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# The potential to mitigate global warming with no-tillage management is only realized when practised in the long term

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#### **Abstract**

No-tillage (NT) management has been promoted as a practice capable of offsetting greenhouse gas (GHG) emissions because of its ability to sequester carbon in soils. However, true mitigation is only possible if the overall impact of NT adoption reduces the net global warming potential (GWP) determined by fluxes of the three major biogenic GHGs (i.e. CO<sub>2</sub>, N<sub>2</sub>O, and CH<sub>4</sub>). We compiled all available data of soil-derived GHG emission comparisons between conventional tilled (CT) and NT systems for humid and dry temperate climates. Newly converted NT systems increase GWP relative to CT practices, in both humid and dry climate regimes, and longer-term adoption (>10 years) only significantly reduces GWP in humid climates. Mean cumulative GWP over a 20year period is also reduced under continuous NT in dry areas, but with a high degree of uncertainty. Emissions of N<sub>2</sub>O drive much of the trend in net GWP, suggesting improved nitrogen management is essential to realize the full benefit from carbon storage in the soil for purposes of global warming mitigation. Our results indicate a strong time dependency in the GHG mitigation potential of NT agriculture, demonstrating that GHG mitigation by adoption of NT is much more variable and complex than previously considered, and policy plans to reduce global warming through this land management practice need further scrutiny to ensure success.

Keywords: global warming potential, greenhouse gas mitigation, nitrous oxide, no-tillage

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#### Introduction

It has been estimated on a global scale that the agricultural sector has the potential to reduce radiative forcing of greenhouse gases (GHGs) by 1.15–3.3 Pg C equivalents per year (Cole *et al.*, 1997). No-tillage (NT) farming has been promoted as an agricultural practice that creates a 'win–win' situation by reducing soil erosion and enhancing agricultural sustainability concomitant with mitigating GHG emissions (Cole *et al.*, 1997; Paustian *et al.*, 1997; Schlesinger, 1999). The potential to offset GHG emissions from energy and

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industrial sources is largely based on studies documenting the CO<sub>2</sub> mitigation potential with NT (Kern & Johnson, 1993; West & Marland, 2002), and consequently some planned emissions trading between industry and producers is based on uptake of CO2 from the atmosphere and subsequent soil storage of C following adoption of NT. However, this type of emission trading may fail to reduce potentially deleterious effects of GHG emissions on the climate if it does not consider the net result of fluxes for all three major biogenic GHGs (i.e. CO<sub>2</sub>, N<sub>2</sub>O<sub>2</sub>, and CH<sub>4</sub>) on radiative forcing, which is essential for understanding agriculture's impact on net global warming potential (GWP) (Kessavalou et al., 1998; Robertson et al., 2000; Oenema et al., 2001; Smith et al., 2001). Nevertheless, only few studies have considered the fluxes of all three major GHGs that are impacted by tillage management.

Therefore, the objective of this study was to assess potential global warming mitigation with the adoption of NT in temperate regions by compiling all available data reporting differences in fluxes of soil-derived C,  $N_2O$ , and  $CH_4$  between conventional tilled (CT) and NT systems. Through this analysis, we provide a broader assessment of the role of NT for GHG mitigation purposes than in previous published analyses that were based solely on carbon sequestration.

#### Materials and methods

#### Literature review

We selected studies evaluating direct comparisons between NT and CT in order to isolate the effect of tillage from other management change (e.g. increased cropping intensity) that may concomitantly occur with NT adoption. Also, studies were only included if there was constancy in edaphic and topographic characteristics between the NT and CT treatment. Conventional tillage completely inverts the soil (e.g. moldboard plowing), while NT creates a negligible amount of soil disturbance (a narrow slot is opened for seed insertion with NT planters). Our dataset included 254 data points for comparisons of soil C, 44 data points for nitrous oxide comparisons, and five data points for comparisons of CH<sub>4</sub> fluxes. Because the moisture regime has a pervasive influence on soil-derived GHG emissions, we divided the dataset into humid and dry climate subsets

based on Holdridge Life Zones (Holdridge et al., 1971), which classify areas with potential evaporation/mean annual precipitation ratio > 1 as dry climates and areas with ratio < 1 as humid climates. Location, climate regime, and associated GHG flux measurements of all sites are presented in Fig. 1. Sites in the US Corn Belt dominated comparisons for humid climates, whereas sites in the North American Great Plains dominated the dry climate comparisons.

#### Statistical analyses

Differences in soil organic carbon storage between NT and CT systems were analyzed in a linear mixed-effect model, with fixed effects for depth, climate (wet or dry) and years since the management change, and random effects accounting for dependencies in time series data and inclusion of multiple depth increments from a single experiment in the analysis (Ogle *et al.*, 2003).

Individual depth increments were not aggregated in this model. Instead, to accommodate data from different increment ranges, we treated the effect of depth on soil organic carbon as a quadratic function, which allows for the possibility that management impacts would be greatest at the surface and diminish with depth. Soil organic carbon measured on a particular depth increment (U = upper endpoint of increment, in cm; L = lower endpoint of increment, in cm) is assumed to be the integrated average of the quadratic function over the increment. Two regressors were formed from

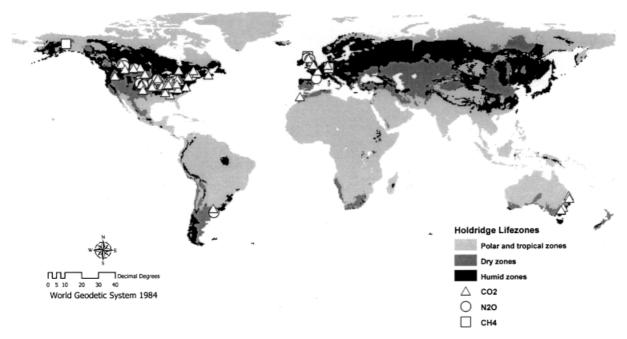


Fig. 1. Location of agricultural experiment sites included in our analysis.

the upper and lower values of each increment to account for the integration and averaging of the quadratic function across each depth increment:

$$x_1 = (L^2 - U^2)/(2 \times (L - U))$$
 and  $x_2 = (L^3 - U^3)/(3 \times (L - U).$  (1)

Changes in soil organic carbon storage are cumulative measures of the management effects on CO<sub>2</sub> fluxes. Therefore, the estimated change at 20 years from the linear mixed-effect model represented the cumulative difference in carbon storage between NT and CT systems, with corresponding uncertainty given by the estimated variance from the model for that prediction. To estimate the difference in soil organic carbon storage between NT and CT for a specific year (i.e. 5, 10, or 20 years), we used the following equation:

$$\Delta SOC = X_t/t, \tag{2}$$

where t is the year of interest, and  $X_t$  is the cumulative value, which was given by the linear mixed-effect model. The uncertainty in an estimate for a single year was assessed using the equation

$$Var(\Delta SOC) = 1/t^2 \times (Var X_t), \tag{3}$$

where  $Var(X_t)$  is the estimated variance for the cumulative change in year t, which is given by the model.

Differences in nitrous oxide fluxes between CT and NT management were also analysed in a linear mixedeffect model. Within the model, years and climate were fixed effects and a random effect accounted for dependence in time series data and measurements from different crop rotations within a single study. We attempted to fit different random effects for these two sources of variation, but there were not enough data to separate the effects. In contrast to soil C data, nitrous oxide flux measurements represent the emission for the year in which the data were collected. Therefore estimated annual differences in emissions at years 5, 10, and 20 were provided directly from the model, with corresponding uncertainty given by variances of predictions. To obtain a cumulative estimate at t years (i.e. 20 years), we used the following equation

$$\Delta N_2 O = X_1 + X_2 + \dots + X_t, \tag{4}$$

where  $X_i$  represents the annual difference in nitrous oxide flux between CT and NT systems, and t is the total number of years of interest for the cumulative estimate. Uncertainty was estimated from the equation:

$$Var(Y) = x'Vx, (5)$$

where x is the summed covariate vector for the regression coefficients of the linear mixed-effect model. and V is the estimated variance-covariance matrix for the coefficients.

Differences in methane fluxes between CT and NT systems had only been evaluated in four studies, and so we did not attempt to statistically model climate effects or year-to-year changes as we did with soil C and nitrous oxide data. Instead, we used the mean difference in methane fluxes to estimate the annual changes in years 5, 10, and 20, along with the estimated variance of the mean as a measure of uncertainty. To estimate the cumulative impact of management change over 20-year period, we used the equation

$$\Delta CH_4 = Xt, \tag{6}$$

where *X* is the mean annual flux and *t* is the number of years over which the cumulative estimation is made. The corresponding uncertainty was estimated from the equation

$$Var(\Delta CH_4) = t^2 Var(X), \tag{7}$$

where Var(X) is the variance from the mean annual flux. All statistical analyses were performed using S-PLUS 2000 (Professional Release 3; Insightful Corporation, Seattle WA, USA).

## Global warming potential calculations

We used the IPCC factors (IPCC, 2001) to calculate GWP in CO<sub>2</sub>-equivalents ha<sup>-1</sup> yr<sup>-1</sup> over a 100-year time horizon for the estimated differences in soil organic carbon, nitrous oxide, and methane, using the following equations:

$$\Delta GWP(CO_2) = \Delta SOC \times 44/12 \times (-1), \tag{8}$$

$$\Delta GWP(N_2O) = \Delta N_2O \times 296, \tag{9}$$

$$\Delta GWP(CH_4) = \Delta CH_4 \times 23. \tag{10}$$

Then, we calculated total GHG balance or net GWP using the equation:

$$\begin{split} \Delta GWP &= \Delta GWP(CO_2) + \Delta GWP(N_2O) \\ &+ \Delta GWP(CH_4). \end{split} \tag{11}$$

To combine uncertainties for the total GWP calculation, we converted the individual variances into CO<sub>2</sub> equivalents and summed those values using the equation

$$\begin{split} Var(\Delta GWP) &= Var(\Delta GWP(CO_2)) \\ &+ Var(\Delta GWP(N_2O)) \\ &+ Var(\Delta GWP(CH_4)). \end{split} \tag{12}$$

The square root of this sum is the estimated standard deviation. This computation of uncertainty implicitly assumes that the three components of total GWP are uncorrelated. One of the studies used in our dataset (Kessavalou *et al.*, 1998) had simultaneously examined the three components, and correlations among the fluxes were weak, suggesting that the estimated variance based on no correlation among the fluxes is a useful indicator of actual uncertainty. If there were in fact non-negligible correlations, the estimated variance could increase or decrease depending on the signs and magnitudes of correlations.

#### Results and discussion

In humid climates, net soil organic C storage within the 0-30 cm soil layer averaged 222 kg C ha<sup>-1</sup> yr<sup>-1</sup> over the first 20 years following adoption of NT practices (Table 1). In contrast, NT adoption in dry climates was estimated to result in C emissions at years 5 and 10, whereas the trend changed to net C sequestration second decade during and averaged  $97 \text{ kg C ha}^{-1} \text{yr}^{-1}$  by year 20. These rates are low compared to the average sequestration rate of  $480 \,\mathrm{kg} \,\mathrm{C} \,\mathrm{ha}^{-1} \,\mathrm{yr}^{-1}$  reported by West & Post (2002). However, they included tropical studies that tend to have higher sequestration rates than studies from temperate zones and did not consider the time dependence of these rates. Interestingly, West & Post (2002) did find a similar climatic trend as our analysis that showed NT adoption in rotations that have a bare fallow year, which are indicative of rotations in dry climates, had less or even no carbon sequestration relative to CT systems. Several studies have reported minimal C sequestration with NT adoption in the North American Great Plains Region when adoption is not coupled with cropping intensification (i.e. removal of fallow), especially when considering changes in soil organic C at soil layers deeper than 0–5 cm (Peterson et al., 1998; Halvorson et al., 2002). It is significant that adoption of NT in dry climates leads to an initial loss of C in the 0-30 cm soil layer for the first 5 years. This pattern has been observed in other short-term studies as well (Hendrix, 1997). This early trend may be due to a slower incorporation of surface residues into the soil through soil faunal activity than is found in corresponding CT systems where these residues are incorporated through plowing.

In both humid and dry climates, differences in  $N_2O$ -fluxes between the two tillage systems changed over time (Table 1). In the first 10 years,  $N_2O$ -fluxes were higher in NT than CT systems, regardless of climate. After 20 years, however,  $N_2O$ -fluxes in humid climates were lower in NT than CT systems and were similar between tillage systems in the dry climate. Increased  $N_2O$ -fluxes following adoption of NT is not necessarily

Table 1 Annual differences in soil-derived greenhouse gas fluxes and global warming potential (GWP) between no-till and conventional till systems

	No-till – conventional till											
	Year 5				Year 10				Year 20			
	$(kg ha^{-1} yr^{-1})$		GWP		$(kg ha^{-1} yr^{-1})$		GWP		$(kg ha^{-1} yr^{-1})$		GWP	
	Estimate	$SE^{\dagger}$	Estimate	SE	Estimate	SE	Estimate	SE	Estimate	SE	Estimate	SE
Soil organic	: C											
Humid	194	4	-710	16	213	2	-780	7	222	1	-815	4
Dry	-306	6	1123	21	-37	3	137	10	97	2	-356	6
$N_2O$												
Humid	3.8	0.8	1114	237	1.1	0.8	330	222	-4.2	1.9	-1238	565
Dry	1.3	1.5	398	431	0.9	1.2	268	367	0.0	1.6	8	466
$CH_4$												
Humid	-0.6	0.1	-13	3.1	-0.6	0.1	-13	3.1	-0.6	0.1	-13	3.1
Dry	-0.6	0.1	-13	3.1	-0.6	0.1	-13	3.1	-0.6	0.1	-13	3.1
Soil-derived	d GWP											
Humid			391	238			-463	222			-2066	565
Dry			1508	432			392	367			-361	466

 $<sup>^{\</sup>dagger}SE$  = standard error and GWP units are CO<sub>2</sub> equivalents (kg ha<sup>-1</sup> yr<sup>-1</sup>). Values in the columns headed by year 5, 10 and 20 are estimates for 5, 10 and 20 yr after conversion from conventional till to no-till. Estimates are based on output of linear mixed-effect modelling of all currently available data. Negative numbers indicate a reduction in global warming potential or a mitigation of global warming.

surprising because of a transient yet significant N deficiency which lowers crop yields in recently established NT systems (Bandel et al., 1975; Blevins et al., 1977; Rice et al., 1986; Vetsch & Randall, 2000). This observed N deficiency has been associated with increased N<sub>2</sub>O-emissions in NT systems (Burford et al., 1981; Rice & Smith, 1982; Linn & Doran, 1984). In addition, greater soil water content (Blevins et al., 1971; Cox et al., 1990) and percentage of water-filled pore space (Linn & Doran, 1984; Kessavalou et al., 1998) have been observed following NT adoption and are known to stimulate denitrification and resulting N<sub>2</sub>O emissions (Linn & Doran, 1984). These changes in soil moisture and their impact on denitrification would account for the loss of nitrogen and subsequent mineral deficiency for crop production. The higher N<sub>2</sub>O-fluxes during the first 10 years after conversion to NT from CT but decreased N<sub>2</sub>O-fluxes thereafter are in accordance with the results of Keller et al. (1993). They reported threefold higher N<sub>2</sub>O-fluxes in recently formed pastures than in the original forest, but after 10 years of conversion N<sub>2</sub>Ofluxes were lower in the pasture than in the original forest.

The few studies reporting CH<sub>4</sub>-flux differences between CT and NT systems all found a significant enhancement of  $CH_4$ uptake (averaging  $0.6 \,\mathrm{kg} \,\mathrm{ha}^{-1} \,\mathrm{yr}^{-1}$ ) with NT adoption (Table 1). Greater pore continuity and the presence of ecological niches for methanotrophic bacteria in NT systems lead to increased CH<sub>4</sub> uptake relative to CT systems (Hutsch, 1998).

Considering the three GHG fluxes together changes the temporal horizon over which soils under NT are likely to mitigate global warming. Since N<sub>2</sub>O is such a potent GHG, greater N2O fluxes offset the benefits resulting from C sequestration and CH<sub>4</sub> uptake, leading to increases in net GWP during the first 5 and 10 years following NT adoption in both humid and dry climates (Table 1). After 20 years of NT adoption, however, net GWP is strongly negative in humid climates. In dry climates, GWP is weakly negative, but the uncertainty prevents a definitive conclusion regarding its significance. The GWP estimates for both humid and dry climates have a large degree of uncertainty because N<sub>2</sub>O-fluxes have a disproportionate impact on the calculations due to the potency of  $N_2O$  as a GHG, and due to the fact that the uncertainties associated with N<sub>2</sub>O-fluxes are so large (Mosier et al., 1996).

To integrate impacts over time, we calculated the cumulative GHG fluxes and GWP over the 20-year time span of our data (Table 2). In humid climates, high C sequestration rates and relative decreases in N<sub>2</sub>O-fluxes over time lead to a mean cumulative negative GWP for NT relative to CT. In drier areas, negative or low C

**Table 2** Twenty years cumulative differences in soil-derived, ancillary and total greenhouse gas fluxes and global warming potential (GWP) between no-till and conventional till systems

	No-till – conventional till						
	$(kg ha^{-1})$		GWP				
Humid climate	Estimate	SE	Estimate	SE			
Soil Organic C	4440	38	-16296	88			
$N_2O$	17	13	5027	3706			
$CH_4$	-11	2	-258	46			
Soil-derived GWP			-11526	3707			
Ancillary GHG changes	-31		-2273				
Total GWP			-13799				
Dry climate							
Soil organic C	1942	54	-7128	115			
$N_2O$	17	20	5105	5814			
$CH_4$	-11	2	-258	46			
Soil-derived GWP			-2281	5815			
Ancillary GHG changes	-31		-2273				
Total GWP			-4554				

SE = standard error and GWP units are  $CO_2$  equivalents (kg ha<sup>-1</sup> yr<sup>-1</sup>). Soil-derived estimates are based on output of linear mixed-effect modelling of all currently available data. Ancillary greenhouse gas (GHG) changes are due to changes in agricultural input (e.g., fuel); adopted from West and Marland (2002). Negative numbers indicate a reduction in global warming potential or a mitigation of global warming.

sequestration rates were not enough to compensate for increases in N<sub>2</sub>O-emissions, resulting in a zero-balanced mean cumulative GWP. However, it is worth noting that NT adoption in dry climates can be combined with an increase in cropping intensity, which typically increases C sequestration rates (Peterson et al., 1998; Franzluebbers & Steiner, 2002; Halvorson et al., 2002) and might therefore lead to a negative GWP. In addition, changes in agricultural inputs (fuel, fertilizer, etc.) under NT can lead to ancillary emission reductions of as much as  $31 \,\text{kg} \,\text{Cha}^{-1} \,\text{yr}^{-1}$  or  $2273 \,\text{kg} \,\text{ha}^{-1} \,\text{CO}_2$ equivalents over 20 years (West & Marland, 2002), primarily due to lower fuel consumption (Table 2).

#### Conclusion

It is highly significant that the overall effect of N<sub>2</sub>O emissions on the net balance of GHG fluxes under NT fundamentally changes the GWPs over time and that the current uncertainty around estimates of this net balance is so large. Consequently, current promotion of NT agriculture to reduce GHG emissions and, therefore, radiative forcing needs additional consideration beyond just the benefit of carbon sequestration. Encouraging NT may indeed be a wise policy decision, but without sound scientific assessment and understanding of the overall short- and long-term net changes in radiative forcing with NT adoption under different management regimes, there is the potential for promoting unproductive and even deleterious changes in agricultural policies. It is, therefore, crucial to further investigate the long-term, as well as the immediate effects of various N-management strategies, such as precision farming, nitrification inhibitors, and type plus method of N fertilizer application, for purposes of long-term reduction of N<sub>2</sub>O-fluxes under NT conditions. Increasing N fertilizer use efficiency concomitant with long-term reduced N<sub>2</sub>O-losses would represent a very desirable and more definitive 'win-win' situation.

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