
JUDGMENTS (AMENDMENT) BILL 2011**

BILL

entitled

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15
AN ACT TO GIVE EFFECT TO THE CONVENTION ON
JURISDICTION AND THE RECOGNITION AND
ENFORCEMENT OF JUDGMENTS IN CIVIL AND
COMMERCIAL MATTERS SIGNED AT LUGANO ON
THE 30TH DAY OF OCTOBER 2007 AND CONCLUDED
ON BEHALF OF THE EUROPEAN COMMUNITY
PURSUANT TO COUNCIL DECISION 2009/430/EC¹, AND
FOR THAT PURPOSE TO AMEND THE JURISDICTION
OF COURTS AND ENFORCEMENT OF JUDGMENTS
ACT 1998 AND THE MAINTENANCE ACT 1994 AND TO
PROVIDE FOR RELATED MATTERS.

BE IT ENACTED BY THE OIREACHTAS AS FOLLOWS:

20 **1.**—The Jurisdiction of Courts and Enforcement of Judgments Act 1998 is amended—

(a) by inserting the following Part after Part III:

Amendment of
Jurisdiction of
Courts and
Enforcement of
Judgments Act
1998.

“PART IIIA

2007 LUGANO CONVENTION

Interpretation
of this Part.

20A.—(1) In this Part—

25 ‘Convention’ means the Convention on
jurisdiction and the recognition and
enforcement of judgments in civil and com-
mercial matters signed at Lugano on 30
30 October 2007 and concluded on behalf of
the European Community pursuant to
Council Decision 2009/430/EC and the Pro-
tocols and Annexes thereto (the text of
which, in the English language, is, for con-
35 venience of reference, set out in the Tenth
Schedule);

¹OJ No. L147 of 10.6.2009, p. 1

‘Convention court’ means a court of a state bound by the Convention;

‘enforceable maintenance order’ means—

- (a) a maintenance order respecting all of which an enforcement order has been made, or 5
- (b) if an enforcement order has been made respecting only part of a maintenance order, the maintenance order to the extent to which it is so ordered to be enforced; 10

‘enforcement order’ means an order for the recognition or enforcement of all or part of a judgment where the order— 15

- (a) is made by the Master of the High Court under section 20E, or
- (b) is made or varied—
 - (i) on appeal from a decision of the Master under that section, or 20
 - (ii) on appeal from a decision of the High Court on such an appeal; 25

‘judgment’ means a judgment or order (by whatever name called) that is a judgment for the purposes of the Convention and, except in sections 20H and 20J, includes an authentic instrument within the meaning of Article 57 and a settlement referred to in Article 58; 30

‘maintenance’ means maintenance within the meaning of the Convention;

‘maintenance creditor’ means, in relation to a maintenance order, the person entitled to the payments for which the order provides; 35

‘maintenance debtor’ means, in relation to a maintenance order, the person who is liable to make a payment under the order; 40

‘maintenance order’ means a judgment relating to maintenance;

‘state bound by the Convention’ means a state, other than the State, respecting which the Convention has entered into force in accordance with Article 69 or 70 of that Convention. 45

5 (2) (a) A word or expression that is used in this Part and is also used in the Convention has, unless the context otherwise requires, the same meaning in this Part as it has in the Convention, and

(b) a reference to an Article is a reference to an Article of the Convention.

10 (3) The definition of 'judgment' in subsection (1) shall not be construed to limit the effect of Article 64 of the Convention.

Convention to have force of law. 20B.—The Convention has force of law in the State.

15 Interpretation of Convention. 20C.—(1) Judicial notice shall be taken of the Convention and of—

20 (a) any decision of the courts of other states bound by the Convention concerning the Convention,

25 (b) any relevant decision concerning the Convention on jurisdiction and the enforcement of judgments in civil and commercial matters done at Lugano on the 16th day of September 1988, and

30 (c) any relevant decision concerning the instruments referred to in Article 64(1),

and a court shall, when interpreting and applying the Convention, take due account of the principles laid down by those decisions.

35 (2) Judicial notice shall be taken of the explanatory report prepared by Professor Fausto Pocar on the Convention on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters, signed at Lugano on 30 October 2007.

45 (3) The explanatory report referred to in subsection (2) may be considered when interpreting the meaning of any provision of the Convention and shall be given such weight as is appropriate in the circumstances.

Orders. 20D.—(1) The Minister for Foreign Affairs may, by order, declare—

- (a) that a state specified in the order is a state bound by the Convention,
- (b) that a denunciation has been made pursuant to Article 74 of the Convention, or 5
- (c) that a communication or declaration has been made pursuant to Article 69(3) or 71 of the Convention. 10

(2) The text of a denunciation, communication or declaration referred to in subsection (1) shall be set out in the order declaring that the denunciation, communication or declaration has been made. 15

(3) An order that is in force—

- (a) under subsection (1)(a), shall be evidence that any state to which the declaration relates is a state bound by the Convention, and 20
- (b) under subsection (1)(b) or (c), shall be evidence that the denunciation, communication or declaration, the text of which is set out in the order, was made and evidence of its contents. 25

Applications for recognition or enforcement of judgments.

20E.—(1) An application under the Convention for the recognition or enforcement in the State of a judgment shall be made to the Master of the High Court. 30

(2) The Master of the High Court shall determine an application referred to in subsection (1) in accordance with the Convention.

(3) If the application is for the enforcement of a judgment, the Master of the High Court shall declare the judgment enforceable immediately on completion of the formalities provided for in Article 53 without any review under Articles 34 and 35 and shall make an enforcement order in relation to the judgment. 35 40

(4) An order under subsection (2) may provide for the recognition or enforcement of only part of the judgment concerned. 45

(5) For the purposes of this Part references in Articles 42, 43, 45, 47, 48, 52, 53 and 57 to a declaration of enforceability may be treated as references to an enforcement order. 50

Enforcement
of judgments.

20F.—(1) Subject to section 20H and the restrictions on enforcement contained in Article 47(3), if an enforcement order has been made respecting a judgment, the judgment—

(a) shall, to the extent to which its enforcement is authorised by the enforcement order, be of the same force and effect as a judgment of the High Court, and

(b) may be enforced by the High Court, and proceedings taken on it, as if it were a judgment of that Court.

(2) Subject to subsections (3) and (6), subsection (1) shall not apply to a maintenance order.

(3) On application by a maintenance creditor under an enforceable maintenance order, the Master of the High Court may by order declare that the following shall be regarded as being payable under a judgment referred to in subsection (1):

(a) a sum payable under the enforceable maintenance order as a periodic payment but not paid before the relevant enforcement order was made;

(b) a lump sum (not being a sum referred to in paragraph (a)) which is payable under the enforceable maintenance order.

(4) An order shall not be made under subsection (3) unless the Master of the High Court considers that by doing so the enforceable maintenance order would be more effectively enforced respecting any sum referred to in that paragraph.

(5) If such an order is made, the sum to which it relates shall be deemed to be payable under a judgment referred to in subsection (1) and not otherwise.

(6) A maintenance order shall be regarded as a judgment referred to in subsection (1) if the District Court does not have jurisdiction to enforce it under section 20G.

Enforcement
of enforceable
maintenance
orders.

20G.—(1) Subject to section 20H and the restrictions on enforcement contained in Article 47(3), the District Court shall

have jurisdiction to enforce an enforceable maintenance order.

(2) An enforceable maintenance order shall, from the date on which the maintenance order was made, be deemed for the purposes of— 5

(a) subsection (1),

(b) section 98(1) (as amended by section 30(1) of the Act of 1976) of the Defence Act 1954, and 10

(c) subject to the Convention, the variation or discharge of that order under section 6 (as amended by section 43(b) of the Act of 1995) of the Act of 1976, 15

to be an order made by the District Court under section 5, 5A (inserted by section 18 of the Act of 1987) or 21A (inserted by section 21 of the Act of 1987) of the Act of 1976, as may be appropriate. 20

(3) Subsections (1) and (2) shall apply even though an amount payable under the enforceable maintenance order exceeds the maximum amount the District Court has jurisdiction to award under an enactment referred to in subsection (2). 25

(4) Where an enforceable maintenance order is varied by a Convention court, and an enforcement order has been made respecting all or part of the enforceable maintenance order as so varied, or respecting all or part of the order effecting the variation, the enforceable maintenance order shall, from the date on which the variation takes effect, be enforceable in the State only as so varied. 30 35

(5) Where an enforceable maintenance order is revoked by a Convention court, and an enforcement order has been made respecting the order effecting the revocation, the enforceable maintenance order shall, from the date on which the revocation takes effect, cease to be enforceable in the State except in relation to any sums under the order that were payable, but not paid, on or before that date. 40 45

(6) Subject to subsections (3) to (5) of section 20F, the following shall be regarded as being payable pursuant to an order made under section 5, 5A (inserted by section 18 of the Act of 1987) or 21A (inserted by section 21 of the Act of 1987) of the Act of 1976: 50

(a) any sum payable under an enforceable maintenance order but not paid before the relevant enforcement order was made;

5 (b) any costs of or incidental to the application for the enforcement order that are payable under section 20H(2).

10 (7) The jurisdiction vested in the District Court by this section may be exercised by the judge of that Court for the time being assigned to—

15 (a) if the maintenance debtor under an enforceable maintenance order resides in the State, the district court district in which the debtor resides or carries on any profession, business or occupation, or

20 (b) if such a maintenance debtor does not so reside but is employed by a person residing or having a place of business in the State or by a body whose seat of management or control is in the State, the district court district in which the person resides or the body has its seat.

30 (8) Notwithstanding anything to the contrary in an enforceable maintenance order, the maintenance debtor shall pay any sum payable under that order to—

35 (a) in a case referred to in subsection (7)(a), the district court clerk for the district court area in which the debtor for the time being resides, or

40 (b) in a case referred to in subsection (7)(b), a district court clerk specified by the District Court,

for transmission to the maintenance creditor or, if a public authority has been authorised by the creditor to receive the sum, to the public authority.

45 (9) If a sum payable under an enforceable maintenance order is not duly paid and if the maintenance creditor so requests in writing, the district court clerk concerned shall make an application to the District Court respecting that sum under—

50

(a) section 8 (as amended by section 2(2) of the Enforcement of

Court Orders (Amendment)
Act 2009) of the Act of 1940, or

(b) section 10 (as amended by
section 43 of the Act of 1995) of
the Act of 1976. 5

(10) For the purposes of subsection
(9)(a) a reference to an applicant in section
8 (other than subsections (4) and (5)) of the
Act of 1940 shall be construed as a refer-
ence to the district court clerk. 10

(11) Nothing in this section shall affect
the right of a maintenance creditor under
an enforceable maintenance order to
institute proceedings for the recovery of a
sum payable to a district court clerk under
subsection (8). 15

(12) Section 8(7) of the Act of 1940 does
not apply to proceedings for the enforce-
ment of an enforceable maintenance order.

(13) The maintenance debtor shall give
notice of any change of address to the dis-
trict court clerk for the district court area
in which the debtor has been residing. 20

(14) A person who, without reasonable
excuse, contravenes subsection (13) is
guilty of an offence and liable on summary
conviction to a class C fine. 25

(15) If there are two or more district
court clerks for a district court area, a refer-
ence in this section to a district court clerk
shall be construed as a reference to any of
them. 30

(16) For the purposes of this section the
Dublin Metropolitan District is deemed to
be a district court area. 35

(17) In this section—

‘Act of 1940’ means the Enforcement of
Court Orders Act 1940;

‘Act of 1976’ means the Family Law
(Maintenance of Spouses and Children)
Act 1976; 40

‘Act of 1987’ means the Status of Children
Act 1987;

‘Act of 1995’ means the Family Law Act
1995. 45

Interest on
judgments and
payment of
costs.

20H.—(1) Where, on application for an
enforcement order respecting a judgment,
it is shown—

(a) that the judgment provides for the payment of a sum of money, and

(b) that in accordance with the law of the state bound by the Convention in which the judgment was given, interest on the sum is recoverable under the judgment at a particular rate or rates and from a particular date or time,

the enforcement order, if made, shall provide that the person liable to pay that sum shall also be liable to pay the interest, apart from any interest on costs recoverable under subsection (2), in accordance with the particulars noted in the order, and the interest shall be recoverable by the applicant as though it were part of the sum.

(2) An enforcement order may provide for the payment to the applicant by the respondent of the reasonable costs of or incidental to the application for the enforcement order.

(3) A person required by an enforcement order to pay costs shall be liable to pay interest on the costs as if they were the subject of an order for the payment of costs made by the High Court on the date on which the enforcement order was made.

(4) Interest shall be payable on a sum referred to in subsection (1)(a) only as provided for in this section.

Currency of payments under enforceable maintenance orders.

20I.—(1) An amount payable in the State under a maintenance order by virtue of an enforcement order shall be payable in the currency of the State.

(2) If the amount is stated in the maintenance order in any other currency, payment shall be made on the basis of the exchange rate prevailing on the date the enforcement order is made between the currency of the State and the other currency.

(3) For the purposes of this Part a certificate purporting to be signed by an officer of an authorised institution and to state the exchange rate prevailing on a specified date between a specified currency and the currency of the State shall be admissible as evidence of the facts stated in the certificate.

(4) In this section, ‘authorised institution’ means—

- (a) a credit institution within the meaning of Directive 2006/48/EC³ of the European Parliament and of the Council of 14 June 2006 relating to the taking up and pursuit of the business of credit institutions (including a branch, within the meaning of Article 4(3) of that Directive, located in a Member State of a credit institution having its head office in or, in accordance with Article 38 of that Directive, elsewhere than in a Member State),
- (b) a trustee savings bank within the meaning of the Trustee Savings Banks Acts 1989 and 2001, or
- (c) An Post.

Proof and
admissibility of
judgments and
certain
translations.

20J.—(1) For the purposes of the Convention a document, duly authenticated, which purports to be a copy of a judgment given by a Convention court shall without further proof be deemed to be a true copy of the judgment, unless the contrary is shown.

(2) A document purporting, under subsection (1), to be a copy of a judgment shall be regarded for those purposes as being duly authenticated if it—

- (a) purports to bear the seal of the Convention court or authority concerned, or
- (b) purports to be certified by a judge or officer of the Convention court or authority to be a true copy of the judgment.

(3) A document which—

- (a) purports to be a translation of—
 - (i) a judgment given by a Convention court,
 - (ii) an authentic instrument within the meaning of Article 57(1),
 - (iii) a settlement within the meaning of Article 58, or
 - (iv) a certificate mentioned in Article 54, 57(4) or 58, and

³OJ No. L177 of 30.06.2006, p.1

(b) is certified as correct by a person competent to do so,

shall be admissible as evidence of the document of which it purports to be a translation.

5

Provisional, including protective measures.

20K.—(1) The High Court may, on application to it pursuant to Article 31, grant any provisional measures, including protective measures, that the Court has power to grant in proceedings that, apart from this Act, are within its jurisdiction if—

10

(a) proceedings have been or are to be commenced in a state bound by the Convention, and

15

(b) the subject matter of the proceedings is within the scope of the Convention (whether or not that Convention has effect in relation to the proceedings).

20

(2) On such an application the High Court may refuse to grant the measures sought if, in its opinion, the fact that, apart from this section, the Court does not have jurisdiction in relation to the subject matter of the proceedings makes it inexpedient for it to grant those measures.

25

(3) Subject to Article 47(3), an application to the Master of the High Court for an enforcement order respecting a judgment may include an application for any protective measures the High Court has power to grant in proceedings that, apart from this Part, are within its jurisdiction.

30

(4) Where an enforcement order is made, the Master of the High Court shall grant any protective measures referred to in subsection (3) that are sought in the application for the enforcement order.

35

Domicile.

20L.—For the purposes of the Convention and this Part—

40

(a) a person is domiciled in the State or another state (not being a state bound by the Convention) if he or she is ordinarily resident in the State or that other state,

45

(b) a person is domiciled in a place in the State if he or she is domiciled in the State and is ordinarily resident or carries on any profession, business or occupation in that place, and

50

(c) a trust is domiciled in the State if the law of the State is the system of law with which the trust has its closest and most real connection. 5

Venue for certain proceedings.

20M.—(1) Subject to Articles 2 to 31, the jurisdiction of the Circuit Court in proceedings that may be instituted in the State by virtue of Article 2, 9(1)(a), 12(1), 16(2), 19(1) or 20(1) or the proviso to Article 22(1) shall be exercised by the judge of the Court for the time being assigned to the circuit where the defendant, or one of the defendants, ordinarily resides or carries on any profession, business or occupation. 10 15

(2) Subsection (1) shall apply where, apart from that subsection, the Circuit Court’s jurisdiction would be determined by reference to the place where the defendant resides or carries on business. 20

(3) The jurisdiction of the Circuit Court or District Court in proceedings that may be instituted in the State under Article 9(1)(b) or 16(1) by a plaintiff domiciled in the State may be exercised by the judge for the time being assigned— 25

(a) in the case of the Circuit Court, to the circuit, and

(b) in the case of the District Court, to the district court district, 30

in which the plaintiff or one of the plaintiffs ordinarily resides or carries on any profession, business or occupation.

Restriction of Part III.

20N.—Part III shall, except as provided in Article 65, cease to apply between the State and a state bound by the Convention.”, 35

(b) by inserting the Schedule set out in the Schedule to this Act after the Ninth Schedule.

Amendment of Maintenance Act 1994.

2.—(1) The Maintenance Act 1994 is amended— 40

(a) in section 3(1) (as amended by Regulation 13 of the Regulations of 2002), by inserting the following definitions:

“ ‘the 2007 Lugano Convention’ means the Convention on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters and the Protocols and Annexes thereto signed at Lugano on 30 October 2007; 45

‘the Regulations of 2002’ means the European Communities (Civil and Commercial Judgments) Regulations 2002 (S.I. No. 52 of 2002);” 50

(b) in section 4(2) (as amended by Regulation 13 of the Regulations of 2002) by substituting the following paragraphs for paragraph (a):

5 “(a) For the purposes of section 8 of the Enforcement of Court Orders Act 1940 (as amended by section 2(2) of the Enforcement of Court Orders (Amendment) Act 2009), the Acts of 1976, 1995, 1996 and 1998, the Brussels I Regulation, and the 2007 Lugano Convention, the Central Authority shall have authority to act on behalf of a maintenance creditor or of a claimant (as defined in section 13(1)), and references therein to a maintenance creditor or to such a claimant shall be construed as including references to that Authority.

10
15
20 (aa) In paragraph (a) ‘maintenance creditor’ means, in the context of the Brussels I Regulation or the 2007 Lugano Convention, a maintenance creditor referred to in Article 5(2) of that Regulation or Article 5(2) of that Convention.”;

25 (c) in section 5 (as amended by Regulation 13 of the Regulations of 2002) by substituting “, the Brussels I Regulation and the 2007 Lugano Convention” for “and the Brussels I Regulation”;

(d) in section 6(1) (as amended by Regulation 13 of the Regulations of 2002), by substituting the following definition for that of “reciprocating jurisdiction”:

30 “ ‘reciprocating jurisdiction’ means a Contracting State within the meaning of the Act of 1998 or, as appropriate, a Member State to which the Brussels I Regulation applies or a state bound by the 2007 Lugano Convention;”;

35 (e) in section 6(2) (as amended by section 22(4)(c) of the Act of 1998) by substituting the following paragraph for paragraph (a):

40 “(a) The Minister for Foreign Affairs may by order declare that any Contracting State (as defined in sections 4(1) or 17(1) of the Act of 1998) or a state bound by the 2007 Lugano Convention specified in the order is a reciprocating jurisdiction.”;

(f) in section 6(3), by inserting “, Article 57 or 58 of the Brussels I Regulation or Article 57 or 58 of the 2007 Lugano Convention” after “Convention”;

45 (g) in section 7—

(i) in subsection (1) (as amended by Regulation 13 of the Regulations of 2002) by substituting “, the Brussels I Regulation or the 2007 Lugano Convention” for “or the Brussels I Regulation”,

50 (ii) by substituting the following subsection for subsection (2A) (inserted by Regulation 13 of the Regulations of 2002):

“(2A) In the case of an application under the Brussels I Regulation or the 2007 Lugano Convention the Master shall determine it in accordance with Regulation 4 of the European Communities (Civil and Commercial Judgments) Regulations 2002.”, 5

(iii) in subsection (4)(a) (as amended by Regulation 13 of the Regulations of 2002) by substituting “, Article 43 of the Brussels I Regulation or Article 43 of the 2007 Lugano Convention” for “or Article 43 (right of appeal against declaration of enforceability) of the Brussels I Regulation”, 10

(iv) in subsection (5)(a) (as amended by Regulation 13 of the Regulations of 2002) by deleting “or (2)” after “subsection (1)”, and

(v) in subsection (5)(b)(iv) (as amended by Regulation 13 of the Regulations of 2002), by substituting “, Articles 53, 54 or 57 of the Brussels I Regulation or Articles 53, 54 or 57 of the 2007 Lugano Convention” for “or Articles 53, 54 or 57 of the Brussels I Regulation”; 15
20

(h) in section 14—

(i) in subsection (1), by substituting the following paragraph for paragraph (aa) (inserted by Regulation 13 of the Regulations of 2002):

“(aa) if the request is accompanied by an order of a court of a Member State to which the Brussels I Regulation applies or by an order of a court of a state bound by the 2007 Lugano Convention, transmit the request to the Master of the High Court for determination in accordance with that Regulation or that Convention”, 25
30

and

(ii) in subsection (9A)(a) (as amended by Regulation 13 of the Regulations of 2002), by substituting “the Brussels I Regulation or the 2007 Lugano Convention” for “or the Brussels I Regulation”. 35

(2) In this section “the Regulations of 2002” means the European Communities (Civil and Commercial Judgments) Regulations 2002 (S.I. No. 52 of 2002). 40

Short title,
collective citation
and construction.

3.—(1) This Act may be cited as the Jurisdiction of Courts and Enforcement of Judgments (Amendment) Act 2011.

(2) The Courts (Supplemental Provisions) Acts 1961 to 2008 and sections 20A, 20E to 20H, 20J, 20K and 20M (inserted by this Act) of the Jurisdiction of Courts and Enforcement of Judgments Act 1998 may be cited together as the Courts (Supplemental Provisions) Acts 1961 to 2011 and shall be construed together as one. 45

“TENTH SCHEDULE

CONVENTION on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters

5 PREAMBLE

THE HIGH CONTRACTING PARTIES TO THIS CONVENTION,

DETERMINED to strengthen in their territories the legal protection of persons therein established,

10 CONSIDERING that it is necessary for this purpose to determine the international jurisdiction of the courts, to facilitate recognition, and to introduce an expeditious procedure for securing the enforcement of judgments, authentic instruments and court settlements,

15 AWARE OF the links between them, which have been sanctioned in the economic field by the free trade agreements concluded between the European Community and certain States members of the European Free Trade Association,

TAKING INTO ACCOUNT:

20 — the Brussels Convention of 27 September 1968 on jurisdiction and the enforcement of judgments in civil and commercial matters, as amended by the Accession Conventions under the successive enlargements of the European Union,

25 — the Lugano Convention of 16 September 1988 on jurisdiction and the enforcement of judgments in civil and commercial matters, which extends the application of the rules of the 1968 Brussels Convention to certain States members of the European Free Trade Association,

30 — Council Regulation (EC) No 44/2001 of 22 December 2000 on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters, which has replaced the above-mentioned Brussels Convention,

35 — the Agreement between the European Community and the Kingdom of Denmark on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters, signed at Brussels on 19 October 2005,

40 PERSUADED that the extension of the principles laid down in Regulation (EC) No 44/2001 to the Contracting Parties to this instrument will strengthen legal and economic cooperation,

DESIRING to ensure as uniform an interpretation as possible of this instrument,

HAVE in this spirit DECIDED to conclude this Convention, and

HAVE AGREED AS FOLLOWS:

TITLE I

SCOPE

Article 1

1. This Convention shall apply in civil and commercial matters whatever the nature of the court or tribunal. It shall not extend, in particular, to revenue, customs or administrative matters. 5
2. The Convention shall not apply to:
- (a) the status or legal capacity of natural persons, rights in property arising out of a matrimonial relationship, wills and succession; 10
 - (b) bankruptcy, proceedings relating to the winding-up of insolvent companies or other legal persons, judicial arrangements, compositions and analogous proceedings;
 - (c) social security; 15
 - (d) arbitration.
3. In this Convention, the term “State bound by this Convention” shall mean any State that is a Contracting Party to this Convention or a Member State of the European Community. It may also mean the European Community. 20

TITLE II

JURISDICTION

SECTION 1

General provisions

Article 2

25

1. Subject to the provisions of this Convention, persons domiciled in a State bound by this Convention shall, whatever their nationality, be sued in the courts of that State.
2. Persons who are not nationals of the State bound by this Convention in which they are domiciled shall be governed by the rules of jurisdiction applicable to nationals of that State. 30

Article 3

1. Persons domiciled in a State bound by this Convention may be sued in the courts of another State bound by this Convention only by virtue of the rules set out in Sections 2 to 7 of this Title. 35
2. In particular the rules of national jurisdiction set out in Annex I shall not be applicable as against them.

Article 4

1. If the defendant is not domiciled in a State bound by this Convention, the jurisdiction of the courts of each State bound by this Convention shall, subject to the provisions of Articles 22 and 23, be determined by the law of that State. 40

2. As against such a defendant, any person domiciled in a State bound by this Convention may, whatever his nationality, avail himself in that State of the rules of jurisdiction there in force, and in particular those specified in Annex I, in the same way as the nationals of that State.

SECTION 2
Special jurisdiction

Article 5

A person domiciled in a State bound by this Convention may, in another State bound by this Convention, be sued:

1. (a) in matters relating to a contract, in the courts for the place of performance of the obligation in question;
(b) for the purpose of this provision and unless otherwise agreed, the place of performance of the obligation in question shall be:
 - in the case of the sale of goods, the place in a State bound by this Convention where, under the contract, the goods were delivered or should have been delivered,
 - in the case of the provision of services, the place in a State bound by this Convention where, under the contract, the services were provided or should have been provided;(c) if subparagraph (b) does not apply then subparagraph (a) applies;
2. in matters relating to maintenance,
 - (a) in the courts for the place where the maintenance creditor is domiciled or habitually resident; or
 - (b) in the court which, according to its own law, has jurisdiction to entertain proceedings concerning the status of a person if the matter relating to maintenance is ancillary to those proceedings, unless that jurisdiction is based solely on the nationality of one of the parties; or
 - (c) in the court which, according to its own law, has jurisdiction to entertain proceedings concerning parental responsibility, if the matter relating to maintenance is ancillary to those proceedings, unless that jurisdiction is based solely on the nationality of one of the parties;
3. in matters relating to tort, *delict* or *quasi-delict*, in the courts for the place where the harmful event occurred or may occur;
4. as regards a civil claim for damages or restitution which is based on an act giving rise to criminal proceedings, in the court seised of those proceedings, to the extent that that court has jurisdiction under its own law to entertain civil proceedings;

5. as regards a dispute arising out of the operations of a branch, agency or other establishment, in the courts for the place in which the branch, agency or other establishment is situated;
6. as settlor, trustee or beneficiary of a trust created by the operation of a statute, or by a written instrument, or created orally and evidenced in writing, in the courts of the State bound by this Convention in which the trust is domiciled; 5
7. as regards a dispute concerning the payment of remuneration claimed in respect of the salvage of a cargo or freight, in the court under the authority of which the cargo or freight in question: 10
 - (a) has been arrested to secure such payment, or
 - (b) could have been so arrested, but bail or other security has been given, provided that this provision shall apply only if it is claimed that the defendant has an interest in the cargo or freight or had such an interest at the time of salvage. 15

Article 6

- A person domiciled in a State bound by this Convention may also be sued: 20
1. where he is one of a number of defendants, in the courts for the place where any one of them is domiciled, provided the claims are so closely connected that it is expedient to hear and determine them together to avoid the risk of irreconcilable judgments resulting from separate proceedings; 25
 2. as a third party in an action on a warranty or guarantee or in any other third party proceedings, in the court seised of the original proceedings, unless these were instituted solely with the object of removing him from the jurisdiction of the court which would be competent in his case; 30
 3. on a counter-claim arising from the same contract or facts on which the original claim was based, in the court in which the original claim is pending;
 4. in matters relating to a contract, if the action may be combined with an action against the same defendant in matters relating to rights *in rem* in immovable property, in the court of the State bound by this Convention in which the property is situated. 35

Article 7

Where by virtue of this Convention a court of a State bound by this Convention has jurisdiction in actions relating to liability from the use or operation of a ship, that court, or any other court substituted for this purpose by the internal law of that State, shall also have jurisdiction over claims for limitation of such liability. 40

SECTION 3
Jurisdiction in matters relating to insurance

Article 8

5 In matters relating to insurance, jurisdiction shall be determined by this Section, without prejudice to Articles 4 and 5(5).

Article 9

1. An insurer domiciled in a State bound by this Convention may be sued:

- (a) in the courts of the State where he is domiciled, or
- 10 (b) in another State bound by this Convention, in the case of actions brought by the policyholder, the insured or a beneficiary, in the courts for the place where the plaintiff is domiciled, or
- 15 (c) if he is a co-insurer, in the courts of a State bound by this Convention in which proceedings are brought against the leading insurer.

2. An insurer who is not domiciled in a State bound by this Convention but has a branch, agency or other establishment in one of the States bound by this Convention shall, in disputes
20 arising out of the operations of the branch, agency or establishment, be deemed to be domiciled in that State.

Article 10

25 In respect of liability insurance or insurance of immovable property, the insurer may in addition be sued in the courts for the place where the harmful event occurred. The same applies if movable and immovable property are covered by the same insurance policy and both are adversely affected by the same contingency.

Article 11

30 1. In respect of liability insurance, the insurer may also, if the law of the court permits it, be joined in proceedings which the injured party has brought against the insured.

2. Articles 8, 9 and 10 shall apply to actions brought by the injured party directly against the insurer, where such direct
35 actions are permitted.

3. If the law governing such direct actions provides that the policyholder or the insured may be joined as a party to the action, the same court shall have jurisdiction over them.

Article 12

40 1. Without prejudice to Article 11(3), an insurer may bring proceedings only in the courts of the State bound by this Convention in which the defendant is domiciled, irrespective of whether he is the policyholder, the insured or a beneficiary.

2. The provisions of this Section shall not affect the right to bring a counter-claim in the court in which, in accordance with this Section, the original claim is pending.

Article 13

The provisions of this Section may be departed from only by an agreement: 5

1. which is entered into after the dispute has arisen, or
2. which allows the policyholder, the insured or a beneficiary to bring proceedings in courts other than those indicated in this Section, or 10
3. which is concluded between a policyholder and an insurer, both of whom are at the time of conclusion of the contract domiciled or habitually resident in the same State bound by this Convention, and which has the effect of conferring jurisdiction on the courts of that State even if the harmful event were to occur abroad, provided that such an agreement is not contrary to the law of that State, or 15
4. which is concluded with a policyholder who is not domiciled in a State bound by this Convention, except insofar as the insurance is compulsory or relates to immovable property in a State bound by this Convention, or 20
5. which relates to a contract of insurance insofar as it covers one or more of the risks set out in Article 14.

Article 14

The following are the risks referred to in Article 13(5): 25

1. any loss of or damage to:
 - (a) seagoing ships, installations situated offshore or on the high seas, or aircraft, arising from perils which relate to their use for commercial purposes;
 - (b) goods in transit, other than passengers' baggage, where the transit consists of or includes carriage by such ships or aircraft; 30
2. any liability, other than for bodily injury to passengers or loss of or damage to their baggage:
 - (a) arising out of the use or operation of ships, installations or aircraft as referred to in point 1(a) insofar as, in respect of the latter, the law of the State bound by this Convention in which such aircraft are registered does not prohibit agreements on jurisdiction regarding insurance of such risks; 35
40
 - (b) for loss or damage caused by goods in transit as described in point 1(b);

3. any financial loss connected with the use or operation of ships, installations or aircraft as referred to in point 1(a), in particular loss of freight or charter-hire;
- 5 4. any risk or interest connected with any of those referred to in points 1 to 3;
5. notwithstanding points 1 to 4, all large risks.

SECTION 4

Jurisdiction over consumer contracts

Article 15

- 10 1. In matters relating to a contract concluded by a person, the consumer, for a purpose which can be regarded as being outside his trade or profession, jurisdiction shall be determined by this Section, without prejudice to Articles 4 and 5(5), if:
 - 15 (a) it is a contract for the sale of goods on instalment credit terms, or
 - (b) it is a contract for a loan repayable by instalments, or for any other form of credit, made to finance the sale of goods, or
 - 20 (c) in all other cases, the contract has been concluded with a person who pursues commercial or professional activities in the State bound by this Convention of the consumer's domicile or, by any means, directs such activities to that State or to several States including that State, and the contract falls within the scope of such activities.
- 25 2. Where a consumer enters into a contract with a party who is not domiciled in the State bound by this Convention but has a branch, agency or other establishment in one of the States bound by this Convention, that party shall, in disputes arising out of the operations of the branch, agency or establishment, be deemed to be domiciled in that State.
- 30 3. This section shall not apply to a contract of transport other than a contract which, for an inclusive price, provides for a combination of travel and accommodation.

Article 16

- 35 1. A consumer may bring proceedings against the other party to a contract either in the courts of the State bound by this Convention in which that party is domiciled or in the courts for the place where the consumer is domiciled.
- 40 2. Proceedings may be brought against a consumer by the other party to the contract only in the courts of the State bound by this Convention in which the consumer is domiciled.
3. This Article shall not affect the right to bring a counter-claim in the court in which, in accordance with this Section, the original claim is pending.

Article 17

The provisions of this Section may be departed from only by an agreement:

1. which is entered into after the dispute has arisen; or
2. which allows the consumer to bring proceedings in courts other than those indicated in this Section; or 5
3. which is entered into by the consumer and the other party to the contract, both of whom are at the time of conclusion of the contract domiciled or habitually resident in the same State bound by this Convention, and which confers jurisdiction on the courts of that State, provided that such an agreement is not contrary to the law of that State. 10

SECTION 5

Jurisdiction over individual contracts of employment

Article 18 15

1. In matters relating to individual contracts of employment, jurisdiction shall be determined by this Section, without prejudice to Articles 4 and 5(5).
2. Where an employee enters into an individual contract of employment with an employer who is not domiciled in a State bound by this Convention but has a branch, agency or other establishment in one of the States bound by this Convention, the employer shall, in disputes arising out of the operations of the branch, agency or establishment, be deemed to be domiciled in that State. 20
25

Article 19

An employer domiciled in a State bound by this Convention may be sued:

1. in the courts of the State where he is domiciled; or
2. in another State bound by this Convention: 30
 - (a) in the courts for the place where the employee habitually carries out his work or in the courts for the last place where he did so; or
 - (b) if the employee does not or did not habitually carry out his work in any one country, in the courts for the place where the business which engaged the employee is or was situated. 35

Article 20

1. An employer may bring proceedings only in the courts of the State bound by this Convention in which the employee is domiciled. 40
2. The provisions of this Section shall not affect the right to

bring a counter-claim in the court in which, in accordance with this Section, the original claim is pending.

Article 21

5 The provisions of this Section may be departed from only by an agreement on jurisdiction:

1. which is entered into after the dispute has arisen; or
2. which allows the employee to bring proceedings in courts other than those indicated in this Section.

SECTION 6

10 **Exclusive jurisdiction**

Article 22

The following courts shall have exclusive jurisdiction, regardless of domicile:

- 15
1. in proceedings which have as their object rights *in rem* in immovable property or tenancies of immovable property, the courts of the State bound by this Convention in which the property is situated.

20

However, in proceedings which have as their object tenancies of immovable property concluded for temporary private use for a maximum period of six consecutive months, the courts of the State bound by this Convention in which the defendant is domiciled shall also have jurisdiction, provided that the tenant is a natural person and that the landlord and the tenant are domiciled in the same State bound by this Convention;

- 25
2. in proceedings which have as their object the validity of the constitution, the nullity or the dissolution of companies or other legal persons or associations of natural or legal persons, or of the validity of the decisions of their organs, the courts of the State bound by this Convention in which the company, legal person or association has its seat. In order to determine that seat, the court shall apply its rules of private international law;

- 30
3. in proceedings which have as their object the validity of entries in public registers, the courts of the State bound by this Convention in which the register is kept;

- 35
4. in proceedings concerned with the registration or validity of patents, trade marks, designs, or other similar rights required to be deposited or registered, irrespective of whether the issue is raised by way of an action or as a defence, the courts of the State bound by this Convention in which the deposit or registration has been applied for, has taken place or is, under the terms of a Community instrument or an international convention, deemed to have taken place.

40

45 Without prejudice to the jurisdiction of the European Patent Office under the Convention on the grant of European patents, signed at Munich on 5 October 1973, the courts of

each State bound by this Convention shall have exclusive jurisdiction, regardless of domicile, in proceedings concerned with the registration or validity of any European patent granted for that State irrespective of whether the issue is raised by way of an action or as a defence; 5

5. in proceedings concerned with the enforcement of judgments, the courts of the State bound by this Convention in which the judgment has been or is to be enforced.

SECTION 7
Prorogation of jurisdiction 10

Article 23

1. If the parties, one or more of whom is domiciled in a State bound by this Convention, have agreed that a court or the courts of a State bound by this Convention are to have jurisdiction to settle any disputes which have arisen or which may arise in connection with a particular legal relationship, that court or those courts shall have jurisdiction. Such jurisdiction shall be exclusive unless the parties have agreed otherwise. Such an agreement conferring jurisdiction shall be either: 15

(a) in writing or evidenced in writing, or 20

(b) in a form which accords with practices which the parties have established between themselves, or

(c) in international trade or commerce, in a form which accords with a usage of which the parties are or ought to have been aware and which in such trade or commerce is widely known to, and regularly observed by, parties to contracts of the type involved in the particular trade or commerce concerned. 25

2. Any communication by electronic means which provides a durable record of the agreement shall be equivalent to “writing”. 30

3. Where such an agreement is concluded by parties, none of whom is domiciled in a State bound by this Convention, the courts of other States bound by this Convention shall have no jurisdiction over their disputes unless the court or courts chosen have declined jurisdiction. 35

4. The court or courts of a State bound by this Convention on which a trust instrument has conferred jurisdiction shall have exclusive jurisdiction in any proceedings brought against a settlor, trustee or beneficiary, if relations between these persons or their rights or obligations under the trust are involved. 40

5. Agreements or provisions of a trust instrument conferring jurisdiction shall have no legal force if they are contrary to the provisions of Articles 13, 17 or 21, or if the courts whose jurisdiction they purport to exclude have exclusive jurisdiction by virtue of Article 22. 45

Article 24

Apart from jurisdiction derived from other provisions of this

Convention, a court of a State bound by this Convention before which a defendant enters an appearance shall have jurisdiction. This rule shall not apply where appearance was entered to contest the jurisdiction, or where another court has exclusive
5 jurisdiction by virtue of Article 22.

SECTION 8

Examination as to jurisdiction and admissibility

Article 25

10 Where a court of a State bound by this Convention is seised of a claim which is principally concerned with a matter over which the courts of another State bound by this Convention have exclusive jurisdiction by virtue of Article 22, it shall declare of its own motion that it has no jurisdiction.

Article 26

15 1. Where a defendant domiciled in one State bound by this Convention is sued in a court of another State bound by this Convention and does not enter an appearance, the court shall declare of its own motion that it has no jurisdiction unless its jurisdiction is derived from the provisions of this Convention.

20 2. The court shall stay the proceedings so long as it is not shown that the defendant has been able to receive the document instituting the proceedings or an equivalent document in sufficient time to enable him to arrange for his defence, or that all necessary steps have been taken to this end.

25 3. Instead of the provisions of paragraph 2, Article 15 of the Hague Convention of 15 November 1965 on the Service Abroad of Judicial and Extrajudicial Documents in Civil and Commercial matters shall apply if the document instituting the proceedings or an equivalent document had to be transmitted pursuant to that
30 Convention.

35 4. Member States of the European Community bound by Council Regulation (EC) No 1348/2000 of 29 May 2000 or by the Agreement between the European Community and the Kingdom of Denmark on the service of judicial and extrajudicial documents in civil or commercial matters, signed at Brussels on 19 October 2005, shall apply in their mutual relations the provision in Article 19 of that Regulation if the document instituting the proceedings or an equivalent document had to be transmitted pursuant to that Regulation or that Agreement.

SECTION 9

***Lis pendens* — Related actions**

Article 27

45 1. Where proceedings involving the same cause of action and between the same parties are brought in the courts of different States bound by this Convention, any court other than the court first seised shall of its own motion stay its proceedings until such time as the jurisdiction of the court first seised is established.

2. Where the jurisdiction of the court first seised is established,

any court other than the court first seised shall decline jurisdiction in favour of that court.

Article 28

1. Where related actions are pending in the courts of different States bound by this Convention, any court other than the court first seised may stay its proceedings. 5

2. Where these actions are pending at first instance, any court other than the court first seised may also, on the application of one of the parties, decline jurisdiction if the court first seised has jurisdiction over the actions in question and its law permits the consolidation thereof. 10

3. For the purposes of this Article, actions are deemed to be related where they are so closely connected that it is expedient to hear and determine them together to avoid the risk of irreconcilable judgments resulting from separate proceedings. 15

Article 29

Where actions come within the exclusive jurisdiction of several courts, any court other than the court first seised shall decline jurisdiction in favour of that court.

Article 30

20

For the purposes of this Section, a court shall be deemed to be seised:

1. at the time when the document instituting the proceedings or an equivalent document is lodged with the court, provided that the plaintiff has not subsequently failed to take the steps he was required to take to have service effected on the defendant; or 25

2. if the document has to be served before being lodged with the court at the time when it is received by the authority responsible for service, provided that the plaintiff has not subsequently failed to take the steps he was required to take to have the document lodged with the court. 30

SECTION 10

Provisional, including protective, measures

Article 31

35

Application may be made to the courts of a State bound by this Convention for such provisional, including protective, measures as may be available under the law of that State, even if, under this Convention, the courts of another State bound by this Convention have jurisdiction as to the substance of the matter. 40

TITLE III

RECOGNITION AND ENFORCEMENT

Article 32

For the purposes of this Convention, “judgment” means any judgment given by a court or tribunal of a State bound by this 45

Convention, whatever the judgment may be called, including a decree, order, decision or writ of execution, as well as the determination of costs or expenses by an officer of the court.

SECTION 1
Recognition

5

Article 33

1. A judgment given in a State bound by this Convention shall be recognised in the other States bound by this Convention without any special procedure being required.

10

2. Any interested party who raises the recognition of a judgment as the principal issue in a dispute may, in accordance with the procedures provided for in Sections 2 and 3 of this Title, apply for a decision that the judgment be recognised.

15

3. If the outcome of proceedings in a court of a State bound by this Convention depends on the determination of an incidental question of recognition that court shall have jurisdiction over that question.

Article 34

A judgment shall not be recognised:

20

1. if such recognition is manifestly contrary to public policy in the State in which recognition is sought;

25

2. where it was given in default of appearance, if the defendant was not served with the document which instituted the proceedings or with an equivalent document in sufficient time and in such a way as to enable him to arrange for his defence, unless the defendant failed to commence proceedings to challenge the judgment when it was possible for him to do so;

30

3. if it is irreconcilable with a judgment given in a dispute between the same parties in the State in which recognition is sought;

35

4. if it is irreconcilable with an earlier judgment given in another State bound by this Convention or in a third State involving the same cause of action and between the same parties, provided that the earlier judgment fulfils the conditions necessary for its recognition in the State addressed.

Article 35

40

1. Moreover, a judgment shall not be recognised if it conflicts with Sections 3, 4 or 6 of Title II, or in a case provided for in Article 68. A judgment may furthermore be refused recognition in any case provided for in Article 64(3) or 67(4).

2. In its examination of the grounds of jurisdiction referred to in the foregoing paragraph, the court or authority applied to shall be

bound by the findings of fact on which the court of the State of origin based its jurisdiction.

3. Subject to the provisions of paragraph 1, the jurisdiction of the court of the State of origin may not be reviewed. The test of public policy referred to in Article 34(1) may not be applied to the rules relating to jurisdiction. 5

Article 36

Under no circumstances may a foreign judgment be reviewed as to its substance.

Article 37 10

1. A court of a State bound by this Convention in which recognition is sought of a judgment given in another State bound by this Convention may stay the proceedings if an ordinary appeal against the judgment has been lodged.

2. A court of a State bound by this Convention in which recognition is sought of a judgment given in Ireland or the United Kingdom may stay the proceedings if enforcement is suspended in the State of origin, by reason of an appeal. 15

SECTION 2
Enforcement 20

Article 38

1. A judgment given in a State bound by this Convention and enforceable in that State shall be enforced in another State bound by this Convention when, on the application of any interested party, it has been declared enforceable there. 25

2. However, in the United Kingdom, such a judgment shall be enforced in England and Wales, in Scotland, or in Northern Ireland when, on the application of any interested party, it has been registered for enforcement in that part of the United Kingdom. 30

Article 39

1. The application shall be submitted to the court or competent authority indicated in the list in Annex II.

2. The local jurisdiction shall be determined by reference to the place of domicile of the party against whom enforcement is sought, or to the place of enforcement. 35

Article 40

1. The procedure for making the application shall be governed by the law of the State in which enforcement is sought.

2. The applicant must give an address for service of process within the area of jurisdiction of the court applied to. However, if the law of the State in which enforcement is sought does not provide for the furnishing of such an address, the applicant shall appoint a representative *ad litem*. 40

3. The documents referred to in Article 53 shall be attached to the application.

Article 41

5 The judgment shall be declared enforceable immediately on completion of the formalities in Article 53 without any review under Articles 34 and 35. The party against whom enforcement is sought shall not at this stage of the proceedings be entitled to make any submissions on the application.

Article 42

- 10 1. The decision on the application for a declaration of enforceability shall forthwith be brought to the notice of the applicant in accordance with the procedure laid down by the law of the State in which enforcement is sought.
- 15 2. The declaration of enforceability shall be served on the party against whom enforcement is sought, accompanied by the judgment, if not already served on that party.

Article 43

1. The decision on the application for a declaration of enforceability may be appealed against by either party.
- 20 2. The appeal is to be lodged with the court indicated in the list in Annex III.
3. The appeal shall be dealt with in accordance with the rules governing procedure in contradictory matters.
- 25 4. If the party against whom enforcement is sought fails to appear before the appellate court in proceedings concerning an appeal brought by the applicant, Article 26(2) to (4) shall apply even where the party against whom enforcement is sought is not domiciled in any of the States bound by this Convention.
- 30 5. An appeal against the declaration of enforceability is to be lodged within one month of service thereof. If the party against whom enforcement is sought is domiciled in a State bound by this Convention other than that in which the declaration of enforceability was given, the time for appealing shall be two months and shall run from the date of service, either on him in person or at his residence. No extension of time may be granted on account of distance.
- 35

Article 44

The judgment given on the appeal may be contested only by the appeal referred to in Annex IV.

40 *Article 45*

1. The court with which an appeal is lodged under Article 43 or Article 44 shall refuse or revoke a declaration of enforceability only on one of the grounds specified in Articles 34 and 35. It shall give its decision without delay.

2. Under no circumstances may the foreign judgment be reviewed as to its substance.

Article 46

1. The court with which an appeal is lodged under Article 43 or Article 44 may, on the application of the party against whom enforcement is sought, stay the proceedings if an ordinary appeal has been lodged against the judgment in the State of origin or if the time for such an appeal has not yet expired; in the latter case, the court may specify the time within which such an appeal is to be lodged. 5 10

2. Where the judgment was given in Ireland or the United Kingdom, any form of appeal available in the State of origin shall be treated as an ordinary appeal for the purposes of paragraph 1.

3. The court may also make enforcement conditional on the provision of such security as it shall determine. 15

Article 47

1. When a judgment must be recognised in accordance with this Convention, nothing shall prevent the applicant from availing himself of provisional, including protective, measures in accordance with the law of the State requested without a declaration of enforceability under Article 41 being required. 20

2. The declaration of enforceability shall carry with it the power to proceed to any protective measures.

3. During the time specified for an appeal pursuant to Article 43 (5) against the declaration of enforceability and until any such appeal has been determined, no measures of enforcement may be taken other than protective measures against the property of the party against whom enforcement is sought. 25

Article 48

1. Where a foreign judgment has been given in respect of several matters and the declaration of enforceability cannot be given for all of them, the court or competent authority shall give it for one or more of them. 30

2. An applicant may request a declaration of enforceability limited to parts of a judgment. 35

Article 49

A foreign judgment which orders a periodic payment by way of a penalty shall be enforceable in the State in which enforcement is sought only if the amount of the payment has been finally determined by the courts of the State of origin. 40

Article 50

1. An applicant who in the State of origin has benefited from complete or partial legal aid or exemption from costs or expenses shall be entitled, in the procedure provided for in this Section, to benefit from the most favourable legal aid or the most extensive 45

exemption from costs or expenses provided for by the law of the State addressed.

5 2. However, an applicant who requests the enforcement of a decision given by an administrative authority in Denmark, in Iceland or in Norway in respect of maintenance may, in the State addressed, claim the benefits referred to in paragraph 1 if he presents a statement from the Danish, Icelandic, or Norwegian Ministry of Justice to the effect that he fulfils the economic requirements to qualify for the grant of complete or partial legal aid or exemption from costs or expenses.

Article 51

15 No security, bond or deposit, however described, shall be required of a party who in one State bound by this Convention, applies for enforcement of a judgment given in another State bound by this Convention on the ground that he is a foreign national or that he is not domiciled or resident in the State in which enforcement is sought.

Article 52

20 In proceedings for the issue of a declaration of enforceability, no charge, duty or fee calculated by reference to the value of the matter at issue may be levied in the State in which enforcement is sought.

**SECTION 3
Common provisions**

25 *Article 53*

1. A party seeking recognition or applying for a declaration of enforceability shall produce a copy of the judgment which satisfies the conditions necessary to establish its authenticity.

30 2. A party applying for a declaration of enforceability shall also produce the certificate referred to in Article 54, without prejudice to Article 55.

Article 54

35 The court or competent authority of a State bound by this Convention where a judgment was given shall issue, at the request of any interested party, a certificate using the standard form in Annex V to this Convention.

Article 55

40 1. If the certificate referred to in Article 54 is not produced, the court or competent authority may specify a time for its production or accept an equivalent document or, if it considers that it has sufficient information before it, dispense with its production.

2. If the court or competent authority so requires, a translation of the documents shall be produced. The translation shall be certified

by a person qualified to do so in one of the States bound by this Convention.

Article 56

No legalisation or other similar formality shall be required in respect of the documents referred to in Article 53 or Article 55(2), or in respect of a document appointing a representative *ad litem*. 5

**TITLE IV
AUTHENTIC INSTRUMENTS AND COURT
SETTLEMENTS**

Article 57 10

1. A document which has been formally drawn up or registered as an authentic instrument and is enforceable in one State bound by this Convention shall, in another State bound by this Convention, be declared enforceable there, on application made in accordance with the procedures provided for in Article 38, et seq. The court with which an appeal is lodged under Article 43 or Article 44 shall refuse or revoke a declaration of enforceability only if enforcement of the instrument is manifestly contrary to public policy in the State addressed. 15

2. Arrangements relating to maintenance obligations concluded with administrative authorities or authenticated by them shall also be regarded as authentic instruments within the meaning of paragraph 1. 20

3. The instrument produced must satisfy the conditions necessary to establish its authenticity in the State of origin. 25

4. Section 3 of Title III shall apply as appropriate. The competent authority of a State bound by this Convention where an authentic instrument was drawn up or registered shall issue, at the request of any interested party, a certificate using the standard form in Annex VI to this Convention. 30

Article 58

A settlement which has been approved by a court in the course of proceedings and is enforceable in the State bound by this Convention in which it was concluded shall be enforceable in the State addressed under the same conditions as authentic instruments. The court or competent authority of a State bound by this Convention where a court settlement was approved shall issue, at the request of any interested party, a certificate using the standard form in Annex V to this Convention. 35

**TITLE V
GENERAL PROVISIONS** 40

Article 59

1. In order to determine whether a party is domiciled in the State bound by this Convention whose courts are seised of a matter, the court shall apply its internal law. 45

2. If a party is not domiciled in the State whose courts are seised

of the matter, then, in order to determine whether the party is domiciled in another State bound by this Convention, the court shall apply the law of that State.

Article 60

5 1. For the purposes of this Convention, a company or other legal person or association of natural or legal persons is domiciled at the place where it has its:

(a) statutory seat; or

(b) central administration; or

10 (c) principal place of business.

2. For the purposes of the United Kingdom and Ireland “statutory seat” means the registered office or, where there is no such office anywhere, the place of incorporation or, where there is no such place anywhere, the place under the law of which the formation
15 took place.

3. In order to determine whether a trust is domiciled in the State bound by this Convention whose courts are seised of the matter, the court shall apply its rules of private international law.

Article 61

20 Without prejudice to any more favourable provisions of national laws, persons domiciled in a State bound by this Convention who are being prosecuted in the criminal courts of another State bound by this Convention of which they are not nationals for an offence
25 which was not intentionally committed may be defended by persons qualified to do so, even if they do not appear in person. However, the court seised of the matter may order appearance in person; in the case of failure to appear, a judgment given in the civil action without the person concerned having had the opportunity to arrange for his defence need not be recognised or
30 enforced in the other States bound by this Convention.

Article 62

For the purposes of this Convention, the expression “court” shall include any authorities designated by a State bound by this Convention as having jurisdiction in the matters falling within the
35 scope of this Convention.

**TITLE VI
TRANSITIONAL PROVISIONS**

Article 63

40 1. This Convention shall apply only to legal proceedings instituted and to documents formally drawn up or registered as authentic instruments after its entry into force in the State of origin and, where recognition or enforcement of a judgment or authentic instruments is sought, in the State addressed.

2. However, if the proceedings in the State of origin were instituted before the entry into force of this Convention, judgments given after that date shall be recognised and enforced in accordance with Title III:

- (a) if the proceedings in the State of origin were instituted after the entry into force of the Lugano Convention of 16 September 1988 both in the State of origin and in the State addressed; 5
- (b) in all other cases, if jurisdiction was founded upon rules which accorded with those provided for either in Title II or in a convention concluded between the State of origin and the State addressed which was in force when the proceedings were instituted. 10

TITLE VII
RELATIONSHIP TO COUNCIL REGULATION (EC) NO 44/2001 AND OTHER INSTRUMENTS 15

Article 64

1. This Convention shall not prejudice the application by the Member States of the European Community of the Council Regulation (EC) No 44/2001 on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters, as well as any amendments thereof, of the Convention on Jurisdiction and the Enforcement of Judgments in Civil and Commercial Matters, signed at Brussels on 27 September 1968, and of the Protocol on interpretation of that Convention by the Court of Justice of the European Communities, signed at Luxembourg on 3 June 1971, as amended by the Conventions of Accession to the said Convention and the said Protocol by the States acceding to the European Communities, as well as of the Agreement between the European Community and the Kingdom of Denmark on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters, signed at Brussels on 19 October 2005. 20 25 30

2. However, this Convention shall in any event be applied:

- (a) in matters of jurisdiction, where the defendant is domiciled in the territory of a State where this Convention but not an instrument referred to in paragraph 1 of this Article applies, or where Articles 22 or 23 of this Convention confer jurisdiction on the courts of such a State; 35
- (b) in relation to *lis pendens* or to related actions as provided for in Articles 27 and 28, when proceedings are instituted in a State where the Convention but not an instrument referred to in paragraph 1 of this Article applies and in a State where this Convention as well as an instrument referred to in paragraph 1 of this Article apply; 40 45
- (c) in matters of recognition and enforcement, where either the State of origin or the State addressed is not applying an instrument referred to in paragraph 1 of this Article.

3. In addition to the grounds provided for in Title III, recognition or enforcement may be refused if the ground of jurisdiction on 50

5 which the judgment has been based differs from that resulting from this Convention and recognition or enforcement is sought against a party who is domiciled in a State where this Convention but not an instrument referred to in paragraph 1 of this Article applies, unless the judgment may otherwise be recognised or enforced under any rule of law in the State addressed.

Article 65

10 Subject to the provisions of Articles 63(2), 66 and 67, this Convention shall, as between the States bound by this Convention, supersede the conventions concluded between two or more of them that cover the same matters as those to which this Convention applies. In particular, the conventions mentioned in Annex VII shall be superseded.

Article 66

15 1. The conventions referred to in Article 65 shall continue to have effect in relation to matters to which this Convention does not apply.

20 2. They shall continue to have effect in respect of judgments given and documents formally drawn up or registered as authentic instruments before the entry into force of this Convention.

Article 67

25 1. This Convention shall not affect any conventions by which the Contracting Parties and/or the States bound by this Convention are bound and which in relation to particular matters, govern jurisdiction or the recognition or enforcement of judgments. Without prejudice to obligations resulting from other agreements between certain Contracting Parties, this Convention shall not prevent Contracting Parties from entering into such conventions.

30 2. This Convention shall not prevent a court of a State bound by this Convention and by a convention on a particular matter from assuming jurisdiction in accordance with that convention, even where the defendant is domiciled in another State bound by this Convention which is not a party to that convention. The court hearing the action shall, in any event, apply Article 26 of this Convention.

35 3. Judgments given in a State bound by this Convention by a court in the exercise of jurisdiction provided for in a convention on a particular matter shall be recognised and enforced in the other States bound by this Convention in accordance with Title III of this Convention.

40 4. In addition to the grounds provided for in Title III, recognition or enforcement may be refused if the State addressed is not bound by the convention on a particular matter and the person against whom recognition or enforcement is sought is domiciled in that State, or, if the State addressed is a Member State of the European Community and in respect of conventions which would have to be concluded by the European Community, in any of its Member States, unless the judgment may otherwise be recognised or enforced under any rule of law in the State addressed.

5. Where a convention on a particular matter to which both the State of origin and the State addressed are parties lays down conditions for the recognition or enforcement of judgments, those conditions shall apply. In any event, the provisions of this Convention which concern the procedures for recognition and enforcement of judgments may be applied. 5

Article 68

1. This Convention shall not affect agreements by which States bound by this Convention undertook, prior to the entry into force of this Convention, not to recognise judgments given in other States bound by this Convention against defendants domiciled or habitually resident in a third State where, in cases provided for in Article 4, the judgment could only be founded on a ground of jurisdiction as specified in Article 3(2). Without prejudice to obligations resulting from other agreements between certain Contracting Parties, this Convention shall not prevent Contracting Parties from entering into such conventions. 10 15

2. However, a Contracting Party may not assume an obligation towards a third State not to recognise a judgment given in another State bound by this Convention by a court basing its jurisdiction on the presence within that State of property belonging to the defendant, or the seizure by the plaintiff of property situated there: 20

(a) if the action is brought to assert or declare proprietary or possessory rights in that property, seeks to obtain authority to dispose of it, or arises from another issue relating to such property, or 25

(b) if the property constitutes the security for a debt which is the subject-matter of the action.

**TITLE VIII
FINAL PROVISIONS** 30

Article 69

1. The Convention shall be open for signature by the European Community, Denmark, and States which, at the time of the opening for signature, are Members of the European Free Trade Association. 35

2. This Convention shall be subject to ratification by the Signatories. The instruments of ratification shall be deposited with the Swiss Federal Council, which shall act as Depositary of this Convention.

3. At the time of the ratification, the Contracting Parties may submit declarations in accordance with Articles I, II and III of Protocol 1. 40

4. The Convention shall enter into force on the first day of the sixth month following the date on which the European Community and a Member of the European Free Trade Association deposit their instruments of ratification. 45

5. The Convention shall enter into force in relation to any other Party on the first day of the third month following the deposit of its instrument of ratification.

5 6. Without prejudice to Article 3(3) of Protocol 2, this Convention shall replace the Convention on jurisdiction and the enforcement of judgments in civil and commercial matters done at Lugano on 16 September 1988 as of the date of its entry into force in accordance with paragraphs 4 and 5 above. Any reference to the 10 1988 Lugano Convention in other instruments shall be understood as a reference to this Convention.

15 7. Insofar as the relations between the Member States of the European Community and the non-European territories referred to in Article 70(1)(b) are concerned, this Convention shall replace the Convention on Jurisdiction and the Enforcement of Judgments in Civil and Commercial Matters, signed at Brussels on 27 20 September 1968, and of the Protocol on interpretation of that Convention by the Court of Justice of the European Communities, signed at Luxembourg on 3 June 1971, as amended by the Conventions of Accession to the said Convention and the said Protocol by the States acceding to the European Communities, as of the date of the entry into force of this Convention with respect to these territories in accordance with Article 73(2).

Article 70

25 1. After entering into force this Convention shall be open for accession by:

(a) the States which, after the opening of this Convention for signature, become Members of the European Free Trade Association, under the conditions laid down in Article 71;

30 (b) Member States of the European Community acting on behalf of certain non-European territories that are part of the territory of that Member State or for whose external relations that Member State is responsible, under the conditions laid down in Article 71;

35 (c) any other State, under the conditions laid down in Article 72.

40 2. States referred to in paragraph 1, which wish to become a Contracting Party to this Convention, shall address their application to the Depositary. The application, including the information referred to in Articles 71 and 72 shall be accompanied by a translation into English and French.

Article 71

1. Any State referred to in Article 70(1)(a) and (b) wishing to become a Contracting Party to this Convention:

45 (a) shall communicate the information required for the application of this Convention;

(b) may submit declarations in accordance with Articles I and III of Protocol 1.

2. The Depositary shall transmit any information received pursuant to paragraph 1 to the other Contracting Parties prior to the deposit of the instrument of accession by the State concerned.

Article 72

1. Any State referred to in Article 70(1)(c) wishing to become a Contracting Party to this Convention: 5

(a) shall communicate the information required for the application of this Convention;

(b) may submit declarations in accordance with Articles I and III of Protocol 1; and 10

(c) shall provide the Depositary with information on, in particular:

(1) their judicial system, including information on the appointment and independence of judges;

(2) their internal law concerning civil procedure and enforcement of judgments; and 15

(3) their private international law relating to civil procedure.

2. The Depositary shall transmit any information received pursuant to paragraph 1 to the other Contracting Parties prior to inviting the State concerned to accede in accordance with paragraph 3 of this Article. 20

3. Without prejudice to paragraph 4, the Depositary shall invite the State concerned to accede only if it has obtained the unanimous agreement of the Contracting Parties. The Contracting Parties shall endeavour to give their consent at the latest within one year after the invitation by the Depositary. 25

4. The Convention shall enter into force only in relations between the acceding State and the Contracting Parties which have not made any objections to the accession before the first day of the third month following the deposit of the instrument of accession. 30

Article 73

1. The instruments of accession shall be deposited with the Depositary.

2. In respect of an acceding State referred to in Article 70, the Convention shall enter into force on the first day of the third month following the deposit of its instrument of accession. As of that moment, the acceding State shall be considered a Contracting Party to the Convention. 35

3. Any Contracting Party may submit to the Depositary a text of this Convention in the language or languages of the Contracting Party concerned, which shall be authentic if so agreed by the Contracting Parties in accordance with Article 4 of Protocol 2. 40

Article 74

1. This Convention is concluded for an unlimited period.
2. Any Contracting Party may, at any time, denounce the Convention by sending a notification to the Depositary.
- 5 3. The denunciation shall take effect at the end of the calendar year following the expiry of a period of six months from the date of receipt by the Depositary of the notification of denunciation.

Article 75

The following are annexed to this Convention:

- 10 — a Protocol 1, on certain questions of jurisdiction, procedure and enforcement,
- a Protocol 2, on the uniform interpretation of this Convention and on the Standing Committee,
- 15 — a Protocol 3, on the application of Article 67 of this Convention,
- Annexes I through IV and Annex VII, with information related to the application of this Convention,
- Annexes V and VI, containing the certificates referred to in Articles 54, 58 and 57 of this Convention,
- 20 — Annex VIII, containing the authentic languages referred to in Article 79 of this Convention, and
- Annex IX, concerning the application of Article II of Protocol 1.

25 These Protocols and Annexes shall form an integral part of this Convention.

Article 76

Without prejudice to Article 77, any Contracting Party may request the revision of this Convention. To that end, the Depositary shall convene the Standing Committee as laid down in Article 4 of Protocol 2.

30

Article 77

1. The Contracting Parties shall communicate to the Depositary the text of any provisions of the laws which amend the lists set out in Annexes I through IV as well as any deletions in or additions to the list set out in Annex VII and the date of their entry into force. Such communication shall be made within reasonable time before the entry into force and be accompanied by a translation into English and French. The Depositary shall adapt the Annexes concerned accordingly, after having consulted the Standing Committee in accordance with Article 4 of Protocol 2. For that purpose, the Contracting Parties shall provide a translation of the adaptations into their languages.

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2. Any amendment of Annexes V through VI and VIII through IX to this Convention shall be adopted by the Standing Committee in accordance with Article 4 of Protocol 2.

Article 78

1. The Depository shall notify the Contracting Parties of: 5
- (a) the deposit of each instrument of ratification or accession;
 - (b) the dates of entry into force of this Convention in respect of the Contracting Parties;
 - (c) any declaration received pursuant to Articles I to IV of Protocol 1; 10
 - (d) any communication made pursuant to Article 74(2), Article 77(1) and paragraph 4 of Protocol 3.
2. The notifications will be accompanied by translations into English and French.

Article 79

15

This Convention, drawn up in a single original in the languages listed in Annex VIII, all texts being equally authentic, shall be deposited in the Swiss Federal Archives. The Swiss Federal Council shall transmit a certified copy to each Contracting Party.

(Signatures of Plenipotentiaries)

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PROTOCOL 1

on certain questions of jurisdiction, procedure and enforcement

5 THE HIGH CONTRACTING PARTIES HAVE AGREED AS FOLLOWS:

Article I

10 1. Judicial and extrajudicial documents drawn up in one State bound by this Convention which have to be served on persons in another State bound by this Convention shall be transmitted in accordance with the procedures laid down in the conventions and agreements applicable between these States.

15 2. Unless the Contracting Party on whose territory service is to take place objects by declaration to the Depositary, such documents may also be sent by the appropriate public officers of the State in which the document has been drawn up directly to the appropriate public officers of the State in which the addressee is to be found. In this case the officer of the State of origin shall send a copy of the document to the officer of the State applied to who is competent to forward it to the addressee. The document shall be forwarded in the manner specified by the law of the State applied to. The forwarding shall be recorded by a certificate sent directly to the officer of the State of origin.

20 3. Member States of the European Community bound by Council Regulation (EC) No 1348/2000 of 29 May 2000 or by the Agreement between the European Community and the Kingdom of Denmark on the service of judicial and extrajudicial documents in civil or commercial matters, signed at Brussels on 19 October 2005, shall apply in their mutual relations that Regulation and that Agreement.

30 *Article II*

35 1. The jurisdiction specified in Articles 6(2) and 11 in actions on a warranty or guarantee or in any other third party proceedings may not be fully resorted to in the States bound by this Convention referred to in Annex IX. Any person domiciled in another State bound by this Convention may be sued in the courts of these States pursuant to the rules referred to in Annex IX.

40 2. At the time of ratification the European Community may declare that proceedings referred to in Articles 6(2) and 11 may not be resorted to in some other Member States and provide information on the rules that shall apply.

45 3. Judgments given in the other States bound by this Convention by virtue of Article 6(2) or Article 11 shall be recognised and enforced in the States mentioned in paragraphs 1 and 2 in accordance with Title III. Any effects which judgments given in these States may have on third parties by application of the provisions in paragraphs 1 and 2 shall also be recognised in the other States bound by this Convention.

Article III

1. Switzerland reserves the right to declare upon ratification that it will not apply the following part of the provision in Article 34(2):

“unless the defendant failed to commence proceedings to challenge the judgment when it was possible for him to do so”. 5

If Switzerland makes such declaration, the other Contracting Parties shall apply the same reservation in respect of judgments rendered by the courts of Switzerland.

2. Contracting Parties may, in respect of judgments rendered in an acceding State referred to in Article 70(1)(c), by declaration reserve: 10

(a) the right mentioned in paragraph 1; and

(b) the right of an authority mentioned in Article 39, notwithstanding the provisions of Article 41, to examine of its own motion whether any of the grounds for refusal of recognition and enforcement of a judgment is present or not. 15

3. If a Contracting Party has made such a reservation towards an acceding State as referred to in paragraph 2, this acceding State may by declaration reserve the same right in respect of judgments rendered by the courts of that Contracting Party. 20

4. Except for the reservation mentioned in paragraph 1, the declarations are valid for periods of five years and are renewable at the end of such periods. The Contracting Party shall notify a renewal of a declaration referred to under paragraph 2 not later than six months prior to the end of such period. An acceding State may only renew its declaration made under paragraph 3 after renewal of the respective declaration under paragraph 2. 25

Article IV

The declarations referred to in this Protocol may be withdrawn at any time by notification to the Depositary. The notification shall be accompanied by a translation into English and French. The Contracting Parties provide for translations into their languages. Any such withdrawal shall take effect as of the first day of the third month following that notification. 30

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PROTOCOL 2

on the uniform interpretation of the convention and on the standing committee

PREAMBLE

- 5 THE HIGH CONTRACTING PARTIES,
HAVING REGARD to Article 75 of this Convention,
CONSIDERING the substantial link between this Convention, the 1988 Lugano Convention, and the instruments referred to in Article 64(1) of this Convention,
- 10 CONSIDERING that the Court of Justice of the European Communities has jurisdiction to give rulings on the interpretation of the provisions of the instruments referred to in Article 64(1) of this Convention,
CONSIDERING that this Convention becomes part of
15 Community rules and that therefore the Court of Justice of the European Communities has jurisdiction to give rulings on the interpretation of the provisions of this Convention as regards the application by the courts of the Member States of the European Community,
- 20 BEING AWARE of the rulings delivered by the Court of Justice of the European Communities on the interpretation of the instruments referred to in Article 64(1) of this Convention up to the time of signature of this Convention, and of the rulings delivered by the courts of the Contracting Parties to the 1988
25 Lugano Convention on the latter Convention up to the time of signature of this Convention,
CONSIDERING that the parallel revision of both the 1988 Lugano and Brussels Conventions, which led to the conclusion of a revised text for these Conventions, was substantially based on
30 the above mentioned rulings on the 1968 Brussels and the 1988 Lugano Conventions,
CONSIDERING that the revised text of the Brussels Convention has been incorporated, after the entry into force of the Amsterdam Treaty, into Regulation (EC) No 44/2001,
- 35 CONSIDERING that this revised text also constituted the basis for the text of this Convention,
DESIRING to prevent, in full deference to the independence of the courts, divergent interpretations and to arrive at an interpretation as uniform as possible of the provisions of this
40 Convention and of those of the Regulation (EC) No 44/2001 which are substantially reproduced in this Convention and of other instruments referred to in Article 64(1) of this Convention,
HAVE AGREED AS FOLLOWS:

Article 1

1. Any court applying and interpreting this Convention shall pay due account to the principles laid down by any relevant decision concerning the provision(s) concerned or any similar provision(s) of the 1988 Lugano Convention and the instruments referred to in Article 64(1) of the Convention rendered by the courts of the States bound by this Convention and by the Court of Justice of the European Communities. 5

2. For the courts of Member States of the European Community, the obligation laid down in paragraph 1 shall apply without prejudice to their obligations in relation to the Court of Justice of the European Communities resulting from the Treaty establishing the European Community or from the Agreement between the European Community and the Kingdom of Denmark on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters, signed at Brussels on 19 October 2005. 10 15

Article 2

Any State bound by this Convention and which is not a Member State of the European Community is entitled to submit statements of case or written observations, in accordance with Article 23 of the Protocol on the Statute of the Court of Justice of the European Communities, where a court or tribunal of a Member State of the European Community refers to the Court of Justice for a preliminary ruling a question on the interpretation of this Convention or of the instruments referred to in Article 64(1) of this Convention. 20 25

Article 3

1. The Commission of the European Communities shall set up a system of exchange of information concerning relevant judgments delivered pursuant to this Convention as well as relevant judgments under the 1988 Lugano Convention and the instruments referred to in Article 64(1) of this Convention. This system shall be accessible to the public and contain judgments delivered by the courts of last instance and of the Court of Justice of the European Communities as well as judgments of particular importance which have become final and have been delivered pursuant to this Convention, the 1988 Lugano Convention, and the instruments referred to in Article 64(1) of this Convention. The judgments shall be classified and provided with an abstract. 30 35 40

The system shall comprise the transmission to the Commission by the competent authorities of the States bound by this Convention of judgments as referred to above delivered by the courts of these States.

2. A selection of cases of particular interest for the proper functioning of the Convention will be made by the Registrar of the Court of Justice of the European Communities, who shall present the selected case law at the meeting of experts in accordance with Article 5 of this Protocol. 45

3. Until the European Communities have set up the system pursuant to paragraph 1, the Court of Justice of the European Communities shall maintain the system for the exchange of information established by Protocol 2 of the 1988 Lugano Convention for judgments delivered under this Convention and the 1988 Lugano Convention.

Article 4

1. A Standing Committee shall be set up, composed of the representatives of the Contracting Parties.
2. At the request of a Contracting Party, the Depositary of the Convention shall convene meetings of the Committee for the purpose of:
- a consultation on the relationship between this Convention and other international instruments,
 - a consultation on the application of Article 67, including intended accessions to instruments on particular matters according to Article 67(1), and proposed legislation according to Protocol 3,
 - the consideration of the accession of new States. In particular, the Committee may ask acceding States referred to in Article 70(1)(c) questions about its judicial system and the implementation of the Convention. The Committee may also consider possible adaptations to the Convention necessary for its application in the acceding States,
 - the acceptance of new authentic language versions pursuant to Article 73(3) of this Convention and the necessary amendments to Annex VIII,
 - a consultation on a revision of the Convention pursuant to Article 76,
 - a consultation on amendments to Annexes I through IV and Annex VII pursuant to Article 77(1),
 - the adoption of amendments to Annexes V and VI pursuant to Article 77(2),
 - a withdrawal of the reservations and declarations made by the Contracting Parties pursuant to Protocol 1 and necessary amendments to Annex IX.
3. The Committee shall establish the procedural rules concerning its functioning and decision-making. These rules shall provide for the possibility to consult and decide by written procedure.

Article 5

1. The Depositary may convene, whenever necessary, a meeting of experts to exchange views on the functioning of the Convention, in particular on the development of the case-law and new legislation that may influence the application of the Convention.

2. This meeting shall be composed of experts of the Contracting Parties, of the States bound by this Convention, of the Court of Justice of the European Communities, and of the European Free Trade Association. It shall be open to any other experts whose presence is deemed appropriate.

5

3. Any problems arising on the functioning of the Convention may be referred to the Standing Committee referred to in Article 4 of this Protocol for further action.

PROTOCOL 3

on the application of article 67 of the convention

THE HIGH CONTRACTING PARTIES HAVE AGREED AS FOLLOWS:

- 5 1. For the purposes of the Convention, provisions which, in
relation to particular matters, govern jurisdiction or the
recognition or enforcement of judgments and which are or will be
contained in acts of the institutions of the European Communities
shall be treated in the same way as the conventions referred to in
10 Article 67(1).
- 15 2. If one of the Contracting Parties is of the opinion that a
provision contained in a proposed act of the institutions of the
European Communities is incompatible with the Convention, the
Contracting Parties shall promptly consider amending the
Convention pursuant to Article 76, without prejudice to the
procedure established by Protocol 2.
- 20 3. Where a Contracting Party or several Parties together
incorporate some or all of the provisions contained in acts of the
institutions of the European Community referred to in paragraph 1
into national law, then these provisions of national law shall be
treated in the same way as the conventions referred to in Article
67(1).
- 25 4. The Contracting Parties shall communicate to the Depositary
the text of the provisions mentioned in paragraph 3. Such
communication shall be accompanied by a translation into English
and French.

ANNEX I

The rules of jurisdiction referred to in Article 3(2) and 4(2) of the Convention are the following:

- in Belgium: Articles 5 through 14 of the Law of 16 July 2004 on private international law, 5
- in Bulgaria: Article 4(1) of the International Private Law Code,
- in the Czech Republic: Article 86 of Act No 99/1963 Coll., the Code of Civil Procedure (*občanský soudní řád*), as amended, 10
- in Denmark: Article 246(2) and (3) of the Administration of Justice Act (*Lov om rettens pleje*),
- in Germany: Article 23 of the code of civil procedure (*Zivilprozeßordnung*),
- in Estonia: Paragraph 86 of the Code of Civil Procedure (*tsiviilkohtumenetluse seadustik*), 15
- in Greece: Article 40 of the code of civil procedure (*Κώδικας Πολιτικής Δικονομίας*),
- in France: Articles 14 and 15 of the civil code (*Code civil*),
- in Iceland: Article 32 paragraph 4 of the Civil Proceedings Act (*Lög um meðferð einkamála nr. 91/1991*), 20
- in Ireland: the rules which enable jurisdiction to be founded on the document instituting the proceedings having been served on the defendant during his temporary presence in Ireland, 25
- in Italy: Articles 3 and 4 of Act 218 of 31 May 1995,
- in Cyprus: section 21(2) of the Courts of Justice Law No 14 of 1960, as amended,
- in Latvia: section 27 and paragraphs 3, 5, 6 and 9 of section 28 of the Civil Procedure Law (*Civilprocesa likums*), 30
- in Lithuania: Article 31 of the Code of Civil Procedure (*Civilinio proceso kodeksas*),
- in Luxembourg: Articles 14 and 15 of the civil code (*Code civil*),
- in Hungary: Article 57 of Law Decree No. 13 of 1979 on International Private Law (*a nemzetközi magánjogról szóló 1979. évi 13. törvényerejű rendelet*), 35
- in Malta: Articles 742, 743 and 744 of the Code of Organisation and Civil Procedure — Cap. 12 (*Kodiċi ta' Organizzazzjoni u Proċedura Ċivili — Kap. 12*) and Article 549 of the Commercial Code — Cap. 13 (*Kodiċi tal-kummerċ — Kap. 13*), 40

- in Norway: Section 4-3(2) second sentence of the Dispute Act (*tvisteloven*),
- in Austria: Article 99 of the Law on court Jurisdiction (*Jurisdiktionsnorm*),
- 5 — in Poland: Articles 1103 and 1110 of the Code of Civil Procedure (*Kodeks postępowania cywilnego*), insofar as they establish jurisdiction on the basis of the defendant's residence in Poland, the possession by the defendant of property in Poland or his entitlement to property rights in Poland, the fact that the object of the dispute is located in Poland and the fact that one of the parties is a Polish citizen,
- 10 — in Portugal: Article 65 and Article 65A of the code of civil procedure (*Código de Processo Civil*) and Article 11 of the code of labour procedure (*Código de Processo de Trabalho*),
- 15 — in Romania: Articles 148-157 of Law No. 105/1992 on Private International Law Relations,
- in Slovenia: Article 48(2) of the Private International Law and Procedure Act (*Zakon o mednarodnem zasebnem pravu in postopku*) in relation to Article 47(2) of Civil Procedure Act (*Zakon o pravdnem postopku*) and Article 58 of the Private International Law and Procedure Act (*Zakon o mednarodnem zasebnem pravu in postopku*) in relation to Article 59 of Civil Procedure Act (*Zakon o pravdnem postopku*),
- 20 — in Slovakia: Articles 37 to 37e of Act No 97/1963 on Private International Law and the Rules of Procedure relating thereto,
- 25 — in Switzerland: le for du lieu du séquestre/Gerichtsstand des Arrestortes/foro del luogo del sequestro within the meaning of Article 4 of the loi fédérale sur le droit international privé/Bundesgesetz über das internationale Privatrecht/legge federale sul diritto internazionale privato,
- 30 — in Finland: the second, third and fourth sentences of the first paragraph of Section 1 of Chapter 10 of the Code of Judicial Procedure (*oikeudenkäymiskaari/rättegångsbalken*),
- 35 — in Sweden: the first sentence of the first paragraph of Section 3 of Chapter 10 of the Code of Judicial Procedure (*rättegångsbalken*),
- 40 — in the United Kingdom: the rules which enable jurisdiction to be founded on:
 - (a) the document instituting the proceedings having been served on the defendant during his temporary presence in the United Kingdom, or

- (b) the presence within the United Kingdom of property belonging to the defendant, or
- (c) the seizure by the plaintiff of property situated in the United Kingdom.

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ANNEX II

The courts or competent authorities to which the application referred to in Article 39 of the Convention may be submitted are the following:

- 5 — in Belgium: the “tribunal de première instance” or “rechtbank van eerste aanleg” or “erstinstanzliches Gericht”,
- in Bulgaria: the “Софийски градски съд”,
- 10 — in the Czech Republic: the “okresní soud” or “soudní exekutor”,
- in Denmark: the “byret”,
- in Germany:
- (a) the presiding judge of a chamber of the “Landgericht”;
- (b) a notary in a procedure of declaration of enforceability of an authentic instrument,
- 15 — in Estonia: the “maakohus” (county court),
- in Greece: the “Μονομελές Πρωτοδικείο”,
- in Spain: the “Juzgado de Primera Instancia”,
- in France:
- 20 (a) the “greffier en chef du tribunal de grande instance”;
- (b) the “président de la chambre départementale des notaires” in the case of application for a declaration of enforceability of a notarial authentic instrument,
- in Ireland: the High Court,
- 25 — in Iceland: the “héraðsdómur”,
- in Italy: the “corte d’appello”,
- in Cyprus: the “Επαρχιακό Δικαστήριο” or in the case of a maintenance judgment the “Οικογενειακό Δικαστήριο”,
- in Latvia: the “rajona (pilsētas) tiesa”,
- 30 — in Lithuania: the “Lietuvos apeliacinis teismas”,
- in Luxembourg: the presiding judge of the “tribunal d’arrondissement”,
- in Hungary: the “megyei bíróság székhelyén működő helyi bíróság”, and in Budapest the “Budai Központi Kerületi Bíróság”,
- 35

- in Malta: the “Prim’ Awla tal-Qorti Ċivili” or “Qorti tal-Maġistrati ta’ Ghawdex filġurisdizzjoni superjuri taghha”, or, in the case of a maintenance judgment, the “Reġistratur tal-Qorti” on transmission by the “Ministru responsabbli għall-Ġustizzja”, 5
- in the Netherlands: the “voorzieningenrechter van de rechtbank”,
- in Norway: the “*tingrett*”,
- in Austria: the “Bezirksgericht”,
- in Poland: the “sąd okręgowy”, 10
- in Portugal: the “*Tribunal de Comarca*”,
- in Romania: the “*Tribunal*”,
- in Slovenia: the “*okrožno sodišče*”,
- in Slovakia: the “*okresný súd*”,
- in Switzerland: 15
 - (a) in respect of judgments ordering the payment of a sum of money, the “juge de la mainlevée”/“Rechtsöffnungsrichter”/“giudice competente a pronunciare sul rigetto dell’opposizione”, within the framework of the procedure governed by Articles 80 and 81 of the loi fédérale sur la poursuite pour dettes et la faillite/Bundesgesetz über Schuldbetreibung und Konkurs/legge federale sulla esecuzione e sul fallimento; 20
 - (b) in respect of judgments ordering a performance other than the payment of a sum of money, the “juge cantonal d’exequatur” compétent/zuständiger “kantonaler Vollstreckungsrichter”/“giudice cantonale” competente a pronunciare l’exequatur, 25
- in Finland: the “käräjäoikeus/tingsrätt”, 30
- in Sweden: the “Svea hovrätt”,
- in the United Kingdom:
 - (a) in England and Wales, the High Court of Justice, or in the case of a maintenance judgment, the Magistrates’ Court on transmission by the Secretary of State; 35
 - (b) in Scotland, the Court of Session, or in the case of a maintenance judgment, the Sheriff Court on transmission by the Secretary of State;
 - (c) in Northern Ireland, the High Court of Justice, or in the case of a maintenance judgment, the Magistrates’ Court on transmission by the Secretary of State; 40

(d) in Gibraltar, the Supreme Court of Gibraltar, or in the case of a maintenance judgment, the Magistrates' Court on transmission by the Attorney General of Gibraltar.

ANNEX III

The courts with which appeals referred to in Article 43(2) of the Convention may be lodged are the following:

- in Belgium:
 - (a) as regards appeal by the defendant, the “tribunal de première instance” or “rechtbank van eerste aanleg” or “erstinstanzliche Gericht”; 5
 - (b) as regards appeal by the applicant: the “*cour d’appel*” or “*hof van beroep*”,
- in Bulgaria: the “Апелативен съд — София”, 10
- in the Czech Republic: the court of appeal through the district court,
- in Denmark: the “*landsret*”,
- in the Federal Republic of Germany: the “*Oberlandesgericht*”, 15
- in Estonia: the “*ringkonnakohus*”,
- in Greece: the “*Εφετείο*”,
- in Spain: el “*Juzgado de Primera Instancia*” que dictó la resolución recurrida para ser resuelto el recurso por la Audiencia Provincial, 20
- in France:
 - (a) the “*cour d’appel*” on decisions allowing the application;
 - (b) the presiding judge of the “*tribunal de grande instance*”, on decisions rejecting the application, 25
- in Ireland: the High Court,
- in Iceland: the “*héraðsdómur*”,
- in Italy: the “*corte d’appello*”,
- in Cyprus: the “*Επαρχιακό Δικαστήριο*” or in the case of a maintenance judgment the “*Οικογενειακό Δικαστήριο*”, 30
- in Latvia: the “*Apgabaltiesa*” via the “*rajona (pilsētas) tiesa*”,
- in Lithuania: the “*Lietuvos apeliacinis teismas*”,
- in Luxembourg: the “*Cour supérieure de justice*” sitting as a court of civil appeal, 35
- in Hungary: the local court situated at the seat of the county court (in Budapest, the Central District Court of Buda); the appeal is adjudicated by the county court (in Budapest, the Capital Court),

- 5 — in Malta: the “*Qorti ta’ l-Appell*” in accordance with the procedure laid down for appeals in the *Kodiċi ta’ Organizzazzjoni u Proċedura Ċivili* — Kap.12 or in the case of a maintenance judgment by “*ċitazzjoni*” before the “*Prim’ Awla tal-Qorti ċivili jew il-Qorti tal-Magistrati ta’ Ghawdex fil-ġurisdizzjoni superjuri tagħha*”,
- in the Netherlands: the “*rechtbank*”,
- in Norway: the “*lagmannsrett*”,
- in Austria: the “*Landesgericht*” via the “*Bezirksgericht*”,
- 10 — in Poland: the “*sąd apelacyjny*” via the “*sąd okręgowy*”,
- in Portugal: the “*Tribunal da Relação*” is the competent court. The appeals are launched, in accordance with the national law in force, by way of a request addressed to the court which issued the contested decision,
- 15 — in Romania: the “*Curte de Apel*”,
- in Slovenia: the “*okrožno sodišče*”,
- in Slovakia: the court of appeal through the district court whose decision is being appealed,
- in Switzerland: the “*tribunal cantonal/Kantonsgericht/tribunale cantonale*”,
- 20 — in Finland: the “*hovioikeus/hovrätt*”,
- in Sweden: the “*Svea hovrätt*”,
- in the United Kingdom:
- 25 (a) in England and Wales, the High Court of Justice, or in the case of a maintenance judgment, the Magistrates’ Court;
- (b) in Scotland, the Court of Session, or in the case of a maintenance judgment, the Sheriff Court;
- 30 (c) in Northern Ireland, the High Court of Justice, or in the case of a maintenance judgment, the Magistrates’ Court;
- (d) in Gibraltar, the Supreme Court of Gibraltar, or in the case of a maintenance judgment, the Magistrates’ Court.
- 35

ANNEX IV

The appeals which may be lodged pursuant to Article 44 of the Convention are the following:

- in Belgium: Greece, Spain, France, Italy, Luxembourg and in the Netherlands, an appeal in cassation, 5
- in Bulgaria: “обжалване пред Върховния касационен съд”,
- in the Czech Republic: a “*dovolání*” and a “*žaloba pro zmatečnost*”,
- in Denmark: an appeal to the “*højesteret*”, with the leave of the “*Procesbevillingsnævnet*”, 10
- in the Federal Republic of Germany: a “*Rechtsbeschwerde*”,
- in Estonia: a “*kassatsioonikaebus*”,
- in Ireland: an appeal on a point of law to the Supreme Court,
- in Iceland: an appeal to the “*Hæstiréttur*”, 15
- in Cyprus: an appeal to the Supreme Court,
- in Latvia: an appeal to the “*Augstākās tiesas Senāts*” via the “*Apgabaltiesa*”,
- in Lithuania: an appeal to the “*Lietuvos Aukščiausiasis Teismas*”, 20
- in Hungary: “*felülvizsgálati kérelem*”,
- in Malta: no further appeal lies to any other court; in the case of a maintenance judgment the “*Qorti ta’ l-Appell*” in accordance with the procedure laid down for appeal in the “*kodiċi ta’ Organizzazzjoni u Procedura Ċivili — Kap. 12*”, 25
- in Norway: an appeal to the “*Høyesteretts Ankeutvalg*” or “*Høyesterett*”,
- in Austria: a “*Revisionsrekurs*”,
- in Poland: “*skarga kasacyjna*”,
- in Portugal: an appeal on a point of law, 30
- in Romania: a “*contestatie in anulare*” or a “*revizuire*”,
- in Slovenia: an appeal to the “*Vrhovno sodišče Republike Slovenije*”,
- in Slovakia: the “*dovolanie*”,
- in Switzerland: a “*recours devant le Tribunal fédéral*”/“*Beschwerde beim Bundesgericht*”/“*ricorso davanti al Tribunale federale*”, 35
- in Finland: an appeal to the “*korkein oikeus/högsta domstolen*”,

- in Sweden: an appeal to the “*Högsta domstolen*”,
 - in the United Kingdom: a single further appeal on a point of law.
-

ANNEX V

Certificate on judgments and court settlements referred to in Articles 54 and 58 of the Convention on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters 5

1. State of origin

2. Court or competent authority issuing the certificate

 2.1. Name

 2.2. Address

 2.3. Tel/Fax/E-mail 10

3. Court which delivered the judgment/approved the court settlement*

 3.1. Type of court

 3.2. Place of court

4. Judgment/court settlement* 15

 4.1. Date

 4.2. Reference number

 4.3. The parties to the judgment/court settlement*

 4.3.1. Name(s) of plaintiff(s)

 4.3.2. Name(s) of defendant(s) 20

 4.3.3. Name(s) of other party(ies), if any

 4.4. Date of service of the document instituting the proceedings where judgment was given in default of appearance

 4.5. Text of the judgment/court settlement* as annexed to this certificate 25

5. Names of parties to whom legal aid has been granted

The judgment/court settlement* is enforceable in the State of origin (Article 38/58 of the Convention) against:

Name: 30

Done at....., date.....

Signature and/or stamp.....

*Delete as appropriate

ANNEX VI

Certificate on authentic instruments referred to in Article 57(4) of the Convention on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters

- 5 1. State of origin
2. Court or competent authority issuing the certificate
- 2.1. Name
- 2.2. Address
- 2.3. Tel/Fax/E-mail
- 10 3. Authority which has given authenticity to the instrument
- 3.1. Authority involved in the drawing up of the authentic instrument (if applicable)
- 3.1.1. Name and designation of authority
- 3.1.2. Place of authority
- 15 3.2. Authority which has registered the authentic instrument (if applicable)
- 3.2.1. Type of authority
- 3.2.2. Place of authority
4. Authentic instrument
- 20 4.1. Description of the instrument
- 4.2. Date
- 4.2.1. on which the instrument was drawn up
- 4.2.2. if different: on which the instrument was registered
- 4.3. Reference number
- 25 4.4. Parties to the instrument
- 4.4.1. Name of the creditor
- 4.4.2. Name of the debtor
5. Text of the enforceable obligation as annexed to this certificate.
- 30 The authentic instrument is enforceable against the debtor in the State of origin (Article 57(1) of the Convention).
- Done at....., date.....
- Signature and/or stamp.....

ANNEX VII

The conventions superseded pursuant to Article 65 of the Convention are, in particular, the following:

- the Treaty between the Swiss Confederation and Spain on the mutual enforcement of judgments in civil or commercial matters, signed at Madrid on 19 November 1896, 5
- the Convention between the Czechoslovak Republic and the Swiss Confederation on the recognition and enforcement of judgments with additional protocol, signed at Bern on 21 December 1926, 10
- the Convention between the Swiss Confederation and the German Reich on the recognition and enforcement of judgments and arbitration awards, signed at Berne on 2 November 1929,
- the Convention between Denmark, Finland, Iceland, Norway and Sweden on the recognition and enforcement of judgments, signed at Copenhagen on 16 March 1932, 15
- the Convention between the Swiss Confederation and Italy on the recognition and enforcement of judgments, signed at Rome on 3 January 1933, 20
- the Convention between Sweden and the Swiss Confederation on the recognition and enforcement of judgments and arbitral awards signed at Stockholm on 15 January 1936,
- the Convention between the Swiss Confederation and Belgium on the recognition and enforcement of judgments and arbitration awards, signed at Berne on 29 April 1959, 25
- the Convention between Austria and the Swiss Confederation on the recognition and enforcement of judgments, signed at Berne on 16 December 1960, 30
- the Convention between Norway and the United Kingdom providing for the reciprocal recognition and enforcement of judgments in civil matters, signed at London on 12 June 1961,
- the Convention between Norway and the Federal Republic of Germany on the recognition and enforcement of judgments and enforceable documents, in civil and commercial matters, signed at Oslo on 17 June 1977, 35
- the Convention between Denmark, Finland, Iceland, Norway and Sweden on the recognition and enforcement of judgments in civil matters, signed at Copenhagen on 11 October 1977, and 40
- the Convention between Norway and Austria on the recognition and enforcement of judgments in civil matters, signed at Vienna on 21 May 1984. 45

ANNEX VIII

5 The languages referred to in Article 79 of the Convention are Bulgarian, Czech, Danish, Dutch, English, Estonian, Finnish, French, German, Greek, Hungarian, Icelandic, Irish, Italian, Latvian, Lithuanian, Maltese, Norwegian, Polish, Portuguese, Romanian, Slovak, Slovenian, Spanish and Swedish.

ANNEX IX

The States and the rules referred to in Article II of Protocol 1 are the following:

- Germany: Articles 68, 72, 73 and 74 of the code of civil procedure (*Zivilprozeßordnung*) concerning third-party notices, 5
- Austria: Article 21 of the code of civil procedure (*Zivilprozeßordnung*) concerning third-party notices,
- Hungary: Articles 58 to 60 of the Code of Civil Procedure (*Polgári perrendtartás*) concerning third-party notices, 10
- Switzerland, with respect to those cantons whose applicable code of civil procedure does not provide for the jurisdiction referred to in Articles 6(2) and 11 of the Convention: the appropriate provisions concerning third-party notices (*litis denuntiatio*) of the applicable code of civil procedure.”. 15



**AN BILLE UM DHLÍNSE CÚIRTEANNA AGUS
FORGHNÍOMHÚ BREITHIÚNAS (LEASÚ), 2011
JURISDICTION OF COURTS AND ENFORCEMENT OF
JUDGMENTS (AMENDMENT) BILL 2011**

EXPLANATORY AND FINANCIAL MEMORANDUM

Purpose of Bill

1. The purpose of the Bill is to give effect to the Convention on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters signed at Lugano on 30 October 2007. This Convention supersedes the 1988 Convention of the same name which involves the Member States of the EU and the European Free Trade Association (EFTA) countries of Iceland, Norway and Switzerland. It was concluded on behalf of the European Community on foot of a Council Decision which was adopted in November 2008. In essence, it ensures that the same regime will apply for the recognition and enforcement of judgments coming from these EFTA countries as at present prevails within the European Union (EU) for judgments coming from the Member States. The governing instrument within the EU is Council Regulation (EC) No. 44/2001 of 22 December 2000 on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters (Brussels I Regulation) and the new Lugano Convention replicates that instrument in all essential elements.

Provisions of the Bill

Amendment of Jurisdiction of Courts and Enforcement of Judgments Act 1998

2. *Section 1* inserts a new Part IIIA into the Jurisdiction of Courts and Enforcement of Judgments Act 1998 which contains the provisions necessary to give effect to the Lugano Convention of 2007. It also inserts the text of that Convention as a Schedule to the 1998 Act. The new Part IIIA contains the following Sections:
 - (a) *Section 20A* contains standard interpretation provisions.
 - (b) *Section 20B* states that the Convention has force of law in the State.
 - (c) *Section 20C* provides that judicial notice shall be taken of the Convention and of the explanatory report on it prepared by Professor Fausto Pocar, and of relevant court decisions which relate, for example, to the 1988 Lugano Convention and to the Brussels I Regulation; thus the courts are considered to know of these matters without the requirement to have them proven in evidence.

- (d) *Section 20D* authorises the Minister for Foreign Affairs to make certain orders in relation to Convention matters, most notably that a specified state is a state bound by the Convention or that particular declarations or communications have been made. While such orders are in force, they will be of evidential value.
- (e) *Section 20E* provides that an application under the Convention for the recognition and enforcement in the State of a judgment shall be made to the Master of the High Court. Under *subsection (4)* the Master may make an order for the recognition or enforcement of only part of a judgment.
- (f) *Section 20F* concerns the enforcement of judgments. Except in those circumstances set out in *subsections (3) and (6)*, such judgments do not include maintenance orders. *Subsection (1)* provides that a judgment other than a maintenance order in respect of which an enforcement order has been made shall be of the same force and effect as a judgment of the High Court and that proceedings for its enforcement may be taken accordingly. The subsection is subject to *section 20H* (interest on judgments and payment of costs) and to Article 47(3) of the Convention (restriction on enforcement measures while an appeal is pending). Under *subsection (3)*, the Master of the High Court may declare, on application by the maintenance creditor, that arrears of periodic payments and lump sum orders are to be enforced by the High Court rather than, as would be usual in the case of maintenance orders, the District Court. Such a declaration shall only be made if it is considered that it would result in a more effective enforcement of the sums in question (*subsection (4)*). *Subsection (6)* is a technical provision making a maintenance order enforceable by the High Court where the District Court does not have jurisdiction to enforce it under *section 20G* (Enforcement of enforceable maintenance orders).
- (g)(i) *Section 20G* deals with the enforcement of maintenance orders by the District Court. As is the case with judgments under *section 20F*, an order for enforcement must have been made by the Master of the High Court and the section is subject to *section 20H* of the Bill and to Article 47(3) of the Convention. *Subsection (2)* deems an enforceable maintenance order, for certain named purposes, to be an order made by the District Court under section 5 (maintenance orders for children born within marriage), section 5A (maintenance orders for children born outside marriage) or section 21A (lump sum payments) of the Family Law (Maintenance of Spouses and Children) Act 1976, as may be appropriate. These purposes relate to the enforcement of the enforceable maintenance order itself, section 98(1) of the Defence Act 1954 (deductions from the pay of a member of the Permanent Defence Force in respect of certain court orders) and, subject to the Convention, the variation or discharge of a maintenance order under section 6 of the 1976 Act (i.e., in a case where under the Convention the courts of the State would have jurisdiction to entertain an application for such variation or revocation).
- (g)(ii) *Subsections (1) and (2)* apply though the amounts which may be awarded thereunder exceed normal District

Court jurisdiction limits (*subsection (3)*). *Subsections (4) and (5)* deal with cases where enforceable maintenance orders are varied or revoked, as the case may be, in a state bound by the Convention.

- (g)(iii) *Subsection (6)* covers arrears in respect of periodic payment orders and lump sum orders which are to be enforced by the District Court unless the Master has declared under *section 20F* that they should be enforced through the High Court. *Subsection (7)* is a jurisdictional provision while *subsection (8)* concerns payment arrangements via the relevant district court clerk. *Subsection (9)* provides that where a maintenance debtor defaults in making payments, the district court clerk shall initiate enforcement proceedings, if requested in writing by the creditor under section 8 of the Enforcement of Court Orders Act 1940 or section 10 (relates to attachment of earnings) of the Family Law (Maintenance of Spouses and Children) Act 1976. The remaining provisions of this section are essentially procedural.
- (h) *Section 20H* deals with provisions in enforcement orders for the payment of interest on judgments and for the payment of costs.
- (i) *Section 20I* provides for fixing the currency and rate of exchange which should apply in the case of enforceable maintenance orders. Sums required to be paid shall be paid in the currency of the State and, if the amount stated in the maintenance order is other than in the currency of the State, it shall be converted into the currency of the State on the basis of the exchange rate prevailing on the date of the making of the enforcement order. A certificate signed by an officer of an “authorised institution” (defined in *subsection (4)*), stating the prevailing exchange rate on a specified date, shall be evidence of the facts therein contained.
- (j) *Section 20J* deals with the proof and admissibility of certain judgments and related documents and translations. *Subsection (1)* provides that a document, duly authenticated and purporting to be a copy of a judgment given in a court of a state bound by the Convention, shall be deemed to be a true copy of the judgment, unless the contrary is shown. *Subsection (2)* sets out the circumstances in which a judgment given in a state bound by the Convention is to be regarded as being duly authenticated while *subsection (3)* deals with the admissibility of translations.
- (k) *Section 20K* deals with the granting by the High Court of provisional including protective measures. Most commonly such measures would be in the form of an injunction to restrain a defendant from transferring assets out of the jurisdiction so that they would be available to satisfy any resulting judgment of the court seised of the substantive proceedings.
- (l) *Section 20L* deals with domicile for the purposes of the Convention. Domicile is the connecting factor used in the Convention to link a person with a state for the purposes of grounding jurisdiction and, for this purpose, is treated as being equivalent to ordinary residence. (Article 60 of the Convention deals with the domicile of legal persons and, in

tandem with *paragraph (c)* of this section, with the domicile of a trust).

- (m) *Section 20M* sets out the venue at which certain Convention proceedings may be brought insofar as the Circuit and District Courts are concerned. Certain Articles of the Convention, for example Article 2 and Article 9(1)(a), give a general jurisdiction to the courts of the State where the defendant is domiciled, and *subsection (1)* and *(2)* provide that jurisdiction of the Circuit Court in this context will be determined by reference to the place where the defendant or one of the defendants ordinarily resides or carries out any profession, business or occupation. *Subsection (3)* makes the necessary provision for the purposes of Article 9(1)(b) (actions brought by an insurance policyholder, insured or beneficiary) and Article 16(1) (consumer contracts where the proceedings are brought by a consumer). Under those Articles, jurisdiction is conferred on the courts of the state where the policy holder or consumer, as appropriate, is domiciled.
- (n) *Section 20N* specifies that Part III of the 1998 Act (which covers the 1988 Lugano Convention) shall, except as provided in Article 65, cease to apply between the State and a state bound by the Convention.

Amendment of Maintenance Act 1994

3. *Section 2* of the Bill provides for various technical amendments to the Maintenance Act 1994 which are required to accommodate the new Convention.

Short title, collective citation and construction

4. *Section 3* contains the short title and collective citation of the Bill.
5. The *Tenth Schedule* contains the text of the Lugano Convention of 2007.

Financial and Staffing Implications

6. There are no financial or staffing implications.

*Department of Justice and Law Reform,
February, 2011.*