

In the Matter of  
REPRESENTATIVE EDWARD R. ROYBAL

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REPORT  
BY THE  
COMMITTEE ON STANDARDS  
OF  
OFFICIAL CONDUCT

[To accompany H. Res. 1416]



OCTOBER 6, 1978.—Referred to the House Calendar and ordered to be printed

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U.S. GOVERNMENT PRINTING OFFICE  
WASHINGTON . 1978

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IN THE MATTER OF  
REPRESENTATIVE EDWARD R. ROYBAL

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Mr. FLYNT, from the Committee on Standards of Official Conduct,  
submitted the following

R E P O R T

[To accompany H. Res. 1416]

INTRODUCTION TO REPORT

After hearing testimony from Tongsun Park in executive and public session and after an inquiry conducted on the initiative of the Committee on Standards of Official Conduct (the "Committee") and pursuant to House Resolution 252, the committee on July 12, 1978, filed a Statement of Alleged Violation charging Representative Edward R. Roybal with four violations of the Code of Official Conduct of the House of Representatives. All four charges grew out of Representative Roybal's receipt or use of \$1,000 in cash from Tongsun Park on or about August 22, 1974, and his subsequent testimony before the committee with respect thereto. A public hearing was held at which Representative Roybal was represented by counsel and, after the submission of evidence and written and oral arguments by the attorneys for Representative Roybal and by the committee's staff, the committee on September 27, 1978 by a vote of 9 to 0, found that three of the charges had been sustained by clear and convincing evidence. It amended the language of two of the charges.

The committee found that it had been established by clear and convincing evidence that: (1) Representative Roybal failed to report a \$1,000 cash contribution he received from Tongsun Park on or about August 22, 1974; (2) Representative Roybal converted the \$1,000 contribution from Tongsun Park to his own use; and (3) Representative Roybal gave "testimony which he did not believe to be true", when he denied under oath that he received the contribution.

A motion to sustain the fourth count failed, by a vote of 2 to 6, with one Member present and not voting.

The committee further voted, 9 to 0, to recommend that, as a result of its findings, Representative Roybal be censured.

This report summarizes the findings made by the committee and the procedures followed with respect to the said Statement of Alleged Violation. The record of the hearing with respect to the Statement of Alleged Violation is set forth in full as appendix to this report.

#### DISCUSSION

After widespread press reports of efforts by the Government of the Republic of Korea to influence U.S. foreign policy by giving money and other things of value to Members of Congress, the House on February 9, 1977, unanimously adopted House Resolution 252. That resolution directed the committee to conduct a "full and complete inquiry and investigation to determine whether Members of the House of Representatives, their immediate families, or their associates accepted anything of value, directly or indirectly, from the Government of the Republic of Korea or representatives thereof."<sup>1</sup>

In pursuing the investigation mandated by House Resolution 252, the committee from February 28 through March 9, 1978, heard testimony from Tongsun Park in executive session. Thereafter, Tongsun Park testified publicly before the committee on April 3 and 4, 1978.<sup>2</sup> On both occasions he testified to making a \$1,000 cash campaign contribution to Representative Roybal.

After taking testimony in executive session from Representative Roybal and others with respect to the \$1,000 contribution, the committee filed, on July 12, 1978, a Statement of Alleged Violation<sup>3</sup> against Representative Roybal, which provided in its entirety as follows:

#### STATEMENT OF ALLEGED VIOLATION

In the matter of—

#### CONGRESSMAN EDWARD R. ROYBAL

#### *Count 1*

From on or about August 1974, to on or about November 1974, Edward R. Roybal, the respondent, who at all times relevant to this Statement of Alleged Violation was a Member of the House of Representatives, did conduct himself in a manner which did not reflect creditably on the House of Representatives (in violation of Rule 1 of the Code of Official Conduct of the House of Representatives) and did violate section 302(b) of the Federal Election Campaign Act of 1971, in that he did receive a contribution in excess of

<sup>1</sup> Sec. 3 of H. Res. 252 provides that the committee: "after appropriate notice and hearing, shall report to the House of Representatives its recommendations as to such action, if any, that the committee deems appropriate by the House of Representatives as a result of any alleged violation of the Code of Official Conduct or of any law, rule, regulation, or other standard of conduct applicable to the conduct of such Member, officer, or employee in the performance of his duties or the discharge of his responsibilities."

<sup>2</sup> That testimony is reported in Hearings before the House Committee on Standards of Official Conduct, Korean Influence Investigation, part 2, 95th Congress, 2d session (1978).

<sup>3</sup> A "Statement of Alleged Violation" is the name given by the Committee's Rules of Procedure to a charge filed after an investigation conducted on the initiative of the committee. The committee files such a charge, according to its Rules of Procedure, only if it determines that there is "reason to believe" that a violation of the Code of Official Conduct or any other law, rule, regulation, or standard of conduct applicable to a Member or House employee has taken place.

\$10, to wit, a \$1,000 cash contribution on or about August 22, 1974, from Tongsun Park, either for a political committee, to wit, the Roybal Campaign Committee, or for himself and failed within 5 days of receipt thereof or at any later time to render an account thereof to the Treasurer of said Committee including the name of the contributor or to file a report himself with the Clerk of the House of Representatives including the name of the contributor. (Rule XLIII (1) Rules of the House of Representatives; Public Law 92-225, Section 302(b), 304)

*Count 2*

Commencing in or about August 1974, the said Edward R. Roybal did violate rule 6 of the Code of Official Conduct of the House of Representatives and did convert a campaign contribution of \$1,000 in cash from Tongsun Park to his personal use and did fail to keep his campaign funds separate from his personal funds. (Rule XLIII (6), Rules of the House of Representatives.)

*Count 3*

On or about February 1, 1978, Edward R. Roybal, the respondent, did conduct himself in a manner which did not reflect creditably on the House of Representatives (in violation of rule 1 of the Code of Official Conduct of the House of Representatives) and did give testimony which he did not believe to be true (in violation of section 1621 of title 18 of the United States Code) in that, having taken an oath before a competent tribunal, to wit, the Committee on Standards of Official Conduct, that he would testify truthfully he did make the following statements on material matters which he then and there believed to be false:

Mr. NIELDS [Chief Counsel, special staff conducting the Korean influence inquiry, Committee on Standards of Official Conduct]. Did Tongsun Park ever make any gift to you?

Mr. ROYBAL. No.

Mr. NIELDS. Did he ever offer to make a gift to you?

Mr. ROYBAL. No.

Mr. NIELDS. Did he ever make a contribution to any of your campaigns, either directly to you or to one of the committees which supported you?

Mr. ROYBAL. He never made a contribution directly to me and if a contribution was made to my campaign, it would show up in the reports I have given you. I have read some of these reports and I see no evidence of the fact Mr. Park made a contribution to the campaign at all. I don't think he would be particularly interested in making a contribution to a Californian, anyway.

Mr. NIELDS. But I take it what you are saying is that you have no knowledge of his ever making a contribution to any of your campaigns?

Mr. ROYBAL. I not only have no knowledge, but I have no evidence in records I have that he ever made a contribution to my campaign and I see no reason why he should have made a contribution to my campaign, since first of all I was not high enough on either the Committee on Foreign

Affairs or Foreign Operations, never handled any of the Korean legislation, I was most particularly interested in the Middle East and Latin America. Any legislation that came through the committee, that is the Committee on Foreign Operations that included the Middle East, I would be personally involved in that, and involved also in those matters which affected Latin America.

Mr. NIELDS. Did Tongsun Park, to your knowledge, ever offer to make a contribution to any of your campaigns?

Mr. ROYBAL. No, he never did.

(Rule XLIII (1), Rules of the House of Representatives; 18 U.S.C. sec. 1621)

*Count 4*

On or about April 25, 1978, Edward R. Roybal, the respondent, did conduct himself in a manner which did not reflect creditably on the House of Representatives (in violation of Rule 1 of the Code of Official Conduct of the House of Representatives) and did give testimony which he did not believe to be true (in violation of section 1621 of title 18 of the United States Code) in that, having taken an oath before a competent tribunal, to wit, the Committee on Standards of Official Conduct, that he would testify truthfully he did make the following statements on material matters which he then and there believed to be false:

Mr. NIELDS. What did you do with the funds that you received from Mr. Park?

Mr. ROYBAL. Well, we put them in the general cash flow of the Campaign Committee.

Mr. NIELDS. What does that mean?

Mr. ROYBAL. That means it became part of the campaign moneys which was recorded as part of the campaign money that came into the committee at that time.

Mr. NIELDS. And who did you turn it in to?

Mr. ROYBAL. I turned it in to either the Chairman of the Committee who was involved at that time or to my secretary who usually made the deposits.

Mr. NIELDS. Who was your secretary?

Mr. ROYBAL. Dianne Lewis.

Mr. NIELDS. It is possible that you gave this money to someone other than Dianne Lewis?

Mr. ROYBAL. Oh, it is possible, but not probably. I am sure that I gave it to Dianne Lewis.

Mr. NIELDS. Can you tell us the name of anyone else to whom you might have given it?

Mr. ROYBAL. The only other one that I might have given it to would have been the treasurer of the Committee which was Roger Johnson, but I don't think that I did. I think I gave it to Dianne Lewis.

(Rule XLIII (1), Rules of the House of Representatives; 18 U.S.C. sec. 1621)

After the filing of the Statement of Alleged Violation, Representative Roybal, through his attorney, filed motions seeking discovery of materials relevant to the Statement of Alleged Violation, seek-



ing the dismissal of the Statement of Alleged Violation and seeking, in the alternative, a hearing in executive session. He also filed an answer, all as provided for in the Committee's Rules of Procedure. The committee's staff filed a response. Representative Roybal's attorney was supplied with copies of documents obtained by and depositions and interviews conducted by the staff in its investigation of Representative Roybal's contacts with Tongsun Park.

On September 12, 1978, after hearing from Mr. Roybal's attorneys, the committee denied the motion to dismiss the Statement of Alleged Violation, denied the motion to proceed to a hearing in executive session, and voted to proceed with an investigative hearing in public session.<sup>4</sup> An investigative hearing was held on September 13, 1978.

Prior to the hearing, Representative Roybal was given the opportunity to request the issuance of subpoenas compelling the attendance of witnesses or the production of documents necessary for his defense. At the hearing, Representative Roybal's attorneys were given an opportunity to cross-examine witnesses called by the committee's staff and to call their own witnesses and offer evidence. The Congressman testified in his own behalf at the hearing.

The full record of the testimony and exhibits received in evidence at the hearing, Representative Roybal's answer, the staff's response, opening, statements of counsel for Representative Roybal and the staff are attached hereto as appendices.

After the conclusion of the hearing, Mr. Roybal's attorney and committee staff counsel submitted written papers and, on September 27, 1978, made oral arguments to the committee. The papers submitted and a transcript of the oral arguments are also attached as appendices to this report.

At the conclusion of the arguments on September 27, 1978, the committee immediately began deliberations in executive session and, later that day, announced in public session its findings and the votes thereon. The committee amended count 1 of the Statement of Alleged Violation, by striking out the parentheses enclosing the language "in violation of rule 1 of the Code of Official Conduct of the House of Representatives" (but not deleting such language) and by inserting, in lieu of the parentheses, commas. The committee found, by a vote of 9 to 0, that the count 1 as amended had been sustained by clear and convincing evidence. The committee found, by a vote of 9 to 0, that count 2 had been sustained by clear and convincing evidence. The committee amended count 3, by striking out the parentheses enclosing the language "in violation of rule 1 of the Code of Official Conduct of the House of Representatives" (but not deleting such language) and by inserting, in lieu of the parentheses, commas and by striking the language "in violation of section 1621 of title 18 of the United States Code." The committee found, by a vote of 9 to 0, that count 3 as amended had been sustained by clear and convincing evidence.

In substance, the committee found that on October 22, 1974, Representative Roybal received a \$1,000 cash campaign contribution from Mr. Tongsun Park which he did not report; and that

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<sup>4</sup> In determining to proceed with an investigation the Committee, pursuant to its own Rules of the Procedure, must determine that "there is credible evidence of [the respondent's] violation of the Code of Official Conduct . . ." rule 8(b)(1).

Representative Roybal converted the contribution to a personal use. Further the committee found that on February 1, 1978, Representative Roybal denied under oath in a deposition before this committee that he had ever received a contribution from Tongsun Park, and that he know at the time he testified, that his testimony was false.

With respect to count 4, the motion to sustain that count did not pass. On that motion, the vote was 2 ayes, 6 nays, 1 member present, not voting.

At the same time, the committee announced that it had decided, by a vote of 9 to 0, to recommended to the House that Mr. Roybal be censured.

Accordingly, the committee recommends that the House adopt a resolution in the following form.

#### HOUSE RESOLUTION

*Resolved*, That Representative Edward R. Roybal be censured and that the House of Representatives adopt the Report of the Committee on Standards of Official Conduct dated October 6, 1978, In the matter of Representative Edward R. Roybal.

#### Statement Pursuant to Rule XI, Clause 2(1)(3)(A)

The committee makes no special oversight findings in this report. This report was approved by the Committee on Standards of Official Conduct on October 6, 1978 by a vote of 7 yeas, 1 nay, one member present, not voting.

APPENDIX A

STAFF PROPOSED FINDINGS OF FACT



PROPOSED FINDINGS OF FACT SUBMITTED BY COMMITTEE STAFF

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

In the Matter of  
EDWARD R. ROYBAL

PROPOSED FINDINGS OF FACT

COUNT I

In Count I it is charged that Edward R. Roybal, respondent, received a campaign contribution from Tongsun Park of \$1,000 in cash in August, 1974, and failed to report it as required by law.

PROPOSED FINDINGS OF FACT ON COUNT I

1. In August of 1974, Tongsun Park gave Edward R. Roybal, respondent, a campaign contribution of \$1,000 in cash.
2. Edward R. Roybal, respondent, failed to report within five days or at any other time, either to the treasurer of his Campaign Committee, to the Clerk of the House or to anyone else, the fact that Tongsun Park had given him the contribution referred to in Finding No. 1.

DISCUSSION - FINDING NO. 1

Tongsun Park testified that Otto Passman to whom he had previously paid over \$100,000 in cash asked him to come to his office in order to help two friends of Passman's who needed campaign funds. Park went to Passman's office carrying two

envelopes, each containing \$1,000 in cash. (R 18-19; 29)<sup>\*/</sup>

Passman called first Congressman Roybal and then Congressman John Rarick of Louisiana into his office. In each case, he introduced Park by name and left the office while Park made his \$1,000 cash campaign contribution in an envelope. (R 20-24)

Park remembered that the contributions were in August of 1974 because the Louisiana primary is always at about the time of Korean Independence day (August 15) and the contribution was at about the time of Rarick's run-off primary. Park pinpointed the date of the contributions further by reference to a thank you note from Rarick dated August 23, 1974, which referred to the "kindness and courtesy which Park extended to me yesterday." (R 25-26; Exhibit 1)

Mr. Roybal testified in his first deposition on February 1, 1978, that he had never received a contribution from Park. (Exhibit 33, pp. 9, 10)<sup>\*\*/</sup> He admitted at the hearing, however, as he had admitted during his second deposition on April 25, 1978 (Exhibit 34, p. 2)<sup>\*\*\*/</sup> given after Park's public testimony had implicated him, that he had received a \$1,000 cash contribution from Park in mid-1974 (R 111). Thus, proposed Finding No. 1 above is undisputed.

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<sup>\*/</sup> References in the form (R ) are to the transcript of the Edward R. Roybal hearings dated September 13, 1978.

<sup>\*\*/</sup> Exhibit 33 is a transcript of Roybal's deposition taken on February 1, 1978.

<sup>\*\*\*/</sup> Exhibit 34 is a transcript of Roybal's deposition taken on April 25, 1978.

The campaign treasurer never received \$1,000 in cash from Mr. Roybal. (R 49) (Roybal's personal receipts report indicate that he, as distinguished from his Committee, neither received nor expended any campaign funds during 1974 (Exhibits 2-9)). Consequently, the proof establishes that Roybal did not put the money to a campaign use and his Committee never received it.

At Roybal's second deposition, in which he admitted receipt of Park's money, he referred to a deposit ticket dated February 21, 1974, showing a \$1,200 cash deposit into the campaign account and testified that he received the money from Tongsun Park in February, 1974, and turned the money in to his California District employee, Diane Lewis for deposit into the campaign account. (Exhibit 34, pp. 10-14; Exhibit 20)

Diane Lewis testified at the hearing, however, that although she had received cash from Mr. Roybal in February, 1974, and deposited it into his campaign account, Roybal had told her at the time that it came from the "Jewish Community." Moreover, it was \$1,200 not \$1,000. She testified further that this was the only cash Roybal had given to her. (R 95-96)<sup>\*/</sup>

Finally, after Lewis testified at the hearing, Roybal conceded in his own testimony that the money in February, 1974, was from the Jewish Community. (R 109) He then testified as follows:

"Mr. Nields. Is it still your testimony, Mr. Roybal that you turned this thousand dollars in cash (i.e., the money received from Park) over to someone else involved in your campaign?

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\*/ Roger Johnson, the Campaign Treasurer, denies ever receiving \$1,000 in cash from Roybal. (R 49)

DISCUSSION - FINDING NO. 2

Neither the reports filed by Mr. Roybal personally nor those filed by his Campaign Committee with the Clerk of the House of Representatives during the year 1974 discloses any contribution by Tongsun Park. (Exhibits A, 2-17) The treasurer of Roybal's Campaign Committee Roger Johnson - testified that Roybal never told him of a contribution from Tongsun Park (R 49). Finally, Mr. Roybal admitted in his testimony at the hearing that he never told anyone that Tongsun Park gave him a contribution. (R 111) Thus, proposed Finding of Fact No. 2 is also undisputed.

COUNT II

Count II charges that Mr. Roybal converted the \$1,000 contribution from Park to his own personal use.

PROPOSED FINDING OF FACT

Mr. Roybal failed to turn the \$1,000 contribution received from Park in to his Campaign Committee or cause it to be deposited to his campaign account and instead, converted it to his personal use.

DISCUSSION

According to Mr. Park's testimony and the letter from Rarick, the contribution was received on August 22, 1974. After July, 1974, there are no deposits of cash to the bank account into which Roybal's Campaign Committee placed contributions, except for a deposit of \$365 in cash on October 29, 1974. (Exhibits B, 18-31)



Mr. Roybal. I firmly believe that I did turn the money in to someone in the campaign, but I do not have documentary evidence to prove that.

Mr. Nields. What did you tell them?

Mr. Roybal. Sorry, I didn't hear.

Mr. Nields. What did you tell them about the money?

Mr. Roybal. At the time that the money in question was turned in, I did say that it had been given to me by friends in the Jewish Community.

Mr. Nields. You mean you are saying now that you made that remark about the Tongsun Park money?

Mr. Roybal. No, I say that I made that remark at the time I turned over some money to Diane Lewis. I am agreeing with her statement.

Mr. Nields. I understand that. But what did you say when you turned over Tongsun Park's money?

Mr. Roybal. I don't know that I turned over Tongsun Park's money.

Mr. Nields. That was my question. What did you do with the Tongsun Park money?

Mr. Roybal. If I had documentary evidence to show what I had done with the Tongsun Park money I would have presented that.

Mr. Nields. So you can't tell us whether you turned the Tongsun Park money over to anyone? I understand how you have just testified. You do not know now whether you gave that money to anyone?

Mr. Roybal. That is correct, I do not know.

Mr. Nields. So you may have kept it?

Mr. Roybal. It could be, yes." (R 115-117 emphasis added)

In sum, the staff has proved by clear and convincing evidence that the money from Park was received in August, 1974, and no money was turned in to the campaign or its bank account after August,

1974. Moreover, the original explanation by Mr. Roybal that the Park money was turned in to Diane Lewis and deposited in February, 1974, in addition to being inconsistent with evidence establishing the date of its receipt as August, 1974, is also contradicted by Diane Lewis and later Roybal. Both testified at the hearing that the February deposit came from Roybal's friends in the Jewish Community. Finally, in the face of this proof, Roybal admitted that he may have kept Tongsun Park's money. Thus, the evidence supports a finding by this Committee that Mr. Roybal failed to turn the \$1,000 in cash received from Tongsun Park in to his Campaign Committee or bank account; and that he converted it to his personal use.

### COUNT III

In Count III, Mr. Roybal is charged with giving testimony which he believed to be false during his February 1, 1978, deposition when he denied receiving any gift, or to his knowledge any campaign contribution from Tongsun Park.

#### PROPOSED FINDINGS OF FACT ON COUNT III

1. Mr. Roybal testified falsely under oath on a matter material to the Korean Influence Investigation when on February 1, 1978, he testified that Tongsun Park made no gift, nor to his knowledge, a campaign contribution to him.
2. At the time he gave such testimony, he believed it to be false.

#### DISCUSSION - FINDING NO. 1

As set forth in the discussion under Count I, this finding is now undisputed.

INTRODUCTION - FINDING NO. 2

Roybal concedes now that he received a \$1,000 cash contribution from Tongsun Park in Otto Passman's office. He argues now, however, that his testimony to the contrary on February 1, 1978, was not perjurious because he was not then aware that the contribution was from Park. He claims that he did not catch Park's name when they were introduced, and consequently was aware only that he received a contribution from a Korean in Otto Passman's office. He would, therefore, have responded differently if he had known the Korean's name. This claim is refuted by the fact that Roybal was also asked in his February 1 deposition whether he had received anything from a Korean National, and Roybal still failed to disclose the contribution in Otto Passman's office.

DISCUSSION - FINDING NO. 2

Park testified that Mr. Roybal and he were introduced to each other by name; and that they greeted each other somewhere in the Rayburn building at a later time. (R 20, 30) Those are the only two times, so far as the record reflects, that the two met each other. Nonetheless, the passing of \$1,000 in cash in a white envelope the largest such contribution Mr. Roybal ever received (R 112) - in the office of Otto Passman (who had excused himself from the scene) is undoubtedly a memorable event. Mr. Roybal would be especially likely to remember it in February, 1978, when Mr. Roybal was aware - as he conceded (R 121-122) of newspaper publicity concerning Tongsun Park and other Koreans

passing cash in little white envelopes to Members of Congress and publicity concerning the relationship between Otto Passman and Tongsun Park. Nonetheless, Mr. Roybal has claimed that he did not catch Park's name when Passman introduced them; that Roybal consequently did not know who gave him the contribution; and that, therefore, he did not know when asked in February, 1978, that Tongsun Park had handed him \$1,000 in cash. (R 106-107) Nothing else appearing, this claim by Mr. Roybal that he was unaware of the name of the person who gave him the largest cash contribution of his life would be hard to accept. In light of the other evidence set forth below, the staff submits that the claim is incredible; and that Mr. Roybal denied receiving the money from Park not because he did not know his name but because he had no intention of ever disclosing the event which took place in Passman's office during which he received \$1,000 in cash.

First of all, Mr. Roybal - at the February 1 deposition - testified to having had a great deal of knowledge about Mr. Park. His testimony was as follows:

"Mr. Nields. Do you know a man named Tongsun Park?

Mr. Roybal. I know him by sight and reputation.

Mr. Nields. Have you ever met him?

Mr. Roybal. I don't think I have ever met him personally but he is known by every member of the House and Senate.

Mr. Nields. Do you mean by reputation or because you have seen them? /sic/

Mr. Roybal. No, by reputation. I think we know every lobbyist in the House of Representatives and he was just another lobbyist.

Mr. Nields. For what?

Mr. Roybal. It is my information that he was a rice broker who bought and sold rice and that was his main function.

Mr. Nields. For what was he a lobbyist?

Mr. Roybal. For the purchase and sale of rice, particularly, not necessarily a legislative advocate but a merchant who bought and sold rice on the open market - in the world market, excuse me.

Mr. Nields. In what manner did he lobby, Congressman, in that connection with that business?

Mr. Roybal. I don't know that he ever lobbied Congress directly but I do know he was known as a lobbyist who was interested in rice and was known to be a businessman who negotiated rice deals and it is my understanding he was particularly interested in supplying Korea for rice, which to me, was a surprise even knowing that Korea needed rice.

Mr. Nields. Did you ever learn that he was a lobbyist for the Government of Korea?

Mr. Roybal. No. I never knew he was a lobbyist for Korea or any other government. I always thought him to be a businessman, a rice broker who was interested in legislation which affected the purchase of rice, the sale and the distribution of rice.

Mr. Nields. Were you aware of the names of any individual Congressmen whom he lobbied in connection with his rice business?

Mr. Roybal. I was a member of the Committee on Foreign Affairs for seven years and also the Committee on Foreign Operations and have been a member of that committee for an additional seven years. I have never known him to lobby any member of either the Foreign Affairs Committee or the Committee on Foreign Operations which is a part of the Committee on Appropriations.

So when I use the term, "lobbyist," it is a term used to describe someone who is doing some kind of lobbying on the Hill but not necessarily someone with whom I have had contact. The same is true with other lobbyists on the Hill. We know who they are but they don't lobby you directly when you are not high enough on a committee to be of any assistance.

Mr. Nields. From whom do you know that he lobbied?

Mr. Roybal. I don't know of anyone he lobbied.

Mr. Nields. From whom did you learn that he was a lobbyist?

Mr. Roybal. No one in particular; whether he was registered or not, that's not for us to determine. It was just general rumor. Just as it is rumored you are the attorney for the committee you are working for. I am not so sure you are; but it is the same assumption as to the lobbyist.

Mr. Nields. I take it your belief that he was a lobbyist was based on a conversation with someone or some group of people.

Mr. Roybal. I don't think that was a subject matter important enough to be discussed by members of the House. I think it was just a general assumption that someone was a lobbyist.

Mr. Nields. How did it come to your attention that Tongsun Park was a lobbyist?

Mr. Roybal. I suppose by general knowledge. His name was constantly in the paper. He was known as a socialite, as an individual who would have social events, as one whose reputation was such that if you had a fund raiser in Washington, D. C., he was always good for at least a table. Since I never had a fund raiser in Washington, D. C., I don't know that to be a common fact.

Mr. Nields. But you don't know the name of any Congressman who ever mentioned his name to you?

Mr. Roybal. It all depends on what you mean, "mentioned his name."

Mr. Nields. Spoke his name in your presence.

Mr. Roybal. Oh, I suppose there were occasions when his name was spoken in my presence but I don't remember the circumstances.

Mr. Nields. Do you recall who mentioned his name?

Mr. Roybal. No, I don't.

Mr. Nields. But the individual was well known enough to have him pointed out by any Member of Congress, particularly Members of the Committee on Foreign Affairs and the Committee on Foreign Operations.

Do you remember him being pointed out to you by anyone in particular?

Mr. Roybal. Not necessarily pointed out, but when you are around here long enough, you more or less get information via the process of osmosis, so you have a pretty good idea as to who is doing the lobbying on Capitol Hill. If a Member of Congress did know that, he is not alert to know what is going on. (Exhibit 33, pp. 5-9, emphasis added)

\* \* \*

Mrs. Fenwick. Were you aware of the interest of the rice merchant, Mr. Park, in California rice?

Mr. Roybal. No, I was not. I thought most of the rice that he was interested in came from perhaps another state, but he was most interested in getting rice at a price at which he could make a profit. It is my understanding that it was quite a profitable business.

Mrs. Fenwick. What other state came to your attention?

Mr. Roybal. I suppose Louisiana rice, also California rice, because we do grow rice in California and it is a rice-producing state. But it so happens I represent the downtown section of Los Angeles and there isn't a single grain of rice that grows in my district. Therefore, he wouldn't be interested in my district. (Exhibit 33, p. 20, emphasis added)

\* \* \*

Mr. Nields. Have you ever talked to Congressman Passman about rice?

Mr. Roybal. I have talked to Congressman Passman about rice for Korea. Congressman Passman was quite interested in Korea. In fact, all legislation pertaining to Korea was handled by Mr. Passman. He gave me the opportunity to deal with the Middle East and Latin America. On various occasions together with statements made on the floor, indicated he was interested in Korea. There is no secret of the fact that he did promote the best interests of Korea. He was interested in Korea as a whole. But never did I hear Congressman Passman ask me or anyone in the committee about anything with regard to the sale of rice directly.

Mr. Nields. Did he ever mention the name Tongsun Park to you?

Mr. Roybal. I don't remember that he ever mentioned the name Tongsun Park to me, no.

Mr. Nields. Did you ever attend a luncheon --

Mr. Roybal. But everyone around knew Tongsun Park, so when one was talking about the sale of rice to Korea, you did not have to be too smart to know who was handling. Everyone knew it, including the clerks around here. Anyone who has been on the Hill for any time at all and was familiar with the needs of Korea for more rice; and if they did know, they suspected that Mr. Park was a rice broker and was involved and would get the business." (Exhibit 33, pp. 21-22, emphasis added)

In light of this testimony, it is difficult to conclude that when Mr. Roybal was introduced to Tongsun Park by Otto Passman and received \$1,000 in cash from him, that he did not know who Park was.

Second, and of conclusive significance, Mr. Roybal was asked in the February 1 deposition not only whether he received a contribution from Park, whose name he now claims he did not know, but whether he received a contribution from any Korean National. The testimony is as follows:

"Mr. Nields. To your knowledge, has any Korean National made a contribution to any of your campaigns for Congress?

Mr. Roybal. I have in my district, a place called Little Korea and I have fund raisers in my own district and I assume that there have been Koreans who have made contributions to my campaign, that is by buying, perhaps, a ticket to one of my fund raisers. If that is the case, then the name of that particular individuals [sic] will be found in the records I have given you." (Exhibit 33, pp. 12-13)

Since Roybal later testified that, at the time of the contribution, he believed Park to be one of the "people from Korea" whose visits to Passman's office were arranged by the State Department (Exhibit 34,



p. 6), there is no credible explanation for why he failed to disclose the contribution in Otto Passman's office in response to the above question.

The Committee is left with only one reasonable inference: Mr. Roybal did not wish to disclose Park's contribution to the Committee and was prepared to lie in order to avoid it.

The motivation for this willingness to lie is clear. First, although there is no evidence that Mr. Roybal was influenced or agreed to be influenced either by Park or Passman in return for the \$1,000, there is an unseemly atmosphere to this private exchange of cash arranged but not witnessed by the Chairman of Roybal's subcommittee. More to the point, the contribution was not reported; and it was pocketed by Roybal for his personal use. Thus, in order to disclose the contribution in his testimony, Mr. Roybal would have had to admit two disciplinable offenses. The staff submits that the evidence clearly and convincingly establishes that he deliberately chose not to do this.

#### COUNT IV

Mr. Roybal is charged in Count IV with giving testimony which he believed to be false during his April 25, 1978 deposition when he claimed that he placed the \$1,000 in cash received from Tongsun Park in the "general cash flow of the Campaign Committee" by turning it in to Diane Lewis.

#### PROPOSED FINDINGS OF FACT ON COUNT IV

1. Mr. Roybal testified falsely under oath on a matter material to the Korean Influence Investigation when on April 25,

1978, he testified that he placed Tongsun Park's \$1,000 cash contribution in the general cash flow of the campaign committee by giving it to Diane Lewis.

2. At the time he gave such testimony he knew it was false.

#### DISCUSSION - FINDING NO. 1

For the reasons set forth in the discussion under Count II, it is no longer really disputed, and the Committee may find; that Mr. Roybal kept the \$1,000 for his own personal use, and failed to put it in the general cash flow of the Campaign Committee by giving it to Diane Lewis.

#### INTRODUCTION - FINDING NO. 2

Mr. Roybal has given four versions of the facts regarding the \$1,000 contribution from Park. His most recent version is that he may have pocketed the contribution, but that during his April 25 deposition when he testified to the contrary, he actually believed that he turned it in to his Campaign Committee. This version is incredible. Pocketing a \$1,000 campaign contribution is improper. Mr. Roybal would not forget whether he pocketed the largest cash contribution ever received in his life.

#### DISCUSSION - FINDING NO. 2

In Roybal's first version of the facts given on February 1, 1978, Mr. Roybal denied receiving a contribution from Park or any Korean National (except contributions from Koreans in his district whose names appear in his campaign reports).

(Exhibit 33, pp. 9, 12-13) In his second version, given on March 10 or 13, after he heard that Park had implicated him, he told Jeffrey Harris and John Nields, Jr., Counsel to the Committee, that he received a contribution through Otto Passman from one of Passman's supporters - which might have been Park - but that he never received it directly from Park or any oriental.<sup>\*/</sup> (R 65-67)

In his third version of the facts given on April 25, 1978, after Park testified vividly in public to his direct contribution to Mr. Roybal, Roybal conceded that he received the money directly from Park but claimed that a February 21, 1974 deposit ticket showed that he turned it in through Diane Lewis to his campaign account. (Exhibit 34, pp. 10-14; R 97) At the public hearing, after hearing the evidence proving that he received the contribution in August, not February, and the proof that the February deposit ticket reflected money from the Jewish Community, not Park, Mr. Roybal gave his fourth version of the facts. He admitted that he may have kept the money, but claimed that he was not aware on April 25 (when he testified) that he had kept the money.

This fourth version of the facts is no more credible than any of the first three. It is incredible that a man could forget whether or not he pocketed the largest cash contribution he ever received in his life. A detail concerning an innocent disposition

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<sup>\*/</sup> The Committee may find that this was an attempt to tell a version of the facts which might be consistent with Park's testimony without necessarily contradicting Roybal's own testimony given earlier under oath.

of the money might conceivably be forgotten. An unlawful disposition of the money would not.

The evidence clearly and convincingly establishes that Roybal believed his answers given in the April 25, 1978 deposition to be false.

The staff also recommends that the Committee also find separately that the charge in each of the four counts of the Statement of Alleged Violation has been sustained.

Respectfully submitted,

John W. Nields, Jr.  
Chief Counsel

**APPENDIX B**

**RESPONDENT'S PROPOSED  
FINDINGS OF FACT**





to his personal use and benefit and kept separate from his personal funds, and hence he did not violate Rule 6 of the Code of Conduct of the House of Representatives.

3. In support of this conclusion, the Committee finds:

- (a) That it was the intent of Tongsun Park to make a campaign contribution of \$1,000 to Edward R. Roybal.
- (b) That it was accepted by Edward R. Roybal as a campaign contribution to aid his re-election to the House of Representatives.
- (c) That Edward R. Roybal has testified that despite his failure to report the campaign contribution he received as required by law, he stated that he used those funds in his re-election campaign.
- (d) That the staff has the burden of establishing by clear and convincing evidence that the money received was converted to the personal use of Edward R. Roybal, and that he failed to keep those campaign funds separate from his personal funds.
- (e) That the evidence at best is in equipoise; therefore, there is no clear and convincing proof that Edward R. Roybal converted this campaign contribution.



COUNT THREE

4. The Committee finds that it has not been established by clear and convincing evidence that Edward R. Roybal on February 1, 1978 perjured himself in a deposition given to this Committee and hence did not violate Rule 1 of the Code of Conduct of the House of Representatives. --

5. In support of this conclusion, the Committee finds:

- (a) On February 1, 1978, Edward R. Roybal gave testimony under oath before this Committee pursuant to House Resolution 252.
- (b) At the time he testified, he believed he had checked sufficiently to enable him to assert what he thought to be the truth -- that he had not received money from Tongsun Park.
- (c) His testimony was erroneous.
- (d) He corrected it on April 25, 1978, in a second deposition to this Committee.

6. On the occasion of his original testimony, Edward R. Roybal did not intend to lie or to deceive his questioners, this Committee or the House of Representatives. Therefore, his erroneous testimony was not perjury because, at the time he gave it:

- (a) He did not and had no reason to believe that what he was testifying to before the Committee was false; and
- (b) He did not intend to convey the information, knowing or believing at the time he testified that it was false.

COUNT FOUR

7. The Committee finds that it has not been established by clear and convincing evidence that Edward R. Roybal on April 25, 1978 perjured himself in a deposition given to this Committee and hence did not violate Rule 1 of the Code of Conduct of the House of Representatives.

8. In support of this conclusion, the Committee finds:

- (a) On April 25, 1978, Edward R. Roybal gave testimony under oath before this Committee pursuant to House Resolution 252.
- (b) At the time he testified, he believed he had checked sufficiently to enable him to assert what he thought to be the truth -- that he had received a campaign contribution from someone he presumed at the time of his April deposition to be Tongsun Park; that he received that campaign contribution in February, 1974; that he gave it to his campaign personnel for deposit into the campaign account; that the deposit slip in February, 1974

showing the \$1,200 cash deposit suggested to him that this was approximately when he received and deposited the campaign contribution.

- (c) His testimony respecting the timing of his receipt and of the deposit of this campaign contribution was erroneous.

9. Edward R. Roybal's testimony was the product of mistaken recollection.

10. Edward R. Roybal's testimony was premised on his reasonable and then uncontroverted presumption that any campaign contributions he received were put into his campaign account.

11. The Committee notes and finds that Edward R. Roybal has been in public office for thirty years, sixteen of which have been spent in the House of Representatives, and that during the entire period of his public service, he has enjoyed a most excellent reputation for truthfulness and honesty, and his campaigns have been conducted without any complaints of campaign irregularity.

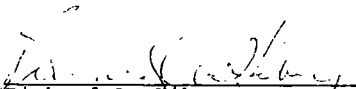
12. Edward R. Roybal reasonably believed, therefore, that the record of deposit in February, 1974, first checked by him in 1978, four years later, bore out his assumption.

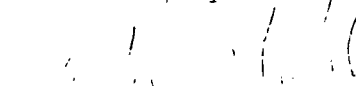
13. On the occasion of his April 25, 1978 deposition, Edward R. Roybal did not intend to lie or to deceive his

questioners, this Committee or the House of Representatives.

14. Edward R. Roybal's honest mistake of fact concerning the events surrounding the timing of his receipt and of the deposit of the campaign contribution he received from Tongsun Park does not constitute a knowing and intentional act of testimonial deception. Therefore, Edward R. Roybal's testimony did not constitute perjury.

Respectfully submitted,

  
Richard A. Hibey

  
Stanton D. Anderson

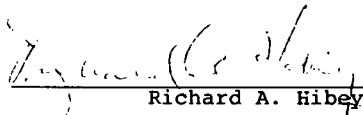
SURREY, KARASIK AND MORSE  
1156 Fifteenth Street, N.W.  
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Telephone: (202) 331-4000

Counsel for Respondent  
Edward R. Roybal

Dated: September 25, 1978.

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing Respondent's Proposed Findings of Fact was hand-delivered, this 25th day of September, 1978, to John W. Nields, Jr., Esquire, Chief Counsel, House Committee on Standards of Official Conduct, Room 3517, House Annex 2, 2nd and D Streets, S.W., Washington, D.C.

  
\_\_\_\_\_  
Richard A. Hibey



APPENDIX C

RESPONSE TO STATEMENT  
OF ALLEGED VIOLATION







- 2 -

- (1) He did not convert to his personal use any campaign contributions that he might have received in connection with the Congressional campaign of 1974; and
- (2) He did not fail to keep his campaign funds separate from his personal funds; and
- (3) The House of Representatives has no authority to take jurisdiction of offenses committed against a previous Congress.

COUNT THREE

Respondent denies the allegations set forth in this Count, in that:

- (1) He did conduct himself in a manner which at all times has reflected creditably on the House of Representatives; and
- (2) The testimony he gave on February 1, 1978 was believed by him to be true and the product of his best recollection at the time he gave it; and
- (3) When he subsequently determined that the testimony given on February 1, 1978 may not have been accurate, he immediately sought to and did recant his testimony by correcting it in testimony before the Committee; and

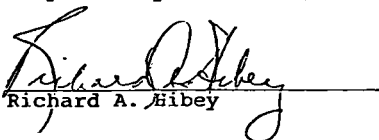
- (4) At the time he gave his testimony on February 1, 1978, he did not believe that what he swore to was false and he did not have the intent to deceive his questioners by his testimony.

COUNT FOUR

Respondent denies the allegations set forth in this Count, in that:

- (1) He did conduct himself in a manner which at all times has reflected creditably on the House of Representatives; and
- (2) The testimony he gave on April 25, 1978 was believed by him to be true and the product of his best recollection at the time he gave it; and
- (3) At the time he gave his testimony on February 1, 1978, he did not believe that what he swore to was false and he did not have the intent to deceive his questioners by his testimony.

Respectfully submitted,

  
Richard A. Hibey

A handwritten signature in cursive script, appearing to read "Stanton D. Anderson". The signature is written in dark ink and is positioned above a horizontal line.

Stanton D. Anderson

SURREY, KARASIK AND MORSE  
1156 Fifteenth Street, N.W.  
Washington, D.C. 20005  
Telephone: (202) 331-4000

Counsel for Respondent  
Edward R. Roybal

Dated: August 16, 1978.

**APPENDIX D**

**MOTION TO DISMISS  
STATEMENT OF ALLEGED VIOLATION**



APPENDIX D

THE COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

IN THE MATTER OF  
CONGRESSMAN EDWARD R. ROYBAL

MOTION TO DISMISS  
STATEMENT OF ALLEGED VIOLATION

Respondent Edward R. Roybal, through his counsel, respectfully moves to dismiss Counts One, Two, Three and Four of the Statement of Alleged Violation.

As grounds therefor, Respondent submits:

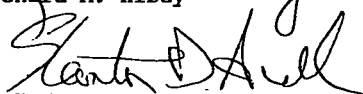
- (1) As to Counts One and Two, the House of Representatives has no authority to take jurisdiction of offenses committed against a previous Congress.
- (2) As to Counts One, Three and Four, Section One of the Code of Conduct of the House of Representatives is unconstitutionally void for reasons of vagueness.
- (3) As to Count One, the lone failure to report a single campaign contribution does not reflect discredit upon the House of Representatives.

Respondent respectfully refers the Committee to the Memorandum of Points and Authorities filed in support of this Motion.

Respondent respectfully requests the opportunity to be heard in support of this Motion.

Respectfully submitted,

  
Richard A. Hibey

  
Stanton D. Anderson

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Telephone: (202) 331-4000

Counsel for Respondent  
Edward R. Roybal

Dated: August 16, 1978.



## THE COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

IN THE MATTER OF	)
	)
CONGRESSMAN EDWARD R. ROYBAL	)
	)

MEMORANDUM OF POINTS AND AUTHORITIES  
IN SUPPORT OF MOTION TO DISMISS  
STATEMENT OF ALLEGED VIOLATION

## I.

The House of Representatives Has No  
Authority To Take Jurisdiction of Offenses  
Committed Against a Previous Congress

Throughout its history, the House of Representatives (and the Senate as well) has distrusted its power to expel a Member for actions taken during a prior Congress. The Supreme Court, in distinguishing between exclusion and expulsion from the House, reported this history of reluctance of the House to punish a member for an offense prior to the session of the Congress in which disciplinary action was being contemplated. As far back as 1858, Committees of the House have consistently reported that the Congress has no jurisdiction to try a member for an offense that did not take place during the Congressional session in which the disciplinary actions are being sought. See Powell v. McCormack, 395 U.S. 486, 506-512, 89 S.Ct. 1944, 1956-1959 (1969), and



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for such offense a member, like any other citizen, is amenable to the courts alone. H.R.Rep. No. 815, 44th Cong., 1st Sess., 2 (1876). See also 15 Cong.Rec. 4434 (1884) (ruling of the Speaker); H.R.Rep. No. 81, 42d Cong., 3d Sess., 8 (1873) (expulsion of James Brooks and Oakes Ames); H.R.Rep. No. 179, 35th Cong., 1st Sess., 4-5 (1858) (expulsion of Orsamus B. Matteson). 395 U.S. at 509-510 n.29, 89 S.Ct. at 1957-1958 n.29.

In this case, the alleged violations took place in 1974, during the 93rd Congress. Upon the convening of each new Congress, Members are duly sworn. A Member's incumbency -- his membership in a previous Congress -- is irrelevant. In essence, at the beginning of each new Congress, each Member is originally sworn as a Member of that Congress. Therefore, any actions ascribed to Respondent which took place prior to the convention of this 95th Congress cannot be the subject of proceedings before this Committee. Therefore, Counts One and Two must be dismissed.

## II.

Section One of the Code of Conduct  
Of the House of Representatives  
Is Unconstitutionally Void for  
Reasons of Vagueness

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Constitutional standards of due process govern the manner in which disciplinary proceedings by the House or one of its Committees are to be conducted. In Powell, supra, the Supreme Court recognized that Congressional action with respect to the

status of Mr. Powell required an interpretation of the Constitution and a determination according to judicially-manageable standards.

It is clear from a reading of Section One of the Code of Conduct that there are no standards defining "creditable" conduct on the part of a Member. There is no specification of conduct which is either permissible or proscribed. As a result, a Member is given no guidance as to what he may or may not do. The Floor Manager of the House Resolution establishing the Committee on Standards of Official Conduct and adopting the Code of Conduct stated that the intent of the Rule was to reach "any given act or accumulation of acts which, in the judgment of the committee, are severe enough to reflect discredit on the Congress." Cong.Rec. H2513 (April 3, 1968). In his statement, he also specifically "ruled out" equation of this rule with violations of the criminal code, and opted for a statement that was "subjective" in its language.

The good intentions of the Congress notwithstanding, Respondent respectfully suggests that in an effort to be responsive to the moral imperative by which its Members could guide themselves, it has reduced the formulation of that moral imperative to an unworkable mandate which, to use the language of the Supreme

Court, "'fails to give a person of ordinary intelligence fair notice that his contemplated conduct is forbidden by the statute,' United States v. Harriss, 347 U.S. 612 ... ", Papachristou v. City of Jacksonville, 405 U.S. 156, 162, 92 S.Ct. 839, 843 (1972).

In Ricks v. District of Columbia, 414 F.2d 1097 (1968), the Court struck down various sections of the vagrancy statute of the District of Columbia. The Court found that the statutory provision "not giving a good account of himself" was too loose to satisfy constitutional requirements, stating, "It takes but little reflection to bring to mind almost immediately the magnitude of the guesswork its application commonly entails." 414 F.2d at 1104-1105. "Not giving a good account of himself" can reasonably be construed to be included in the negative statement of "reflecting creditably" as stated in Section One of the Code of Conduct. Therefore, the observation of the Circuit Court applying the constitutional standards is directly applicable here.

The fact that the cases cited for the articulation of the constitutional standard involve public penal statutes does not diminish their significance in this case. These cases are more akin to the problem of the interpretation of Section One than are cases involving regulatory agencies, which have been given greater leeway in providing fair notice to their constituents of what constitutes offending

conduct. The classic example is in the legal profession, where the Code of Professional Responsibility recognizes the proscription that lawyers must avoid "even the appearance of impropriety" under Canon 9. What must be noted is that lawyers, and more specifically, bar associations and courts, are not left to their own subjective interpretation of what constitutes the appearance of impropriety, for Disciplinary Rule 9-101 specifically proscribes conduct that would constitute the appearance of impropriety. \*/

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\*/

Disciplinary Rule 9-101 provides:

DR 9-101 Avoiding Even the Appearance  
of Impropriety.

- (A) A lawyer shall not accept private employment in a matter upon the merits of which he has acted in a judicial capacity.
- (B) A lawyer shall not accept private employment in a matter in which he had substantial responsibility while he was a public employee.
- (C) A lawyer shall not state or imply that he is able to influence improperly or upon irrelevant grounds any tribunal, legislative body, or public official.

(Footnotes omitted).

Such is not the case here, for here no standard exists. No delineation of conduct is set forth, with the result that a Member, albeit a man of good conscience, can find himself the subject of charges that could result in his reprimand, censure or even expulsion from the House of Representatives. This is an untenable situation, and while Respondent has the greatest respect for his colleagues, and particularly the Members of this Committee, he respectfully suggests that, tested against the standards of due process, Section One of the Code of Conduct is void for vagueness and therefore should not be applied in this case.

It should be noted that any reluctance to dismiss Counts Three and Four underscores the reason why Section One of the Code of Conduct is vague. The Floor Manager specifically rejected the notion that offenses charged for violations of the rules of conduct should be equated with offenses prosecutable under the criminal law. Therefore, references to the criminal law, and particularly for purposes of this argument, to 18 U.S.C. § 1621 (perjury) in Counts Three and Four, add nothing to the statement of the charges by which a reasonable person could determine the precise nature of the wrongdoing with which he has been charged. An essential element of the crime of perjury is that it be knowingly and

willfully carried out. There is no allegation of willfulness in either Count Three or Count Four. There is no allegation that any of Respondent's testimony was made with the intent to deceive, which is a recognized element of the crime of perjury. See United States v. Rose, 215 F.2d 617 (3d Cir. 1954), wherein the Court stated:

Perjury is the willful, knowing and corrupt giving, under oath, of false testimony material to the issue or point of inquiry. An essential element is that the defendant must have acted with a criminal intent -- he must have believed that what he swore to was false and he must have had the intent to deceive. If there was a lack of consciousness of the nature of the statement made or it was inadvertently made or there was a mistake of the import, there was no corrupt motive. 215 F.2d 617, 622-623 (footnote omitted).

The conclusion to be drawn from this argument might be hard to accept, but it is the only constitutionally permissible one: Section One of the Code of Conduct of the House of Representatives does not effectively proscribe a Member from lying under oath. Thus, a prosecution, so to speak, for the crime of perjury is for the Executive Branch to initiate; it does not fall within the jurisdiction of the House of Representatives. Therefore, Counts Three and Four must be dismissed.



## III.

The Lone Failure to Report  
A Single Campaign Contribution  
Does Not Reflect Discredit Upon  
The House of Representatives

The Statement of Alleged Violation charges only the single failure to report a campaign contribution. There have been numerous reports of audits of the election campaigns of both sitting and former Members of Congress. The reports have revealed that the instances of violations of campaign reporting laws are legion.

For example, the Clerk of the House and the Secretary of the Senate conducting audits of campaign candidates to the House and Senate referred over 6,500 cases to the Department of Justice in 1972 and 1973, see Federal Election Campaign Act: Report on Audits, Field Investigations, Complaints and Referrals in connection with Elections for the United States Senate in 1972, U.S. Government Printing Office, 1974; and Report of the Special Committee to Investigate Campaign Expenditures, 1972, House Report No. 93-1, 93rd Cong., 1st Sess., U.S. Government Printing Office, January 1973. According to the GAO, 420 campaign committees were audited by it between the period June 1972 and December 16, 1974. On

the basis of those audits, the GAO found that 111 committees had violations of a nature serious enough to warrant referral to the Justice Department. See *Financing the 1972 Election*, by Herbert E. Alexander, Citizens Research Foundation, published by Lexington Books. The GAO developed guidelines to determine whether certain violations should be referred to the Justice Department because of their serious nature. Those violations usually involved large sums of money or deliberate evasion of the law. A typical violation that the GAO considered amendable and not subject to transfer to the Justice Department was, inter alia, "failure to disclose or to fully disclose information about donors contributing in excess of \$100, including name, address, occupation, principal place of business, and date of contribution; and non-disclosure of receipts for expenditures in excess of \$100".


Those violations the GAO deemed serious enough to refer to the Justice Department included "improper handling of cash contributions and failure to keep and maintain adequate books and records on a current basis on checks and their proceeds and large balances of cash, and failure to keep and maintain detailed and exact accounting of currency funds and contributions that may have been received on or after April 7, 1972, and failure to disclose details of such contributions."

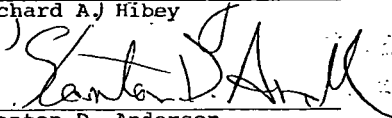
- 11 -

Of all these criminal referrals to the Justice Department, only one resulted in a prosecution against a sitting Member of the House of Representatives. That Member, Congressman Hansen, was convicted in a federal court for the same offense (inter alia) for which Respondent is charged in Count One of the Statement of Alleged Violation. No action under any section of the Code of Conduct was taken against Mr. Hansen by this Committee.

Indeed, we are not aware of any disciplinary action taken by the House against any of its Members as a result of the reported violations of the Federal Campaign Laws. Therefore, one can reasonably assume that if such conduct is proscribed, it is forbidden under the laws of the United States, but is not cognizable as an offense under Section One of the Code of Conduct of the House of Representatives.

Respectfully submitted,

  
Richard A. Hibey

  
Stanton D. Anderson

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Telephone: (202) 331-4000

Counsel for Respondent  
Edward R. Roybal

APPENDIX E

MOTION FOR PRODUCTION OF DOCUMENTS



APPENDIX E

THE COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

IN THE MATTER OF )  
 )  
CONGRESSMAN EDWARD R. ROYBAL )

MOTION FOR PRODUCTION OF DOCUMENTS

Pursuant to Rule 12(D) of the Rules of Procedure of the Committee, Respondent Edward R. Roybal, through his counsel, respectfully moves the Committee to enter an order providing for the production of documents and evidence favorable to Respondent, including, but not limited to, the following:


- (1) The names and addresses of all witnesses the staff intends to call in support of the charges.
- (2) Access to the witness depositions.
- (3) Staff notes of interviews of all witnesses.
- (4) All documents relating to Mr. Rarick.
- (5) Evidence favorable to the Respondent.

As grounds therefor, Respondent respectfully refers the Committee to the attached Memorandum of Points and Authorities in support of this Motion.

Respondent requests the opportunity to be heard in support of this Motion.

Respectfully submitted,

  
Richard A. Hibey

  
Stanton D. Anderson

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Counsel for Respondent  
Edward R. Roybal

Dated: August 16, 1978.



APPENDIX F

MEMORANDUM OF POINTS AND AUTHORITIES  
IN SUPPORT OF MOTION FOR  
PRODUCTION OF DOCUMENTS

(61)



APPENDIX F

THE COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

IN THE MATTER OF  
CONGRESSMAN EDWARD R. ROYBAL

MEMORANDUM OF POINTS AND AUTHORITIES  
IN SUPPORT OF MOTION FOR  
PRODUCTION OF DOCUMENTS

Research has failed to uncover any authority controlling the issue of discovery in investigative proceedings carried out by this Committee. It would seem, therefore, that discovery should be conducted according to standards of elemental fairness and due process. The Manual of Offenses and Procedures published by the Committee for the Korean Influence Investigation discusses at great length the reduction in standards of evidence and proof required to establish violations which are cognizable by the Committee. The thrust of the Manual is to reduce traditional requirements of the criminal law in terms of elemental proof and the standard by which that proof is to be measured. The proceeding, in short, is not a criminal one.

The Manual is silent on discovery preliminary to the conduct of its hearings. Respondent does not advocate a position which seeks to employ the discovery practice provided by the Federal Rules of Civil Procedure, and accordingly does not seek to propound interrogatories or to request the taking of depositions.

However, Respondent respectfully requests that this Committee adopt the most liberal policy of providing information to Respondent to enable him to address fully the issues raised by the Statement of Alleged Violation and to enable his counsel to assist in the preparation and presentation of his case. Since the conduct which will be the subject of this Committee's scrutiny is not of such a character as to suggest that limited access to the staff's information is either necessary or desirable, fairness would indicate that the information sought should be immediately forthcoming.

CATEGORIES OF INFORMATION  
AND DOCUMENTS REQUESTED

1. The names and addresses of all witnesses the staff intends to call in support of the charges.

Upon informal discovery, respondent has been given the identity of a number of witnesses whom the staff may call. To date, the Committee, through its Chief Counsel, John W. Nields, Jr., Esquire, has specifically refused to identify a person said to exist who will be a witness in the case. There seems to be no basis upon which the withholding of this evidence is justified. This witness has been described

- 3 -

as one who will testify both in context and quality and similarly to the testimony of Diane Lewis. Given the virtual identity of these characteristics between Ms. Lewis, whose identity has been disclosed and whose transcript has been made available to counsel under limited circumstances, and the unnamed person, no reason seems justified for withholding the identity of this other witness.

2. Access to the witness transcripts.

Mr. Niels has provided Respondent's counsel with the transcripts of the Respondent and excerpts of the testimony of Tongsun Park relating to Respondent.

Counsel has been provided with the opportunity to peruse the depositions of former Congressman John Rarick and of Diane Lewis. Counsel are awaiting receipt of the deposition of Roger Johnson. Physical possession of Mr. Rarick's and Ms. Lewis' depositions is essential to an efficient and convenient study of the case materials received or otherwise available to counsel to date.

No valid reason exists why such testimony, which has been read in the offices of the staff, cannot now be placed in the hands of counsel. In addition, for the same reasons which apply to the identification of the witness referred

to in Section 1, supra, the transcript of that witness' testimony should be produced. Lastly, if there are any other witnesses concerning Respondent's case whom the staff may call, their identity and their depositions should be produced.

3. Staff notes of interviews of all witnesses.

This category of documents, as applied to Respondent's case, becomes important to counsel who are attempting to evaluate the evidence which they are receiving from the staff. These notes, if they exist, will serve as useful tools, not only for counsel's own investigation of the case, but also as sources of information by which to evaluate the credibility of the witnesses whom the staff may call in support of the charges. In addition, those persons who took the notes might themselves become witnesses in the event the need arises to use these notes or to have the note-taker testify in connection with an issue which arises.

4. All documents relating to Mr. Rarick.

Counsel's understanding of the case against Respondent is that the date upon which he allegedly received funds from Tongsun Park is one of the most critical factual elements in

the case. That date is apparently fixed by a letter purportedly signed by Mr. Rarick and dated August 24, 1974. Mr. Rarick has denied receiving any money from Mr. Park. Therefore, his testimony and the testimony of any witnesses relating to Mr. Rarick's receipt of money from Mr. Park and that date on which he received any money becomes absolutely critical to Respondent's case.

Accordingly, Respondent respectfully requests that the Committee order the staff to produce all evidence respecting Mr. Rarick, and especially evidence surrounding the letter of August 24, 1974.

5. Evidence favorable to the Respondent.

The Supreme Court has recognized in Brady v. Maryland, 373 U.S. 83 (1963), that the prosecution has an affirmative duty in criminal cases to produce all evidence favorable to the accused respecting the issue of guilt or innocence of the accused (as well as punishment). The Brady doctrine, as it is known, should be applied to the instant case. It is premised on considerations of fairness and due process, and such concepts should control the production of evidence in this proceeding. Therefore, under Brady principles:

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- A. Any evidence tending to show that Respondent is not responsible for alleged violations should be produced.
- B. Any witness who testified against him who has any bias, interest, or motive to falsify should be so identified.
- C. The staff should produce any and all agreements, whether written or oral, between themselves and Tongsun Park or any other witness, or between Tongsun Park or any other witness and the United States Government, that form the basis upon which Mr. Park's testimony or that of any other witness was elicited.

#### CONCLUSION

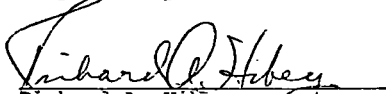
While civil discovery as it is traditionally known might not be a useful and efficient method by which to provide Respondent with information respecting the charges that have been made against him, it must be recognized that this is not a criminal case, either. Therefore, the inhibitions on free and open discovery as they have developed in the criminal law do not seem to be an appropriate standard by which to determine the discoverability of evidence in this



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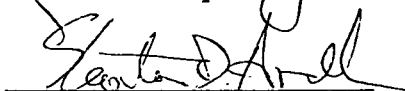
case. The gamesmanship which attends discovery in criminal proceedings is not appropriate in this case. Thus, Respondent should not be left to the mercy and good offices of the staff to determine what information he should receive in order to inform and prepare himself concerning the issues in this case. Accordingly, the discovery requested herein should be granted.

Respectfully submitted,



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Richard A. Hibey



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Stanton D. Anderson

SURREY, KARASIK AND MORSE  
1156 Fifteenth Street, N.W.  
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Telephone: (202) 331-4000

Counsel for Respondent  
Edward R. Roybal

Dated: August 16, 1978.

CERTIFICATE OF SERVICE

I hereby certify that true and correct copies of the following pleadings were hand-delivered, this 16th day of August, 1978, to John W. Nields, Jr., Esquire, Chief Counsel, Committee on Standards of Official Conduct, Room 3517, House Annex 2, 2nd & D Streets, S.W., Washington, D.C.:

- (1) Response to Statement of Alleged Violation;
- (2) Motion for Executive Session in Accordance with the Rules and Memorandum of Points and Authorities in support thereof;
- (3) Motion to Dismiss Statement of Alleged Violation and Memorandum of Points and Authorities in support thereof; and
- (4) Motion for Production of Documents and Memorandum of Points and Authorities in support thereof.



Stanton D. Anderson

APPENDIX G

MOTION FOR EXECUTIVE SESSION  
IN ACCORDANCE WITH THE RULES



APPENDIX G

THE COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

IN THE MATTER OF  
CONGRESSMAN EDWARD R. ROYBAL

MOTION FOR EXECUTIVE SESSION  
IN ACCORDANCE WITH THE RULES

Pursuant to Rule 10(a) and the Rules of the House of Representatives as cited therein, Respondent, through his counsel, respectfully moves this Committee to conduct its investigative hearings in connection with the instant case in Executive Session.

As grounds therefor, Respondent respectfully refers the Committee to the attached Memorandum of Points and Authorities in support of this Motion.

Respondent requests the opportunity to be heard in support of this Motion.

Respectfully submitted,

  
Richard A. Hibey

  
Stanton D. Anderson

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Telephone: (202) 331-4000

Counsel for Respondent  
Edward R. Roybal

Dated: August 16, 1978.

APPENDIX H

MEMORANDUM OF POINTS AND AUTHORITIES  
IN SUPPORT OF MOTION FOR EXECUTIVE SESSION  
IN ACCORDANCE WITH THE RULES

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APPENDIX H

THE COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

IN THE MATTER OF )  
 )  
CONGRESSMAN EDWARD R. ROYBAL )  
 )

MEMORANDUM OF POINTS AND AUTHORITIES  
IN SUPPORT OF MOTION FOR EXECUTIVE SESSION  
IN ACCORDANCE WITH THE RULES

Rule XI. (k) (5) of the Rules of the House of Representatives provides that:

(5) If the committee determines that evidence or testimony at an investigative hearing may tend to defame, degrade, or incriminate any person, it shall --

(A) receive such evidence or testimony in executive session;

(B) afford such person an opportunity voluntarily to appear as a witness; and

(C) receive and dispose of requests from such person to subpoena additional witnesses.

Rule 10 of the Rules of Procedure of this Committee recognizes the obligation of the Committee to determine in accordance with Rule XI. 2(g) or 2(k) (5) of the Rules of the House of Representatives whether to receive testimony in executive session.

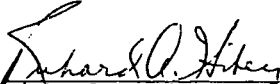
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In this case, evidence taken in the investigative hearing may tend to defame, degrade, or incriminate both Respondent and/or a former Member of the House. Respondent is charged in four counts, adjudication of which against him clearly might tend to incriminate him and subject him to prosecution under the criminal laws of the United States. In the case of the former Congressman, published reports indicate that the Committee staff has referred information with respect to him to the Department of Justice for prosecutive determination. In a more immediate sense, therefore, he could find himself the target of a criminal prosecution. Yet evidence concerning his case must necessarily be adduced at Respondent's hearing since the facts of both cases interrelate significantly.

Further, with respect to Respondent, if the Committee should decide to exonerate him, then the evidence elicited in support of the charges may likely be construed as defamatory for the conclusions sought, or at the very least, as degrading.

For these and such other reasons as may appear upon a hearing of this Motion, Respondent respectfully requests that his Motion be granted.

Respectfully submitted,

  
Richard A. Hibey

  
Stanton D. Anderson

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Counsel for Respondent  
Edward R. Roybal



APPENDIX I

RESPONSE OF SPECIAL STAFF TO  
MOTION TO DISMISS STATEMENT  
OF ALLEGED VIOLATION





these arguments has merit, and that the investigative hearings to resolve these charges should proceed according to schedule.

1. The all inclusive nature of Rule 1 of the Code of the House of Representatives does not render it inoperative, or invalid.

Rule 1 of the Code of Conduct of the House of Representatives provides:

- "1. A Member, officer, or employee of the House of Representatives shall conduct himself at all times in a manner which shall reflect creditably on the House of Representatives."

Congressman Roybal argues that Counts One, Three and Four of the Statement, each of which charges him with violating Rule 1, should be dismissed because Rule 1 is very vague.<sup>\*/</sup> Mr. Roybal concedes in his brief that members of other groups, e.g., lawyers, may be disciplined for violating similarly general standards, see Roybal Brief at p. 6. See also Parker v. Levy, 417 U. S. 733 (1974) in which the Supreme Court upheld a conviction after Court Martial on a charge of "conduct unbecoming an officer and a gentlemen," under the Uniform Code of Military Justice, Article 133. Mr. Roybal argues, however, that in this case Rule 1 failed "to give him fair notice that his conduct is forbidden," Roybal Brief at p. 5, and that discipline in the absence of such notice is unfair. The

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<sup>\*/</sup> It should be noted that these Counts also allege violations of specific criminal statutes: Count One alleges a violation of the reporting requirements of the Federal Election Act of 1971, P. L. 72-225, Sections 302 and 304; and Counts Three and Four allege violations of the Federal Perjury Statute, 18 U.S.C. Section 1621.



- 3

argument is without merit. The misconduct charged in Count One was specifically and clearly forbidden by the Federal Election Act of 1971. The conduct charged in Counts Three and Four was specifically and clearly forbidden by the Federal Perjury Statute: 18 U.S.C. Section 1621. These written statutes gave him notice that his conduct was forbidden. There may be some hypothetical case in which a Congressman could claim that he had no way of knowing that certain conduct viewed by the Committee as reflecting uncreditably on the Congress was forbidden. This, however, is not such a case.<sup>\*/</sup> In Parker v. Levy at p. 757 the Supreme Court stated "Since appellee could have had no reasonable doubt that his conduct was 'unbecoming an officer and a gentleman' . . . in violation of the provision of Article 133 . . . his challenge to it as unconstitutionally vague under the Due Process Clause of the Fifth Amendment must fail." Similarly, here, since Mr. Roybal could have had no doubt that the failure to report a \$1,000 cash contribution as required by law and the giving of false testimony under oath were acts and omissions which did not "reflect creditably" on the House, his claim must also fail.

Mr. Roybal also argues with respect to Counts Three and

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<sup>\*/</sup> In the matter of Robert L. F. Sikes, this Committee grappled with the question whether a Member should be disciplined for conduct in violation of "familiar" "ethical standards" which were not officially adopted. See Report at p. 7. It concluded that discipline may be appropriate under such circumstances. That issue, however, is not presented in this case because in addition to violating "familiar ethical standards," the conduct which Mr. Roybal is alleged to have committed also violates standards which have been written into existing law.

Four that the charges fail to allege that Mr. Roybal's false testimony was "wilfully" given or that there was any "intent to deceive." The argument is incorrect. Counts Three and Four each allege that Mr. Roybal gave testimony under oath on material matters "which he then and there believed to be false."

Finally, Mr. Roybal argues the surprising proposition that the Code of Conduct does not proscribe a Member of Congress from lying under oath to a Committee of the very body, i.e., the United States Congress, which he serves, claiming that only the Department of Justice has jurisdiction of such an offense. The argument is, see Roybal Brief at p. 7, that since Rule 1 forbids some conduct which is not criminal, it does not reach criminal conduct at all. Through this non-sequitur Mr. Roybal would have the Committee dismiss a charge which is particularly appropriate for it and the Full House to resolve. The business of gathering information, and gathering it under oath where necessary, is absolutely essential to the functioning of the Congress of the United States. If witnesses from whom Committees of Congress receive the information on which it basis its legislation do not testify truthfully, the legislative process will necessarily be perverted. It is Congress which is chiefly injured by perjury committed before one of its Committees; and it is Congress, most importantly, which should demonstrate by its actions, the utter unacceptability of perjury by one of its own Members.

It has long been accepted that Congress may punish perjuries before one of its Committees even when committed by a private citizen. If the Congress' constitutional responsibility to discipline its own Members means anything, it must apply to efforts by its Members to subvert its processes through perjury.

2. Congress has clear power to discipline its Members for misconduct committed in prior Congresses.

Mr. Roybal argues that the Congress has no power to discipline its Members for misconduct committed before prior Congresses and that therefore the Committee must dismiss Counts One and Two of the charge against him. (Counts Three and Four relate to perjury committed during this Congress.) This exact argument was made to and rejected by this Committee in the Matter of Michael Harrington in December of 1975. See Report to the Full Committee on Access by Members of Congress to Classified Material, Committee on Armed Services, House of Representatives, 94th Congress, First Session, Section 9, 1975 at 2-5. The argument was also implicitly rejected in the matter of Robert L. F. Sikes who was reprimanded by Congress for conduct occurring during a prior Congress. See Report by the Committee on Standards of Official Conduct, July 23, 1976 at p. 3, 4. The Committee should follow its own precedents unless there is some compelling reason not to. There is none. Indeed the Committee's prior decisions were correct.

Article I, Section 5, Clause 2 of the United States Constitution provides:

"Each House may punish its Members for disorderly behavior, and, with the concurrence of two-thirds, expel a Member."

This grant of power is not limited in any way by its terms to permit punishment or expulsion only for acts committed during the current Congress.

Rule X 4(3)(1) of the Rules of the House authorizing this Committee

"to investigate, subject to subparagraph (2) of this paragraph, any alleged violation, by a Member, officer, or employee of the House, of the Code of Official Conduct or of any law, rule, regulation, or other standard of conduct applicable to the conduct of such Member, officer, or employee in the performance of his duties or the discharge of his responsibilities, and, after notice and hearing, to recommend to the House by resolution or otherwise, such action as the committee may deem appropriate in the circumstances,"

is similarly unlimited.

House Resolution 252, which directed this Committee at the outset of this Congress to investigate allegations of improper payments by the Government of Korea all of which related to events occurring during prior Congresses, specifically directs that this Committee

"after appropriate notice and hearings, shall report to the House of Representatives its recommendations as to such action, if any, that the committee deems appropriate by the House of Representatives as a result of any alleged violation of the Code of Official Conduct or of any law, rule, regulation, or other standard of conduct applicable to the conduct of such Member, officer, or employee in the performance of his duties or the discharge of his responsibilities."

The language of these documents under which this Committee is functioning clearly imply that the Committee is empowered to recommend discipline, and the House empowered to impose it, for misconduct occurring during prior Congresses. In this respect, the documents are consistent with the prior precedents. In the cases of Congressmen Ames and Brooks, the House censured them for paying bribes to other Congressmen during prior Congresses in connection with the "Credit Mobilier" scandal of the 1870's. See House Report No. 27, 90th Congress, 1st Session, p. 27; II Hinds, Section 1286. Similarly the Senate, in the cases both of Senators McCarthy and Dodd, imposed censure for acts committed during prior Congresses. See Senate Report No. 2508, 83rd Congress, pp. 20-23, 30-31; Senate Report 193, 90th Congress, 1st Session pages 24-25. In accordance with these precedents, the select Committee of the House investigating Adam Clayton Powell wrote in its report: "the right to censure a Member for such prior acts is supported by clear precedent in both Houses of Congress . . ." (House Report No. 27, 90th Congress, 1st Session p. 27).<sup>\*</sup>

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<sup>\*</sup> There does exist some question as to the propriety of expulsion of a Member of Congress for conduct occurring during prior Congresses, particularly where the misconduct in question was known to the electorate which reelected the Member notwithstanding. Thus, the House has declined to impose expulsion in the following cases. (See: expulsion cases of Matthew Lyon, 5th Congress, 1799; Orsamus B. Matteson, 35th Congress, House Report No. 179, 1858; William S. King and John G. Schumaker, 44th Congress, House Report No. 815; William P. Kellogg, 48th Congress; from CRS Report "Precedents of the House of Representatives Relating to Exclusion, Expulsion, and Censure," April 1973, #73-119A, by Senior Specialist Robert L. Tienken, pp. 179-183). (cont'd)

Since some discipline on the basis of misconduct occurring before the current Congress is clearly permissible, Mr. Roybal's motion to dismiss Counts One and Two of the Statement must be denied.

(cont'd)

This reluctance to expel was noted with neither approval nor disapproval by the Supreme Court in the portions of Powell v. McCormick which are quoted in Mr. Roybal's brief. The issue was probably most accurately stated in 6 Cannon's, Precedents of the House of Representatives, Sections 396-398, quoting from the report of a Select Committee to investigate lobbying in the 63rd Congress:

"it is within the power of the House to punish its Members for disorderly behavior and by a two-thirds vote expel a Member.

The two methods of punishment of a Member under the practices of the House are by expulsion and by censure.

In the judgment of your committee the power of the House is full and plenary and may be enforced by a summary proceeding. It is discretionary in character, and upon a resolution for expulsion or censure of a Member for misconduct each individual Member is at liberty to act on his sound discretion and vote according to the dictates of his own judgment and conscience. This extraordinary discretionary power is vested by the Constitution in the collective membership of the respective Houses of Congress, restricted by no limitation except in case of expulsion the requirement of the concurrence of a two thirds vote.

In the judgment of your committee, the power of the House to expel or punish by censure a Member for misconduct occurring before his election or in a preceding or former Congress is sustained by the practice of the House, sanctioned by reason and sound policy and in extreme cases is absolutely essential to enable the House to exclude from its deliberations and councils notoriously corrupt men, who have unexpectedly and suddenly dishonored themselves and betrayed the public by acts and conduct rendering them unworthy of the high position of honor and trust reposed in them.

(cont'd)

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3. Failure to report a \$1,000 cash contribution as required by law is conduct sufficiently serious to warrant discipline.

Mr. Roybal argues that failure to report a single campaign contribution is not conduct serious enough to warrant discipline. To support this argument he points to a report which discloses that numerous violations of the reporting laws occur all the time, especially with respect to reporting of the address of the contributor and other facts incidental to a contribution which are nonetheless required to be reported. (See Roybal Brief p. 9-11.) The argument is meritless.

Mr. Roybal is charged with failure to report at all a cash

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(cont'd)

This opinion is supplemented:

But in considering this question and in arriving at the conclusions we have reached, we would not have you unmindful of the fact that we have been dealing with the question merely as one of power, and it should not be confused with the question of policy also involved. As a matter of sound policy, this extraordinary prerogative of the House, in our judgment, should be exercised only in extreme cases and always with great caution and after due circumspection, and should be invoked with greater caution where the acts of misconduct complained of had become public previous to and were generally known at the time of the Member's election. To exercise such power in that instance the House might abuse its high prerogative, and in our opinion might exceed the just limitations of its constitutional authority by seeking to substitute its own standards and ideals for the standards and ideals of the constituency of the Member who had deliberately chosen him to be their Representative. The effect of such a policy would tend not to preserve but to undermine and destroy representative government."

contribution of \$1,000 from a man known to him as a lobbyist which contribution was brokered, but purposely not witnessed, by the Chairman of his subcommittee, Otto Passman. There may be some violations of the campaign reporting requirements which are so minor or technical that disciplinary proceedings are inappropriate. The Committee, in filing the charge in Count One, correctly decided that this is not one of them.

4. The charge in Count One is not barred  
by the Statute of Limitations

Mr. Roybal claims in his answer, but not in his motion to dismiss, that he may not be disciplined for failure to report Park's contribution since the Statute of Limitations would now bar institution of criminal proceedings.<sup>\*/</sup> The claim is incorrect.

This Committee does not enforce the criminal law, has no power to incarcerate Mr. Roybal for violation of the criminal law and the Statement filed against Mr. Roybal is not a "indictment or information." Consequently, the statute of limitations which limits the time for commencing criminal proceedings has no application here. In the closely analogous area of disciplinary proceedings against members of the legal profession, the almost universally accepted rule is that, "Disciplinary proceedings are not barred by the general statute of limitations. Nor is a disciplinary proceeding

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\*/ 2 U.S.C. Section 455(a) provides that any "indictment" or "information" i.e., any criminal charge, alleging a violation of the FECA must be filed within three (3) years of the date of the offense:



barred because it is grounded on acts that also constitute a crime that cannot be prosecuted in a criminal action because of limitations." 7 Am. Jur. 2nd, Section 62.<sup>\*/</sup> A disciplinary proceeding is not a criminal proceeding. Anne Arundel County Bar Association v. Collins, 325 A.2d 724, 727 (Md. 1974), and accordingly, "[T]here is no statute of limitations on an ethics violation." In re Sarbone, 304 A.2d 734 at 735 (N. J. 1973). The appropriate length of time within which disciplinary proceedings may be commenced is determined not by criminal statutes of limitations but by equitable considerations akin to the equitable doctrine of laches. Thus, in the Report filed in the case of Congressman Sikes, the Committee declined to recommend a sanction for misconduct fifteen years earlier which had been a matter of public knowledge for some time. Similarly, disciplinary proceedings against lawyers have been looked on with disfavor after the passage of ten years in In re Sarbone, supra; and twenty years in State v. Haggerty, 6 N.W. 2d 203 (Wisc. 1942). Here, however, the alleged misconduct occurred less than four years before the filing of the Statement, and had been concealed from the view of the public

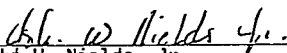
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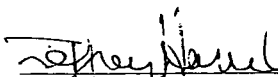
<sup>\*/</sup> A criminal proceeding, the outcome of which may be to incarcerate the accused, is entirely different from and governed by different rules than, a disciplinary proceeding the purpose of which is to vindicate the public's overriding right to have the Government's business conducted in an ethical manner by ethical public servants. Thus, even an acquittal in a criminal case does not bar the bringing of a disciplinary proceeding for disbarment. E.g., In re Ming, 469 F.2d 1352 (7th Cir. 1972); In re Echeles, 430 F.2d 347, 352 (7th Cir. 1970); Office of the Disciplinary Counsel v. Campbell, 345 A.2d 616, 620-21 (Pa. 1975); Maryland State Bar Assoc. v. Frank, 325 A.2d 718 (Md. 1974).

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and the investigators until recently.<sup>\*/</sup> Under such circumstances, the equitable considerations underlying the doctrine of laches mandate that the charge in Count One of the Statement be resolved at a hearing.

Respectfully submitted,

  
\_\_\_\_\_  
John W. Nields, Jr.  
Chief Counsel

  
\_\_\_\_\_  
Jeffrey Harris  
Deputy Chief Counsel

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<sup>\*/</sup> Indeed had Mr. Roybal truthfully answered his questionnaire in June, 1977, the Committee could have filed the charge within three years of the offense.

APPENDIX J

RESPONSE OF THE STAFF TO THE MOTION BY  
EDWARD R. ROYBAL TO HAVE HIS HEARING  
HELD IN EXECUTIVE SESSION



APPENDIX J

THE COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

In the Matter of  
EDWARD R. ROYBAL

RESPONSE OF THE STAFF TO THE MOTION BY  
EDWARD R. ROYBAL TO HAVE HIS HEARING  
HELD IN EXECUTIVE SESSION

Mr. Roybal argues that since evidence presented at the investigative hearing may tend to defame, degrade and incriminate him and former Congressman Rarick, the hearing must, under Rule XI 2(k)(5), be held in executive session. The argument is without merit.

Rule XI 2(k)(5) provides:

"(5) If the committee determines that evidence or testimony at an investigative hearing may tend to defame, degrade, or incriminate any person, it shall --

(A) receive such evidence or testimony in executive session;

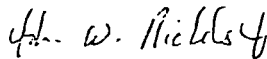
(B) afford such person an opportunity voluntarily to appear as a witness;

(C) receive and dispose of requests from such person to subpoena additional witnesses."

The rule has long since been complied with. Before Tongsun Park testified in open session he and other witnesses to be called at the upcoming hearing testified in executive session. Mr. Roybal and Mr. Rarick were given the opportunity to appear as witnesses, and to suggest other witnesses. It was on the basis of such compliance with Rule XI 2(k)(5) that Tongsun Park testified publicly and that the Statements of Alleged Violation were filed and made public. Having complied with Rule XI 2(k)(5), the Committee may now receive the evidence relevant to the public charge, in public, under Rule XI 2(k)(7).

It is important to the credibility of the Committee's findings, whether they be that Roybal is guilty or innocent of the charges filed against him, that the hearings be public. A secret proceeding will inevitably invite suspicion. The charges have been made public, and the manner of the resolution of the charges should be public.

Respectfully submitted,



John W. Nields, Jr.  
Chief Counsel

APPENDIX K

SUPPLEMENTAL BRIEF OF THE STAFF ON THE ISSUE  
OF WHETHER THE COMMITTEE MAY HOLD ITS PUBLIC  
HEARINGS IN OPEN SESSION

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APPENDIX K

THE COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

In the Matter of  
EDWARD R. ROYBAL

SUPPLEMENTAL BRIEF OF THE STAFF ON THE ISSUE  
OF WHETHER THE COMMITTEE MAY HOLD ITS PUBLIC  
HEARINGS IN OPEN SESSION

The issue for decision is whether the Committee on Standards of Official Conduct has discretion to hold its hearings to resolve allegations in a Statement of Alleged Violation in public session. It has been argued that the Committee is required by House Rule XI 2(k)(5) to hold such hearings in executive session even where the evidence supporting the Statement of Alleged Violation has previously been taken in executive session; the Committee has given the respondent an opportunity to refute it; and the Committee has determined that the evidence is reliable. It is the position of the Staff that the Rule in question leaves the Committee, after considering and passing on a request by the respondent that testimony and evidence be taken in executive session, the discretion to hold the investigative hearings in public so long as it has previously received the derogatory information in executive session, given the respondent opportunity to refute it and determined that the derogatory charge has reliable support.

Rule XI 2(k)(5) provides:

"(5) If the committee determines that evidence or testimony at an investigative hearing may tend to defame, degrade, or incriminate any person, it shall --

- (A) receive such evidence or testimony in executive session;
- (B) afford such person an opportunity voluntarily to appear as a witness; and
- (C) receive and dispose of requests from such person to subpoena additional witnesses."

This provision (hereinafter referred to as Section 5) <sup>\*/</sup> was originally enacted in 1955 as part of House Resolution 151.

The rule, insofar as is relevant to the current issue, was explained repeatedly and clearly during the floor debate.

Mr. Murray. We had considerable discussion when another bill was up today concerning the meaning of the words "shall" and "may." I notice in line 16 on page 2, it says with reference to testimony that may tend to defame, degrade or incriminate a person that the committee shall do so and so. Is that mandatory or is it permissive?

Mr. Brown. Where it finds that it may tend to defame, degrade, or incriminate a person, it shall do so and so; it shall receive such evidence and testimony until it is satisfied itself whether it is true.

Mr. Murray. Is that mandatory?

Mr. Brown. Yes, that is mandatory, in my opinion. They shall afford such person who has been defamed the right voluntarily to come before the committee and refute it, which is a fair thing and a procedure which particularly all the committees of the House now follow.

---

<sup>\*/</sup> That package of rules, was introduced on February 22, 1955 and referred to the Rules Committee. It was reported back without comment from the Committee March 8, 1955. (H.R. Rep. No. 159, 85th Cong., 1st Sess. (1955).) The resolution came up for floor debate March 23 and was adopted with two insignificant amendments that same day.

Mr. Hardy. It would mean, then, that if a committee held an executive session and determined that they were going to receive testimony which would indicate that an individual not the witness had misappropriated Government property, for instance, under this language it could not hold that testimony in open session.

Mr. Brown. That is right. If I charge you with being a thief, the committee goes into executive session to explore as to whether or not I have any justification for that charge and you have the right to answer it. Then, if they determine that there is some ground for my charge against you, they can have all the open sessions they want to have.

(emphasis added)

\* \* \*

Mr. Willis. That provision under discussion refers to a person not on the stand?

Mr. Brown. That is right.

Mr. Willis. It refers to defaming third parties, not the man on the stand?

Mr. Hardy. I understand that, but suppose you have a situation that clearly shows that there has been abuse?

Mr. Brown. What does it say here? They consider that in executive session, then they come back into open session after they have got the information and, if they decide there is some substance to your charge, or my charge against you, they can go ahead and have all the open hearings they want.

Mr. Hardy. They can have all the open hearings they want then.

\* \* \*

Mr. Brown. It rests entirely with the committee.

- 4 -

Mr. Hardy. The gentleman is absolutely correct. It is only where the person is brought up for the first time and when the committee determines that the matter should be gone into; then you have all the public hearings you want.

Mr. Brown. If they think the man has been defamed. If I say you are a Communist and the evidence shows you are not, then I have not told the truth. The committee determines whether or not you have been defamed.

Mr. Hardy. This is exactly right. Then you can have all the public hearings you want. (emphasis added)

\* \* \*

Mr. Forrester. [W]ith regard to the particular portion which was inquired about by the gentleman from Virginia, the answer given by the gentleman from Ohio is absolutely correct. All on earth this provision does is that if a man's name is brought up before a committee for the first time, you go into executive session and you somewhat simulate the action of a grand jury. \*/ That is a fair provision. (emphasis added)

Mr. Miller. Mr. Speaker, will the gentleman yield?

Mr. Forrester. I yield.

Mr. Miller. I share the view of the gentleman from Virginia that that may be the intention, but certainly the language here does not indicate how it would be possible to bring out evidence that you knew was going to degrade somebody except in executive session. I do not see any language here that permits that.

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\*/ The analogy in the debate to grand jury proceedings is particularly apt. While grand jury proceedings are always held in secret; once a charge is brought, the trial of the charge is public.

Mr. Forrester. No matter where it is brought out, if it is in executive session, then, of course, you can deal with it, but if it is in public session, then you simply suspend and go into executive session and determine whether or not there is a reason to expose that man's name publicly. That is a right which the Congress should be the first to concede to any person. 101 Cong. Rec. 3569ff (1955) (emphasis added)

It is clear from this debate that Rule XI 2(k)(5) was not designed to prevent Committees of Congress from receiving and exposing defamatory testimony in public session under all circumstances, but to forbid receiving such testimony in public session until it had first been received and its reliability considered in executive session. If it were read to bar receipt of defamatory testimony or evidence even after a Committee has determined its reliability, countless hearings -- such as current assassination hearings or hearings held to expose government waste -- could never be held publicly.

The language of Rule XI 2(k) is consistent with its purpose. Rule XI 2(k)(5)(B) and (C) provide that the defamed person must be given an opportunity to refute the defamatory testimony or evidence -- a needless step unless the Rule contemplates that the evidence is to be made public later. More significantly, Rule XI 2(k)(7) provides that testimony or evidence received at an investigative hearing in executive session may be released "or used" "in public sessions" with the "consent" of the Committee.

- 6 -

Evidence and testimony of witnesses which support the Statements of Alleged Violation filed by the Committee on Standards of Official Conduct have been received by this Committee at previous investigative proceedings in executive session. The respondents have been given every opportunity to refute the testimony and evidence and have availed themselves of that opportunity. The Committee has very carefully considered the evidence and testimony and concluded that it is reliable enough to support a public accusation against the respondents. The Committee may now decide that the evidence supporting the charge is to be taken in a public session. As was stated when the Rule was adopted: "If [the Committee] determine that there is some ground for [the] charge . . . they can have all the open sessions they want to have." <sup>\*/</sup>

#### CONCLUSION

By reading Rule XI 2(k)(5) to prevent public hearings on charges already found reliable based on evidence received in

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<sup>\*/</sup> A different reading of Rule XI 2(k)(5) would be contrary to this Committee's prior construction of the Rule. Tongsun Park's defamatory testimony against numerous persons was used in public sessions by this Committee. It was done because the staff had carefully taken such testimony first in executive session and then afforded every person defamed an opportunity to testify and otherwise refute the allegations.

Moreover, in 1966, see 112 Cong. Rec. 27447, the Speaker overruled a point of order raised in objection to a contempt citation of three witnesses who excused their refusal to testify in open  
(footnote continued)

executive session in compliance with its language, the Committee would arrive at a result clearly not intended by the rule; a result not required by its language; and a result inconsistent with the Committee's own previous application of the Rule. Such a reading would risk the appearance that the Committee was simply using the Rule to conceal from the public the evidence upon which it will base its ultimate findings of fact, thus seriously jeopardizing public confidence in those findings. In the judicial system, investigations are conducted under the cloak of grand jury secrecy and irresponsible and groundless allegations never see the light of day. But when the allegations ripen into a formal charge brought by a responsible body, their resolution must, under the Sixth Amendment to the United States Constitution, be in the context of a "public trial." The mandate of a public trial is not only for the benefit of the accused, but also for the benefit of the public. See e.g., Lewis v. Peyton, 352 F.2d 791 (4th Cir. 1965). Congressman Forrestal's remarks in connection with the adoption of Rule XI 2(k)(5) quoted above contemplate a method of dealing with defamatory evidence similar to that in the grand jury system.

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(cont'd)

session on the ground that their testimony could only be taken in executive session under Rule XI 2(k)(5). The Committee had justified its decision to take testimony from these witness, which was concededly damaging to them, in open session because it had previously taken testimony from their accusers in executive session and had offered the witnesses an opportunity to respond in executive session. The Speaker overruled the Point of Order, albeit without expressly construing Rule XI 2(k)(5).

- 8 -

Baseless charges should be received and weeded out in executive session. Those which have been determined reliable may be aired and resolved in public session.

Respectfully submitted,

John W. Nields, Jr.  
Chief Counsel



APPENDIX L

RESPONSE TO SUPPLEMENTAL BRIEF  
OF THE STAFF ON THE ISSUE OF  
WHETHER THE COMMITTEE MAY HOLD  
ITS PUBLIC HEARINGS IN OPEN SESSION



APPENDIX L

THE COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

IN THE MATTER OF	)	RESPONSE TO STATEMENT
	)	
CONGRESSMAN EDWARD R. ROYBAL	)	OF ALLEGED VIOLATION
	)	

RESPONSE TO SUPPLEMENTAL BRIEF  
OF THE STAFF ON THE ISSUE OF  
WHETHER THE COMMITTEE MAY HOLD  
ITS PUBLIC HEARINGS IN OPEN SESSION

The Staff's Supplemental Brief fails to take into account two very critical elements upon which a decision on the Motion for Executive Session should be premised:

- (1) Respondent has not been given an opportunity to respond to the charges against him. Until this Committee conducts an investigative hearing thereon pursuant to Rule 10 of the Rules of the Committee on Standards of Official Conduct, that opportunity will not have been accorded.
- (2) The legislative history of Rule XI 2. (k) (5) of the House of Representatives clearly points out that the purpose of the Rule "is to lay down a general framework or guide for the use of all legislative committees and may be supplemented by those committees from time to time as the exigencies require, so long as they do

not conflict with the general purposes of this."  
Cong. Rec. - House 3569 (March 23, 1955) (emphasis added). The Staff has ignored the interplay of Rule 10 of the Committee's Rules with Rule XI of the House.

## I.

THE OPPORTUNITY TO REFUTE THE CHARGES

That it is the first opportunity for Congressman Roybal to defend himself consistent with notions of due process and the intention of these Rules is clear beyond peradventure. The Statement of Alleged Violation was served on him in July, 1978 pursuant to Rule 9(b) of the Committee's Rules. Rule 9(b) states: "The Respondent shall have 21 days to respond [to the Statement of Alleged Violation]". His uncounselled appearance at prior depositions taken in this case did not constitute an opportunity to refute the charges against him for very simple reasons:

- (1) There were no accusations formally lodged against him in a Complaint pursuant to Rule 5;
- (2) House Resolution 252 did not name any Member of Congress as the subject of the Committee's investigation; and

- 3

- (3) This Committee resolved on February 8, 1977, inter alia, that it "shall proceed in accordance with Committee Rule 9 of the Committee's Rules of Procedure relating to the service of a statement of alleged facts and violation upon the Member, and the Member's opportunity to answer and to submit appropriate motions." Section 4, Committee Resolution Defining Scope and Procedures for Korean Investigation.

Therefore, the Staff's suggestion that Mr. Roybal has had the opportunity to refute charges against him is clearly incorrect, having no support whatsoever in the record of the Korean Influence Investigation by this Committee.

## II.

### THE INTERPLAY BETWEEN RULE XI 2. (k) (5) AND RULE 10

It is fair to say that if a Committee of the House of Representatives were conducting an investigative hearing and that Committee had no other rules of procedure by which to govern its sessions, Rule XI 2. (k) (5) of the House would appear to be the appropriate guide by which to determine whether executive session is appropriate.

Rule 10 of this Committee is a non-conflicting supplement to Rule XI of the House. It is Rule 10 which controls investigative hearings which are conducted on the Committee's initiative. Rule 10(a) provides: "The procedures set forth in Rule XI 2.(k) of the House of Representatives shall apply to hearings under this Rule". The key to understanding Rule 10 is the fact that it sets for the procedure for the second of two kinds of management of the Committee's investigations:

The first is the complaint procedure. In the event there is a complaint by a third person against a Member of Congress, that complaint is subject to the procedures set forth in Rules 5, 6, 7 and 8 of the Committee. Nowhere in those Rules is there a reference to whether any hearings conducted by the Committee pursuant to that complaint shall be held in executive session. Respondent submits that Rule XI of the House by its terms would seem to govern whether these investigative hearings are to be held in executive session. It is important to note that under the complaint procedure, a respondent has the opportunity to answer the charge against him. See Rule 7, Rules of Procedure, Committee on Standards of Official Conduct.

In this context, then, the focus of the Committee's attention is necessarily upon the Member and the complaint made against him.

The second procedure by which this Committee manages its investigative work is set forth in Rule 9, which governs investigations on the Committee's initiative. The essential distinguishing fact between hearing procedures pursuant to complaints and investigative hearings pursuant to the Committee's initiative is that in the latter case (the Committee's initiative), there are no charges pending against the member and no complaint has been filed. Obviously, the framers of these rules had to have taken into account that important distinction, and it is for this reason that the only rational interpretation of Rule 10 governing investigative hearing procedures is that executive session can be held so long as a certain standard is met. That standard is articulated in the language of House Rule XI 2.(k)(5) by specific reference to it in Rule 10, the first place Rule XI of the House appears in this Committee's Rules.

It is at the Rule 10 stage of the proceedings -- an investigative hearing on the Committee's initiative -- that Congressman Roybal now finds himself. Accordingly, therefore, the clear and unmistakable language of the Rule governing the hearing on his charges must be implemented.

The interplay between Rule XI of the House and Rule 10 of this Committee is not such as to put the two in conflict. Rule XI of the House was viewed as the protective device against

- 6 -

defamatory, denigrating or incriminating statements against Members in investigative hearings. But it clearly is not the sole device. This Committee, unlike other committees of the Congress, must always deal with issues that bear on the conduct of a Member of the House of Representatives. Therefore, the sense of its proceedings, whether by complaint or by Committee initiative, is that they are instinct with the character of potentially defamatory, denigrating or incriminatory evidence. As a result, the potential for a public yet unfounded accusation of a Member of Congress is necessarily the object of the protective provisions of Rule 10. The very character, then, of the proceedings to be held by this Committee underscores the need for conducting proceedings in executive session, subject, of course, to the invocation of other rules which, under appropriate circumstances, permit the release of this information. With respect to these latter rules, none is applicable at this stage of the proceedings. It is only after the Committee has conducted its investigative procedures under Rule 10, retired to its deliberations, and rendered its verdict with recommendations in a report to the House, that the release of any information developed in the course of the Rule 10 hearing may be considered.

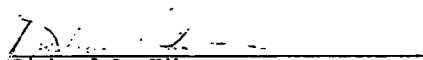


7 -

CONCLUSION

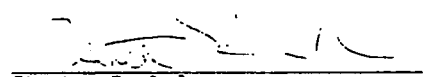
The Staff would have this Committee reduce its proceedings to nothing more than an appeal from an earlier determination that charges against Mr. Roybal have merit. This is not an appellate proceeding where all issues of law and fact have been previously decided and are now merely to be reviewed. This is an "investigative hearing". As such, it is a de novo proceeding which this Committee on its own initiative has launched. The clear and unmistakable language of Rule 10 governs the manner in which the hearing shall be held.

Respectfully submitted,



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Richard A. Hibey



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Stanton D. Anderson

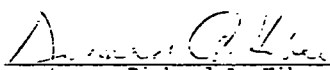
SURREY, KARASIK AND MORSE  
1156 Fifteenth Street, N.W.  
Washington, D.C. 20005  
Telephone: (202) 331-4000

Counsel for Respondent  
Edward R. Roybal

Dated: September 11, 1978.

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing Response to Supplemental Brief of the Staff on the Issue of Whether the Committee May Hold Its Public Hearings in Open Session was hand-delivered, this 11th day of September, 1978, to John W. Nields, Jr., Esquire, Chief Counsel, United States House of Representatives, Committee on Standards of Official Conduct, Washington, D.C. 20515.

  
\_\_\_\_\_  
Richard A. Hibey

APPENDIX P

EXHIBITS

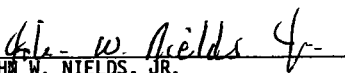


THE COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

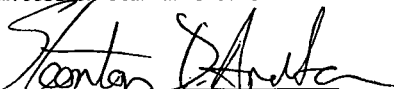
In the Matter of  
EDWARD R. ROYBAL

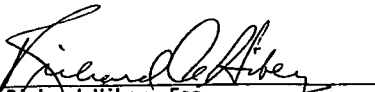
STIPULATION

IT IS HEREBY STIPULATED AND AGREED by and between John W. Nields, Jr., Chief Counsel, Special Staff, House Committee on Standards of Official Conduct and Stanton D. Anderson, Esq. and Richard Hibey, Esq., Counsel for respondent Edward R. Roybal that the attached copies of reports filed with the Clerk of the House of Representatives marked as Exhibits 2-17 are true and accurate copies of all reports of receipts of campaign contributions received by Mr. Roybal or any committee acting on his behalf which were filed with the Clerk of the House of Representatives with respect to contributions received during the year 1974.

  
JOHN W. NIELDS, JR.  
Chief Counsel  
Committee on Standards of Official Conduct

Dated: September 11, 1978

  
Stanton D. Anderson, Esq.  
Counsel for Edward R. Roybal, Respondent

  
Richard Hibey, Esq.  
Counsel for Edward R. Roybal, Respondent

## THE COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

In the Matter of  
EDWARD R. ROYBAL

STIPULATION

IT IS HEREBY STIPULATED AND AGREED by and between John W. Nields, Jr., Chief Counsel, House Committee on Standards of Official Conduct and Stanton D. Anderson, Esq. and Richard Hibey, Esq., Counsel for Edward R. Roybal, that if called, Stephen C. Newkirk would testify as follows:

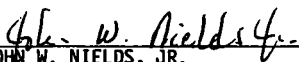
1. That he is Assistant Vice President for Security Pacific National Bank, Civic Center Branch, 110 South Spring Street, Los Angeles, California and is familiar with records relating to the account maintained at the bank by the Roybal Campaign Committee;

2. That Exhibit 18 is a copy of a record maintained by the bank in the ordinary course of its business which reflects all deposits into and checks written on the Roybal Campaign Committee Account for the year 1974;

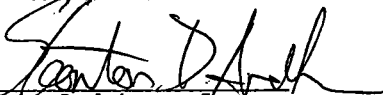
3. That Exhibits 19-31 are copies of deposit tickets maintained by the bank in the ordinary course of its business

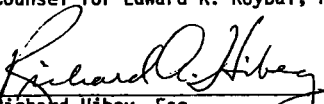
- 2 -

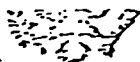
reflecting deposits into the Roybal Campaign Committee account and indicating, with respect to each deposit, the number and size of the checks deposited and the total amount of currency deposited.

  
JOHN W. NIELDS, JR.  
Chief Counsel  
Committee on Standards of Official Conduct

Dated: September 11, 1978

  
Stanton D. Anderson, Esq.  
Counsel for Edward R. Roybal, Respondent

  
Richard Hibey, Esq.  
Counsel for Edward R. Roybal, Respondent



HOUSE OF REPRESENTATIVES -  
WASHINGTON D C 20515

JOHN R. PARICK  
151 1000

August 23, 1974

Mr. Tongsun Park  
1715 Twenty-second Street, N. W.  
Washington, D. C. 20008

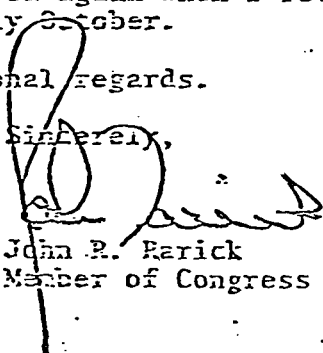
Dear Mr. Park:

I deeply appreciate the kindness and courtesy which you extended to me during our conversation yesterday.

I am off to Louisiana on Sunday but look forward to visiting with you again when I return to Washington in early October.

With kindest personal regards.

Sincerely,



John R. Parick  
Member of Congress

JRR:dm



## UNITED STATES HOUSE OF REPRESENTATIVES

Office of the Clerk  
Washington, D.C.COMMITTEE HEARING  
EXHIBIT NO. 2

## REPORT OF RECEIPTS AND EXPENDITURES

FOR A  
CANDIDATE

FOR NOMINATION OR ELECTION TO THE U.S. HOUSE OF REPRESENTATIVES

Edward R. Roybal25th California3452 Sabina St.Democratic

(Street)

(Party Affiliation)

Los Angeles, Calif. 90023

(City, State, ZIP code)

 Check if New Address

## TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report  
 June 10 report  
 September 10 report  
 January 31 report  
 Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
(Primary, general, special, runoff, caucus, or convention) (Date)  
 Fifth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
(Primary, general, special, runoff, caucus, or convention) (Date)
- Termination report  
 Suspension report  
 Amendment to \_\_\_\_\_ report

## CERTIFICATION OF NO RECEIPTS OR EXPENDITURES

- I hereby certify that I have had no receipts and have made no expenditures during this reporting period from January 30, 1973 thru March 4, 1974

## VERIFICATION BY OATH OR AFFIRMATION OF THE CANDIDATE

State of \_\_\_\_\_  
 County of \_\_\_\_\_ ss.

- I, Edward R. Roybal, being duly sworn, depose (affirm) and say  
(Full Name of Candidate)  
 that this Report of Receipts and Expenditures is complete, true, and correct.

\_\_\_\_\_  
(Signature of Candidate)

Subscribed and sworn to (affirmed) before me this \_\_\_\_\_ day of \_\_\_\_\_, A.D. 19\_\_\_\_

\_\_\_\_\_  
(Notary Public)

[SEAL]

My commission expires \_\_\_\_\_, 19\_\_\_\_

RETURN COMPLETED REPORT AND ATTACHMENTS TO:  
 The Clerk, U.S. House of Representatives  
 Office of Records and Registration  
 1036 Longworth House Office Building  
 Washington, D.C. 20515

## UNITED STATES HOUSE OF REPRESENTATIVES

Office of the Clerk  
Washington, D.C.COMMITTEE HEARING  
HIBIT NO. 3

## REPORT OF RECEIPTS AND EXPENDITURES

FOR A  
CANDIDATE

FOR NOMINATION OR ELECTION TO THE U.S. HOUSE OF REPRESENTATIVES

<u>Edward R. Roybal</u> (Full Name of Candidate)	<u>25th California</u> (District and State of Candidate)
<u>3452 Sabina St.</u> (Street)	<u>Democratic</u> (Party Affiliation)
<u>Los Angeles, Calif. 90023</u> (City, State, ZIP code)	

 Check if New Address

## TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report  
 June 10 report  
 September 10 report  
 January 31 report  
 Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
 (Primary, general, special, runoff, caucus, or convention) (Date)  
 Fifth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
 (Primary, general, special, runoff, caucus, or convention) (Date)
- Termination report  
 Suspension report  
 Amendment to \_\_\_\_\_ report

## CERTIFICATION OF NO RECEIPTS OR EXPENDITURES

- I hereby certify that I have had no receipts and have made no expenditures during this reporting period from March 5, 1974 thru May 16, 1974

## VERIFICATION BY OATH OR AFFIRMATION OF THE CANDIDATE

State of CITY OF WASHINGTON  
DISTRICT OF COLUMBIA ss.  
County of \_\_\_\_\_

I, Edward R. Roybal, being duly sworn, depose (affirm) and say that this Report of Receipts and Expenditures is complete, true, and correct.

Edward R. Roybal  
(Signature of Candidate)

Subscribed and sworn to (affirmed) before me this 16 day of May, A.D. 1974.

E. P. Riden  
(Notary Public)

[SEAL]

My commission expires \_\_\_\_\_, 19\_\_

RETURN COMPLETED REPORT AND ATTACHMENTS TO:

The Clerk, U.S. House of Representatives  
Office of Records and Registration  
1036 Longworth House Office Building  
Washington, D.C. 20515

Rayn G. Raicon  
Notary Public, Dist. of Columbia  
Expires. Sept. 14, 1974

## SUMMARY PAGE

Name of Candidate \_\_\_\_\_

REPORT COVERING PERIOD FROM \_\_\_\_\_ THRU \_\_\_\_\_

	Column A— This period	Column B— Calendar year to date
<b>SECTION A—RECEIPTS:</b>		
<b>Part 1. Individual contributions:</b>		
a. Itemized (use schedule A*)	\$ _____	\$ _____
b. Unitemized	\$ _____	\$ _____
<b>Total individual contributions</b>	\$ _____	\$ _____
<b>Part 2. Sales and collections:</b>		
Itemized (use schedule B and as necessary schedule A*)	\$ _____	\$ _____
<b>Part 3. Loans received:</b>		
a. Itemized (use schedule A*)	\$ _____	\$ _____
b. Unitemized	\$ _____	\$ _____
<b>Total loans received</b>	\$ _____	\$ _____
<b>Part 4. Other receipts (refunds, rebates, interest, etc.):</b>		
a. Itemized (use schedule A*)	\$ _____	\$ _____
b. Unitemized	\$ _____	\$ _____
<b>Total other receipts</b>	\$ _____	\$ _____
<b>Part 5. Transfers in:</b>		
Itemize all (use schedule A*)	\$ _____	\$ _____
<b>TOTAL RECEIPTS</b>	\$ _____	\$ _____
<b>SECTION B—EXPENDITURES:</b>		
<b>Part 6. Communications media expenditures:</b>		
Itemize all (use schedule C*)	\$ _____	\$ _____
<b>Part 7. Expenditures for personal services, salaries, and reimbursed expenses:</b>		
a. Itemized (use schedule D*)	\$ _____	\$ _____
b. Unitemized	\$ _____	\$ _____
<b>Total expenditures for personal services, salaries, and reimbursed expenses</b>	\$ _____	\$ _____
<b>Part 8. Loans made:</b>		
a. Itemized (use schedule D*)	\$ _____	\$ _____
b. Unitemized	\$ _____	\$ _____
<b>Total loans made</b>	\$ _____	\$ _____
<b>Part 9. Non-media and other expenditures:</b>		
a. Itemized (use schedule C*)	\$ _____	\$ _____
b. Unitemized	\$ _____	\$ _____
<b>Total other expenditures</b>	\$ _____	\$ _____
<b>Part 10. Transfers out:</b>		
Itemize all (use schedule D*)	\$ _____	\$ _____
<b>TOTAL EXPENDITURES</b>	\$ _____	\$ _____
<b>SECTION C—CASH BALANCES:</b>		
Cash on hand at beginning of reporting period	\$ _____	\$ _____
Add total receipts (section A above)	\$ _____	\$ _____
Subtotal	\$ _____	\$ _____
Subtract total expenditures (section B above)	\$ _____	\$ _____
Cash on hand at close of reporting period	\$ _____	\$ _____

\*Schedules are to be used only when itemization is required. (See each Schedule for instructions.) When itemization is unnecessary for a given Part, the total of any amounts for that Part is to be entered as a lump sum on the "Unitemized" line of the appropriate Part of the Summary Report. The word "None" should be entered on any line of the Summary Report when no amount is being reported.

## UNITED STATES HOUSE OF REPRESENTATIVES

Office of the Clerk  
Washington, D.C.COMMITTEE HEARING  
EXHIBIT NO. 4

## REPORT OF RECEIPTS AND EXPENDITURES

FOR A  
CANDIDATE

FOR NOMINATION OR ELECTION TO THE U.S. HOUSE OF REPRESENTATIVES

<u>Edward R. Roybal</u> (Full Name of Candidate)	<u>25th California</u> (District and State of Candidate)
<u>3452 Sabina St.</u> (Street)	<u>Democratic</u> (Party Affiliation)
<u>Los Angeles, Calif. 90023</u> (City, State, ZIP code)	

 Check if New Address

## TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- |  |  |
|--|--|
| <input type="checkbox"/> March 10 report   | <input type="checkbox"/> Termination report        |
| <input type="checkbox"/> June 10 report  | <input type="checkbox"/> Suspension report         |
| <input type="checkbox"/> September 10 report   | <input type="checkbox"/> Amendment to _____ report |
| <input type="checkbox"/> January 31 report   |  |
| <input type="checkbox"/> Fifteenth day report preceding _____ election on _____<br>(Primary, general, special, runoff, caucus, or convention) (Date)                           |  |
| <input checked="" type="checkbox"/> Fifth day report preceding <u>primary</u> election on <u>June 4th</u><br>(Primary, general, special, runoff, caucus, or convention) (Date) |  |

## CERTIFICATION OF NO RECEIPTS OR EXPENDITURES

- I hereby certify that I have had no receipts and have made no expenditures during this reporting period from May 13 thru May 23

## VERIFICATION BY OATH OR AFFIRMATION OF THE CANDIDATE

State of California  
County of Los Angeles ss.

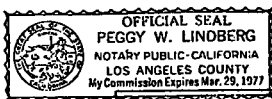
I, Edward R. Roybal, being duly sworn, depose (affirm) and say  
(Full Name of Candidate)  
that this Report of Receipts and Expenditures is complete, true, and correct.

Edward R. Roybal  
(Signature of Candidate)

Subscribed and sworn to (affirmed) before me this 24<sup>th</sup> day of May A.D. 1974

Peggy W. Lindberg  
(Notary Public)

My commission expires March 29, 1977



[SEAL]

1370 E. Calaveras Street

COMPLETED REPORT AND ATTACHMENTS TO:  
The Clerk, U.S. House of Representatives  
Office of Records and Registration  
1036 Longworth House Office Building  
Washington, D.C. 20515

## UNITED STATES HOUSE OF REPRESENTATIVES

Office of the Clerk  
Washington, D.C.COMMITTEE HEARING  
EXHIBIT NO. 5

## REPORT OF RECEIPTS AND EXPENDITURES

FOR A  
CANDIDATE

FOR NOMINATION OR ELECTION TO THE U.S. HOUSE OF REPRESENTATIVES

Edward R. Roybal 25th California  
 (Full Name of Candidate) (District and State of Candidate)  
3452 Sabina St. Democratic  
 (Street) (Party Affiliation)  
Los Angeles, Calif. 90023  
 (City, State, ZIP code)

 Check if New Address

## TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report  
 June 10 report  
 September 10 report  
 January 31 report  
 Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_ (Date)  
 (Primary, general, special, runoff, caucus, or convention)
- Termination report  
 Suspension report  
 Amendment to \_\_\_\_\_ report
- Fifth day report preceding \_\_\_\_\_ election on \_\_\_\_\_ (Date)  
 (Primary, general, special, runoff, caucus, or convention)

## CERTIFICATION OF NO RECEIPTS OR EXPENDITURES

- I hereby certify that I have had no receipts and have made no expenditures during this reporting period  
 from May 23 thru May 31, 1974

## VERIFICATION BY OATH OR AFFIRMATION OF THE CANDIDATE

State of California  
 County of Los Angeles ss.

I, Edward R. Roybal, being duly sworn, depose (affirm) and say  
 (Full Name of Candidate)  
 that this Report of Receipts and Expenditures is complete, true, and correct.

Edward R. Roybal  
 (Signature of Candidate)

Subscribed and sworn to (affirmed) before me this 3rd day of June, A.D. 1974

Peggy W. Lindberg  
 (Notary Public)

My commission expires March 28, 1977



1370 E. California, Anaheim, Ca. 91001

COMPLETED REPORT AND ATTACHMENTS TO:  
 The Clerk, U.S. House of Representatives  
 Office of Records and Registration  
 1036 Longworth House Office Building  
 Washington, D.C. 20515

Office of the Clerk  
Washington, D.C.

COMMITTEE HEARING  
EXHIBIT NO. 6

REPORT OF RECEIPTS AND EXPENDITURES

FOR A  
CANDIDATE

FOR NOMINATION OR ELECTION TO THE U.S. HOUSE OF REPRESENTATIVES

Edward R. Roybal

25th California

(Full Name of Candidate)

(District and State of Candidate)

7110 Federal Bldg.

Democratic

300 No Los (Street) Angeles St.

(Party Affiliation)

Los Angeles, Calif. 90012

(City, State, ZIP code)

→  Check if New Address

TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report
- June 10 report
- September 10 report
- January 31 report
- Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_ (Date)  
(Primary, general, special, runoff, caucus, or convention)
- Fifth day report preceding \_\_\_\_\_ election on \_\_\_\_\_ (Date)  
(Primary, general, special, runoff, caucus, or convention)
- Termination report
- Suspension report
- Amendment to \_\_\_\_\_ report

CERTIFICATION OF NO RECEIPTS OR EXPENDITURES

- I hereby certify that I have had no receipts and have made no expenditures during this reporting period from May 31, 1974 thru Aug. 31, 1974

VERIFICATION BY OATH OR AFFIRMATION OF THE CANDIDATE

State of California

County of San Angeles

ss.

I, Edward R. Roybal, being duly sworn, depose (affirm) and say  
(Full Name of Candidate)  
that this Report of Receipts and Expenditures is complete, true, and correct.

Edward R. Roybal  
(Signature of Candidate)

Subscribed and sworn to (affirmed) before me this 4<sup>th</sup> day of September, A.D. 1974

[Signature]  
(Notary Public)

My commission expires \_\_\_\_\_, 19\_\_



RETURN COMPLETED REPORT AND ATTACHMENTS TO:  
The Clerk, U.S. House of Representatives  
Office of Records and Registration  
1036 Longworth House Office Building  
Washington, D.C. 20515

UNITED STATES HOUSE OF REPRESENTATIVES  
Office of the Clerk **COMMITTEE HEARING**  
Washington, D.C. **EXHIBIT NO. 7**

**REPORT OF RECEIPTS AND EXPENDITURES**

**FOR A  
CANDIDATE**

**FOR NOMINATION OR ELECTION TO THE U.S. HOUSE OF REPRESENTATIVES**

Edward R. Roybal 25th California  
(Full Name of Candidate) (District and State of Candidate)  
7110 Federal Bldg. Democratic  
(Street) (Party Affiliation)  
300 No. Los Angeles St.  
Los Angeles, Calif. 90012  
(City, State, ZIP code)

Check if New Address

**TYPE OF REPORT**

(Check Appropriate Box and Complete, if Applicable)

- March 10 report  Termination report  
 June 10 report  Suspension report  
 September 10 report  Amendment to \_\_\_\_\_ report  
 January 31 report  
 Fifteenth day report preceding general election on November 5  
(Primary, general, special, runoff, caucus, or convention) (Date)  
 Fifth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
(Primary, general, special, runoff, caucus, or convention) (Date)

**CERTIFICATION OF NO RECEIPTS OR EXPENDITURES**

- I hereby certify that I have had no receipts and have made no expenditures during this reporting period.  
from Aug. 31 thru Oct. 14, 1974

**VERIFICATION BY OATH OR AFFIRMATION OF THE CANDIDATE**

State of DISTRICT OF COLUMBIA ss.  
County of DISTRICT OF COLUMBIA

I, Edward R. Roybal, being duly sworn, depose (affirm) and say  
(Full Name of Candidate)  
that this Report of Receipts and Expenditures is complete, true, and correct.

Edward R. Roybal  
(Signature of Candidate)

Subscribed and sworn to (affirmed) before me this 16 day of October, A.D. 1974

Charles A. Mallon  
(Notary Public)

[SEAL]

My commission expires Sept. 30, 1975  
Notary Public, DIST. OF COLUMBIA

RETURN COMPLETED REPORT AND ATTACHMENTS TO:  
The Clerk, U.S. House of Representatives  
Office of Records and Registration  
1036 Longworth House Office Building  
Washington, D.C. 20515

UNITED STATES HOUSE OF REPRESENTATIVES  
Office of the Clerk  
Washington, D.C.  
COMMITTEE HEARING  
EXHIBIT NO. 8

## REPORT OF RECEIPTS AND EXPENDITURES

FOR A  
CANDIDATE

FOR NOMINATION OR ELECTION TO THE U.S. HOUSE OF REPRESENTATIVES

Edward R. Roybal25 California

(Full Name of Candidate)

(District and State of Candidate)

7110 Federal Bldg.Democratic300 No. Los (Street) Angeles St.  
Los Angeles, Calif. 90012

(Party Affiliation)

(City, State, ZIP code)

 Check if New Address

## TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report  
 June 10 report  
 September 10 report  
 January 31 report  
 Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
 (Primary, general, special, runoff, caucus, or convention) (Date)  
 Fifth day report preceding General election on Nov. 5, 1974  
 (Primary, general, special, runoff, caucus, or convention) (Date)
- Termination report  
 Suspension report  
 Amendment to \_\_\_\_\_ report

## CERTIFICATION OF NO RECEIPTS OR EXPENDITURES

- I hereby certify that I have had no receipts and have made no expenditures during this reporting period  
 from Oct. 14 thru Oct. 24, 1974

## VERIFICATION BY OATH OR AFFIRMATION OF THE CANDIDATE

State of CaliforniaCounty of Los Angeles ss.

I, Edward R. Roybal, being duly sworn, depose (affirm) and say  
 (Full Name of Candidate)  
 that this Report of Receipts and Expenditures is complete, true, and correct.

Subscribed and sworn to (affirmed) before me this 24<sup>th</sup> day of October, A.D. 1974

[Signature]  
 (Notary Public)

My commission expires March 9, 1975

RETURN COMPLETED REPORT AND ATTACHMENTS TO:  
 The Clerk, U.S. House of Representatives  
 Office of Records and Registration  
 1036 Longworth House Office Building  
 Washington, D.C. 20515



UNITED STATES HOUSE OF REPRESENTATIVES  
Office of the Clerk  
Washington, D.C. **COMMITTEE HEARING**  
**EXHIBIT NO. 9**

**REPORT OF RECEIPTS AND EXPENDITURES  
FOR A  
CANDIDATE**

FOR NOMINATION OR ELECTION TO THE U.S. HOUSE OF REPRESENTATIVES

Edward R. Roybal 25th California  
(Full Name of Candidate) (District and State of Candidate)  
7110 Federal Bldg.  
300 No. Los Angeles St. Democratic  
(Street) (City, State, ZIP code) (Party Affiliation)  
Los Angeles, Calif. 90012

Check if New Address

**TYPE OF REPORT**

(Check Appropriate Box and Complete, if Applicable)

- March 10 report  
 June 10 report  
 September 10 report  
 January 31 report  
 Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_ (Date)  
(Primary, general, special, runoff, caucus, or convention)  
 Fifth day report preceding \_\_\_\_\_ election on \_\_\_\_\_ (Date)  
(Primary, general, special, runoff, caucus, or convention)
- Termination report  
 Suspension report  
 Amendment to \_\_\_\_\_ report

**CERTIFICATION OF NO RECEIPTS OR EXPENDITURES**

I hereby certify that I have had no receipts and have made no expenditures during this reporting period  
from Oct. 24 thru Dec. 31, 1974

**VERIFICATION BY OATH OR AFFIRMATION OF THE CANDIDATE**

State of California

ss.

County of Los Angeles

I, Edward R. Roybal, being duly sworn, depose (affirm) and say  
(Full Name of Candidate)  
that this Report of Receipts and Expenditures is complete, true, and correct.

Edward R. Roybal  
(Signature of Candidate)

Subscribed and sworn to (affirmed) before me this 7th day of January, A.D. 1975

Joseph W. [Signature]  
(Notary Public)

My commission expires July 9, 1976



**RETURN COMPLETED REPORT AND ATTACHMENTS TO:**  
The Clerk, U.S. House of Representatives  
Office of Records and Registration  
1036 Longworth House Office Building  
Washington, D.C. 20515

## UNITED STATES HOUSE OF REPRESENTATIVES

Office of the Clerk  
Washington, D.C.COMMITTEE HEARING  
EXHIBIT NO. 10REPORT OF RECEIPTS AND EXPENDITURES  
FOR A  
COMMITTEESUPPORTING ANY CANDIDATE(S) FOR NOMINATION OR ELECTION TO THE  
UNITED STATES HOUSE OF REPRESENTATIVES

ROYBAL CAMPAIGN COMMITTEE

(Full Name of Committee)

Identification Number

033-243

1553 Gordon Street

(Street)

Hollywood, California 90028

(City, State, ZIP code)

## TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report.  
 June 10 report.  
 September 10 report.  
 January 31 report.  
 Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
 (Primary, general, special, runoff, caucus, or convention) (Date)  
 Fifth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
 (Primary, general, special, runoff, caucus, or convention) (Date)  
 Termination report.

## VERIFICATION BY OATH OR AFFIRMATION

State of CaliforniaCounty of Los Angeles ss.

I, Roger C. Johnson, being duly sworn, depose (affirm) and say  
 (Full Name of Treasurer of Committee)  
 that this Report of Receipts and Expenditures is complete, true, and correct.

*Roger C. Johnson*

(Signature of Treasurer of Committee)

Subscribed and sworn to (affirmed) before me this 7 day of March, A.D. 1974ELEANOR B. RIDDALL  
NOTARY-PUBLIC CALIFORNIA  
PRINCIPAL OFFICE IN  
LOS ANGELES COUNTY*Eleanor B. Riddall*

(Notary Public)

[SEAL]

My Commission Expires 4-1-77, 1977My commission expires 4-1-77, 1977

RETURN COMPLETED REPORT AND ATTACHMENTS TO:  
 The Clerk, U.S. House of Representatives  
 Office of Records and Registration  
 1036 Longworth House Office Building  
 Washington, D.C. 20515

Name of Committee ROYBAL CAMPAIGN COMMITTEESUMMARY REPORT COVERING PERIOD FROM Jan. 1, 1973 THRU March 4, 1974

	Column A— This period	Column B— Calendar year to date
<b>SECTION A—RECEIPTS:</b>		
<b>Part 1. Individual contributions:</b>		
a. Itemized (use schedule A*)	\$ 250.00	
b. Unitemized	\$ 2,601.50	
Total individual contributions	\$ 2,851.50	\$ 2,851.50
<b>Part 2. Sales and collections:</b>		
Itemize (use schedule B*)	\$ 20,447.48	\$ 20,447.48
<b>Part 3. Loans received:</b>		
a. Itemized (use schedule A*)	\$	
b. Unitemized	\$	
Total loans received	\$ None	\$ None
<b>Part 4. Other receipts (refunds, rebates, interest, etc.):</b>		
a. Itemized (use schedule A*)	\$	
b. Unitemized	\$	
Total other receipts	\$ None	\$ None
<b>Part 5. Transfers in:</b>		
Itemize all (use schedule A*)	\$ None	\$ None
	<b>TOTAL RECEIPTS</b>	<b>\$ 23,298.98</b>
<b>SECTION B—EXPENDITURES:</b>		
<b>Part 6. Communications media expenditures:</b>		
Itemize all (use schedule C*)	\$ None	\$ None
<b>Part 7. Expenditures for personal services, salaries, and reimbursed expenses:</b>		
a. Itemized (use schedule D*)	\$	
b. Unitemized	\$	
Total expenditures for personal services, salaries, and reimbursed expenses	\$ None	\$ None
<b>Part 8. Loans made:</b>		
a. Itemized (use schedule D*)	\$	
b. Unitemized	\$	
Total loans made	\$ None	\$ None
<b>Part 9. Other expenditures:</b>		
a. Itemized (use schedule C*)	\$ 7,822.24	
b. Unitemized	\$ 66.54	
Total other expenditures	\$ 7,888.78	\$ 7,888.78
<b>Part 10. Transfers out:</b>		
Itemize all (use schedule D*)	\$ None	\$ None
	<b>TOTAL EXPENDITURES FROM PREVIOUS Campaign</b>	<b>\$ 7,888.78</b>
<b>SECTION C—CASH BALANCES:</b>		
Cash on hand at beginning of reporting period	\$ 2,185.73	
Add total receipts (section A above)	\$ 23,298.98	
Subtotal	\$ 25,484.71	
Subtract total expenditures (section B above)	\$ 7,888.78	
Cash on hand at close of reporting period	\$ 17,595.93	
<b>SECTION D—DEBTS AND OBLIGATIONS:</b>		
Part 11. Debts and obligations owed to the committee (use schedule E*)	\$ None	
Part 12. Debts and obligations owed by the committee (use schedule E*)	\$ None	

\*Schedules are to be used only when itemization is required. (See each Schedule for instructions.) When itemization is unnecessary for a given Part, the total of any amounts for that Part is to be entered as a lump sum on the "Unitemized" line of the appropriate Part of the Summary Report. The word "None" should be entered on any line of the Summary Report when no amount is being reported.

SCHEDULE A

ITEMIZED RECEIPTS—CONTRIBUTIONS, TICKET PURCHASES, LOANS, AND TRANSFERS

ROYBAL CAMPAIGN COMMITTEE

(Full Name of Candidate or Committee)

Part No. One

(Use for itemizing Part 1, 2, 3, 4, or 5)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use separate page(s) for each numbered Part)

Date (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place of business, if any)	Aggregate Year-to-date (complete if applicable)	Amount of Receipt This Period
1-13-73	Sunset Action Committee One Market Street San Francisco, CA 94105	Aggregate Year-to-date \$	250.00
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	

TOTAL THIS PERIOD 250.00  
(Last page of this Part only)

## SCHEDULE A

## ITEMIZED RECEIPTS—CONTRIBUTIONS, TICKET PURCHASES, LOANS, AND TRANSFERS

~~ROYBAL CAMPAIGN COMMITTEE~~

(Full Name of Candidate or Committee)

Part No. Two

(Use for itemizing Part 1, 2, 3, 4, or 5)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use separate page(s) for each numbered Part)

Date (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place of business, if any)	Aggregate Year-to-date (complete if applicable)	Amount of Receipt This Period
12-3-73	Irene Mendez 147 N La Peer Dr Los Angeles, CA 90048	Concert Producer  Aggregate Year-to-date \$	200.00
2-12-74	Artesania Del Mercado 3425 East 1st Street Los Angeles, CA 90063	Aggregate Year-to-date \$	200.00
1-8-74	Leo A. Beserra P O Box 551, Dept. 96-02, Bldg. 9 Burbank, CA 91503	Customer Relations Director  Aggregate Year-to-date \$	500.00
1-15-74	Carpenters Legislative Improvement Committee 101 Constitution Ave., N.W. Washington, D.C. 20001	Aggregate Year-to-date \$	500.00
2-1-74	Lucy's Cafe El Adobe 5536 Melrose Avenue Los Angeles, CA 90038	Aggregate Year-to-date \$	500.00
2-17-74	Martin Castillo 205 S Broadway, Suite 802 Los Angeles, CA 90012	Attorney  Aggregate Year-to-date \$	500.00
1-9-74	Rudolph A. Cervantes 2431 S Main Street Los Angeles, CA 90007	Businessman  Aggregate Year-to-date \$	500.00
1-8-74	Raul Chavez 3853 Olmsted Los Angeles, CA 90008	Bailman  Aggregate Year-to-date \$	550.00
		Aggregate Year-to-date \$	

TOTAL THIS PERIOD  
(Last page of this Part only)

## SCHEDULE A

## ITEMIZED RECEIPTS—CONTRIBUTIONS, TICKET PURCHASES, LOANS, AND TRANSFERS

ROYBAL CAMPAIGN COMMITTEE

(Full Name of Candidate or Committee)

Part No. Two

(Use for Itemizing Part 1, 2, 3, 4, or 5)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use separate page (s) for each numbered Part)

Date (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place of business, if any)	Aggregate Year-to-date (complete if applicable)	Amount of Receipt This Period
1-16-74	R & S Cofsky Investment Acct. Saul Cofsky 614 N Ford Blvd Los Angeles, CA 90022	Aggregate Year-to-date \$	200.00
1-9-74	Della & Charles Delgado 939 S Hillview Ave Los Angeles, CA 90022	Housewife & Salesman Aggregate Year-to-date \$	400.00
2-12-74	Figueroa Pharmacy 2419 Whittier Blvd Los Angeles, CA 90023	Aggregate Year-to-date \$	200.00
2-4-74	Law Office of Gilbert & Nissen Robert W Gilbert 400 S Beverly Dr, Suite 305 Beverly Hills, CA 90212	Attorney Aggregate Year-to-date \$	250.00
2-27-74	Roger C Johnson 1553 Gordon Street Hollywood, CA 90028	Businessman Aggregate Year-to-date \$	150.00
2-21-74	Alexander L. Kreger (Spec. Acct. No. 3) 2140 W Olympic Blvd Los Angeles, CA 90006	Aggregate Year-to-date \$	500.00
1-24-74	Laborers' Political League 905 16th St, N.W., 5th Floor Washington, D.C. 20006	Aggregate Year-to-date \$	500.00
2-17-74	Chun Yong Lee 424 S Westmoreland Ave Los Angeles, CA 90020	Insurance Salesman Aggregate Year-to-date \$	200.00
2-14-74	Los Angeles County COPE, Voluntary Political Contributions Committee 2130 W 9th Street Los Angeles, CA 90006	Aggregate Year-to-date \$	150.00

TOTAL THIS PERIOD  
(Last page of this Part only)

## SCHEDULE A

## ITEMIZED RECEIPTS—CONTRIBUTIONS, TICKET PURCHASES, LOANS, AND TRANSFERS

ROYBAL CAMPAIGN COMMITTEE

(Full Name of Candidate or Committee)

Part No. Two

(Use for itemizing Part 1, 2, 3, 4, or 5)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use separate page(s) for each numbered Part)

Date (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place of business, if any)	Aggregate Year-to-date (complete if applicable)	Amount of Receipt This Period
		\$	
2-17-74	Los Angeles Police Protective League Terrance W. Hannon, Director 205 S Broadway, Suite 908 Los Angeles, CA 90012	Aggregate Year-to-date \$	200.00
2-5-74	Mariscal & Co (General Acct.) 2600 Wilshire Blvd, #501 Los Angeles, CA 90037	Mgmt. Consultants Aggregate Year-to-date \$	500.00
2-2-74	M/M Victor L. Mindlin 1449 Capri Drive Pacific Palisades, CA 90272	Attorney & Housewife Aggregate Year-to-date \$	550.00
2-12-74	Mohi, Morales & Glasman, Law Offices Frank Morales 1607 Palo Alto Street Los Angeles, CA 90026	Attorneys Aggregate Year-to-date \$	500.00
2-14-74	Bernard L. Nizinski 3028 N Main Street Los Angeles, CA 90031	Attorney Aggregate Year-to-date \$	500.00
1-15-74	L. Durham Otzen 1225 N Granada Ave, #9 Alhambra, CA 91801	Distributor Aggregate Year-to-date \$	500.00
2-17-74	Golden Bear Investment Stanley Sapito 1250 Wilshire Blvd, #604 Los Angeles, CA 90017	Real Estate Broker Aggregate Year-to-date \$	150.00
1-30-74	Gary & Peggy Stein 1350 S Eastern Ave Commerce, CA 90022	Businessman & Housewife Aggregate Year-to-date \$	250.00
2-12-74	Ernest & Virginia Tamayo 2901 Lakeridge Drive Los Angeles, CA 90028	Aggregate Year-to-date \$	200.00

TOTAL THIS PERIOD  
(Last page of this Part only)

## SCHEDULE A

## ITEMIZED RECEIPTS—CONTRIBUTIONS, TICKET PURCHASES, LOANS, AND TRANSFERS

ROYBAL CAMPAIGN COMMITTEE

(Full Name of Candidate or Committee)

Part No. Two

(Use for itemizing Part 1, 2, 3, 4, or 5)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use separate page (s) for each numbered Part)

Date (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place of business, if any)	Aggregate Year-to-date (complete if applicable)	Amount of Receipt This Period
1-25-74	United Transportation Union, Transportation Political Education League, 400 First St., N.W.; Rm 704 Washington, D.C. 20001	Aggregate Year-to-date \$	300.00
2-17-74	Frank D. Veiga, Mortician, 5353 E Beverly Blvd Los Angeles, CA 90022	Aggregate Year-to-date \$	450.00
2-17-74	Daniel C. Waters, Director, Business Association, 1842-1/2 No Normandie Ave Los Angeles, CA 90027	Aggregate Year-to-date \$	200.00
2-16-74	Philip Friedman, Businessman, 1307 N Main Street Los Angeles, CA 90012	Aggregate Year-to-date \$	250.00
2-16-74	David Kwan, Attorney, 900 Wilshire Blvd, #1540 Los Angeles, CA 90017	Aggregate Year-to-date \$	250.00
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	

TOTAL THIS PERIOD 10,800.00  
 (Last page of this Part only)





SCHEDULE C

ITEMIZED EXPENDITURE—COMMUNICATIONS AND NON-COMMUNICATIONS MEDIA

ROYAL CAMPAIGN COMMITTEE

Part No. 1

(Full Name of Candidate or Committee)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use for itemizing Part 6 or 9)

(Use separate page(s) for each numbered Part)

DATE OF PAYMENT (month, day, year)	PAYEE (Recipient of Payment) Full Name, Mailing Address, (occupation and principal place of business, if any)	PURPOSE OF EXPENDITURE (For communications media expenditures, also specify date(s) of use)	CHECK (✓) EXPENDITURE BY ELECTION					AMOUNT OF EXPENDITURE THIS PERIOD	ALLOCATE EXPENDITURES BY CANDIDATE (To be completed only by Committees supporting more than one candidate)	
			Primary	General	Special	Ballot	Other		Full Name, Congressional District (if applicable), State, and Party	Amount of Expenditure This Period
ov, 1973 an, Feb 1974	Postmaster Los Angeles, CA	Postage for Letters, dinner invitations	X					899.60		
1-30 / 2-14 1973	3M Business Products Sales PO Box 22247 Los Angeles, CA 90022	Duplicating Paper	X					42.69		
10-19 1973	Congressional Quarterly 1735 K St, N.W. Washington, D.C. 20006	Subscription	X					151.00		
1-9 1974	Dept. of County Engineers 108 W 2nd St Los Angeles, CA 90012	Precinct Maps	X					18.48		
2-2 1974	Cut Rate Office Equipment Co 1228 S Figueroa St Los Angeles, CA 90015	Adding Machine	X					60.00		
2-2 1974	Ayeroff Litho & Printing Co 5300 Santa Monica Blvd Los Angeles, CA 90029	Letterheads, Letters, envelopes	X					238.62		

TOTAL THIS PERIOD  
(Last page of this Part only)

SCHEDULE C  
ITEMIZED EXPENDITURE—COMMUNICATIONS AND NON-COMMUNICATIONS MEDIA

ROYBAL CAMPAIGN COMMITTEE  
(Full Name of Candidate or Committee)

Part No. 2  
(Use for itemizing Part 6 or 9)

SEE REVERSE SIDE FOR INSTRUCTIONS  
(Use separate page (s) for each numbered Part)

DATE OF PAYMENT (month, day, year)	PAYEE (Recipient of Payment) Full Name, Mailing Address, (occupation and principal place of business, if any)	PURPOSE OF EXPENDITURE (For communications media expenditures, also specify date(s) of use)	CHECK (✓) EXPENDITURE BY ELECTION					AMOUNT OF EXPENDITURE THIS PERIOD	ALLOCATE EXPENDITURES BY CANDIDATE (To be completed only by Committees supporting more than one candidate)	
			Primary	General	Special	Referendum	Other		Full Name, Congressional District (if applicable), State, and Party	Amount of Expenditure This Period
2-5 1974	California Sign Maintenance Co 1314 W 12th St Los Angeles, CA 90015	Headquarters Sign	X					162.75		
ec, 1973 an / Feb 1974	Postal Instant Press 6675 Sunset Blvd Los Angeles, CA 90028	Meeting notices, press releases	X					65.43		
2-11 1974	Registrar-Recorder 808 N Spring Street Los Angeles, CA 90012	Filing fee	X					425.00		
2-21 1974	David R. Savage House Minority, Rm. 29 Underground Plaza Washington, D.C. 20515	Letters	X					719.90		
2-2 1974	Crown Printing Co 5454 E Pomona Blvd Los Angeles, CA 90022	Dinner-Dance invitations, envelopes	X					525.00		
2-20 1974	Artercraft Mfg. 10335 Venice Blvd Los Angeles, CA 90034	Banquet decorations	X					50.00		

TOTAL THIS PERIOD  
(Last page of this Part only)

SCHEDULE C

ITEMIZED EXPENDITURE—COMMUNICATIONS AND NON-COMMUNICATIONS MEDIA

ROYAL CAMPAIGN COMMITTEE

Part No. 3

(Full Name of Candidate or Committee)

SEE REVERSE SIDE FOR INSTRUCTIONS  
(Use separate page (s) for each numbered Part)

(Use for itemizing Part 6 or 9)

DATE OF PAYMENT (month, day, year)	PAYEE (Recipient of Payment) Full Name, Mailing Address, (occupation and principal place of business, if any)	PURPOSE OF EXPENDITURE (For communications media expenditures, also specify date(s) of use)	CHECK (✓) EXPENDITURE BY ELECTION				AMOUNT OF EXPENDITURE THIS PERIOD	ALLOCATE EXPENDITURES BY CANDIDATE (To be completed only by Committees supporting more than one candidate)	
			Primary	General	Special	Referend		Concess to Gen	Full Name, Congressional District (if applicable), State, and Party
2-28 1974	Aldine Company 1160 S Figueroa St Los Angeles, CA 90015	Name labels	X				6.77		
2-17 1974	Sandra Lichter Party Music 2493 N Gower St Los Angeles, CA 90028	Dance band	X				195.00		
2-17 1974	Hyatt Regency Hotel 711 S Hope St Los Angeles, CA 90017	Dinners	X				4,263.00		

TOTAL THIS PERIOD 7,822.24  
(Last name of this Part only)



SCHEDULE E  
DEBTS AND OBLIGATIONS

ROYBAL CAMPAIGN COMMITTEE

(Full Name of Committee)

Part No. One

(Use for itemizing Part 11 or 12)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use separate page(s) for each numbered Part)

Date Incurred (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place and business, if any)	Amount of Original Debt, Contract, Agree- ment, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<b>TOTALS THIS PERIOD</b> (Last page of this Part only)		None	None	None

\*Carry outstanding balance only to appropriate part of summary.

UNITED STATES HOUSE OF REPRESENTATIVES  
 COMMITTEE HEARING  
 EXHIBIT NO. 11

Office of the Clerk  
 Washington, D.C.

REPORT OF RECEIPTS AND EXPENDITURES  
 FOR A  
 COMMITTEE

SUPPORTING ANY CANDIDATE(S) FOR NOMINATION OR ELECTION TO THE  
 UNITED STATES HOUSE OF REPRESENTATIVES

ROYBAL CAMPAIGN COMMITTEE  
 (Full Name of Committee)

Identification Number

033-243

1553 Gordon Street

(Street)

Los Angeles, California 90028

(City, State, ZIP code)

TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report.  
 June 10 report.  
 September 10 report.  
 January 31 report.  
 Fifteenth day report preceding Primary election on June 4, 1974  
 (Primary, general, special, runoff, caucus, or convention) (Date)  
 Fifth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
 (Primary, general, special, runoff, caucus, or convention) (Date)  
 Termination report.

VERIFICATION BY OATH OR AFFIRMATION

State of California

County of Los Angeles ss.

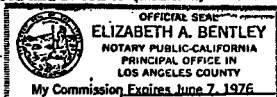
I, ROGER C. JOHNSON

(Full Name of Treasurer of Committee)

being duly sworn, depose (affirm) and say

that this Report of Receipts and Expenditures is complete, true, and correct.

Subscribed and sworn to (affirmed) before me this 16 day of May, A.D. 1974



Roger C. Johnson  
 (Signature of Treasurer of Committee)

Elizabeth A. Bentley  
 (Notary Public)

My commission expires June 7, 1976

[SEAL]

RETURN COMPLETED REPORT AND ATTACHMENTS TO:

The Clerk, U.S. House of Representatives  
 Office of Records and Registration  
 1036 Longworth House Office Building  
 Washington, D.C. 20515

Name of Committee ROYBAL CAMPAIGN COMMITTEE  
 SUMMARY REPORT COVERING PERIOD FROM March 5, 1974 THRU May 13, 1974

	Column A— This period	Column B— Calendar year to date
<b>SECTION A—RECEIPTS:</b>		
<b>Part 1. Individual contributions:</b>		
a. Itemized (use schedule A*)	\$ 450.00	
b. Unitemized	\$ 682.00	
Total individual contributions	\$ 1,132.00	\$ 3,983.50
<b>Part 2. Sales and collections:</b>		
Itemize (use schedule B*)	\$ None	\$ 20,447.48
<b>Part 3. Loans received:</b>		
a. Itemized (use schedule A*)	\$	
b. Unitemized	\$	
Total loans received	\$ None	\$ None
<b>Part 4. Other receipts (refunds, rebates, interest, etc.):</b>		
a. Itemized (use schedule A*)	\$	
b. Unitemized	\$	
Total other receipts	\$ None	\$ None
<b>Part 5. Transfers in:</b>		
Itemize all (use schedule A*)	\$ None	\$ None
<b>TOTAL RECEIPTS</b>	<b>\$ 1,132.00</b>	<b>\$ 24,430.98</b>
<b>SECTION B—EXPENDITURES:</b>		
<b>Part 6. Communications media expenditures:</b>		
Itemize all (use schedule C*)	\$ None	\$ None
<b>Part 7. Expenditures for personal services, salaries, and reimbursed expenses:</b>		
a. Itemized (use schedule D*)	\$	
b. Unitemized	\$	
Total expenditures for personal services, salaries, and reimbursed expenses	\$ None	\$ None
<b>Part 8. Loans made:</b>		
a. Itemized (use schedule D*)	\$	
b. Unitemized	\$	
Total loans made	\$ None	\$ None
<b>Part 9. Other expenditures:</b>		
a. Itemized (use schedule C*)	\$ 2,424.06	
b. Unitemized	\$ 360.85	
Total other expenditures	\$ 2,784.91	\$ 10,673.69
<b>Part 10. Transfers out:</b>		
Itemize all (use schedule D*)	\$ None	\$ None
<b>TOTAL EXPENDITURES</b>	<b>\$ 2,784.91</b>	<b>\$ 10,673.69</b>
<b>SECTION C—CASH BALANCES:</b>		
Cash on hand at beginning of reporting period	\$ 17,595.93	
Add total receipts (section A above)	\$ 1,132.00	
Subtotal	\$ 18,727.93	
Subtract total expenditures (section B above)	\$ 2,784.91	
Cash on hand at close of reporting period	(corrected) \$ 17,309.89	
<b>SECTION D—DEBTS AND OBLIGATIONS:</b>		
Part 11. Debts and obligations owed to the committee (use schedule E*)	\$ None	
Part 12. Debts and obligations owed by the committee (use schedule E*)	\$ None	

\*Schedules are to be used only when itemization is required. (See each Schedule for instructions.) When itemization is unnecessary for a given Part, the total of any amounts for that Part is to be entered as a lump sum on the "Unitemized" line of the appropriate Part of the Summary Report. The word "None" should be entered on any line of the Summary Report when no amount is being reported.









**SCHEDULE D**  
**ITEMIZED EXPENDITURES—PERSONAL SERVICES, LOANS, AND TRANSFERS**

(Full Name of Candidate or Committee)

Part No. \_\_\_\_\_  
 (Use for itemizing Part 7, 8, or 10)

**SEE REVERSE SIDE FOR INSTRUCTIONS**  
 (Use separate page(s) for each numbered Part)

Date (month, day, year)	Full Name, Mailing Address, and ZIP Code	Occupation and Principal Place of Business, if any (If self-employed, also check box)		Amount of Expenditure This Period
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$
		<input type="checkbox"/>	Aggregate Year-to-Date \$	This period \$

**TOTAL THIS PERIOD** \_\_\_\_\_  
 (Last page of this Part only)

**SCHEDULE E  
DEBTS AND OBLIGATIONS**

\_\_\_\_\_  
(Full Name of Committee)

Part No. \_\_\_\_\_  
(Use for itemizing Part 11 or 12)

**SEE REVERSE SIDE FOR INSTRUCTIONS**

(Use separate page(s) for each numbered Part)

Date Incurred (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place and business, if any)	Amount of Original Debt, Contract, Agree- ment, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<b>TOTALS THIS PERIOD</b> (Last page of this Part only)				

Page \_\_\_\_\_

\*Carry outstanding balance only to appropriate part of summary.

UNITED STATES HOUSE OF REPRESENTATIVES  
Office of the Clerk  
Washington, D.C. **COMMITTEE HEARING**  
**EXHIBIT NO. 12**

REPORT OF RECEIPTS AND EXPENDITURES  
FOR A  
COMMITTEE

SUPPORTING ANY CANDIDATE(S) FOR NOMINATION OR ELECTION TO THE  
UNITED STATES HOUSE OF REPRESENTATIVES

ROYBAL CAMPAIGN COMMITTEE

(Full Name of Committee)

Identification Number

033-243

1553 Gordon St.

(Street)

Los Angeles, Calif. 90028

(City, State, ZIP code)

TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report.  
 June 10 report.  
 September 10 report.  
 January 31 report.  
 Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
 (Primary, general, special, runoff, caucus, or convention) (Date)  
 Fifth day report preceding Primary election on June 4th  
 (Primary, general, special, runoff, caucus, or convention) (Date)  
 Termination report.

VERIFICATION BY OATH OR AFFIRMATION

State of California

County of Los Angeles ss.

I, ROGER C. JOHNSON, being duly sworn, depose (affirm) and say  
 (Full Name of Treasurer of Committee)  
 that this Report of Receipts and Expenditures is complete, true, and correct.

Subscribed and sworn to (affirmed) before me this 12<sup>th</sup> day of May, A.D. 1974

Roger C. Johnson  
 (Signature of Treasurer of Committee)

E. Weinmann  
 (Notary Public)

[SEAL]

My commission expires \_\_\_\_\_, 19\_\_

RETURN COMPLETED REPORT AND ATTACHMENTS TO:

The Clerk, U.S. House of Representatives  
 Office of Records and Registration  
 1036 Longworth House Office Building  
 Washington, D.C. 20515



H.R. ELECTION FORM 3

Name of Committee ROYBAL CAMPAIGN COMMITTEESUMMARY REPORT COVERING PERIOD FROM May 14 THRU May 23, 1974

	Column A— This period	Column B— Calendar year to date
<b>SECTION A—RECEIPTS:</b>		
<b>Part 1. Individual contributions:</b>		
a. Itemized (use schedule A*).....	\$ .....	
b. Unitemized.....	\$ .....	
Total individual contributions	\$ <u>None</u>	\$ <u>3,983.50</u>
<b>Part 2. Sales and collections:</b>		
Itemize (use schedule B*).....	\$ <u>None</u>	\$ <u>20,447.48</u>
<b>Part 3. Loans received:</b>		
a. Itemized (use schedule A*).....	\$ .....	
b. Unitemized.....	\$ .....	
Total loans received	\$ <u>None</u>	\$ <u>None</u>
<b>Part 4. Other receipts (refunds, rebates, interest, etc.):</b>		
a. Itemized (use schedule A*).....	\$ .....	
b. Unitemized.....	\$ .....	
Total other receipts	\$ <u>None</u>	\$ <u>None</u>
<b>Part 5. Transfers in:</b>		
Itemize all (use schedule A*).....	\$ <u>None</u>	\$ <u>None</u>
TOTAL RECEIPTS	\$ <u>None</u>	\$ <u>24,430.98</u>
<b>SECTION B—EXPENDITURES:</b>		
<b>Part 6. Communications media expenditures:</b>		
Itemize all (use schedule C*).....	\$ <u>None</u>	\$ <u>None</u>
<b>Part 7. Expenditures for personal services, salaries, and reimbursed expenses:</b>		
a. Itemized (use schedule D*).....	\$ .....	
b. Unitemized.....	\$ .....	
Total expenditures for personal services, salaries, and reimbursed expenses	\$ <u>None</u>	\$ <u>None</u>
<b>Part 8. Loans made:</b>		
a. Itemized (use schedule D*).....	\$ .....	
b. Unitemized.....	\$ .....	
Total loans made	\$ <u>None</u>	\$ <u>None</u>
<b>Part 9. Other expenditures:</b>		
a. Itemized (use schedule C*).....	\$ <u>749.77</u>	
b. Unitemized.....	\$ <u>73.61</u>	
Total other expenditures	\$ <u>823.38</u>	\$ <u>11,497.07</u>
<b>Part 10. Transfers out:</b>		
Itemize all (use schedule D*).....	\$ <u>None</u>	\$ <u>None</u>
TOTAL EXPENDITURES	\$ <u>823.38</u>	\$ <u>11,497.07</u>
<b>SECTION C—CASH BALANCES:</b>		
Cash on hand at beginning of reporting period.....	\$ <u>17,309.89</u>	
Add total receipts (section A above).....	\$ <u>None</u>	
Subtotal.....	\$ <u>17,309.89</u>	
Subtract total expenditures (section B above).....	\$ <u>823.38</u>	
Cash on hand at close of reporting period.....	\$ <u>16,486.51</u>	
<b>SECTION D—DEBTS AND OBLIGATIONS:</b>		
Part 11. Debts and obligations owed to the committee (use schedule E*).....	\$ <u>None</u>	
Part 12. Debts and obligations owed by the committee (use schedule E*).....	\$ <u>None</u>	

\*Schedules are to be used only when itemization is required. (See each Schedule for instructions.) When itemization is unnecessary for a given Part, the total of any amounts for that Part is to be entered as a lump sum on the "Unitemized" line of the appropriate Part of the Summary Report. The word "None" should be entered on any line of the Summary Report when no amount is being reported.







SCHEDULE C

ITEMIZED EXPENDITURE—COMMUNICATIONS AND NON-COMMUNICATIONS MEDIA  
 ROYBAL CAMPAIGN COMMITTEE

(Full Name of Candidate or Committee)

Part No. 600  
 (Use for itemizing Part 6 or 9)

SEE REVERSE SIDE FOR INSTRUCTIONS  
 (Use separate page(s) for each numbered Part)

DATE OF PAYMENT (month, day, year)	PAYEE (Recipient of Payment) Full Name, Mailing Address, (occupation and principal place of business, if any)	PURPOSE OF EXPENDITURE (For communications media expenditures, also specify date(s) of use)	CHECK (✓) EXPENDITURE BY ELECTION					AMOUNT OF EXPENDITURE THIS PERIOD	ALLOCATE EXPENDITURES BY CANDIDATE (To be completed only by Committees supporting more than one candidate)	
			Primary	General	Special	Recall	Constitutional		Full Name, Congressional District (if applicable), State, and Party	Amount of Expenditure This Period
5-20 1974	Ayeroff Lithograph & Printing Co. 5300 Santa Monica Blvd. Los Angeles, Ca. 90029	Printing invitations	X					579.29		
5-15 1974	Postmaster Los Angeles, Ca. 90012	Stamps	X					170.48		

TOTAL THIS PERIOD \$ 749.77  
 (Last page of this Part only)



SCHEDULE E  
DEBTS AND OBLIGATIONS

ROYBAL CAMPAIGN COMMITTEE

(Full Name of Committee)

Part No. One

(Use for itemizing Part 11 or 12)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use separate page(s) for each numbered Part)

Date Incurred (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place and business, if any)	Amount of Original Debt, Contract, Agree- ment, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<b>TOTALS THIS PERIOD</b> (Last page of this Part only)		None	None	None

\*Carry outstanding balance only to appropriate part of summary.

UNITED STATES HOUSE OF REPRESENTATIVES  
Office of the Clerk  
Washington, D.C.

COMMITTEE HEARING  
EXHIBIT NO. 13

REPORT OF RECEIPTS AND EXPENDITURES

FOR A

COMMITTEE

SUPPORTING ANY CANDIDATE(S) FOR NOMINATION OR ELECTION TO THE  
UNITED STATES HOUSE OF REPRESENTATIVES

ROYBAL CAMPAIGN COMMITTEE

(Full Name of Committee)

Identification Number

033-243

1553 Gordon St.

(Street)

Los Angeles, Calif. 90028

(City, State, ZIP code)

TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report.
- June 10 report.
- September 10 report.
- January 31 report.
- Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
(Primary, general, special, runoff, caucus, or convention) (Date)
- Fifth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
(Primary, general, special, runoff, caucus, or convention) (Date)
- Termination report.

VERIFICATION BY OATH OR AFFIRMATION

State of California

ss.

County of Los Angeles

I, Roger C. Johnson, being duly sworn, depose (affirm) and say  
(Full Name of Treasurer of Committee)  
that this Report of Receipts and Expenditures is complete, true, and correct.

Subscribed and sworn to (affirmed) before me this 4th day of June, A.D. 1974



Roger C. Johnson  
(Signature of Treasurer of Committee)

Elizabeth A. Bentley  
(Notary Public)

My commission expires June 7, 1976

RETURN COMPLETED REPORT AND ATTACHMENTS TO:  
The Clerk, U.S. House of Representatives  
Office of Records and Registration  
1836 Longworth House Office Building  
Washington, D.C. 20515

Name of Committee ROYBAL CAMPAIGN COMMITTEE  
 SUMMARY REPORT COVERING PERIOD FROM May 23 THRU May 31, 1974

	Column A— This period	Column B— Calendar year to date
<b>SECTION A—RECEIPTS:</b>		
<b>Part 1. Individual contributions:</b>		
a. Itemized (use schedule A*).....	\$ <u>none</u>	
b. Unitemized.....	\$ <u>none</u>	\$ <u>3,983.50</u>
Total individual contributions	\$ <u>none</u>	\$ <u>3,983.50</u>
<b>Part 2. Sales and collections:</b>		
Itemize (use schedule B*).....	\$ <u>none</u>	\$ <u>20,447.48</u>
<b>Part 3. Loans received:</b>		
a. Itemized (use schedule A*).....	\$ <u>none</u>	
b. Unitemized.....	\$ <u>none</u>	\$ <u>none</u>
Total loans received	\$ <u>none</u>	\$ <u>none</u>
<b>Part 4. Other receipts (refunds, rebates, interest, etc.):</b>		
a. Itemized (use schedule A*).....	\$ <u>none</u>	
b. Unitemized.....	\$ <u>none</u>	\$ <u>none</u>
Total other receipts	\$ <u>none</u>	\$ <u>none</u>
<b>Part 5. Transfers in:</b>		
Itemize all (use schedule A*).....	\$ <u>none</u>	\$ <u>none</u>
<b>TOTAL RECEIPTS</b>	\$ <u>none</u>	\$ <u>24,430.98</u>
<b>SECTION B—EXPENDITURES:</b>		
<b>Part 6. Communications media expenditures:</b>		
Itemize all (use schedule C*).....	\$ <u>none</u>	\$ <u>none</u>
<b>Part 7. Expenditures for personal services, salaries, and reimbursed expenses:</b>		
a. Itemized (use schedule D*).....	\$ <u>none</u>	
b. Unitemized.....	\$ <u>none</u>	\$ <u>none</u>
Total expenditures for personal services, salaries, and reimbursed expenses	\$ <u>none</u>	\$ <u>none</u>
<b>Part 8. Loans made:</b>		
a. Itemized (use schedule D*).....	\$ <u>none</u>	
b. Unitemized.....	\$ <u>none</u>	\$ <u>none</u>
Total loans made	\$ <u>none</u>	\$ <u>none</u>
<b>Part 9. Other expenditures:</b>		
a. Itemized (use schedule C*).....	\$ <u>none</u>	
b. Unitemized.....	\$ <u>96.80</u>	\$ <u>11,593.87</u>
Total other expenditures	\$ <u>96.80</u>	\$ <u>11,593.87</u>
<b>Part 10. Transfers out:</b>		
Itemize all (use schedule D*).....	\$ <u>none</u>	\$ <u>none</u>
<b>TOTAL EXPENDITURES</b>	\$ <u>96.80</u>	\$ <u>11,593.87</u>
<b>SECTION C—CASH BALANCES:</b>		
Cash on hand at beginning of reporting period.....	\$ <u>16,486.51</u>	
Add total receipts (section A above).....	\$ <u>none</u>	
Subtotal.....	\$ <u>16,486.51</u>	
Subtract total expenditures (section B above).....	\$ <u>96.80</u>	
Cash on hand at close of reporting period.....	\$ <u>16,389.71</u>	
<b>SECTION D—DEBTS AND OBLIGATIONS:</b>		
<b>Part 11. Debts and obligations owed to the committee (use schedule E*).....</b>		
	\$ <u>none</u>	
<b>Part 12. Debts and obligations owed by the committee (use schedule E*).....</b>		
	\$ <u>none</u>	

\*Schedules are to be used only when itemization is required. (See each Schedule for instructions.) When itemization is unnecessary for a given Part, the total of any amounts for that Part is to be entered as a lump sum on the "Unitemized" line of the appropriate Part of the Summary Report. The word "None" should be entered on any line of the Summary Report when no amount is being reported.











**SCHEDULE E**  
**DEBTS AND OBLIGATIONS**

ROYAL CAMPAIGN COMMITTEE  
(Full Name of Committee)

Part No. One  
(Use for itemizing Part 11 or 12)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use separate page(s) for each numbered Part)

Date Incurred (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place and business, if any)	Amount of Original Debt, Contract, Agree- ment, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<b>TOTALS THIS PERIOD</b> (Last page of this Part only)		none	none	* none

UNITED STATES HOUSE OF REPRESENTATIVES  
 COMMITTEE HEARING  
 EXHIBIT NO. 14

Office of the Clerk  
 Washington, D.C.

REPORT OF RECEIPTS AND EXPENDITURES  
 FOR A  
 COMMITTEE

SUPPORTING ANY CANDIDATE(S) FOR NOMINATION OR ELECTION TO THE  
 UNITED STATES HOUSE OF REPRESENTATIVES

Roybal Campaign Committee  
 (Full Name of Committee)

Identification Number

033-243

1553 Gordon St.

(Street)

Los Angeles, Calif. 90028

(City, State, ZIP code)

TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report.  
 June 10 report.  
 September 10 report.  
 January 31 report.  
 Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
 (Primary, general, special, runoff, caucus, or convention) (Date)  
 Fifth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
 (Primary, general, special, runoff, caucus, or convention) (Date)  
 Termination report.

VERIFICATION BY OATH OR AFFIRMATION

State of California

County of Los Angeles ss.

I, Roger C. Johnson, being duly sworn, depose (affirm) and say  
 (Full Name of Treasurer of Committee)  
 that this Report of Receipts and Expenditures is complete, true, and correct.

*Roger C. Johnson*

(Signature of Treasurer of Committee)

Subscribed and sworn to (affirmed) before me this 4<sup>th</sup> day of September, A.D. 1974

*[Signature]*

(Notary Public)

My commission expires \_\_\_\_\_, 19\_\_



COMPLETED REPORT AND ATTACHMENTS TO:  
 The Clerk, U.S. House of Representatives  
 Office of Records and Registration  
 1036 Longworth House Office Building  
 Washington, D.C. 20515

Name of Committee Roybal Campaign CommitteeSUMMARY REPORT COVERING PERIOD FROM May 31, 1974 THRU Aug. 31, 1974

	Column A— This period	Column B— Calendar year to date
<b>SECTION A—RECEIPTS:</b>		
<b>Part 1. Individual contributions:</b>		
a. Itemized (use schedule A*).....	\$ 650.00	
b. Unitemized.....	26.00	
Total individual contributions	\$ 676.00	\$ 4,659.50
<b>Part 2. Sales and collections:</b>		
Itemize (use schedule B*).....	\$ 962.17	\$ 962.17
<b>Part 3. Loans received:</b>		
a. Itemized (use schedule A*).....	\$	
b. Unitemized.....	\$	
Total loans received	\$ none	\$ none
<b>Part 4. Other receipts (refunds, rebates, interest, etc.):</b>		
a. Itemized (use schedule A*).....	\$	
b. Unitemized.....	\$	
Total other receipts	\$ none	\$ none
<b>Part 5. Transfers in:</b>		
Itemize all (use schedule A*).....	\$	\$
TOTAL RECEIPTS	\$ 1,638.17	\$ 26,069.15
<b>SECTION B—EXPENDITURES:</b>		
<b>Part 6. Communications media expenditures:</b>		
Itemize all (use schedule C*).....	\$ none	\$ none
<b>Part 7. Expenditures for personal services, salaries, and reimbursed expenses:</b>		
a. Itemized (use schedule D*).....	\$	
b. Unitemized.....	\$	
Total expenditures for personal services, salaries, and reimbursed expenses	\$ none	\$ none
<b>Part 8. Loans made:</b>		
a. Itemized (use schedule D*).....	\$	
b. Unitemized.....	\$	
Total loans made	\$ none	\$ none
<b>Part 9. Other expenditures:</b>		
a. Itemized (use schedule C*).....	\$ 1,989.74	
b. Unitemized.....	266.79	
Total other expenditures	\$ 2,256.53	\$ 13,850.40
<b>Part 10. Transfers out:</b>		
Itemize all (use schedule D*).....	\$ none	\$ none
TOTAL EXPENDITURES	\$ 2,256.53	\$ 13,850.40
<b>SECTION C—CASH BALANCES:</b>		
Cash on hand at beginning of reporting period.....	\$ 16,389.71	
Add total receipts (section A above).....	1,638.17	
Subtotal.....	18,027.88	
Subtract total expenditures (section B above).....	2,256.53	
Cash on hand at close of reporting period.....	\$ 15,771.35	
<b>SECTION D—DEBTS AND OBLIGATIONS:</b>		
<b>Part 11. Debts and obligations owed to the committee (use schedule E*).....</b>	\$ none	
<b>Part 12. Debts and obligations owed by the committee (use schedule E*).....</b>	\$ none	

\*Schedules are to be used only when itemization is required. (See each Schedule for instructions.) When itemization is unnecessary for a given Part, the total of any amounts for that Part is to be entered as a lump sum on the "Unitemized" line of the appropriate Part of the Summary Report. The word "None" should be entered on any line of the Summary Report when an amount is being reported.

## SCHEDULE A

## ITEMIZED RECEIPTS—CONTRIBUTIONS, TICKET PURCHASES, LOANS, AND TRANSFERS

Roybal Campaign Committee  
(Full Name of Candidate or Committee)

Part No. one  
(Use for itemizing Part 1, 2, 3, 4, or 5)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use separate page(s) for each numbered Part)

Date (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place of business, if any)	Aggregate Year-to-date (complete if applicable)	Amount of Receipt This Period
June 3, 1974	Harold Goodenow, Treasurer Pacific Lighting Political Assistance Committee 720 W. 8th St. Los Angeles, Calif. 90017	Aggregate Year-to-date \$	\$400.00
Aug. 10, 1974	Engineers Political Education Committee 1125 Seventeenth St., N. W. Washington, D. C. 20036	Aggregate Year-to-date \$	\$250.00
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	

TOTAL THIS PERIOD \$650.00  
(Last page of this Part only)



SCHEDULE C

ITEMIZED EXPENDITURE--COMMUNICATIONS AND NON-COMMUNICATIONS MEDIA

Royal Campaign Committee

Part No. one

(Full Name of Candidate or Committee)

(Use for itemizing Part 6 or 9)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use separate page(s) for each numbered Part)

DATE OF PAYMENT (month, day, year)	PAYEE (Recipient of Payment) Full Name, Mailing Address, (occupation and principal place of business, if any)	PURPOSE OF EXPENDITURE (For communications media expenditures, also specify date(s) of use)	CHECK (✓) EXPENDITURE BY ELECTION					AMOUNT OF EXPENDITURE THIS PERIOD	ALLOCATE EXPENDITURES BY CANDIDATE (To be completed only by Committees supporting more than one candidate)	
			Primary	General	Special	Runoff	Ballot Propositions		Full Name, Congressional District (if applicable), State, and Party	Amount of Expenditure This Period
2, '74	Guido Guardines Los Angeles, Calif. 90063 3214 Fowler	Barbecue supplies	X				\$134.20			
2, '74	Little Joe's 900 N. Broadway Los Angeles, Calif. 90012	Barbecue supplies	X				\$173.78			
2, '74	John Veljacic 330 S. Ford Blvd. Los Angeles, Calif. 90063	Rental barbecue grounds	X				\$175.00			
2, '74	Manuel's Barbecue 3536 E. First St. Los Angeles, Calif. 90063	Meals for barbecue	X				\$790.00			
14, '74	Postmaster Los Angeles, Calif. 90012	Postage	X				\$451.76			
17, '74	Ayeroff Litho. and Printing Co. 5300 Santa Monica Blvd. Los Angeles, Calif. 90029	Printing	X				\$265.00			

TOTAL THIS PERIOD \$1,989.74  
(Last page of this Part only)





**SCHEDULE E  
DEBTS AND OBLIGATIONS**

Roybal Campaign Committee  
(Full Name of Committee)

Part No. one  
(Use for itemizing Part 11 or 12)

**SEE REVERSE SIDE FOR INSTRUCTIONS**

(Use separate page(s) for each numbered Part)

Date Incurred (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place and business, if any)	Amount of Original Debt, Contract, Agree- ment, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<b>TOTALS THIS PERIOD</b> (Last page of this Part only)		none	none	none

UNITED STATES HOUSE OF REPRESENTATIVES  
 COMMITTEE HEARING  
 Office of the Clerk  
 Washington, D.C. EXHIBIT NO. 15

REPORT OF RECEIPTS AND EXPENDITURES  
 FOR A  
 COMMITTEE

SUPPORTING ANY CANDIDATE(S) FOR NOMINATION OR ELECTION TO THE  
 UNITED STATES HOUSE OF REPRESENTATIVES

Roybal Campaign Committee

(Full Name of Committee)

Identification Number

033-243

1553 Gordon St.

Los Angeles, Calif. 90023

(City, State, ZIP code)

TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report.  
 June 10 report.  
 September 10 report.  
 January 31 report.  
 Fifteenth day report preceding general election on Nov. 5th, 1974  
 (Primary, general, special, runoff, caucus, or convention) (Date)  
 Fifth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
 (Primary, general, special, runoff, caucus, or convention) (Date)  
 Termination report.

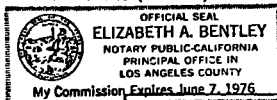
VERIFICATION BY OATH OR AFFIRMATION

State of California

County of Los Angeles ss.

I, Roger C. Johnson, being duly sworn, depose (affirm) and say  
 (Full Name of Treasurer of Committee)  
 that this Report of Receipts and Expenditures is complete, true, and correct.

Subscribed and sworn to (affirmed) before me this 15<sup>th</sup> day of October, A.D. 1974  
 (Signature of Treasurer of Committee)



(SEAL)

Elizabeth A. Bentley  
 (Notary Public)  
 My commission expires June 7, 1976

RETURN COMPLETED REPORT AND ATTACHMENTS TO:  
 The Clerk, U.S. House of Representatives  
 Office of Records and Registration  
 1036 Longworth House Office Building  
 Washington, D.C. 20515

Name of Committee Roybal Campaign CommitteeSUMMARY REPORT COVERING PERIOD FROM Aug. 31, 1974 THRU Oct. 14, 1974

	Column A— This period	Column B— Calendar year to date
<b>SECTION A—RECEIPTS:</b>		
<b>Part 1. Individual contributions:</b>		
a. Itemized (use schedule A*)	\$ 1,050.00	
b. Unitemized	\$ 190.00	
Total individual contributions	\$ 1,240.00	\$ 5,335.50
<b>Part 2. Sales and collections:</b>		
Itemize (use schedule B*)	\$ none	\$ 962.17
<b>Part 3. Loans received:</b>		
a. Itemized (use schedule A*)	\$	
b. Unitemized	\$	
Total loans received	\$ none	\$ none
<b>Part 4. Other receipts (refunds, rebates, interest, etc.):</b>		
a. Itemized (use schedule A*)	\$	
b. Unitemized	\$	
Total other receipts	\$ none	\$ none
<b>Part 5. Transfers in:</b>		
Itemize all (use schedule A*)	\$ none	\$ none
TOTAL RECEIPTS	1,165.75	27,234.90
<b>SECTION B—EXPENDITURES:</b>		
<b>Part 6. Communications media expenditures:</b>		
Itemize all (use schedule C*)	\$ none	\$ none
<b>Part 7. Expenditures for personal services, salaries, and reimbursed expenses:</b>		
a. Itemized (use schedule D*)	\$	
b. Unitemized	\$	
Total expenditures for personal services, salaries, and reimbursed expenses	\$ none	\$ none
<b>Part 8. Loans made:</b>		
a. Itemized (use schedule D*)	\$	
b. Unitemized	\$	
Total loans made	\$ none	\$ none
<b>Part 9. Other expenditures:</b>		
a. Itemized (use schedule C*)	\$ 5,098.50	
b. Unitemized	\$ 195.34	
Total other expenditures	\$ 5,293.84	\$ 27,234.90
<b>Part 10. Transfers out:</b>		
Itemize all (use schedule D*)	\$ none	\$ none
TOTAL EXPENDITURES	5,293.84	19,144.24
<b>SECTION C—CASH BALANCES:</b>		
Cash on hand at beginning of reporting period	\$ 15,771.35	
Add total receipts (section A above)	\$ 1,240.00	
Subtotal	\$ 17,011.35	
Subtract total expenditures (section B above)	\$ 5,293.84	
Cash on hand at close of reporting period	\$ 11,717.51	
<b>SECTION D—DEBTS AND OBLIGATIONS:</b>		
Part 11. Debts and obligations owed to the committee (use schedule E*)	\$ none	
Part 12. Debts and obligations owed by the committee (use schedule E*)	\$ none	

\*Schedules are to be used only when itemization is required. (See each Schedule for instructions.) When itemization is unnecessary for a given Part, the total of any amounts for that Part is to be entered as a lump sum on the "Unitemized" line of the appropriate Part of the Summary Report. The word "None" should be entered on any line of the Summary Report when no amount is being reported.

## SCHEDULE A

## ITEMIZED RECEIPTS—CONTRIBUTIONS, TICKET PURCHASES, LOANS, AND TRANSFERS

Roybal Campaign Committee  
(Full Name of Candidate or Committee)

Part No. one  
(Use for itemizing Part 1, 2, 3, 4, or 5)

SEE REVERSE SIDE FOR INSTRUCTIONS  
(Use separate page(s) for each numbered Part)

Date (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place of business, if any)	Aggregate Year-to-date (complete if applicable)	Amount of Receipt This Period
Sept. 21, 1974	Life Underwriters Political Action Committee 1922 E. St., N. W. Washington, D. C. 20006	Aggregate Year-to-date \$	\$250.00
Oct. 3, 1974	Democratic State Central Committee, Federal Candidates Campaign Committee 6022 Wilshire Blvd. Los Angeles, Calif. 90036	Aggregate Year-to-date \$	\$500.00
Oct. 8, 1974	Federal Citizenship Responsibility Group 2244 Walnut Grove Ave. Rosemead, Calif. 91770	Aggregate Year-to-date \$	\$300.00
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	

TOTAL THIS PERIOD \$1,050.00  
(Last page of this Part only)



SCHEDULE C

ITEMIZED EXPENDITURE—COMMUNICATIONS AND NON-COMMUNICATIONS MEDIA

Roybal Campaign Committee

(Full Name of Candidate or Committee)

Part No. one

(Use for itemizing Part 6 or 9)

SEE REVERSE SIDE FOR INSTRUCTIONS  
(Use separate page (s) for each numbered Part)

DATE OF PAYMENT (month, day, year)	PAYEE (Recipient of Payment) Full Name, Mailing Address, (occupation and principal place of business, if any)	PURPOSE OF EXPENDITURE (For communications media expenditures, also specify date (s) of use)	CHECK (✓) EXPENDITURE BY ELECTION					AMOUNT OF EXPENDITURE THIS PERIOD	ALLOCATE EXPENDITURES BY CANDIDATE (To be completed only by Committees supporting more than one candidate)	
			Primary	General	Special	Recall	Initiative or Referendum		Full Name, Congressional District (if applicable), State, and Party	Amount of Expenditure This Period
Sept. 4, 1974	Postmaster Los Angeles, Calif. 90012	Postage		X				\$3,151.69		
Sept. 26, 1974	Ayeroff Lithograph and Printing Co. 5300 Santa Monica Blvd. Los Angeles, Calif. 90029	Printing		X				415.05		
Oct. 7, 1974	Capital City Postcard Co. 926 National Press Bldg. Washington, D. C. 20004	Printing		X				1,531.76		

TOTAL THIS PERIOD 5,098.50





**SCHEDULE E  
DEBTS AND OBLIGATIONS**

Roybal Campaign Committee  
(Full Name of Committee)

Part No. one  
(Use for itemizing Part 11 or 12)

**SEE REVERSE SIDE FOR INSTRUCTIONS**

(Use separate page(s) for each numbered Part)

Date Incurred (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place and business, if any)	Amount of Original Debt, Contract, Agree- ment, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<b>TOTALS THIS PERIOD</b> (Last page of this Part only)				* none

\*Carry outstanding balance only to appropriate part of summary.

UNITED STATES HOUSE OF REPRESENTATIVES  
Office of the Clerk  
Washington, D.C.

COMMITTEE HEARING  
EXHIBIT NO. 16

REPORT OF RECEIPTS AND EXPENDITURES

FOR A  
CANDIDATE

FOR NOMINATION OR ELECTION TO THE U.S. HOUSE OF REPRESENTATIVES

Edward R. Roybal

25 California

(Full Name of Candidate)

(District and State of Candidate)

7110 Federal Bldg.

Democratic

300 No. Los (Street) Angeles St.  
Los Angeles, Calif. 90012

(Party Affiliation)

(City, State, ZIP code)

Check if New Address

TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report  
 June 10 report  
 September 10 report  
 January 31 report  
 Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_ (Date)  
(Primary, general, special, runoff, caucus, or convention)
- Termination report  
 Suspension report  
 Amendment to \_\_\_\_\_ report
- Fifth day report preceding General election on Nov 5, 1974 (Date)  
(Primary, general, special, runoff, caucus, or convention)

CERTIFICATION OF NO RECEIPTS OR EXPENDITURES

- I hereby certify that I have had no receipts and have made no expenditures during this reporting period from Oct. 14 thru Oct. 24, 1974

VERIFICATION BY OATH OR AFFIRMATION OF THE CANDIDATE

State of California

County of Los Angeles ss.

- I, Edward R. Roybal, being duly sworn, depose (affirm) and say  
(Full Name of Candidate)  
that this Report of Receipts and Expenditures is complete, true, and correct.

Edward R. Roybal  
(Signature of Candidate)

Subscribed and sworn to (affirmed) before me this 24<sup>th</sup> day of October, A.D. 1974

Walter H. Owen  
(Notary Public)

My commission expires March 9, 1975



RETURN COMPLETED REPORT AND ATTACHMENTS TO:  
The Clerk, U.S. House of Representatives  
Office of Records and Registration  
1036 Longworth House Office Building  
Washington, D.C. 20515

## UNITED STATES HOUSE OF REPRESENTATIVES

Office of the Clerk  
Washington, D.C.REPORT OF RECEIPTS AND EXPENDITURES  
FOR A  
COMMITTEESUPPORTING ANY CANDIDATE(S) FOR NOMINATION OR ELECTION TO THE  
UNITED STATES HOUSE OF REPRESENTATIVESRoybal Campaign Committee  
(Full Name of Committee)

Identification Number

033-243

1553 Gordon St.  
Los Angeles, <sup>(Street)</sup> Calif. 90028  
(City, State, ZIP code)

## TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report.
- June 10 report.
- September 10 report.
- January 31 report.
- Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_  
(Primary, general, special, runoff, caucus, or convention) (Date)
- Fifth day report preceding general election on Nov. 5, 1974  
(Primary, general, special, runoff, caucus, or convention) (Date)
- Termination report.

## VERIFICATION BY OATH OR AFFIRMATION

State of California  
County of Los Angeles ss.I, Roger C. Johnson  
(Full Name of Treasurer of Committee) being duly sworn, depose (affirm) and say  
that this Report of Receipts and Expenditures is complete, true, and correct.Roger C. Johnson  
(Signature of Treasurer of Committee)Subscribed and sworn to (affirmed) before me this 24th day of October, A.D. 1974Nellie H. Orosco  
(Notary Public)My commission expires March 9, 1975.COMPLETED REPORT AND ATTACHMENTS TO:  
The Clerk, U.S. House of Representatives  
Office of Records and Registration  
1036 Longworth House Office Building  
Washington, D.C. 20515

Name of Committee Roybal Campaign CommitteeSUMMARY REPORT COVERING PERIOD FROM Oct. 14 THRU Oct. 24, 1974

	Column A— This period	Column B— Calendar year to date
<b>SECTION A—RECEIPTS:</b>		
Part 1. Individual contributions:		
a. Itemized (use schedule A*)	\$ 1,300.00	
b. Unitemized	\$ 100.00	5,335.50
Total individual contributions	\$ 1,400.00	
Part 2. Sales and collections:		
Itemize (use schedule B*)	\$ none	\$ 962.17
Part 3. Loans received:		
a. Itemized (use schedule A*)	\$ none	none
b. Unitemized	\$ none	none
Total loans received	\$ none	\$ none
Part 4. Other receipts (refunds, rebates, interest, etc.):		
a. Itemized (use schedule A*)	\$ none	none
b. Unitemized	\$ none	none
Total other receipts	\$ none	\$ none
Part 5. Transfers in:		
Itemize all (use schedule A*)	\$ none	\$ none
<b>TOTAL RECEIPTS</b>	<b>1,400.00</b>	<b>28,634.90</b>
<b>SECTION B—EXPENDITURES:</b>		
Part 6. Communications media expenditures:		
Itemize all (use schedule C*)	\$ none	\$ none
Part 7. Expenditures for personal services, salaries, and reimbursed expenses:		
a. Itemized (use schedule D*)	\$ none	none
b. Unitemized	\$ none	none
Total expenditures for personal services, salaries, and reimbursed expenses	\$ none	\$ none
Part 8. Loans made:		
a. Itemized (use schedule D*)	\$ none	none
b. Unitemized	\$ none	none
Total loans made	\$ none	\$ none
Part 9. Other expenditures:		
a. Itemized (use schedule C*)	\$ none	none
b. Unitemized	\$ none	none
Total other expenditures	\$ none	\$ 27,234.90
Part 10. Transfers out:		
Itemize all (use schedule D*)	\$ none	\$ none
<b>TOTAL EXPENDITURES</b>	<b>\$ none</b>	<b>\$ 19,144.24</b>
<b>SECTION C—CASH BALANCES:</b>		
Cash on hand at beginning of reporting period	\$ 11,717.51	
Add total receipts (section A above)	\$ 1,400.00	
Subtotal	\$ 13,117.51	
Subtract total expenditures (section B above)	\$ none	
Cash on hand at close of reporting period	\$ 13,117.51	

**SECTION D—DEBTS AND OBLIGATIONS:**

Part 11. Debts and obligations owed to the committee (use schedule E\*)

Part 12. Debts and obligations owed by the committee (use schedule E\*)

\*Schedules are to be used only when itemization is required. (See each Schedule for instructions.) When itemization is unnecessary for a given Part, the total of any amounts for that Part is to be entered as a lump sum on the "Unitemized" line of the appropriate Part of the Summary Report. The word "None" should be entered on any line of the Summary Report when no amount is being reported.

## SCHEDULE A

## ITEMIZED RECEIPTS—CONTRIBUTIONS, TICKET PURCHASES, LOANS, AND TRANSFERS

Roybal Campaign Committee  
(Full Name of Candidate or Committee)

Part No. one  
(Use for itemizing Part 1, 2, 3, 4, or 5)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use separate page (s) for each numbered Part)

Date (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place of business, if any)	Aggregate Year-to-date (complete if applicable) \$	Amount of Receipt This Period
Oct. 23, 1974	Railway Labor Executives' Assn. Political League 400 First St., N. W. Room 804 Washington, D. C. 20001	Aggregate Year-to-date \$	\$300.00
Oct. 23, 1974	Association for Better Citizenship 1825 Magnolia Ave., Burlingame, Calif. 94010	Aggregate Year-to-date \$	\$1,000.00
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	

**TOTAL THIS PERIOD** \$1,300.00  
(Last page of this Part only)









**SCHEDULE E  
DEBTS AND OBLIGATIONS**

Royal Campaign Committee  
(Full Name of Committee)

Part No. one  
(Use for itemizing Part 11 or 12)

**SEE REVERSE SIDE FOR INSTRUCTIONS**

(Use separate page(s) for each numbered Part)

Date Incurred (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place and business, if any)	Amount of Original Debt, Contract, Agree- ment, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<b>TOTALS THIS PERIOD</b> (Last page of this Part only)				* none

Page \_\_\_\_\_

\*Carry outstanding balance only to appropriate part of summary.

UNITED STATES HOUSE OF REPRESENTATIVES

Office of the Clerk  
Washington, D.C.

COMMITTEE HEARING  
EXHIBIT NO. 17

REPORT OF RECEIPTS AND EXPENDITURES

FOR A

POLITICAL COMMITTEE

SUPPORTING ANY CANDIDATE(S) FOR NOMINATION OR ELECTION TO THE  
UNITED STATES HOUSE OF REPRESENTATIVES

Roybal Campaign Committee  
(Full Name of Committee)

Identification Number

H.R.- 033-243

1553 Gordon St.

(Street)

Los Angeles, Calif. 90028

(City, State, ZIP code)

Check if New Address

NOTE: If you have not registered then a complete Registration (H.R. Election Form 1) must accompany this Report. If you have registered and no number has been assigned, so indicate.

TYPE OF REPORT

(Check Appropriate Box and Complete, if Applicable)

- March 10 report
- June 10 report
- September 10 report
- January 31 report
- Fifteenth day report preceding \_\_\_\_\_ election on \_\_\_\_\_ (Date)  
(Primary, general, special, runoff, caucus, or convention)
- Fifth day report preceding \_\_\_\_\_ election on \_\_\_\_\_ (Date)  
(Primary, general, special, runoff, caucus, or convention)
- Termination report
- Suspension report
- Amendment to \_\_\_\_\_ report

VERIFICATION BY OATH OR AFFIRMATION OF TREASURER

State of California

County of Los Angeles

ss.

I, Roger C. Johnson, being duly sworn, depose (affirm) and say  
(Full Name of Treasurer of Committee)

that this Report of Receipts and Expenditures is complete, true, and correct.

Roger C. Johnson  
(Signature of Treasurer of Committee)

Subscribed and sworn to (affirmed) before me this 10th day of January, A.D. 1974

[Signature]  
(Notary Public)  
My commission expires January 10th, 1974

[SEAL]



OFFICIAL SEAL  
NELLIE H. OROZCO  
NOTARY PUBLIC - CALIFORNIA  
LOS ANGELES COUNTY  
My Commission Expires Jan. 9, 1975

RETURN COMPLETED REPORT AND ATTACHMENTS TO:  
The Clerk, U.S. House of Representatives  
Office of Records and Registration  
1036 Longworth House Office Building  
Washington, D.C. 20515

Name of Committee \_\_\_\_\_  
 SUMMARY REPORT COVERING PERIOD FROM Oct. 24 THRU Dec. 31, 1974

	Column A— This period	Column B— Calendar year to date
<b>SECTION A—RECEIPTS:</b>		
<b>Part 1. Individual contributions:</b>		
a. Itemized (use schedule A*)	\$ 550.00	
b. Unitemized	\$ 165.00	
Total individual contributions	\$ 715.00	\$ 6,050.50
<b>Part 2. Sales and collections:</b>		
Itemize (use schedule B*)	\$ 1,793.25	\$ 2,755.42
<b>Part 3. Loans received:</b>		
a. Itemized (use schedule A*)	\$	
b. Unitemized	\$	
Total loans received	\$ none	\$ none
<b>Part 4. Other receipts (refunds, rebates, interest, etc.):</b>		
a. Itemized (use schedule A*)	\$	
b. Unitemized	\$	
Total other receipts	\$ none	\$ none
<b>Part 5. Transfers in:</b>		
Itemize all (use schedule A*)	\$ none	\$ none
<b>TOTAL RECEIPTS</b>	<b>\$ 2,508.25</b>	<b>\$ 31,143.15</b>
<b>SECTION B—EXPENDITURES:</b>		
<b>Part 6. Communications media expenditures:</b>		
Itemize all (use schedule C*)	\$ none	\$ none
<b>Part 7. Expenditures for personal services, salaries, and reimbursed expenses:</b>		
a. Itemized (use schedule D*)	\$	
b. Unitemized	\$	
Total expenditures for personal services, salaries, and reimbursed expenses	\$ none	\$ none
<b>Part 8. Loans made:</b>		
a. Itemized (use schedule D*)	\$	
b. Unitemized	\$	
Total loans made	\$ none	\$ none
<b>Part 9. Other expenditures:</b>		
a. Itemized (use schedule C*)	\$ 5,237.37	
b. Unitemized	\$ 47.27	
Total other expenditures	\$ 5,284.64	\$ 32,519.54
<b>Part 10. Transfers out:</b>		
Itemize all (use schedule D*)	\$ 200.00	\$ 200.00
<b>TOTAL EXPENDITURES</b>	<b>\$ 5,484.64</b>	<b>\$ 24,628.88</b>
<b>SECTION C—CASH BALANCES:</b>		
Cash on hand at beginning of reporting period	\$ 13,117.51	
Add total receipts (section A above)	\$ 2,508.25	
Subtotal	\$ 15,625.76	
Subtract total expenditures (section B above)	\$ 5,484.64	
Cash on hand at close of reporting period	\$ 10,141.12	
<b>SECTION D—DEBTS AND OBLIGATIONS:</b>		
Part 11. Debts and obligations owed to the committee (use schedule E*)	\$ none	
Part 12. Debts and obligations owed by the committee (use schedule E*)	\$ none	

\*Schedules are to be used only when itemization is required. (See each Schedule for instructions.) When itemization is unnecessary for a given Part, the total of any amounts for that Part is to be entered as a lump sum on the "Unitemized" line of the appropriate Part of the Summary Report. The word "None" should be entered on any line of the Summary Report when no amount is being reported.

## ITEMIZED RECEIPTS—CONTRIBUTIONS, TICKET PURCHASES, LOANS, AND TRANSFERS

Roybal Campaign Committee  
(Full Name of Candidate or Committee)

Part No. one  
(Use for itemizing Part 1, 2, 3, 4, or 5)

SEE REVERSE SIDE FOR INSTRUCTIONS  
(Use separate page(s) for each numbered Part)

Date (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place of business, if any)	Aggregate Year-to-date (complete if applicable)	Amount of Receipt This Period
		\$	
Oct. 26, 1974	United Steelworkers of America, Political Action Fund Five Gateway Center Pittsburgh, Pa. 15222	Aggregate Year-to-date \$	\$250.00
Nov. 18, 1974	Transportation Political Education League 14600 Detroit Ave., Cleveland, Ohio 44107	Aggregate Year-to-date \$	\$300.00
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	
		Aggregate Year-to-date \$	

TOTAL THIS PERIOD \$550.00  
(Last page of this Part only)

**SCHEDULE B  
ITEMIZED RECEIPTS—SALES AND COLLECTIONS**

Roybal Campaign Committee  
(Full Name of Candidate or Committee)

Use for Part No. 2 only

SEE REVERSE SIDE FOR INSTRUCTIONS

Total Sum of Proceeds during the reporting period from:

- 1. Sale of tickets (List by event below) \* \_\_\_\_\_ \$ 1,671.25
  - 2. Mass collections (List by event below) \_\_\_\_\_ \$ \_\_\_\_\_
  - 3. Sale of Items \_\_\_\_\_ \$ 122.00
- Total (Carry forward to Part 2 of Summary) \$ 1,793.25

List of Sales and Collections by Event

Date of Event (month, day, year)	Type of Event	Amount From Sale of Tickets This Period*	Amount From Mass Collections This Period
Oct. 25, 1974	Dance and political rally	\$1,793.25	
<b>TOTALS THIS PERIOD</b> (Last page of this Schedule only)		\$1,793.25	

\*After completion of the above list by event, use a separate Schedule A to list the date, full name and mailing address (occupation and principal place of business, if any) of each person who has purchased one or more tickets for events such as dinners, lunches, rallies, and similar fundraising events during this reporting period in an amount in excess of \$100 or whose total ticket purchases to date for the calendar year (aggregate) are in excess of \$100. Attach the separate Schedule A to this Schedule.

## ITEMIZED EXPENDITURE—COMMUNICATIONS AND NON-COMMUNICATIONS MEDIA

(Full Name of Candidate or Committee)

Part No. \_\_\_\_\_  
(Use for itemizing Part 6 or 9)SEE REVERSE SIDE FOR INSTRUCTIONS  
(Use separate page(s) for each numbered Part)

DATE OF PAYMENT (month, day, year)	PAYEE (Recipient of Payment) Full Name, Mailing Address, (occupation and principal place of business, if any)	PURPOSE OF EXPENDITURE (For communications media expenditures, also specify date(s) of use)	CHECK (✓) EXPENDITURE BY ELECTION				AMOUNT OF EXPENDITURE THIS PERIOD	ALLOCATE EXPENDITURES BY CANDIDATE (To be completed only by Committees supporting more than one candidate)	
			Primary	General	Special	Refer		Constitution	Full Name, Congressional District (if applicable), State, and Party
Oct. 25 1974	St. Peter's Church 1051 No. Broadway Los Angeles, Calif. 90012	Rental of hall for dance		X			\$150.00		
Oct. 25, 1974	Rudy Macias 312 So. Vail Ave. Montebello, Calif. 90640	Musicians for dance		X			\$300.00		
Nov. 4, 22, 1974	Aldine Co. 1160 So. Figueroa St. Los Angeles, Calif. 90015	Address labels of voters		X			\$617.50		
Nov. 4 Dec. 27 1974	Postmaster Los Angeles, Calif. 90012	Stamps		X			\$156.18		
Nov. 6, Dec. 6, 1974	Capital City Postcards 926 National Press Bldg. Washington, D. C. 20004	Printing calendar cards and air freight		X			\$2,046.74		
Nov. 6, 1974	Beeline Travel Bureau 346 No. Larchmont Blvd. Los Angeles, Calif. 90004	airline travel		X			\$1,436.00		

Page oneTOTAL THIS PERIOD  
(Last page of this Part only)

ITEMIZED EXPENDITURE—COMMUNICATIONS AND NON-COMMUNICATIONS MEDIA

Roy, Campaign Committee

Part No. 3

(Full Name of Candidate or Committee)

(Use for itemizing Part 6 or 9)

SEE REVERSE SIDE FOR INSTRUCTIONS.

(Use separate page(s) for each numbered Part)

DATE OF PAYMENT (month, day, year)	PAYEE (Recipient of Payment) Full Name, Mailing Address, (occupation and principal place of business, if any)	PURPOSE OF EXPENDITURE (For communications media expenditures, also specify date(s) of use)	CHECK ( ) EXPENDITURE BY ELECTION					AMOUNT OF EXPENDITURE THIS PERIOD	ALLOCATE EXPENDITURES BY CANDIDATE (To be completed only by Committees supporting more than one candidate)	
			Primary	General	Special	Ballot	Other		Full Name, Congressional District (if applicable), State, and Party	Amount of Expenditure This Period
Nov. 9, 1974	Congressional Quarterly Service 1414 22nd St., N. W. Washington, D. C. 20037	subscription		X				\$174.00		
Nov. 15, 1974	Westward Ho Restaurant 541 So. Arroyo Parkway Pasadena, Calif. 91105	dinners for campaign committee		X				\$356.95		

TOTAL THIS PERIOD \$5,237.37  
(Last page of this Part only)

## ITEMIZED EXPENDITURES—PERSONAL SERVICES, LOANS, AND TRANSFERS

Roybal Campaign Committee

Part No. one

(Full Name of Candidate or Committee)

(Use for itemizing Part 7, 8, or 10)

SEE REVERSE SIDE FOR INSTRUCTIONS  
(Use separate page(s) for each numbered Part)

Date (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place of business, if any)	Amount of Expenditure This Period
Nov. 22, 1974	DSG Campaign Fund (transfer out) P. O. Box 2850 Washington, D. C. 20013	\$200.00

TOTAL THIS PERIOD \$200.00  
(Last page of this Part only)



SCHEDULE E  
DEBTS AND OBLIGATIONS

Roybal Campaign Committee  
(Full Name of Committee)

Part No. one  
(Use for itemizing Part 11 or 12)

SEE REVERSE SIDE FOR INSTRUCTIONS

(Use separate page(s) for each numbered Part)

Date Incurred (month, day, year)	Full Name, Mailing Address, and ZIP Code (occupation and principal place and business, if any)	Amount of Original Debt, Contract, Agree- ment, or Promise	Cumulative Payment To Date	Outstanding Balance at Close of This Period
<b>TOTALS THIS PERIOD</b> (Last page of this Part only)				• none

\*Carry outstanding balance only to appropriate part of summary.

SECURITY PACIFIC NATIONAL BANK 

Ledger Sheet

ROYBAL CAMPAIGN COMM  
C-O PHILLIP FRIEDMAN  
1553 GORDON STREET  
LOS ANGELES CA 90028

106 013 425  
017  
01

SECURITY PACIFIC NATIONAL BANK  
IVIC CENTER BRANCH  
10 S SPRING  
LOS ANGELES CA 90012

ACCOUNT NUMBER 013 425 PERIOD TO DEC 31 73 JAN 31 74

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DATE	BALANCE
HECK	935	CHECK	48000			01 04	194874
HECK	50000					01 09	144874
EPOSIT	4000	CHECK	4232			01 10	144672
HECK	1848					01 14	142794
HECK	14250					01 16	128544
EPOSIT	437600					01 29	564184
HECK	3429					01 30	562755
HECK	13730					01 31	549005

COMMITTEE HEARING  
EXHIBIT NO. 18

CHECKING ACCOUNT SUMMARY

ENDING BALANCE	DEPOSITS		CHECKS		SERVICE CHARGE		ENDING BALANCE
	TOTAL NUMBER	TOTAL AMOUNT	TOTAL NUMBER	TOTAL AMOUNT	NUMBER OF ITEMS	AMOUNT	
243809	2	441600	8	136400	8	00	549005

PREPARED BY: [blank]

217273

NUMBER OF ENCLOSURES	PAGE NUMBER
8	1

030361

SECURITY PACIFIC NATIONAL BANK

Ledger Sheet

ROYBAL CAMPAIGN COMM  
C-O PHILLIP FRIEDMAN  
1593 GORDON STREET  
LOS ANGELES CA 90028

106 013 629  
817  
01

SECURITY PACIFIC NATIONAL BANK  
IVIC CENTER BRANCH  
10 S SPRING  
LOS ANGELES CA 90012

ACCOUNT NUMBER

013 425

PERIOD

JAN 31 74

TO FEB 28 74

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DATE	BALANCE
ISC CHRG	5000					02 01	544005
HECK	42525					02 05	501480
EPOSIT	5000	DEPOSIT	368500			02 06	874980
HECK	16275	CHECK	23862			02 08	834643
HECK	830	CHECK	52500			02 11	781513
EPOSIT	347300					02 12	1128813
HECK	6300					02 13	1122513
ISC CHRG	50000					02 19	1072513
HECK	19500					02 20	1053013
EPOSIT	1061400					02 21	2114613
HECK	7648	CHECK	376300			02 22	1730685
HECK	6654	CHECK	6489			02 25	1717922
ISC CHRG	10000					02 26	1707922
HECK	5000					02 27	1702922

CHECKING ACCOUNT SUMMARY


REG. NO. & BALANCE	DEPOSITS		CHECKS		SERVICE CHARGE		ENDING BALANCE
	TOTAL NUMBER	TOTAL AMOUNT	TOTAL NUMBER	TOTAL AMOUNT	NUMBER OF ITEMS	AMOUNT	
549005	4	1782400	15	628883	15	00	1702522

PMT. @ payment  
REV. @ interest

217246

NUMBER OF ENCLOSURES	PAGE NUMBER
15	1

030362

SECURITY PACIFIC NATIONAL BANK 

Ledger Sheet

ROYBAL CAMPAIGN COMM  
C-O PHILLIP FRIEDMAN  
1553 GORDON STREET  
LOS ANGELES CA 90028

106 013 425  
817  
01

SECURITY PACIFIC NATIONAL BANK

CIVIC CENTER BRANCH  
120 S SPRING  
LOS ANGELES CA 90012

ACCOUNT NUMBER

013 425

PERIOD

FEB 28 74

TO

MAR 29 74

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DATE	BALANCE
DEPOSIT	5000					03 01	1702822
DEPOSIT	10000	DEPOSIT	121250	CHECK	677	03 05	1838095
CHECK	71990					03 06	1766105
DEPOSIT	28000					03 11	1794105
CHECK	7376	CHECK	10815			03 16	1775914
DEPOSIT	20200	CHECK	420			03 20	1795694
DEPOSIT	130000					03 22	1925694
CHECK	7008					03 25	1918686

CHECKING ACCOUNT SUMMARY

BEGINNING BALANCE	TOTAL NUMBER	DEBITS TOTAL AMOUNT	TOTAL NUMBER	CHEQS TOTAL AMOUNT	NUMBER OF ITEMS	SERVICE CHARGE AMOUNT	ENDING BALANCE
1702522	6	314450	6	98286	6	00	1918686

PMT 0 000000  
REV 000000

217250

NUMBER OF ENVELOPES 030363

Ledger Sheet

ROYBAL CAMPAIGN COMM  
C-O PHILLIP FRIEDMAN  
1553 GORDON STREET  
LOS ANGELES CA 90028

NOTICE 013 823  
When record(s) are destroyed their contents shall be destroyed immediately thereafter.

SECURITY PACIFIC NATIONAL BANK  
CIVIC CENTER BRANCH  
110 S SPRING  
LOS ANGELES CA 90012

ACCOUNT NUMBER

013 425

PERIOD

MAR 29 74

TO

APR 30 74

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DATE	BALANCE
CHECK	9450					04 01	190923
DEPOSIT	21500					04 02	193073
CHECK	9700					04 03	192103
CHECK	8096					04 05	191244
DEPOSIT	20000	CHECK	100000			04 10	182244
DEPOSIT	5000	CHECK	2500			04 12	182544
CHECK	9116					04 16	182832
CHECK	684					04 17	182544
DEPOSIT	10000					04 18	185544
CHECK	7102					04 23	182883
DEPOSIT	1000					04 25	182983
CHECK	3710					04 30	182582

CHECKING ACCOUNT SUMMARY

BEGINNING BALANCE	DEPOSITS		CHECKS		SERVICE CHARGE		ENDING BALANCE
	TOTAL NUMBER	TOTAL AMOUNT	TOTAL NUMBER	TOTAL AMOUNT	NUMBER OF ITEMS	AMOUNT	
1918686	5	57500	9	150358	9	00	1825828

REV = REVERSED

217285 030384 9

SECURITY PACIFIC NATIONAL BANK

Ledger Sheet

ROYBAL CAMPAIGN COMM  
 C-O PHILLIP FRIEDMAN  
 1553 GORDON STREET  
 LOS ANGELES CA 90028

**NOTICE**  
 When record(s) cover have been returned, please return immediately to the Loan Office.

100-1013-454  
 100-1013-457  
 100-1013-461

SECURITY PACIFIC NATIONAL BANK  
 CIVIC CENTER BRANCH  
 10 S SPRING  
 LOS ANGELES CA 90012

ACCOUNT NUMBER

013 425

PERIOD TO

APR 30 74

FROM

MAY 31 74

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DATE	BALANCE
HECK	72799					05 05	1753029
HECK	7480					05 08	1745549
HECK	18020					05 10	1727529
IEPOSIT	2500					05 13	1750029
IEPOSIT	5000	CHECK	2500			05 15	1732529
HECK	1715	CHECK	17048			05 16	1713764
HECK	1891					05 17	1711875
HECK	419	CHECK	57929			05 21	1653527
HECK	4240					05 29	1649287
HECK	763					05 30	1648524
HECK	3074					05 31	1645450

CHECKING ACCOUNT SUMMARY

ENDING BALANCE	DEPOSITS		CHECKS		SERVICE CHARGE		ENDING BALANCE
	TOTAL NUMBER	TOTAL AMOUNT	TOTAL NUMBER	TOTAL AMOUNT	NUMBER OF ITEMS	AMOUNT	
1825828	2	7500	12	187878	12	00	1645450

PMT - PAYMENT  
 REV - REVERSAL

217286

NUMBER OF ENCLOSURES	PAGE NUMBER
12	1

030365

SECURITY PACIFIC NATIONAL BANK 

Ledger Sheet

ROYBAL CAMPAIGN COMM  
C-O PHILLIP FRIEDMAN  
1553 GORDON STREET  
LOS ANGELES CA 90028

104 013 425  
01  
1014

SECURITY PACIFIC NATIONAL BANK  
CIVIC CENTER BRANCH  
110 S SPRING  
LOS ANGELES CA 90012

ACCOUNT NUMBER 013 425 PERIOD TO MAY 31 74 TO JUN 28 74

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DATE	BALANCE
CHECK	811					06 03	1644639
DEPOSIT	122500	CHECK	79000			06 04	1688139
CHECK	843					06 05	1687296
CHECK	5000					06 06	1682296
DEPOSIT	2000	CHECK	8557			06 07	1673739
CHECK	17378					06 11	1658361
CHECK	13420					06 12	1644961
CHECK	17500					06 17	1627461
CHECK	20216					06 18	1607225
CHECK	24960					06 19	1582265
CHECK	26500					06 24	1555765

CHECKING ACCOUNT SUMMARY

ENDING BALANCE	DEPOSITS		CHECKS		SERVICE CHARGE		ENDING BALANCE
	TOTAL NUMBER	TOTAL AMOUNT	TOTAL NUMBER	TOTAL AMOUNT	NUMBER OF ITEMS	AMOUNT	
1645450	2	124500	11	214185	11	00	1555765

PMT - DEPOSIT  
REV - CHECKS

217263

030366

NUMBER OF ENCLOSED	PAGE NUMBER
11	1

SECURITY PACIFIC NATIONAL BANK **S**

Ledger Sheet

ROYBAL CAMPAIGN COMM  
 C-O PHILLIP FRIEDMAN  
 1553 GORDON STREET  
 LOS ANGELES CA 90028

Return immediately to  
 CIVIC CENTER 90028  
 10/17

SECURITY PACIFIC NATIONAL BANK  
 CIVIC CENTER BRANCH  
 110 S SPRING  
 LOS ANGELES CA 90012

ACCOUNT NUMBER 013 425 PERIOD JUN 28 74 TO JUL 31 74

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DATE	BALANCE
DEPOSIT	600					DT 01	1556365
CHECK	3122					DT 10	1553243
CHECK	5000					DT 24	1548243
CHECK	10000					DT 31	1538243

CHECKING ACCOUNT SUMMARY

BEGINNING BALANCE	DEPOSITS		CHECKS		SERVICE CHARGE		ENDING BALANCE
	TOTAL NUMBER	TOTAL AMOUNT	TOTAL NUMBER	TOTAL AMOUNT	NUMBER OF ITEMS	AMOUNT	
1555765	1	600	3	16122	3	00	1538243

217287

NUMBER OF ENTRIES	3
PAGE NUMBER	1

030367



SECURITY PACIFIC NATIONAL BANK 

Ledger Sheet

ROYBAL CAMPAIGN COMM  
 C-O PHILLIP FRIEDMAN  
 1553 GORDON STREET  
 LOS ANGELES CA 90028

106 013 425  
 817  
 901

SECURITY PACIFIC NATIONAL BANK   
 CIVIC CENTER BRANCH  
 110 S SPRING  
 LOS ANGELES CA 90012

ACCOUNT NUMBER

013 425

PERIOD

JUL 31 74

TO AUG 30 74

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DATE	BALANCE
DEPOSIT	13717					08 09	1552490
DEPOSIT	25000					08 12	1802490

CHECKING ACCOUNT SUMMARY

BEGINNING BALANCE	DEPOSITS		CHECKS		SERVICE CHARGE		ENDING BALANCE
	TOTAL NUMBER	TOTAL AMOUNT	TOTAL NUMBER	TOTAL AMOUNT	NUMBER OF ITEMS	AMOUNT	
1538243	2	38717		00		00	1576960

KEY  
 PAY = PAYMENT  
 REV = REVERSAL

217270

NUMBER OF ENCLLOSURES	PAGE NUMBER
	1

030868

SECURITY PACIFIC NATIONAL BANK 

Ledger Sheet

ROYBAL CAMPAIGN COMM  
 C-O PHILLIP FRIEDMAN  
 1553 GORDON STREET  
 LOS ANGELES CA 90028

106 013 425  
 CIVIC CENTER BRANCH  
 CIVIC CENTER 3014

SECURITY PACIFIC NATIONAL BANK  
 CIVIC CENTER BRANCH  
 110 S SPRING  
 LOS ANGELES CA 90012

ACCOUNT NUMBER 013 425 PERIOD TO SEP 30 74

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DATE	BALANCE
CHECK	6640					09 05	1370300
DEPOSIT	7500					09 17	1977900
CHECK	763					09 20	1577807
DEPOSIT	25000					09 25	1408807
CHECK	41505					09 30	1340852

CHECKING ACCOUNT SUMMARY

BEGINNING BALANCE	DEPOSITS		CHECKS		SERVICE CHARGE		ENDING BALANCE
	TOTAL NUMBER	TOTAL AMOUNT	TOTAL NUMBER	TOTAL AMOUNT	NUMBER OF ITEMS	AMOUNT	
1576960	2	32500	3	48908	3	00	1588552

7 PAY = payment  
 REV = reverse

217285

NUMBER OF ENTRIES 3  
 PAGE NUMBER 1  
 030369

SECURITY PACIFIC NATIONAL BANK 

Ledger Sheet

ROYBAL CAMPAIGN COMM  
C-O PHILLIP FRIEDMAN  
1953 GORDON STREET  
LOS ANGELES CA 90028

Please return this stub to  
CIVIC CENTER 1014

SECURITY PACIFIC NATIONAL BANK  
CIVIC CENTER BRANCH  
110 S SPRING  
LOS ANGELES CA 90012

ACCOUNT NUMBER 013 425 PERIOD SEP 30 74 TO OCT 31 74

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DATE	BALANCE
CHECK	300000					10 02	1360552
CHECK	2640					10 03	1357912
DEPOSIT	1500	CHECK	9127			10 04	1250285
DEPOSIT	50000					10 07	1300285
DEPOSIT	40000					10 10	1340285
CHECK	5889					10 14	1334396
CHECK	3284	CHECK	153176			10 15	1177934
DEPOSIT	10000					10 17	1287934
CHECK	6360					10 18	1281574
DEPOSIT	130000					10 25	1311574
DEPOSIT	27500	DEPOSIT	141200	CHECK	30000	10 29	1450276
C X	689					10 30	1449587

CHECKING ACCOUNT SUMMARY

BEGINNING BALANCE	DEPOSITS		CHECKS		SERVICE CHARGE		ENDING BALANCE
	TOTAL NUMBER	TOTAL AMOUNT	TOTAL NUMBER	TOTAL AMOUNT	NUMBER OF ITEMS	AMOUNT	
1360552	7	400200	9	511165	9	00	1449587

KEY  
PMT = PAYMENT  
REV = REVERSAL

217272

NUMBER OF ENCLOSURES 9 PAGE NUMBER 1

030370

SECURITY PACIFIC NATIONAL BANK 

Ledger Sheet

ROYBAL CAMPAIGN COMM  
C-O PHILLIP FRIEDMAN  
1553 GORDON STREET  
LOS ANGELES CA 90028

104 013 425  
104 013 425  
CIVIC CENTER BRANCH  
110 S SPRING  
LOS ANGELES CA 90012

SECURITY PACIFIC NATIONAL BANK  
CIVIC CENTER BRANCH  
110 S SPRING  
LOS ANGELES CA 90012

ACCOUNT NUMBER 013 425 PERIOD OCT 31 74 TO NOV 29 74

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DATE	BALANCE
DEPOSIT	17150					11 04	1446787
CHECK	500	CHECK	9966			11 05	1436271
DEPOSIT	9000	CHECK	19000			11 06	1430271
CHECK	838	CHECK	44790			11 07	1400443
CHECK	143600					11 11	1200043
DEPOSIT	1650					11 13	1216543
CHECK	161500					11 15	1055043
CHECK	35695					11 19	1019348
DEPOSIT	1000	DEPOSIT	43500			11 25	1149798
DEPOSIT	10000	CHECK	16960			11 27	1103038

CHECKING ACCOUNT SUMMARY

OPENING BALANCE	DEPOSITS		CHECKS		SERVICE CHARGE		ENDING BALANCE
	TOTAL NUMBER	TOTAL AMOUNT	TOTAL NUMBER	TOTAL AMOUNT	NUMBER OF ITEMS	AMOUNT	
1449587	6	82300	9	428849	9	00	1103038

Y PNT = payment  
REV = reversal

217292

NUMBER OF ENTRIES 9 PAGE NUMBER 1

030271

SECURITY PACIFIC NATIONAL BANK 

Ledger Sheet

ROYBAL CAMPAIGN COMM  
C-O PHILLIP FRIEDMAN  
1553 GORDON STREET  
LOS ANGELES CA 90028

NOTICE: If you have received this transmittal, please return immediately to the sender. D-16

SECURITY PACIFIC NATIONAL BANK  
CIVIC CENTER BRANCH  
110 S SPRING  
LOS ANGELES CA 90012

ACCOUNT NUMBER: 013 425 PERIOD: NOV 29 74 TO DEC 31 74

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT	DATE	BALANCE
CHECK	17400					12 02	1089658
CHECK	20000					12 03	1069658
DEPOSIT	500	CHECK	43174			12 16	1022984
CHECK	5632					12 30	1017312

CHECKING ACCOUNT SUMMARY

OPENING BALANCE	DEPOSITS		CHECKS		SERVICE CHARGE		ENDING BALANCE
	TOTAL NUMBER	TOTAL AMOUNT	TOTAL NUMBER	TOTAL AMOUNT	NUMBER OF ITEMS	AMOUNT	
1163938	1	500	4	56226	4	00	1017312

PMT = Debit  
REV = Credit

217289

NUMBER OF ENTRIES	PAGE NUMBER
4	1

030279

CHECKING ACCOUNT DEPOSIT TICKET  
 SECURITY FIRST NATIONAL BANK

MINIMUM FEE MARKING  
 EXHIBIT NO. 19

NOTICE Use this ticket only for deposit at branch where account is carried.

CHECKS (LIST BY BANK NUMBER)	DOLLARS	CENTS
1 90-1149	500	-
2 90-1040	500	-
3 16-2877	400	-
4 16-220	500	-
5 16-281	300	-
6 90-2306	500	-
7 16-11	100	-
8 90-4162	50	-
9 90-107	50	-
10 90-911	100	-
11 90-2762	50	-
12 16-175	50	-
13 16-361	100	-
14 16-412	200	-
15 16-132	50	-
16 16-187	50	-
17 16-189	100	-
18 16-117	50	-
19 16-87	50	-
20 90-1061	10	-
21 16-274	10	-
22 16-214	15	-
23 16-176	100	-
24 90-938	50	-
25 16-179	10	-
26 90-1606	50	-
27 90-2051	5	-
28 16-179	5	-
29 16-58	10	-
30 16-350	25	-
31 16-371	50	-
32 16-189	20	-
33 90-252	5	-
34 90-984	100	-
35 16-240	100	-
36 16-373	100	-
37 16-321	10	-

38		
39		
40		
41		
42		
43		
44		
45		
46		
47		
48		
49	DUPLICATE	
50	AMOUNT	4376 -
51	CITY OF LOS ANGELES SECURITY DEPARTMENT	FEDERAL BANK
52		
CURRENCY		1 -
COIN		
<b>TOTAL DEPOSIT</b>		4376 -

DEPOSIT FOR CREDIT OF

ACCOUNT NUMBER

013 - 425

NAME

Roybal Campaign  
Committee

DATE

1-28-74

P.M.

P.M.D.

JAN 29 1974

# COMMITTEE HEARING EXHIBIT NO. 20

## CHECKING ACCOUNT DEPOSIT TICKET SECURITY FIRST NATIONAL BANK

**NOTICE** Use this ticket only for deposit at branch where account is carried.

CHECKS (LIST BY BANK NUMBER)	DOLLARS	CENTS
1 6-15	300	-
2 16-77	25	-
3 90-1683	50	-
4 16-105	100	-
5 16-372	100	-
6 16-100	250	-
7 16-190	50	-
8 16-242	50	-
9 16-11	50	-
10 90-178	50	-
11 90-960	5	-
12 16-77	100	-
13 16-175	50	-
14 16-321	5	-
15 16-142	10	-
16 16-141	5	-
17 16-356	100	-
18 16-322	10	-
19 16-274	5	-
20 16-274	5	-
21 16-189	100	-
22 16-292	25	-
23 16-71	10	-
24 16-242	10	-
25 23-1 MO	10	-
26 16-141	10	-



27	90-2606	50	-
28	16-176	250	-
29	16-182	500	-
30	90-1487	250	-
31	90-1650	50	-
32	90-1040	400	-
33	16-364	50	-
34	90-250	100	-
35	90-1937	100	-
36	90-994	100	-
37	90-2606	50	-
38	16-55	50	-
39	16-395	50	-
40	90-1040	100	-
41	90-2762	100	-
42			
43			
44			
45			
46			
47			
48			
49			
50			
51			
52			
<b>CURRENCY</b>			
<b>COIN</b>			
<b>TOTAL DEPOSIT</b>		3685	-

DEPOSIT FOR CREDIT OF

ACCOUNT NUMBER 013-425

NAME Roybal Campaign  
Committee

DATE Feb 6, 1974

**COMMITTEE HEARING**  
**CHECKING ACCOUNT DEPOSIT TICKET**  
**SECURITY FIRST NATIONAL BANK**

**EXHIBIT NO. 21**

**NOTICE** Use this ticket only for deposit at branch where account is carried.

CHECKS (LIST BY BANK NUMBER)		DOLLARS	CENTS
1	90-1399	100	-
2	90-830	100	-
3	16-240	100	-
4	16-263	10	-
5	90-365	10	-
6	16-189	100	-
7	16-190	3	-
8	16-141	10	-
9	90-2067	5	-
10	63-58	100	-
11	90-1040	10	-
12	16-141	100	-
13	16-415	20	-
14	16-55	50	-
15	16-171	100	-
16	16-395	25	-
17	90-994	25	-
18	15-52	500	-
19	16-3096	500	-
20	16-3	25	-
21	16-242	50	-
22	16-3231	100	-
23	90-1040	50	-
24	16-395	50	-
25	90-1393	100	-
26	16-2877	150	-
27	11-266	50	-

28	16-2004	50	-
29	16-211	50	-
30	90-140	100	-
31	90-729	50	-
32	90-11	50	-
33	11-77	50	-
34	11-204	50	-
35	11-20	100	-
36	11-220	50	-
37	90-1588	50	-
38	11-249	5	-
39	11-242	5	-
40	90-1527	100	-
41	16-3096	50	-
42	16-395	50	-
43	-90-2311	50	-
44	90-2076	100	-
45	16-187	50	-
46			
47			
48			
49			
50			
51			
52			
CURRENCY		20	-
COIN			
<b>TOTAL DEPOSIT</b>		<b>3473</b>	<b>-</b>

DEPOSIT FOR CREDIT OF

ACCOUNT NUMBER 013 - 425NAME Royal Campaign  
CommitteeDATE Feb. 12, 1974

# COMMITTEE HEARING EXHIBIT NO. 22

## CHECKING ACCOUNT DEPOSIT TICKET SECURITY FIRST NATIONAL BANK

**NOTICE** Use this ticket only for deposit at branch where account is carried.

CHECKS (LIST BY BANK NUMBER)	DOLLARS	CENTS
1 16-260	100	-
2 16-163	50	-
3 16-274	10	-
4 16-395	450	-
5 16-395	50	-
6 16-395	50	-
7 16-3102	50	-
8 90-2762	50	-
9 16-132	50	-
10 16-395	50	-
11 16-100	50	-
12 90-1111	100	-
13 90-1040	50	-
14 16-281	100	-
15 16-322	50	-
16 16-234	25	-
17 16-77	15	-
18 90-976	10	-
19 90-848	25	-
20 16-99	10	-
21 16-282	100	-
22 16-182	100	-
23 16-77	50	-
24 <del>15-20</del>	<del>25</del>	<del>50</del>
25 15-20	12	50
26 16-136	100	-
27 90-1040	100	-
28 16-2515	200	-
29 16-167	50	-

## CHECKING ACCOUNT DEPOSIT TICKET SECURITY FIRST NATIONAL BANK

**NOTICE** Use this ticket only for deposit at branch where account is carried.

CHECKS (LIST BY BANK NUMBER)	DOLLARS	CENTS
1 16-373	500	-
2 90-2078	50	-
3 90-1040	100	-
4 90-705	100	-
5 90-3116	100	-
6 90-785	50	-
7 16-205	50	-
8 16-255	1	-
9 90-1040	50	-
10 16-321	50	-
11 90-1440	50	-
12 90-1040	50	-
13 90-1684	50	-
14 90-327	100	-
15 90-3266	100	-
16 90-2514	100	-
17 16-282	150	-
18 16-146	50	-
19 16-410	500	-
20 16-100	450	-
21 16-166	50	-
22 90-1733	100	-
23 16-383	10	-
24 16-395	25	-
25 16-377	100	-
26 16-270	100	-
27 16-300	50	-
28 15-58	500	-
29 16-1111	20	-

	16-241	100	-
01	15-3	100	-
02	16-3	100	-
3	16-166	50	-
34	16-339	200	-
05	16-395	50	-
06	16-205	5	-
07	11-205	10	-
08	16-77	50	-
09	90-2387	50	-
40	16-350	37	50
41	16-5	200	-
42	16-100	50	-
43	16-205	50	-
44	90-1525	50	-
45	90-273	50	-
46	90-2413	50	-
47	90-2175	50	-
48	90-2951	50	-
49	90-1939	50	-
50	16-168	50	-
51	16-395	50	-
52	90-247	150	-
CURRENCY		1200	-
COIN			
TOTAL DEPOSIT		10,616	-

DEPOSIT FOR CREDIT OF

ACCOUNT NUMBER 013-425NAME Royal Campaign  
CommitteeDATE 2-21-745897  
COM 1761 8-61\* 100 BY

(Page 1)

00	16-97	100	-
01	16-377	100	-
02	16-210	500	-
03	16-395	50	-
04	16-395	50	-
05	16-312	100	-
06	16-17	100	-
07	16-378	50	-
08	16-322	50	-
09	16-255	100	-
40	16-20	50	-
41	90-1374	25	-
42	90-1152	25	-
43	90-2606	200	-
44	16-189	200	-
45	16-179	50	-
46	90-1040	50	-
47	90-2994	100	-
48	90-2744	100	-
49	90-448	100	-
50	CUSTOMER'S CHECK Acct No. 2-1642	50	-
51			
52			
CURRENCY			
COIN			
TOTAL DEPOSIT			

DEPOSIT FOR CREDIT OF

ACCOUNT NUMBER 013-425NAME Royal Campaign  
CommitteeDATE 2-21-745897  
COM 1761 8-61\* 100 BY

(Page 2)

# COMMITTEE HEARING EXHIBIT NO. 23

## CHECKING ACCOUNT DEPOSIT TICKET

### SECURITY FIRST NATIONAL BANK

**NOTICE** Use this ticket only for deposit at branch where account is carried

CHECKS	LIST BY BANK NUMBER	DOLLARS		CENTS
1	16-281	50	-	
2	16-176	150	-	
3	90-167	100	-	
4	16-141	25	-	
5	16-55	12	50	
6	16-222	25	-	
7	16-142	500	-	
8	16-53	100	-	
9	16-132	100	-	
10	90-994	100	-	
11	90-1040	50	-	
12				
13				
14				
15				
16				
17				
18	DUPLICATE			
19		1212	50	
AMOUNT		1212.50		
CURRENCY		100.00		
FIVE CENT		100.00		
SECURITY FIRST NATIONAL BANK				
COIN				
TOTAL DEPOSIT		1212	50	

#### DEPOSIT FOR CREDIT OF

ACCOUNT  
NUMBER

013-425

NAME

Royal Campaign  
Committee

DATE

3-5-74

COMMITTEE HEARING  
 EXHIBIT NO. 24

 CHECKING ACCOUNT  
 DEPOSIT TICKET

## SECURITY FIRST NATIONAL BANK

 NOTICE Use this ticket only for deposit at branch  
 where account is carried

CHECKS	LIST BY CHECK NUMBER	DOLLARS	CENTS
1	90-1643	100	-
2	90-994	250	-
3	90-1370	100	-
4	90-1714	250	-
5	16-181	100	-
6	16-182	500	-
7			
8			
9			
10			
11			
12			
13			
14			
15			
16			
17	<del>DIS - 1300</del>		
18			
19			
CURRENCY			
COIN			
TOTAL DEPOSIT		1300	-

 \$500 is a redeposit of  
 Casado's NSF check.

DEPOSIT FOR CREDIT OF

ACCOUNT  
NUMBER

013-425

 NAME Royal Campaign  
Committee

DATE

3-22-74

FOR DEPOSIT TO THE ACCOUNT OF  
ROYBAL CAMPAIGN COMMITTEE

COMMITTEE HEARING  
EXHIBIT NO. 6-4-74 25

E  
DATE June 4, 1974

IF MORE THAN 4  
CHECKS, LIST ON  
REVERSE SIDE OF  
THIS TOTAL HERE →

LIST CHECKS BY BANK NO.	DOLLARS	CENTS
CURRENCY	825	-
COIN		
CHECK 1. <u>76-77</u>	400	
2.		
3.		
4.		
TOTAL DEPOSIT	1,225	-

SECURITY PACIFIC NATIONAL BANK  
Civic Center Branch  
110 So. Spring St., Los Angeles, Calif. 90012



⑆ 220 0055 ⑆ 06 ⑆ 0 134 25 ⑆  
211068

⑆0000122500⑆

FOR DEPOSIT TO THE ACCOUNT OF  
ROYBAL CAMPAIGN COMMITTEE

COMMITTEE HEARING  
EXHIBIT NO. 26

DATE Oct 7 1974

Checks and other items are required for deposit subject to the terms and conditions of this bank's collection agreement.  
BE SURE EACH ITEM IS PROPERLY ENDORSED.

LIST CHECKS BY BANK NO.	DOLLARS	CENTS
CURRENCY		
COIN		
1. <u>90-1606</u>	500	-
2.		
3.		
SUBTOTAL (If Less Cash)		
LESS CASH		
TOTAL DEPOSIT	500	-

IF MORE THAN 4  
CHECKS, LIST ON  
REVERSE SIDE OF  
THIS TOTAL HERE.

SECURITY PACIFIC NATIONAL BANK  
Civic Center Branch  
110 S. Spring St., Los Angeles, Calif. 90012

OCT 7 1974



⑆ 220 0055 ⑆ 06 ⑆ 0 134 25 ⑆  
217248

⑆000005000⑆



**COMMITTEE HEARING**  
**EXHIBIT NO. 27**

FOR DEPOSIT TO THE ACCOUNT OF  
**ROYAL CAMPAIGN COMMITTEE**

DATE Oct 9 1974  
Checks and other items are received for deposit subject to the terms and conditions of this bank's collection agreement.  
BE SURE EACH ITEM IS PROPERLY ENDORSED

**SECURITY PACIFIC NATIONAL BANK**  
Civic Center Branch  
110 S. Spring St., Los Angeles, Calif. 90012

⑆ 220 0055 ⑆ 06 0 34 25 ⑆  
217248

LIST CHECKS BY BANK NO.	DOLLARS	CENTS
CURRENCY E		
COIN		
1. 90-3024	300	-
2. 90-1717	100	-
3.		
SUBTOTAL (If Less Cash)		
LESS CASH		
TOTAL DEPOSIT	400	-



OCT 10 1974  
⑈0000040000⑈

**COMMITTEE HEARING**  
**EXHIBIT NO. 28**

FOR DEPOSIT TO THE ACCOUNT OF  
**ROYAL CAMPAIGN COMMITTEE**

DATE Oct-28 1974  
Checks and other items are received for deposit subject to the terms and conditions of this bank's collection agreement.  
BE SURE EACH ITEM IS PROPERLY ENDORSED

**SECURITY PACIFIC NATIONAL BANK**  
Civic Center Branch  
110 S. Spring St., Los Angeles, Calif. 90012

⑆ 220 0055 ⑆ 06 0 34 25 ⑆  
217257

LIST CHECKS BY BANK NO.	DOLLARS	CENTS
CURRENCY E		
COIN		
1. 15-3	250	-
2. 90-1516	25	-
3.		
SUBTOTAL (If Less Cash)		
LESS CASH		
TOTAL DEPOSIT	275	-



OCT 29 1974  
⑈000004500⑈

90-1951  
 Lillian Fungo  
 San Mateo  
 10-15-74  
 111 Severn Trust  
 W 76

FOR DEPOSIT TO THE ACCOUNT OF  
**ROYBAL CAMPAIGN COMMITTEE**

OCT 2 1974 10-25-74

DATE \_\_\_\_\_ 19\_\_

Checks and other items are retained for deposit subject to the terms and conditions of this bank's collection agreement.

BE SURE EACH ITEM IS PROPERLY ENDORSED

SECURITY PACIFIC NATIONAL BANK  
 Civic Center Branch  
 110 S. Spring St., Los Angeles, Calif. 90012

LIST CHECKS BY BANK NO.	DOLLARS	CENTS
CURRENCY E		
COIN		
1. 90-1451	1,000	-
2. 15-52	300	-
3.		
SUBTOTAL (if Less Cash)		
LESS CASH		
TOTAL DEPOSIT	1,300	-

IF MORE THAN 2 CHECKS, LIST ON REVERSE SIDE, IN THE TOTAL HERE.

106  
 COMMITTEE HEARING  
 APNB  
 EXHIBIT NO. 29

⑆ 220 ⑈ 0055 ⑆ 106 ⑈ 0131 ⑆ 25 ⑈ 0000 ⑆ 30000 ⑈

CHECKING ACCOUNT - SUMMARY DEPOSIT TICKET

SECURITY FIRST NATIONAL BANK

6186 COM 1788 4-85 80 BY

NAME Roybal Campaign  
DATE Committee

CHECKING ACCOUNT NUMBER 013-425

The amount shown below is the total amount deposited as described on a detailed record submitted.

Total Deposit	1412	
---------------	------	--

PLEASE DO NOT STAPLE DETAILED RECORD TO THIS TICKET.

717259

106003 4 25

0000 4 4 200

COMMITTEE HEARING  
EXHIBIT NO. 30

CHECKING ACCOUNT  
DEPOSIT TICKET  
SECURITY FIRST NATIONAL BANK

NOTICE Use this ticket only for deposit at branch where account is carried.

CHECKING ACCOUNT  
DEPOSIT TICKET  
SECURITY FIRST NATIONAL BANK

NOTICE Use this ticket only for deposit at branch where account is carried.

CHEQUES (LIST BY BANK NUMBER)	DOLLARS	CENTS	CHEQUES (LIST BY BANK NUMBER)	DOLLARS	CENTS
1 16-142	100	00	1 90-1746	5	00
2 90-729	5	00	2 90-1414	5	00
3 16-77	25	00	3 16-1555	10	00
4 16-340	15	00	4 16-168	10	00
5 16-86	30	00	5 16-356	50	00
6 16-176	30	00	6 16-61	10	00
7 16-361	10	00	7 16-194	10	00
8 90-860	5	00	8 90-2054	5	00
9 16-3448	5	00	9 16-71	150	
10 16-176	10	00	10 90-1582	25	00
11 16-190	10	00	11 15-20	2	00
12 16-179	2	00	12 16-373	10	00
13 16-55	30	00	13 16-189	5	00
14 90-1447	5	00	14 90-1040	30	00
15 90-2311	10	00	15 16-242	10	00
16 16-2533	5	00	16 16-172	5	00
17 16-142	5	00	17 90-1113	5	00
18 16-55	10	00	18 90-2585	10	00
19 90-250	10	00	19 90-2606	5	00
20 90-1313	5	00	20 90-2071	30	00
21 75-53	5	00	21 90-448	10	00
22 16-77	65	00	22 16-100	15	00
23 16-320	3	00	23 16-485	25	00
24 16-124	3	00	24 90-2585	25	00
25			25 16-281	5	00
26			26 16-347	10	00
27			27 90-1040	15	00
28			28 90-2994	21	00
29			29 16-395	10	00
30			30 16-190	30	00
31			31 16-176	10	00
32			32 16-395	5	00
33			33 16-160	5	00
34			34 90-1525	5	00
35			35 16-213	5	00
36			36 16-189	10	00
37			37 16-194	25	00

06		06	16-189	1000
07		07	16-194	2500
08		08	90-453	500
09		09	16-535	500
40		40	16-190	1000
41	76 checks \$3965.00 cash	41	90-2467	500
42		42	16-3102	2000
43		43	16-77	500
44		44	16-53	2500
45		45	90-1374	2500
46		46	27-1	500
47		47	16-190	600
48		48	16-272	500
49		49	16-189	1000
50		50	16-242	200
51	51	16-2916	1000	
52	52	16-176	5000	
CURRENCY		36500		CURRENCY
COIN				COIN
TOTAL DEPOSIT		1,412.00		TOTAL DEPOSIT
DEPOSIT FOR CREDIT OF				DEPOSIT FOR CREDIT OF
ACCOUNT NUMBER	-		ACCOUNT NUMBER	013-425
NAME			NAME	ROYBAL CAMPAIGN COMMITTEE

DATE 10/1/74  
 8887  
 COM 1761 8-61" 100 BY

DATE 10/1/74  
 8887  
 COM 1761 8-61" 100 BY

FOR DEPOSIT TO THE ACCOUNT OF

ROYBAL CAMPAIGN COMMITTEE

LIST CHECKS BY BANK NO.	DOLLARS	CENTS
CURRENCY E		
COIN		
1.		
2.		
3.		
SUBTOTAL (If Less Cash)		
LESS CASH		
TOTAL DEPOSIT	435	

DATE Nov. 18, 1974

Checks and other items are received for deposit subject to the terms and conditions of this bank's collection agreement.

BE SURE EACH ITEM IS PROPERLY ENDORSED

SECURITY PACIFIC NATIONAL BANK  
 Civic Center Branch  
 110 S. Spring St., Los Angeles, Calif. 90012

IF MORE THAN 3 CHECKS, LIST ON REVERSE SIDE. ENTER TOTAL HERE.

COMMITTEE HEARING  
 EXHIBIT NO. 31

⑆ 220 0055 ⑆ 106 ⑆ 0 ⑆ 3 ⑆ 25 ⑆ ⑆ 000001 3500 ⑆  
 211064

PLEASE LIST EACH CHECK SEPARATELY BY BANK NUMBER	CENTS		DOLLARS	
6-15 1			3	00
90-448 2			5	00
16-111 3			2	25
16-260 4			7	00
16-163 5			2	25
16-190 6			1	00
90-596 7			5	00
90-791 8			5	00
16-347 9			2	05
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
21				
22				
23				
PLEASE FORWARD TOTAL TO REVERSE SIDE			4	35

## EXECUTIVE SESSION KOREAN INVESTIGATION

WEDNESDAY, FEBRUARY 1, 1978

HOUSE OF REPRESENTATIVES,  
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT,  
*Washington, D.C.*

The parties to the deposition met at 10 a.m., in room 2212, Rayburn House Office Building, Washington, D.C.

Present: Representative Fenwick.

Also present: John W. Nields, Jr., chief counsel, and John H. Desmond, investigator.

Mrs. FENWICK. Will you rise?

Do you solemnly swear that the testimony you will give before this committee in the matter now under consideration will be the truth, the whole truth and nothing but the truth, so help you God?

Mr. ROYBAL. I do.

Mrs. FENWICK. Thank you.

Mr. NIELDS. Will you state your name for the record.

Mr. ROYBAL. My name is Edward R. Roybal, I represent the 25th Congressional District of the State of California.

Mr. NIELDS. That's R-o-y-b-a-l?

Mr. ROYBAL. That is correct.

Mr. NIELDS. How long have you represented that district?

Mr. ROYBAL. Since 1973. I am going on my 16th year.

Mr. NIELDS. 1963?

Mr. ROYBAL. That's right.

Mr. NIELDS. Congressman, have you supplied this committee with appointment books for the years 1968 through 1976?

Mr. ROYBAL. No; I have supplied the committee with all the appointment books in my possession which doesn't include the years from 1966 to—I don't remember the years I did supply. But I did supply everything I had.

Mr. NIELDS. Let me reframe the question.

Do you have any records or does your office have any records of appointments during the period 1968 through the end of 1976, which you have not supplied the committee?

Mr. ROYBAL. No; we don't know anything in your possession.

Mr. NIELDS. You have also supplied the committee with certain logs of telephone calls and messages. Is that correct?

Mr. ROYBAL. That is correct.

Mr. NIELDS. Do you have, or does your office have any logs or other records of telephone calls or messages which you have not supplied the committee for the period 1968 through the end of 1976?

Mr. ROYBAL. No; we don't.

Mr. NIELDS. Now, Congressman, the materials which you did supply the committee don't contain any telephone messages or records of telephone calls for the year 1974. Do you have—do you know why the records don't include 1974, but do supply the years surrounding it?

Mr. ROYBAL. We gave you everything in our possession. I have no idea why 1974 would be missing.

Mr. NIELDS. Is there anyone in your office who would have kept custody of the telephone messages or logs thereof?

Mr. ROYBAL. It is usually not customary for me to keep any telephone messages or logs of telephone calls from one Congress to another. It happened to be accidental, I suppose, that we have the records we now have. I don't know of anyone in the office outside my personal secretary who might have any records outside of those that we have given you. But to my knowledge, there are no such records in the office at the present time.

Mr. NIELDS. The logs of telephone calls and messages which you did supply the committee, were those maintained in your Washington congressional office?

Mr. ROYBAL. Yes; my Washington congressional office, that is correct.

Mr. NIELDS. Was there any particular custodian of those records?

Mr. ROYBAL. My personal secretary was probably the custodian.

Mr. NIELDS. Who is your personal secretary?

Mr. ROYBAL. Nancy Naylor, and she told me the only records she had were those submitted to you.

I think they were given to you, personally.

Mr. NIELDS. Yes; do you have any records or copies of correspondence with Tongsun Park, Hanhco Kim, or any representative of the Korean Government?

Mr. ROYBAL. No; we don't.

Mr. NIELDS. Can I take it that pursuant to the request from the committee, you have searched your files or done whatever it is you have to do to assure yourself you don't have such?

Mr. ROYBAL. Yes; our office staff went through all the files in our possession and, as I predicted, there was no correspondence from any of the officials of Korea.

Mr. NIELDS. Have you brought with you those records of campaign contributions which were in your possession or subject to your control?

Mr. ROYBAL. I have with me the campaign records I have which cover the years 1974 to 1976. My campaign manager may have other records but these are the only ones I had in my possession and are usually the only ones I keep. After a period of 2 to 3 years I just dispose of these records because I find no use for them.

Mr. NIELDS. But to your knowledge, your campaign manager has another set of these records in his possession?

Mr. ROYBAL. He may have another set of the 1974-76 records. I don't know that he has any other records. I will inquire when I get back to Los Angeles and if he has any record 1968 to the present time, I will submit each and every one of them to the committee.

Mr. NIELDS. What is the name of your campaign manager?



Mr. ROYBAL. Was my campaign manager, Roger Johnson. He is no longer. He lives in Hollywood, or lived in Hollywood, in Los Angeles.

Mr. NIELDS. The campaign records you have brought with you are contained in manila folders marked 1974-77?

Mr. ROYBAL. That is correct. These are copies of reports we made in connection with reporting laws.

Mr. NIELDS. These cover contributions to yourself as well as those to your committee?

Mr. ROYBAL. I never receive contributions personally. They all go to my committee. The committee makes a report. The report was prepared by Roger Johnson and does include all income and expenditures of funds during the committee functions in 1974 and 1976.

Mr. NIELDS. Do you know a man named Tongsun Park?

Mr. ROYBAL. I know him by sight and reputation.

Mr. NIELDS. Have you ever met him?

Mr. ROYBAL. I don't think I have ever met him personally but he is known by every member of the House and Senate.

Mr. NIELDS. Do you mean by reputation or because you have seen them?

Mr. ROYBAL. No; by reputation. I think we know every lobbyist in the House of Representatives and he was just another lobbyist.

Mr. NIELDS. For what?

Mr. ROYBAL. It is my information that he was a rice broker who bought and sold rice and that was his main function.

Mr. NIELDS. For what was he a lobbyist?

Mr. ROYBAL. For the purchase and sale of rice, particularly, not necessarily a legislative advocate but a merchant who bought and sold rice on the open market—in the world market, excuse me.

Mr. NIELDS. In what manner did he lobby, Congressman, in that connection with that business?

Mr. ROYBAL. I don't know that he ever lobbied Congress directly but I do know he was known as a lobbyist who was interested in rice and was known to be a businessman who negotiated rice deals and it is my understanding he was particularly interested in supplying Korea for rice, which to me, was a surprise even knowing that Korea needed rice.

Mr. NIELDS. Did you ever learn that he was a lobbyist for the Government of Korea?

Mr. ROYBAL. No; I never knew he was a lobbyist for Korea or any other government. I always thought him to be a businessman, a rice broker who was interested in legislation which affected the purchase of rice, the sale, and the distribution of rice.

Mr. NIELDS. Were you aware of the names of any individual Congressmen whom he lobbied in connection with his rice business?

Mr. ROYBAL. I was a member of the Committee on Foreign Affairs for 7 years and also the Committee on Foreign Operations and have been a member of that committee for an additional 7 years. I have never known him to lobby any member of either the Foreign Affairs Committee or the Committee on Foreign Operations which is a part of the Committee on Appropriations.

So when I use the term, "lobbyist," it is a term used to describe someone who is doing some kind of lobbying on the Hill but not necessarily someone with whom I have had contact. The same is true with other lobbyists on the Hill. We know who they are but they don't lobby you directly when you are not high enough on a committee to be of any assistance.

Mr. NIELDS. From whom do you know that he lobbied?

Mr. ROYBAL. I don't know of anyone he lobbied.

Mr. NIELDS. From whom did you learn that he was a lobbyist?

Mr. ROYBAL. No one in particular; whether he was registered or not, that's not for us to determine. It was just general rumor. Just as it is rumored you are the attorney for the committee you are working for. I am not so sure you are; but it is the same assumption as to the lobbyist.

Mr. NIELDS. I take it your belief that he was a lobbyist was based on a conversation with someone or some group of people.

Mr. ROYBAL. I don't think that was a subject matter important enough to be discussed by members of the House. I think it was just a general assumption that someone was a lobbyist.

Mr. NIELDS. How did it come to your attention that Tongsun Park was a lobbyist?

Mr. ROYBAL. I suppose by general knowledge. His name was constantly in the paper. He was known as a socialite, as an individual who would have social events, as one whose reputation was such that if you had a fund raiser in Washington, D.C., he was always good for at least a table. Since I have never had a fund raiser in Washington, D.C., I don't know that to be a common fact.

Mr. NIELDS. But you don't know the name of any Congressman who ever mentioned his name to you?

Mr. ROYBAL. It all depends on what you mean, "mentioned his name."

Mr. NIELDS. Spoke his name in your presence.

Mr. ROYBAL. Oh, I suppose there were occasions when his name was spoken in my presence but I don't remember the circumstances.

Mr. NIELDS. Do you recall who mentioned his name?

Mr. ROYBAL. No, I don't.

Mr. NIELDS. But the individual was well known enough to have him pointed out by any Member of Congress, particularly members of the Committee on Foreign Affairs and the Committee on Foreign Operations.

Do you remember him being pointed out to you by anyone in particular?

Mr. ROYBAL. Not necessarily pointed out, but when you are around here long enough, you more or less get information via the process of osmosis, so you have a pretty good idea as to who is doing the lobbying on Capitol Hill. If a Member of Congress did not know that, he is not alert to know what is going on.

Mr. NIELDS. Did Tongsun Park ever make any gift to you?

Mr. ROYBAL. No.

Mr. NIELDS. Did he ever offer to make a gift to you?

Mr. ROYBAL. No.

Mr. NIELDS. Did he ever make a contribution to any of your campaigns, either directly to you or to one of the committees which supported you?

Mr. ROYBAL. He never made a contribution directly to me and if a contribution was made to my campaign, it would show up in the reports I have given you. I have read some of these reports and I see no evidence of the fact Mr. Park made a contribution to the campaign at all. I don't think he would be particularly interested in making a contribution to a Californian, anyway.

Mr. NIELDS. But I take it what you are saying is that you have no knowledge of his ever making a contribution to any of your campaigns?

Mr. ROYBAL. I not only have no knowledge, but I have no evidence in records I have that he ever made a contribution to my campaign and I see no reason why he should have made a contribution to my campaign, since first of all I was not high enough on either the Committee on Foreign Affairs or Foreign Operations, never handled any of the Korean legislation, I was most particularly interested in the Middle East and Latin America. Any legislation that came through the committee, that is the Committee on Foreign Operations, that included the Middle East, I would be personally involved in that, and involved also in those matters which affected Latin America.

Mr. NIELDS. Did Tongsun Park, to your knowledge, ever offer to make a contribution to any of your campaigns?

Mr. ROYBAL. No; he never did.

Mr. NIELDS. Did you ever attend any function given by Tongsun Park at the Georgetown Club or any other place?

Mr. ROYBAL. I attended the Georgetown Club but never at the invitation of Mr. Park.

Mr. NIELDS. Have you seen Tongsun Park at the Georgetown Club?

Mr. ROYBAL. No. Not the one time I visited the Georgetown Club.

Mr. NIELDS. Did Tongsun Park ever offer to make any gifts or make any gifts to any members of your family?

Mr. ROYBAL. Definitely not.

Mr. NIELDS. Do you know a man named Kim Dong Jo?

Mr. ROYBAL. No; I don't.

Mr. NIELDS. Former Ambassador to the United States from the Republic of Korea?

Mr. ROYBAL. No; I don't know him.

Mr. NIELDS. And you have never met him?

Mr. ROYBAL. Not to my knowledge. May I also state that in the Committee on Foreign Affairs there are usually some social functions whereby ambassadors from all over the world are usually honored. It is quite possible that as a member of that committee I attended such functions at which time some of these individuals were present but I don't remember personally meeting any of them and to my knowledge, I never have.

Mr. NIELDS. Did you attend functions given by the Embassy of the Government of Korea?

Mr. ROYBAL. I don't remember that I ever did.

Mr. NIELDS. Did you ever speak to Kim Dong Jo or any other ambassador of the Government of Korea on the telephone?

Mr. ROYBAL. Yes; I have spoken to the ambassadors of Korea, Israel and most any other country that the United States has relations with.

Mr. NIELDS. So you have talked to ambassadors or an ambassador of the Government of Korea?

Mr. ROYBAL. I am sure that I have as a member of the Committee on Foreign Affairs, but I don't remember which ambassador it was nor can I tell you the year, the time, nor the same of the individual.

Mr. NIELDS. Do you know that you talked to such ambassador or do you just assume?

Mr. ROYBAL. I don't know that I did, I assume I did, since I was a member of the Committee on Foreign Affairs.

Mr. NIELDS. Were you ever visited in your office by an ambassador from the Republic of Korea?

Mr. ROYBAL. Not from Korea, but from other countries.

Mr. NIELDS. So you can state definitely that Kim Dong Jo, Ambassador from South Korea, was never in your office?

Mr. ROYBAL. I can state, none have ever visited my office regardless of what their name is.

Mr. NIELDS. Do you know a man named Hanchu Kim?

Mr. ROYBAL. No, sir.

Mr. NIELDS. Has, to your knowledge, any official of the Government of Korea made any gift to you or to your campaign or any of your campaigns?

Mr. ROYBAL. Not to my knowledge, no one has.

Mr. NIELDS. To your knowledge, has any Korean national made a contribution to any of your campaigns for Congress?

Mr. ROYBAL. I have in my district, a place called Little Korea and I have fund raisers in my own district and I assume that there have been Koreans who have made contributions to my campaign, that is by buying, perhaps, a ticket to one of my fund raisers. If that is the case, then the name of those particular individuals will be found in the records I have given you.

Mr. NIELDS. Have you—I take it Little Korea refers to a community in your district in which Koreans live?

Mr. ROYBAL. I have more Koreans in my district than any other Member of Congress from California. It is an area where Koreans usually seem to gather. They are businessmen, some very prominent, some very wealthy. The majority are very poor.

Mr. NIELDS. Have you received a visit by any members of the Korean Embassy, other than the ambassador, in your office in Congress, during the last 2 years?

Mr. ROYBAL. Definitely not.

Mr. NIELDS. Have you received a visit from any member of the Korean Embassy in your congressional office at any other time?

Mr. ROYBAL. No, I have not.

Mr. NIELDS. Now, referring to the period 1968, up to the present time, have you made any deposits into any bank accounts of yours of cash in an amount greater than \$500?

Mr. ROYBAL. No, sir.

Mr. NIELDS. Have you made any loans or gifts of cash in an amount greater than \$500 during the same period?

Mr. ROYBAL. To anyone? Of cash? Never to anyone. I never make a cash loan to anyone. Whenever I make a loan it is by check and then I get a promissory note to pay that back at the highest rate of interest I can possibly get.

Mr. NIELDS. I take it the same is true, as to gifts?

Mr. ROYBAL. I am a graduate of business administration and I follow the rules very closely when a loan is being made.

Mr. NIELDS. Have you made any purchases, when you have made any purchase, of at least \$500 in cash?

Mr. ROYBAL. Never in cash.

Mr. NIELDS. Have you ever placed \$500 in any safety deposit box or a safe, either in your congressional office or a bank?

Mr. ROYBAL. No.

Mr. NIELDS. Have you ever placed any statements in the Congressional Record on the subject of Korea?

Mr. ROYBAL. It is quite possible that during the Korean War I did place something in the record with regard to Korea because I was definitely opposed to the Korean War—excuse me. I am not talking about Korea, I mean Vietnam. That being the case, no, I have not. I get Korea and Vietnam confused when it comes to the war.

Mr. NIELDS. Do you maintain an account at the Sergeant at Arms?

Mr. ROYBAL. Yes, I do.

Mr. NIELDS. Do you maintain checking accounts anywhere else?

Mr. ROYBAL. Yes, I do.

Mr. NIELDS. Where?

Mr. ROYBAL. I think it is called Security First National. Yes.

Mr. NIELDS. Where is that?

Mr. ROYBAL. I am talking about my account now for the campaign committee, not personal account.

Mr. NIELDS. No, I am asking only about personal account.

Mr. ROYBAL. I have an account which has a balance of about \$700 at the—don't remember the name of the bank, but I will supply it for the record.

Mr. NIELDS. Is it in Washington?

Mr. ROYBAL. It is in Washington.

Mr. NIELDS. Any other checking accounts maintained by you?

Mr. ROYBAL. On a personal basis, no.

Mr. NIELDS. Have you maintained any checking accounts during the period 1968 until the present time, other than the two you have mentioned?

Mr. ROYBAL. No.

Mr. NIELDS. No checking account in California, at all?

Mr. ROYBAL. Not personal checking account, only campaign checking account.

Mr. NIELDS. And the campaign checking account is at the Security First National Bank?

Mr. ROYBAL. That is my understanding.

Mr. NIELDS. That's in Los Angeles?

Mr. ROYBAL. In Los Angeles. Now, it could have changed at the beginning of this campaign. If so, I will supply that for the record.

Mr. NIELDS. Did you maintain a checking account in Los Angeles before you became a Member of Congress?

Mr. ROYBAL. Yes, I did.

Mr. NIELDS. Did you discontinue that account when you became a Member of Congress?

Mr. ROYBAL. When I became a Member of Congress I transferred everything to the House bank, the Sergeant at Arms.

Mr. NIELDS. Do you maintain any savings accounts?

Mr. ROYBAL. Yes, I do.

Mr. NIELDS. Where?

Mr. ROYBAL. In Los Angeles, Pan-American, Pan-American Bank, I have a small savings account.

Mr. NIELDS. Is that the only one?

Mr. ROYBAL. The only one.

Mr. NIELDS. Have you maintained any other savings account for the period 1968 until the present time?

Mr. ROYBAL. Yes; in fact, I have a savings account at Eastland Savings and Loan.

Mr. NIELDS. Eastern?

Mr. ROYBAL. Eastland; it is now called Columbia Savings and Loan. I have had that account for several years. The Pan-American Savings Account has about \$250 in it. Eastland Savings and Loan has been my depository bank for years. I was at one time president of the board—chairman of the board, so I kept an account there. I am no longer chairman of the board, however, my savings account has dwindled down to just a token deposit.

Mr. NIELDS. Do you maintain any safety deposit boxes?

Mr. ROYBAL. Yes, I do.

Mr. NIELDS. Where?

Mr. ROYBAL. At Bank of America in Los Angeles. That is situated on the corner of 1st and Main Streets.

Mr. NIELDS. Is that the only place where you maintain a safety deposit box?

Mr. ROYBAL. It is the only place and it is in the name of myself and my wife. In fact, all savings accounts and everything I have is in a joint tenancy.

Mr. NIELDS. Have you maintained any other safety deposit boxes since—

Mr. ROYBAL. That is the only one I have maintained since I got married in 1940.

Mr. NIELDS. I take it you maintain no joint checking accounts other than those you mentioned?

Mr. ROYBAL. No, I don't.

Mr. NIELDS. Does your wife maintain a separate checking account?

Mr. ROYBAL. Yes, she does.

Mr. NIELDS. Where is that?

Mr. ROYBAL. It is in Washington, D.C., and our home expense account which she manages herself.

Mr. NIELDS. Where is that?

Mr. ROYBAL. I believe it is in the Citizens' Bank of Maryland.

Mr. NIELDS. Do you maintain any accounts with any brokerage firm?

Mr. ROYBAL. No, not that I remember.

Mr. NIELDS. Is it possible that you have an account with a brokerage firm which you have forgotten?

Mr. ROYBAL. It is possible but if I have forgotten it, it is very small. It is probably no more than a minimal amount, if any, But I don't forget where my deposits are. In this particular instance if I have forgotten, it is very small.

Mr. NIELDS. Is there any brokerage firm you have done business with, where you think you may have maintained an account with?

Mr. ROYBAL. Well, by brokerage firm I assume brokerage firms through which I could buy stocks and bonds. There have been several I have dealt with throughout the years but never an account as such. I never buy stock on a margin.

Mr. NIELDS. Is there one with whom you have dealt principally?

Mr. ROYBAL. There is one with which I have dealt principally whose name has now changed and I can also supply it for the record.

Mr. NIELDS. Can you supply it now or do you have to look it up?

Mr. ROYBAL. I will have to look it up.

Mr. NIELDS. How about others you have dealt with secondarily?

Mr. ROYBAL. That's probably the only one where I have dealt with them directly.

Mr. NIELDS. I have no further questions.

Mrs. FENWICK. I don't think I have any either because as we went along, they were all answered.

Did Mr. Park ever discuss anything with you? I mean ask you to do something or did you have any conversation with him?

Mr. ROYBAL. I never had any conversation with him at all.

Mrs. FENWICK. You told us, Congressman, that you had given us all the books of—appointment books that exist. Did any others ever exist which are no longer in your possession. Were they destroyed or did you have a policy of getting rid of them? Did they ever exist?

Mr. ROYBAL. Oh, yes, I keep a record of all phone calls that I get and all that I make and I usually keep them for 3 years and then dispose of them.

Mrs. FENWICK. Also appointment books.

Mr. ROYBAL. Appointment books, too, and everything I had has been given to the committee.

Mrs. FENWICK. In other words, you have testified everything in your possession has been given to the committee but there are some years for which there are no books. Were there books for those years?

Mr. ROYBAL. Yes.

Mrs. FENWICK. What do you think have happened to them?

Mr. ROYBAL. They were destroyed. I have had a change of secretaries and each secretary—I never thought it was important to keep them from one Congress to another, so we just probably disposed of them.

Mrs. FENWICK. Were you aware of the interest of the rice merchant, Mr. Park, in California rice?

Mr. ROYBAL. No, I was not. I thought most of the rice that he was interested in came from perhaps another State, but he was most interested in getting rice at a price at which he could make a profit. It is my understanding that it was quite a profitable business.

Mrs. FENWICK. What other State came to your attention?

Mr. ROYBAL. I suppose Louisiana rice, also California rice, because we do grow rice in California and it is a rice-producing State. But it so happens I represent the downtown section of Los Angeles and there isn't a single grain of rice that grows in my district. Therefore, he wouldn't be interested in my district.

Mrs. FENWICK. Have you ever heard of a man called Connell?

Mr. ROYBAL. No, I have not.

Mrs. FENWICK. Or a man called Dore?

Mr. ROYBAL. No.

Mr. NIELDS. Do you know Joseph Alioto?

Mr. ROYBAL. Alioto, former mayor of San Francisco.

Mr. NIELDS. Yes.

Mr. ROYBAL. Yes, I do know him.

Mr. NIELDS. Did you ever talk about rice with him?

Mr. ROYBAL. No.

Mr. NIELDS. Did you ever talk about Tongsun Park or rice with Congressman Hanna?

Mr. ROYBAL. No. Congressman Hanna is from the State of California who would have probably talked to the California delegation with regard to rice and I don't know of any time that he brought the subject matter up either to me, personally, nor did he ever bring it up before the California delegation which meets every Wednesday morning. So I don't know of any particular time at which Congressman Hanna or any other Congressman from California discussed the matter of rice either with me personally or any other member of the California delegation.

Mr. NIELDS. Have you ever talked to Congressman Passman about rice?

Mr. ROYBAL. I have talked to Congressman Passman about rice for Korea. Congressman Passman was quite interested in Korea. In fact, all legislation pertaining to Korea was handled by Mr. Passman. He gave me the opportunity to deal with the Middle East and Latin America. On various occasions together with statements made on the floor, indicated he was interested in Korea. There is no secret of the fact that he did promote the best interests of Korea. He was interested in Korea as a whole. But never did I hear Congressman Passman ask me or anyone in the committee about anything with regard to the sale of rice directly.

Mr. NIELDS. Did he ever mention the name Tongsun Park to you?

Mr. ROYBAL. I don't remember that he ever mentioned the name Tongsum Park to me; no.

Mr. NIELDS. Did you ever attend a luncheon—

Mr. ROYBAL. But everyone around knew Tongsun Park, so when one was talking about the sale of rice to Korea, you did not have to be too smart to know who was handling. Everyone knew it, including the clerks around here. Anyone who has been on the Hill for any time at all and was familiar with the needs of Korea for more rice; and if they did know, they suspected that Mr. Park was a rice broker and was involved and would get the business.

Mr. NIELDS. Did you ever hear any Congressman—hear of a particular Congressman who received a gift or campaign contribution from Tongsun Park?

Mr. ROYBAL. No. As I say, I was on the Committee on Foreign Affairs for 7 years and this committee for an additional 7 years



and I have never known anyone on either committee to receive any gift. What I do know is that if you have a fund raiser in Washington, D.C., Mr. Park would be good for one table, which is true of any lobbyist on Capitol Hill.

Mr. NIELDS. Do you know of any Congressman who sold a table to Tongsun Park?

Mr. ROYBAL. No. I was never involved in anyone's fund raiser, but I would assume Mr. Park bought a table; and having attended most of these meetings, I can say I have never seen Tongsun Park at a fund raiser for any Congressman and I attend as many functions for my colleagues as I can. It is always on a complimentary basis. We invite one another because we would like to have our own colleagues present when a fund raiser is being held and whenever I have gone to these affairs it has always been on a complimentary basis and I don't remember ever having seen Mr. Park at any of the fund raisers in the almost 16 years I have been in the House of Representatives.

Mr. NIELDS. Did you attend a luncheon arranged by Congressman Passman, also attended by Kim Dong Jo, the Korean Ambassador, Park and the representative from the Office of Supply for the Republic of Korea?

Mr. ROYBAL. I don't remember ever attending such a luncheon. What date was that luncheon and where was it held?

Mr. NIELDS. September 12, 1974, in the Capitol.

Mr. ROYBAL. I did not attend the luncheon and I was not a member of the Committee on Foreign Operations in 1974.

Mr. NIELDS. You say you did not attend the luncheon?

Mr. ROYBAL. No.

Mr. NIELDS. Were you aware there was such a luncheon?

Mr. ROYBAL. No. Besides, I was not a member of the Committee on Foreign Affairs during those years.

Mr. NIELDS. You are certain you did not attend such a luncheon?

Mr. ROYBAL. Since I was not a member of the Committee on Foreign Operations, I can see no reason why I would be invited to attend, to begin with.

Mr. NIELDS. This luncheon was also attended by Congressman Leggett, McFall, Montgomery.

Mr. ROYBAL. I don't see why I would have attended the luncheon, not being a member of the subcommittee. I am sure anyone in Mr. Park's position would want to be very friendly with any member of the Committee on Foreign Affairs or Foreign Operations. But I was not a member of the committee, therefore, I should not have been invited.

Mr. NIELDS. I have no further questions.

Mrs. FENWICK. You may be excused.

[Whereupon, at 11 a.m., the deposition was concluded.]



## EXECUTIVE SESSION KOREAN INVESTIGATION

TUESDAY, APRIL 25, 1978

HOUSE OF REPRESENTATIVES,  
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT,  
*Washington, D.C.*

The parties to the deposition met at 1:05 p.m., in room 2351, Rayburn House Office Building.

Present: Representative Floyd Spence.

Also present: John W. Neilds, Jr., chief counsel; and John H. Desmond, investigator.

Mr. SPENCE. Do you solemnly swear that the testimony you will give in this deposition before this committee in the matters now under consideration will be the truth, the whole truth, and nothing but the truth, so help you God?

Mr. ROYBAL. I do.

Mr. SPENCE. Thank you.

Proceed.

Mr. NEILDS. Before we start, I would like the record to reflect that copies of the committee's rules, the House rules, House Resolution 252 and a resolution of this committee dated February 8, 1977, have been made available to the witness.

Will you state your name for the record?

Mr. ROYBAL. My name is Edward R. Roybal.

Mr. NEILDS. Have you ever received a contribution from a man named Tongsun Park?

Mr. ROYBAL. Yes; now in retrospect I have.

Mr. NEILDS. When was that?

Mr. ROYBAL. Some time in 1954. Excuse me, 1974.

Mr. NEILDS. And where did you receive it?

Mr. ROYBAL. In the office of Congressman Passman.

Mr. NEILDS. And how did you happen to be in the office of Congressman Passman?

Mr. ROYBAL. Well, I had served with Congressman Passman on the Committee on Foreign Operations during 1972 and 1973. During those 2 years, he often asked me to go to his office to meet people that had come from foreign countries. Most of them were from Korea.

I also met with him in the Rayburn Building, with people from Korea, and during that time he also gave me the responsibility of meeting with anyone that came from Latin America.

When I was summoned again to his office, and, incidentally, you have to know Mr. Passman to know that when he asks anyone on his committee to go to his office, you just went to his office—when

I was summoned to go to his office in 1954, I went there thinking that again I was going to meet some of his Korean friends, and I was there at his request.

Mr. NEILDS. First of all, I take it you mean 1974?

Mr. ROYBAL. I keep saying 1954, don't I? I am sorry, it is 1974.

Mr. NEILDS. What was it that made you believe that you were going there to meet his Korean friends?

Mr. ROYBAL. Well, this had been a common practice. I had been going to his office to meet his Korean friends, and I really don't know why I went, because every time I did go to his office to meet anyone that he was introducing me to, he never gave me a chance to get a word in edgewise. He monopolized the whole conversation. He was never able to even pronounce my name correctly. He had trouble pronouncing the R's, and he called me everything except by my name. But nevertheless I was there, I suppose, for window dressing. And after the interview was over, I just dismissed myself and that was the end of the conversation. But because of the fact that he had made it a practice over a period of the previous 2 years of introducing me to various people, I just assumed that this was another encounter with some of the people that he had been requested to meet.

Now the people from Latin America that I met with and I entertained were people that he in the past used to meet and entertain, but he turned over the whole Latin American situation over to me with the result that I was stuck with it.

Now when I went to his office, I was sucked into the situation by the mere fact that this had been going on now for 2 years, and I suppose that during those 2 years I went to his office maybe a half dozen times or more, one time meeting with these people in the Rayburn room, so this was a common occurrence that I suppose happened with other members of the committee. But it primarily happened with me because I was the most loyal member of the committee from the standpoint that it was my major committee and I attended every meeting that the committee had.

Mr. NEILDS. Are you referring now to the subcommittee?

Mr. ROYBAL. This is the Subcommittee on Foreign Operations.

Mr. NEILDS. When you say 1972 and 1973, you were on that subcommittee?

Mr. ROYBAL. Yes.

Mr. NEILDS. Do you mean 1973 and 1974?

Mr. ROYBAL. No; I started on the subcommittee in 1972 and 1973, and I served also in 1974 and 1975. I was not on the committee the following 2 years, but then came back to the committee now.

Mr. NEILDS. 1972 was an election year, so that the new Congress would have begun in January of 1973; is that right?

Mr. ROYBAL. Yes; but I got on the committee, served on the middle—

Mr. NEILDS. In the middle of one Congress?

Mr. ROYBAL. No; I served on the committee—yes, 1972 and 1973. The election took place in 1973, so it started in 1974.

Mr. NEILDS. I think the congressional elections take place in even years.

Mr. ROYBAL. What is that?

Mr. NEILDS. Congressional elections take place in even years.

Mr. ROYBAL. In even years.

Mr. NEILDS. So if there was an election in 1972, the new Congress would have come in, in 1973.

In any event, Congressman, I take it, it is your testimony that you spent two full Congresses.

Mr. ROYBAL. I spent 2 full years on his committee.

Mr. NEILDS. Just 2 years, one Congress.

Mr. ROYBAL. Before the 1974 encounter.

Now whatever the dates were, maybe I am mistaken on the dates.

Mr. NEILDS. What were the names of some other Koreans whom you met in Congressman Passman's office?

Mr. ROYBAL. I don't recall the names of any of those that I met in Passman's office. First of all, he couldn't even pronounce my name. I doubt that he was able to pronounce the names of Koreans, but there were several who did come during that time.

Mr. NEILDS. Korean officials or simply Korean nationals?

Mr. ROYBAL. No; they didn't seem to be Korean officials. They were just people from Korea, and I suppose that the State Department had arranged for Mr. Passman to meet with them, as the State Department had arranged for me to meet with Latin Americans, whose names I also don't remember.

Mr. NEILDS. Referring to the meeting with Tongsun Park, did Congressman Passman talk to you personally to invite you to his office?

Mr. ROYBAL. I am not sure that he called me personally on the phone or talked to me personally on the phone, but his usual practice was to personally call me and invite me to his office.

Mr. NEILDS. Did he tell you that the person whom you were to meet was to give you a campaign contribution?

Mr. ROYBAL. No, he did not, but during the ending session of the previous year, we were talking about campaigning, and he said at that time that he would get one of his very dear friends to help me in my campaign which was to take place the following year.

Mr. NEILDS. Where did that conversation occur?

Mr. ROYBAL. That occurred on the tram, coming from or going to the House of Representatives.

Mr. NEILDS. Was that in response to a request by you?

Mr. ROYBAL. No, I never made any request to him nor to anyone else for that matter.

Mr. NEILDS. What prompted him to make the offer?

Mr. ROYBAL. I have no idea. First of all, we were talking about the campaign, the fact that I have a poor district, and that whenever I have banquets, I usually give tickets, particularly to senior citizens, that they serve two purposes, one, that the senior citizen is registered to vote. The other is that it made it possible for me to fill the tables to make it appear that I had a large number of people going to my banquets, and as a result of that conversation, he said "Well, maybe next year I can have one of my dear friends help you."

Now the use of "my very dear friends" was something that Passman used all the time. Everyone was his very dear friend. When I was introduced to anyone, he always referred to me as "my very dear friend," and they were also his very dear friends, that he

was introducing to me his very dear friend. So that was a common phrase of Passman, and everyone was his very dear friend. Again you have to know Otto Passman to be able to see the picture as it is.

Mr. NIELDS. What occurred when you arrived at his office?

Mr. ROYBAL. I went into his office. He introduced me to his very dear friend, an exchange of money was made, and the whole encounter probably took 60 seconds.

Mr. NIELDS. Was Otto Passman in the room when the exchange of money took place?

Mr. ROYBAL. I am not sure that he was. On the other hand, there is no reason why he shouldn't have been, because he called me there for that purpose to begin with, but it is possible that he was out of the room at the time.

Mr. NIELDS. And who was the person who gave you the money?

Mr. ROYBAL. Someone of Korean descent gave me the money that I now assume to be Mr. Park.

Mr. NIELDS. You have seen Mr. Park recently; is that correct?

Mr. ROYBAL. I have seen Mr. Park, in the newspapers and on television. You see, in 1974, who knew Mr. Park? Very few people knew Mr. Park in 1974. He was not the glamorous, rich millionaire that was pictured in the newspapers afterwards. He was not the national figure that he became in 1975 and 1976, nor was he the international figure that he has become today.

Mr. NIELDS. Did you see him testify on television?

Mr. ROYBAL. No, I did not. I was in California and did not see him testify on television.

Mr. NIELDS. But you have seen his pictures in the newspapers recently; is that right?

Mr. ROYBAL. Yes, I have.

Mr. NIELDS. Did you recognize him when you saw him in the newspaper?

Mr. ROYBAL. I did not recognize him as the one that had given me the contribution. He made as little impression on me as I did on him no doubt.

Mr. NIELDS. Have you ever received any other cash contributions as large as \$1,000.

Mr. ROYBAL. Not cash contributions, no.

Mr. NIELDS. Have you ever received any other cash contributions in a congressional office building?

Mr. ROYBAL. Not cash contributions, no. Checks I have received by mail.

Mr. NIELDS. I should have asked you what was the size of this contribution?

Mr. ROYBAL. \$1,000.

Mr. NIELDS. And it was in cash?

Mr. ROYBAL. And it was in cash.

Mr. NIELDS. Have you ever received any contributions of this large an amount in person from someone whom you had never met before?

Mr. ROYBAL. I have received contributions of \$1,000, or large contributions, from persons I have never met before, yes.

Mr. NIELDS. In cash?

Mr. ROYBAL. Never in cash. You see, the contribution that was made—

Mr. NIELDS. So would it be fair to say then that this is the only contribution that you have personally received from someone whose name you did not know and still did not know other than what you have read in the newspapers?

Mr. ROYBAL. It is fair to say that that is the only contribution, cash contribution, in that amount that I have received from anyone that I did not know, but it is also proper to say that this contribution was made on behalf of Mr. Passman, that it was not solicited, that Mr. Passman summoned me to his office, that the exchange was made, and that I even thanked Mr. Passman for making available those funds.

Mr. NIELDS. What did you do with the funds that you received from Mr. Park?

Mr. ROYBAL. Well, we put them in the general cash flow of the campaign committee.

Mr. NIELDS. What does that mean?

Mr. ROYBAL. That means it became part of the campaign moneys which was recorded as part of the campaign money that came into the committee at that time.

Mr. NIELDS. Was it reported?

Mr. ROYBAL. Yes. You have my records and you no doubt can find it in my records.

Mr. NIELDS. By name of contributor?

Mr. ROYBAL. No. Had I known who the contributor was, I would have done it, and I also did not put Mr. Passman's name because he was not the contributor. Therefore, it was listed as cash.

Mr. NIELDS. Mr. Roybal, didn't the laws require at that time that contributions of over \$100 be reported by name of contributor?

Mr. ROYBAL. It probably did, but my practice was to put in the money. The reporting was done by someone else.

Mr. NIELDS. But how was that person to report by name in this case?

Mr. ROYBAL. The person did not report by name. The person reported in cash.

Mr. NIELDS. Did you tell anyone what the source of the \$1,000 was?

Mr. ROYBAL. No, I just turned the money in.

Mr. NIELDS. And who did you turn it in to?

Mr. ROYBAL. I turned it into either the chairman of the committee who was involved at that time or to my secretary who usually made the deposits.

Mr. NIELDS. Who was your secretary?

Mr. ROYBAL. Dianne Lewis.

Mr. NIELDS. Was she in Washington or in California?

Mr. ROYBAL. No, in Los Angeles.

Mr. NIELDS. So you carried the money to Los Angeles with you.

Mr. ROYBAL. That is correct.

Mr. NIELDS. And you gave it to Dianne Lewis.

Mr. ROYBAL. That is right.

Mr. NIELDS. Or to somebody else.

Mr. ROYBAL. Probably Dianne Lewis. She is the one that would make the deposit. Not only that, but it was also my practice to

turn in all cash that I received. I would sell tickets to my affairs, and usually take that on just prior to the affair if things were going badly. I would go out throughout the district and sell these tickets. Many times I would sell two tickets for the price of one. The idea, first of all, was to get people to the affair, and at the same time pay for its expenses. So I did turn in money and do turn in money whenever I do sell tickets in the various rounds that I make. Wherever I go in the district, I do that.

Mr. NIELDS. Is it possible that you gave this money to someone other than Dianne Lewis?

Mr. ROYBAL. Oh, it is possible; but not probable. I am sure that I gave it to Dianne Lewis.

Mr. NIELDS. Can you tell us the name of anyone else to whom you might have given it?

Mr. ROYBAL. The only other one that I might have given it to would have been the treasurer of the committee which was Roger Johnson, but I don't think that I did. I think I gave it to Dianne Lewis.

Mr. NIELDS. Did I understand correctly from what you said a moment ago that this money was treated as having been used to purchase tickets?

Mr. ROYBAL. This money was used, as I have said before, to purchase tickets; and the tables were set aside to senior citizens that we invited. I do that all the time.

Mr. NIELDS. When in 1974 did you have such a dinner?

Mr. ROYBAL. Well, we had one dinner in February of 1974. We had two or three other functions during the year.

Mr. NIELDS. And might it have been one of these other functions that the money was received in connection with?

Mr. ROYBAL. No; I don't think so. I think the money was received in February for the February dinner.

Mr. NIELDS. Have you spoken to Dianne Lewis within the last 3 months or so about this contribution?

Mr. ROYBAL. Yes; I have.

Mr. NIELDS. And what did you say to her and what did she say to you?

Mr. ROYBAL. I asked her if she had any record of my giving her that money and she said she did; that it had been deposited; and that you now have the deposit slip.

Mr. NIELDS. And did she say she remembered receiving the money?

Mr. ROYBAL. Yes.

Mr. NIELDS. Or simply that she had a deposit ticket?

Mr. ROYBAL. That she remembered receiving the money. The problem is that at the time that I gave the money, I don't exactly remember whether I gave it to her, because I go to different meetings that my subcommittees have; and I turn money to my subcommittee chairmen somewhere, and this is why I am not 100-percent positive that I remember that I gave Dianne Lewis the money, because I don't in my mind visualize that instance in which I turned the money over to her; but she does remember receiving the money, and remembers recording it, and you have the records that would show that.



Mr. NIELDS. And how does she identify any record of deposit as relating to money received from Tongsun Park?

Mr. ROYBAL. As cash, not only Tongsun Park, but any other cash that I turned in at that particular time.

Mr. NIELDS. Did you turn in any other cash to Dianne Lewis?

Mr. ROYBAL. Yes; I did.

Mr. NIELDS. How much?

Mr. ROYBAL. Maybe an additional \$200, maybe \$300, but \$200 for sure.

Mr. NIELDS. Congressmen, you recall, or I take it you are familiar with, the testimony of Tongsun Park in public with respect to his contributions to you.

Mr. ROYBAL. Yes; I am also familiar with the testimony of Tongsun Park in private, because on March 10 I was called by UPI and I was told that, according to sources, Park testified secretly before the Committee on Ethics that he gave me a contribution.

At that particular time I was in California. I called back the reporter, and he told me that a leak from someone who was in attendance at this secret meeting had leaked to them that Park had testified that he had given me a campaign contribution. He told me at that time that it wasn't his intention to write anything about it because he wanted to get more information.

This was on March 10 that this call was made. Then on Monday, March 13, I came back to Los Angeles, and I talked to the chairman of the committee.

Mr. NIELDS. You mean back to Washington?

Mr. ROYBAL. I mean back to Washington from Los Angeles, and I talked to the chairman of the committee, and I told him what had happened. I told him that the only possibility that I had received a contribution from Tongsun Park was that it was done through an intermediary, that it could have been done in Passman's office or through my Korean community.

I have a committee made up of Koreans in my district. At that time I had an area called "Little Korea" in my district, and they had fundraisers for me. So I told the chairman these facts.

Mr. NIELDS. You are referring to Chairman Flynt of this committee, at this point?

Mr. ROYBAL. Chairman Flynt, of this committee.

Mr. NIELDS. You had previously placed a call to me?

Mr. ROYBAL. No, sir. Then he advised me to place a call to you, and I did place a call to you; and you came into my office with another gentleman that you introduced me to, whose name I don't remember.

Mr. NIELDS. For the record, that was Jeffrey Harris.

Mr. ROYBAL. All right. And I told you the same thing that I had told the chairman.

Mr. NIELDS. I ask you to pause just for a moment to clear up one other point.

Mr. ROYBAL. All right.

Mr. NIELDS. Isn't it a fact that you had two conversations on the telephone with me that day, one before your conversation with the chairman and one afterwards?

Mr. ROYBAL. I don't remember whether I had one or two; but I did have a conversation with the chairman before. Now why I

would have a conversation with you before I went to the chairman, I don't remember. I think if there were two conversations, maybe I had two conversations with you after I saw the chairman.

Mr. NIELDS. Do you recall having a conversation with me in which you inquired whether the newspaper reporter's account was accurate, and I told you I could not answer the question? Then you had a conversation with the chairman. Then you called me up and asked me to go to your office. Then I went to your office.

Mr. ROYBAL. I don't remember the sequence of that at all; but you are probably correct.

Mr. NIELDS. Let me ask you one other question before you go on with this account. Think very carefully.

Did you personally talk with the reporter or did you simply get a message that someone in your office had received?

Mr. ROYBAL. I personally talked with the reporter from Los Angeles.

Mr. NIELDS. Didn't you tell me on the telephone in our conversation that you had simply seen a note given to you by one of your staff members about a call from a reporter?

Mr. ROYBAL. No, sir.

Mr. NIELDS. And that you did not talk to the reporter?

Mr. ROYBAL. I did not tell you that at all. I did not tell you that at all. What I told you is exactly what I have recorded here, and this was on March the 13th. Whether I talked with you before I talked with the chairman, that I don't remember, but I did talk to the chairman and then I talked with you and the gentleman that was with you, and told you the same thing that I told the chairman.

Mr. NIELDS. All right.

Now again getting back, you mentioned an intermediary.

Mr. ROYBAL. Yes.

Mr. NIELDS. That there were two possibilities. One was that the money from Tongsun Park had come through an intermediary.

Mr. ROYBAL. Well, I consider Mr. Passman to be the intermediary. Mr. Park didn't know me from a damn. I did not solicit money from him. He had never been in my office. He had never called my office. In fact, I assured myself of that before I even talked to you the first time. I knew that we had absolutely no contact with him. Had it not been that Mr. Passman asked him to make a contribution to me, he would have never done it, and that is how I was sucked into this thing. So it is quite evident that Mr. Passman was the intermediary.

Mr. NIELDS. So it was Mr. Passman that you were referring to when you mentioned an intermediary.

Mr. ROYBAL. Yes.

Mr. NIELDS. What happened then when Mr. Harris and I went to your office?

Mr. ROYBAL. I don't know what you mean "what happened"?

Mr. NIELDS. Was there a conversation?

Mr. ROYBAL. Oh, of course. There was a conversation in which I told you the same thing I told the chairman of the committee.

Mr. NIELDS. Which was?

Mr. ROYBAL. Mr. Flynt.

Mr. NIELDS. That there were two possibilities. One was that it had come from Koreans in your community, and the other was that—

Mr. ROYBAL. It had come from Otto Passman.

Mr. NIELDS. From Mr. Passman.

Mr. ROYBAL. Came through Otto Passman; right.

Mr. NIELDS. And you told us at that time, did you not, that the money was directly given to you by Otto Passman?

Mr. ROYBAL. I did not say that the money was given to me directly by Otto Passman. It had been given to me in Otto Passman's office.

Mr. NIELDS. And weren't you in fact asked whether there was ever any money given to you by a Korean national in Otto Passman's office, and your reply was no?

Mr. ROYBAL. I don't remember such question at all.

Mr. NIELDS. And you were specifically asked whether the money had personally been handed to you by Otto Passman, and you said yes.

Mr. ROYBAL. I could not have said yes because the money was not handed to me by Otto Passman. The money was handed to me in Otto Passman office.

Mr. NIELDS. The question was not what you could have said but what you did say.

Isn't it a fact that you did say that the money was handed to you directly by Otto Passman?

Mr. ROYBAL. No, it is not a fact.

Mr. NIELDS. Congressman Roybal, I take it you are familiar with Tongsun Park's testimony in open session?

Mr. ROYBAL. Oh, yes. I think the whole Nation is familiar with Tongsun Park's testimony in open session.

Mr. NIELDS. You are familiar with the fact that he testified that money was given to you and then on the same day a similar amount of money under similar circumstances to Congressman Rarick.

Mr. ROYBAL. Yes, and I think one should also take into consideration that Mr. Park had several months to prepare his testimony, that he no doubt rehearsed it very carefully before he testified in Seoul, that his government was very much interested in seeing to it that the Congress did appropriate the foreign aid that is going to be in the foreign aid bill, that his entire testimony, I believe, and so does the Nation, was very well orchestrated. So that when he came here, he was very well prepared to give the testimony that he did, and we do know what he said, yes.

Mr. NIELDS. I am not sure I understand the point of your remarks, sir.

Are you suggesting that his testimony was in some fashion untruthful or that it was very well prepared and he had a lot of time to think about it?

Mr. ROYBAL. He was very well prepared, and had a lot of time to think about it, and in some instances amazing doesn't seem to remember too well.

For example, documents found in his own home. The poor man doesn't have the faintest idea how they got there.

Mr. NIELDS. I would like to have this marked "Committee Exhibit 1" of this date.

[The document (dated Apr. 25, 1978) was marked "Committee Exhibit No. 1" for identification.]

Mr. NIELDS. Did you see Mr. Rarick on the day that you received the contribution?

Mr. ROYBAL. No, I did not.

Mr. NIELDS. I am going to show you a document marked "Committee Exhibit 1." I will let you read it.

Mr. ROYBAL [reading].

I deeply appreciate the kindness and courtesy which you extended to me during our conversation yesterday. I am off to Louisiana on Sunday but look forward to visiting with you again when I return to Washington in early October.

This is signed by John R. Rarick, Member of Congress.

Mr. NIELDS. I ask you to look at the date of that letter.

Mr. ROYBAL. That is August 23, 1974.

Mr. NIELDS. I am going to ask you whether that refreshes your memory in any way at all about the time of year in which you received the \$1,000 contribution from Tongsun Park.

Mr. ROYBAL. It does not.

Mr. NIELDS. So your testimony is that you received the \$1,000 contribution from Tongsun Park in February of 1974.

Mr. ROYBAL. My testimony is that my records will reflect when that money went into the coffers, and they do state that it was February of 1974.

Now it seemed to me that if Mr. Rarick had just been there, I would have seen him come out at the time, but I didn't.

Mr. NIELDS. I have no further questions.

Mr. ROYBAL. May I finish what I started to put on here?

Mr. NIELDS. Yes.

Mr. ROYBAL. Because I think that the sequence of this thing may be helpful.

Now a recapitulation.

On the 10th of March I received the first call saying that Park had testified secretly and he had given me a contribution in 1972 or 1974. On March 13 I talked with the chairman, and later I talked with you.

On March 16 I received a call from ABC and AP, and they said that Park had given me a contribution.

Then on March 17, a Los Angeles Times report was made, a small report, in which it was said that Park had made a contribution to me. Park then started his public testimony on April 3, and some time on April 3 or 4, he did testify that he had given me a contribution in Passman's office. That is the sequence of the whole thing.

Mr. DESMOND. Mr. Roybal, in Tongsun Park's testimony before the committee, he stated that Otto Passman had told him that he had two colleagues who were having difficulty collecting money in their campaign, and that he wanted him to make a contribution to these two Congressmen. He identified one as Rarick and the other as you, and indicated that he gave that on the same day.

Mr. ROYBAL. I don't vouch for Mr. Tongsun Park's testimony. The truth of the matter is that I didn't have an opponent that year.

Mr. DESMOND. That is right, that was my next question.

Mr. ROYBAL. So you see, I could not have told Mr. Passman or anybody else that I was having a rough time. So I think that Mr. Park has made a mistake in the timing or sequence in which these contributions were made.

Now, of course, I know that he got the immunity to tell the gospel truth, but one must always look at the eventuality that there may be a mistake in dates.

Now if he has a check that he cashed for \$1,000 on that particular date, perhaps that could be evidence, but I am sure that \$1,000 to Mr. Park was just change that he carried with him. Of that I have no doubt, because I carry on many occasions, including now, maybe half of that, and I don't earn \$9 million in a few years, so again here is a situation where an individual has made testimony that I don't doubt is not true.

I don't doubt that he is the one that gave me that money. I don't say that, but again the sequence of everything that happens I can assure you was pretty well orchestrated.

Now particularly in view of the fact that there are billions of dollars that this Government will make available to Korea under the Foreign Assistance Act. You know you just look at the situation and you can look at the sequence of this thing. He didn't come out here voluntarily; did he?

Mr. DESMOND. Well, Mr. Roybal—

Mr. ROYBAL. He didn't come here voluntarily, because I think his government wanted him to come out here because that money is important to him, but I don't think that that is the crux of the thing. The thing is that there was money exchanged, and now you tell me there is a difference insofar as date is concerned. I have records that show one thing, and he may have records that show another. I don't know. Let's compare records.

Mr. NIELDS. Just to make it absolutely clear, Congressman Roybal, you have no records that indicate a contribution from Tongsun Park by name; do you?

Mr. ROYBAL. No, I do not, but I do have records that indicate that there is money posted to the cash account of my committee, and I sure didn't put it in.

Mr. NIELDS. Have you ever received any other contributions which you were required to report by name but which you did not report by name?

Mr. ROYBAL. No; not to my knowledge.

Mr. NIELDS. Have you ever passed on a reportable amount of money to one of your aides without telling him where it came from?

Mr. ROYBAL. Like in this instance?

Mr. NIELDS. Yes.

Mr. ROYBAL. Yes.

Mr. NIELDS. What other?

Mr. ROYBAL. Oh, no other, just this instance.

Mr. NIELDS. Just this one time.

Mr. ROYBAL. That is right, or any other time where I sell tickets and I say these are from ticket sales, and I do sell tickets for picnics, for different things that I have.

Mr. NIELDS. There are other times when you have sold tickets to the same person in an amount which makes it mandatory to report the sale by name?

Mr. ROYBAL. No; usually the ticket sales are to several people. Probably the most amount would be \$50. I have picnics, for example, where tickets are sold for \$2.50, and I sell tickets to various individuals, either sell them over a bar somewhere, some meeting that I go to, at the race track, or wherever I happen to be. The idea is to get people to these affairs, particularly when in a district like mine, it is most difficult to sell tickets at \$50.

Now there are some that can sell for a lot more, but in my district you sure can't sell for more than \$50.

Mr. NIELDS. Did Mr. Park indicate that he was giving you the money for tickets?

Mr. ROYBAL. No; Mr. Park did not indicate he was giving me the money for tickets at all.

Mr. NIELDS. So it was your decision to treat this as money for tickets.

Mr. ROYBAL. It was my decision, and also the fact that I had had a discussion with Passman in the past, and told him that I do give tickets to my senior citizens, and it was every intention for me to use that money for that purpose and it was used for that purpose.

Mr. DESMOND. Mr. Roybal, is there any reason why you would not give Tongsun Park credit for contributing \$1,000 to your campaign?

Mr. ROYBAL. First of all, I didn't know what his name was at the time.

Mr. DESMOND. Then did you ask Mr. Passman if this particular Korean was an agent of a foreign government or a foreign national?

Mr. ROYBAL. No. Mr. Passman never mentioned it again.

Mr. DESMOND. And you didn't question whether he could have been a representative of the Korean Government and you did not know?

Mr. ROYBAL. I had no reason to believe that he was.

Mr. DESMOND. That he was what?

Mr. ROYBAL. That he was a representative of the Korean Government. The FBI had never informed anyone, and no one in Government had, so I didn't ask him, no.

Mr. NIELDS. Congressman, you testified that you didn't know his name at the time. Surely Mr. Passman introduced you to him; didn't he?

Mr. ROYBAL. Mr. Passman introduced me to a lot of people whose name he probably mispronounced the way he mispronounced, and during all the time that I served with Mr. Passman, he never pronounced my name right once.

Mr. NIELDS. But he did introduce you to Mr. Park using some name?

Mr. ROYBAL. Introduced me to his very dear friend, using some name, and he introduced me to Mr. Park as his very dear friend, mispronouncing my name.

Mr. NIELDS. Did you ask Mr. Park what his name was?

Mr. ROYBAL. No.

Mr. NIELDS. But he was giving you a \$1,000 contribution which you were required to report by name.

Mr. ROYBAL. Yes.

Mr. NIELDS. But you did not ask him his name.

Mr. ROYBAL. No; I did not.

Mr. DESMOND. Mr. Roybal, getting back to the converting of a contribution to senior citizens dinner tickets, had you ever done this in the past, prior to this instance?

Mr. ROYBAL. Yes, in the past, yes.

Mr. DESMOND. Prior to 1974?

Mr. ROYBAL. Yes.

Mr. DESMOND. And you have done it since 1974?

Mr. ROYBAL. We give tickets to senior citizens; yes.

Mr. DESMOND. Would Mr. Johnson, meaning Roger Johnson, your treasurer, be apprised of this?

Mr. ROYBAL. Not necessarily. Mr. Johnson did not handle the various functions. He managed the campaign and he did the recording after deposits were made. Usually a campaign committee, for example, would be formed for certain things. I have a campaign committee that is going to handle the picnic this year, the same as I have other campaign committees. There will be a campaign committee that is going to handle a dinner. This is a dinner where we charge \$12.50 or \$15. This is designed to get the people in the lower income brackets to also participate. The picnic is to get the very poor to participate, and most of those tickets are given away. We don't make any money on the picnic at all. We lose money, and if we don't lose money, we come out even.

Mr. DESMOND. Would this show on any of your records, Mr. Roybal?

Mr. ROYBAL. What is that?

Mr. DESMOND. Would this show on any of your records?

Mr. ROYBAL. It would show as cash coming in.

Mr. DESMOND. Who would give out or distribute the tickets to the senior citizens?

Mr. ROYBAL. I would.

Mr. DESMOND. Nobody on your staff other than yourself?

Mr. ROYBAL. That is right.

Mr. DESMOND. I have no further questions.

Mr. NIELDS. I have no further questions.

Mr. SPENCE. If there are no further questions, then the taking of the deposition will be adjourned.

[Whereupon, at 1:50 p.m., the taking of the deposition was concluded.]





APPENDIX M

TRANSCRIPT OF HEARINGS



WEDNESDAY, OCTOBER 13, 1978

HOUSE OF REPRESENTATIVES,  
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT,  
*Washington, D.C.*

The committee met, pursuant to call, at 10 a.m. in room 2247, Rayburn House Office Building, Hon. John J. Flynt, Jr., chairman of the committee, presiding.

Present: Representatives Flynt, Spence, Teague, Quillen, Bennett, Quie, Hamilton, Preyer, and Fenwick.

Also present: John M. Swanner, staff director; John W. Nields, Jr., chief counsel.

Mr. FLYNT. The committee will come to order.

The committee will stand in recess until the arrival of a quorum.

[Recess.]

Mr. FLYNT. The committee will come to order.

The staff director will call the roll.

Mr. SWANNER. Mr. Flynt.

Mr. FLYNT. Here.

Mr. SWANNER. Mr. Spence.

Mr. SPENCE. Here.

Mr. SWANNER. Mr. Teague.

[No response.]

Mr. SWANNER. Mr. Quillen.

Mr. QUILLEN. Here.

Mr. SWANNER. Mr. Bennett.

[No response.]

Mr. SWANNER. Mr. Quie.

[No response.]

Mr. SWANNER. Mr. Hamilton.

Mr. HAMILTON. Here.

Mr. SWANNER. Mr. Cochran.

[No response.]

Mr. SWANNER. Mr. Preyer.

[No response.]

Mr. SWANNER. Mrs. Fenwick.

Ms. FENWICK. Here.

Mr. SWANNER. Mr. Flowers.

[No response.]

Mr. SWANNER. Mr. Caputo.

[No response.]

Mr. SWANNER. Mr. Chairman, five members are present, seven absent.

Mr. FLYNT. Five members have answered to their names. A quorum is not present. Without making a determination at this time as to what further proceedings there will be with regard to the presence of a quorum, the opening statement defining the scope

and purpose of this hearing will be read into the record as soon as there is a quorum present.

We are informed that there is a probability that we will have seven members here at 12 o'clock.

The committee will stand in recess until 12 o'clock pending the arrival of a quorum.

[Recess.]

Mr. FLYNT. The committee will come to order.

The staff director will call the roll.

Mr. SWANNER. Mr. Flynt.

Mr. FLYNT. Here.

Mr. SWANNER. Mr. Spence.

[No response.]

Mr. SWANNER. Mr. Teague.

[No response.]

Mr. SWANNER. Mr. Quillen.

[No response.]

Mr. SWANNER. Mr. Bennett.

[No response.]

Mr. SWANNER. Mr. Quie.

[No response.]

Mr. SWANNER. Mr. Hamilton.

[No response.]

Mr. SWANNER. Mr. Cochran.

[No response.]

Mr. SWANNER. Mr. Preyer.

[No response.]

Mr. SWANNER. Mrs. Fenwick.

[No response.]

Mr. SWANNER. Mr. Flowers.

[No response.]

Mr. SWANNER. Mr. Caputo.

[No response.]

Mr. SWANNER. Mr. Chairman, 1 member is present, 11 absent.

Mr. FLYNT. We are aware of the fact that there would not be a quorum at 12 but since that was the hour set for reconvening, the committee was called to order.

We are informed reliably that there will be a quorum present at 12:30. Accordingly, the committee stands in recess until 12:30.

[Recess.]

Mr. FLYNT. The committee will come to order. The staff director will call the roll.

Mr. SWANNER. Mr. Flynt.

Mr. FLYNT. Here.

Mr. SWANNER. Mr. Spence.

Mr. SPENCE. Here.

Mr. SWANNER. Mr. Teague.

[No response.]

Mr. SWANNER. Mr. Quillen.

Mr. QUILLEN. Here.

Mr. SWANNER. Mr. Bennett.

Mr. BENNETT. Here.

Mr. SWANNER. Mr. Quie.

[No response.]

Mr. SWANNER. Mr. Hamilton.

Mr. HAMILTON. Here.

Mr. SWANNER. Mr. Cochran.

[No response.]

Mr. SWANNER. Mr. Preyer.

Mr. PREYER. Here.

Mr. SWANNER. Mrs. Fenwick.

Mrs. FENWICK. Here.

Mr. SWANNER. Mr. Flowers.

[No response.]

Mr. SWANNER. Mr. Caputo.

[No response.]

Mr. SWANNER. Mr. Chairman, seven members answer present, five members absent.

Mr. FLYNT. Seven members have answered to their names when the roll was called. A quorum is present.

The Chair will now state the purpose and scope of this hearing and the committee's authority to hold this hearing.

This investigative hearing is held pursuant to House Rule X4.4(e)(1)(B) which provides that the Committee on Standards of Official Conduct shall—

investigate, subject to subparagraph (2) of this paragraph, any alleged violation by a member, officer or employee of the House, of the Code of Official Conduct or of any law, rule, regulation, or other standard of conduct applicable to the conduct of such Member, officer, or employee in the performance of his duties or the discharge of his responsibilities, and, after notice and hearing, to recommend to the House by resolution or otherwise such actions the committee may deem appropriate in the circumstances.

Additionally, House Resolution 252, 95th Congress, 1st Session, mandates in section 3 that this committee—

after appropriate notice and hearing, shall report to the House of Representatives its recommendations as to such action, if any, that the committee feels appropriate by the House of Representatives as a result of any alleged violation of the Code of Official Conduct or of any law, rule, regulation, or other standard of conduct applicable to the conduct of such Member, officer or employee in the performance of his duties or the discharge of his responsibilities.

The scope and purpose of this hearing is to resolve the allegations contained in the statement of alleged violation with regard to Representative Edward R. Roybal. The object of this hearing shall be to ascertain the truth.

I will ask counsel for Mr. Roybal if counsel on behalf of Mr. Roybal insist that the statement of alleged violations be read or is it permissible for it to be included in the record at this point?

Mr. HIBEY. We will waive a reading of it, Mr. Chairman.

Mr. FLYNT. The reading of the statement of alleged violations is waived by counsel for Mr. Roybal, and without objection, the statement of alleged violation in its entirety will be inserted in the record at this point.

Mr. FLYNT. Does the respondent also waive the reading of the response to the statement of alleged violation?

Mr. HIBEY. He does, Mr. Chairman.

Mr. FLYNT. Counsel for Mr. Roybal has waived the reading of the response to the statement of alleged violation, and without objection, the response to the statement of alleged violation is incorpor-

ated in the record at this point and will be printed in the record at this point.

Mr. FLYNT. Mr. Nields, will you call your witnesses so I may administer the oath.

Mr. NIELDS. Mr. Chairman, I think, under the supplemental rules, I would request permission to make an opening statement at this time.

Mr. FLYNT. You may proceed.

Mr. NIELDS. Thank you, Mr. Chairman.

#### OPENING STATEMENT BY MR. NIELDS

Mr. NIELDS. Mr. Chairman, members of the committee, Mr. Hibey and Mr. Anderson, there are four charges against Mr. Roybal arising out of the receipt by him of a \$1,000 cash contribution from Tongsun Park in 1974. The first and third charges have to do with Mr. Roybal's failure properly to disclose the contribution and his efforts to conceal it. The first charge is that he failed to report the contribution at the time it was received as required by law, and the third charge is that, in testimony given before this committee under oath, Mr. Roybal denied ever having received it.

The second and fourth charges have to do with his failure to put the money to its proper use. The second charge is that Mr. Roybal diverted the money from its campaign purpose to a personal purpose. The fourth charge is that in Mr. Roybal's second appearance before this committee he testified falsely when he admitted receipt of the money but claimed that he had put it in the "general cash flow of the campaign committee."

At this hearing, the staff will offer proof in support of these charges. Tongsun Park will testify to a very vivid scene which occurred in the office of Congressman Otto Passman in 1974. He will testify that Otto Passman told him that he had two friends in Congress whom he wanted Mr. Park to make contributions to. Mr. Park went to Mr. Passman's office; Mr. Passman called Mr. Roybal on the telephone; Mr. Roybal appeared a few moments later; Mr. Passman introduced them to each other, Mr. Park to Mr. Roybal, and left the room.

Tongsun Park, after a brief conversation with Congressman Roybal, gave him an envelope filled with \$1,000 in cash. Mr. Roybal left. Mr. Passman returned. He then called Congressman John Rarick of Louisiana. A few moments later, Mr. Rarick came into the room; Mr. Passman introduced Mr. Park and Mr. Rarick, and Mr. Passman left the room.

Mr. Park then gave Mr. Rarick an envelope filled with \$1,000 in cash. Mr. Rarick left; Mr. Passman returned.

Mr. Park remembers the approximate time of this contribution as being August 1974. He remembers that time because he knew that it was at or about the time of the Louisiana primary, which was always held in August. The date will be further pinpointed because Tongsun Park received a thank you note from Congressman Rarick indicating the date as August 22, 1974.

The staff will then offer in evidence copies of the campaign contribution reports filed by Mr. Rarick and his campaign committee, showing no contribution from Tongsun Park.

Staff will offer in evidence records from the bank account of the campaign committee showing that there were no cash deposits approaching \$1,000 into that bank account following the time of Tongsun Park's contribution.

Staff will then offer proof of the three different versions of the facts which Mr. Roybal has given to this committee. First, in his testimony on February 1, 1978, Mr. Roybal testified that he knew Tongsun Park by sight and reputation but had never met him and never received any campaign contribution from him. Then in mid-March when Mr. Roybal learned Mr. Park had named him as a recipient of money, Mr. Roybal told committee investigators he had received \$1,000 from Otto Passman and that Otto Passman had told him that the money came from one of his supporters.

Mr. Roybal deduced this might have been Mr. Park but he had never received anything directly from Mr. Park or any oriental.

Then on April 25, 1978, after Tongsun Park had testified in open session, Mr. Roybal testified again. This time he testified that he received \$1,000 in Mr. Passman's office directly from a Korean person whom he now assumed was Tongsun Park. He claimed, however, that the money was received in February 1974, not August, that it had been turned into his campaign through one of his employees in Los Angeles whose name is Dianne Lewis, and he referred to a deposit ticket of February 21, 1974, showing a deposit of cash, an amount greater than \$1,000, which he claimed was Tongsun Park's money.

The committee will hear testimony from Dianne Lewis that although Mr. Roybal did give her some cash in 1974 which she put in the bank in this deposit ticket, Mr. Roybal told her at the time that he had received the cash from "the Jewish community."

In sum, staff will prove by clear and convincing evidence that, contrary to Mr. Roybal's first testimony, the contribution was in fact received and it was not reported; contrary to his second testimony, the money was not received in February but rather in August, and the money which was put into his bank account in February came from another source.

The staff will urge on the committee that one of the reasons why Mr. Roybal denied receiving the money when he first testified, denied receiving money from Tongsun Park, was that in fact he had not reported it and in fact had not turned it into his campaign committee, and consequently could not afford to admit he had ever received it.

Mr. FLYNT. Mr. Hibey, do you or Mr. Anderson desire to make an opening statement at this time?

Mr. HIBEY. I will make a brief opening statement, Mr. Chairman.

Mr. FLYNT. You may proceed.

Mr. HIBEY. Is it the Chair's pleasure that I stand or sit?

Mr. FLYNT. We prefer that you stand unless you request otherwise.

#### OPENING STATEMENT BY MR. HIBEY

Mr. HIBEY. Mr. Chairman and members of the committee, what this case is not is that it is not a case of payoff for an official act. It is not a payment based upon a continuing relationship, either personal or business. It is not, at least to our knowledge, a payment

from a foreign agent. It was a campaign contribution. It is not—and we insist and we will stress this—and is not a case of perjury.

For a while there will be little or no dispute as to certain operative facts surrounding the campaign contribution in question. One thing we hope to demonstrate to the satisfaction of this committee—and this to be done by Mr. Roybal in his first opportunity to address this committee since the investigation of him began—is that at no time has this man, who is a 30-year veteran of public life, enjoying an excellent reputation and who has served for almost 16 years in the House of Representatives—at no time did he lie or intend to deceive this committee or the House of Representatives when he gave his testimony.

Thank you, Mr. Chairman.

Mr. FLYNT. Mr. Nields.

Mr. NIELDS. Mr. Chairman, the first witness is Tongsun Park.

#### TESTIMONY OF TONGSUN PARK

Mr. FLYNT. Mr. Park, will you remain standing and raise your right hand, please. Do you solemnly swear the testimony you will give this committee in the matter now under consideration will be the truth, the whole truth, and nothing but the truth, so help you God?

Mr. PARK. I do.

Mr. FLYNT. Mr. Park, are you accompanied by your attorney, Mr. William Hundley?

Mr. PARK. Yes.

Mr. FLYNT. Your name is Tongsun Park?

Mr. PARK. Yes.

Mr. FLYNT. You may proceed, Mr. Nields.

Mr. NIELDS. Thank you, Mr. Chairman.

Mr. Park, have you ever met Congressman Edward R. Roybal?

Mr. PARK. Yes.

Mr. NIELDS. When did you first meet him?

Mr. PARK. I met him for the first time in Mr. Passman's office.

Mr. NIELDS. How did you happen to be in Mr. Passman's office?

Mr. PARK. As I recall, Congressman Passman told me that he had a dear friend to whom he wanted me to be helpful, and so that was the reason I was in the Congressman's office and that is when I met Mr. Roybal.

Mr. NIELDS. Did he tell you one dear friend or more than one dear friend?

Mr. PARK. I think he said two dear friends.

Mr. NIELDS. Did you bring anything with you when you went to his office?

Mr. PARK. I believe so.

Mr. NIELDS. What did you bring?

Mr. PARK. I brought contributions which I was going to make for these two friends.

Mr. NIELDS. When you say contributions, do you mean cash?

Mr. PARK. Yes.

Mr. NIELDS. How much?

Mr. PARK. I believe each contribution amounted to \$1,000.

Mr. NIELDS. Did you bring anything else with you besides the cash?



Mr. PARK. I don't think so.

Mr. NIELDS. Did you bring any envelopes?

Mr. PARK. Oh, the cash, as always whenever I made a contribution. Cash was contained in an envelope.

Mr. NIELDS. What time of day did you arrive at Congressman Passman's office?

Mr. PARK. I am not positive but I think it was sometime after lunch, midafternoon shall we say.

Mr. NIELDS. What happened when you arrived at Congressman Passman's office?

Mr. PARK. I think the Congressman proceeded to call Mr. Roybal and 2 minutes later I believe the Congressman did come into Congressman Passman's office.

Mr. NIELDS. What happened after Congressman Roybal entered Congressman Passman's office?

Mr. PARK. I think we were properly introduced by names. Mr. Park, Mr. Roybal, and then the Congressman left his office. And we were sitting close to the Congressman's desk facing each other.

Mr. NIELDS. Did you have a conversation then?

Mr. PARK. I think we had an exchange of some pleasant greetings, having met Congressman Roybal for the first time, and I am not positive but I think we had some reference to Korean Americans being in his district. But it was, as I recall, a very short and uneventful kind of situation. I would venture to estimate perhaps 2 or 3 minutes of time spend, and I merely executed the making of the contribution in the form of cash as I stated earlier, and we said goodbye and left.

Mr. NIELDS. When you say you executed it, do you mean you handed him the envelope with the cash in it?

Mr. PARK. Yes.

Mr. NIELDS. Did you say anything as you handed it to him?

Mr. PARK. No; I do not remember anything special. I think if I said anything: Congressman Passman asked me to be helpful to you and I am delighted to make this contribution.

Mr. NIELDS. My question is, did you mention that it was a campaign contribution?

Mr. PARK. I believe I said something to that effect, yes.

Mr. NIELDS. What happened after Mr. Roybal left?

Mr. PARK. Then Congressman Passman came back and he called another Congressman friend of his who turned out to be Mr. Rarick, from his home State.

Mr. NIELDS. When you say he called him, did he call him on the telephone?

Mr. PARK. I believe so.

Mr. NIELDS. When you say he called him, did he call him on the telephone?

Mr. PARK. I believe so.

Mr. NIELDS. In your presence?

Mr. PARK. Yes.

Mr. NIELDS. Did Mr. Rarick come to the office?

Mr. PARK. Yes.

Mr. NIELDS. What happened when Mr. Rarick entered the room?

Mr. PARK. I think we went through a similar type of procedure. Again, it was the first time I met Mr. Rarick, so we were properly

introduced by names, Mr. Rarick and Tongsun Park, and subsequently Mr. Passman left the office and again Mr. Rarick and I sat down. And I seem to recall more vividly the meeting that I had with Mr. Rarick because he made some specific reference to the fact that he was a conservative and because of the young university volunteers in his district, knowing his political philosophy, that he was up against a great battle, and it was going to be a great help that I was about to make a contribution to his campaign.

So I had the impression that he had already known why I was there.

Mr. NIELDS. Did you make the contribution to him?

Mr. PARK. Yes; I indeed made the contribution in the same fashion that I did to Mr. Roybal.

Mr. NIELDS. Did he then leave?

Mr. PARK. Yes; we exchanged again pleasant greetings, and I think he also said he expected to call on me personally after he returns to Congress later in that same year.

Mr. NIELDS. In fact did he ever return to you again?

Mr. PARK. No; he wrote me a letter which you are familiar with, but as you know he was defeated, and we never had the occasion to see each other as we had intended to.

Mr. NIELDS. Mr. Park, do you in fact remember what Mr. Rarick was wearing?

Mr. PARK. I think he had a light-colored suit, something similar to what Mr. Spence is wearing. Earlier I made a comment that I liked his suit very much. For some reason again I vividly remember Mr. Rarick had a tan-colored necktie. And those of you who know Mr. Rarick, he is a very handsome, attractive man, and that is what I remember.

Mr. NIELDS. Was it a summer suit?

Mr. PARK. Yes; I believe so.

Mr. NIELDS. Do you remember what Mr. Roybal wore?

Mr. PARK. I do not remember anything particularly about him, no. I just came back from England and in London, summer being what it is, they don't make any distinction, anything about summer or winter clothes. But in this country those of us seem to wear light clothes during the summer months, and perhaps Mr. Roybal had summer clothes but I don't—

Mr. NIELDS. Mr. Park, you say Mr. Rarick was from Mr. Passman's home State. Just for the record, what State is that?

Mr. PARK. The State of Louisiana.

Mr. NIELDS. From your own memory, Mr. Park—

Mr. PARK. And a great State, I might add.

Mr. NIELDS. From your own memory, can you tell us when this meeting occurred?

Mr. PARK. I believe it was certainly during the month of August.

Mr. NIELDS. And the year?

Mr. PARK. 1974.

Mr. NIELDS. How do you know that it was during the month of August?

Mr. PARK. Because it was right after the Korean National Independence Day, which falls on the date of the 15th of August every year.

Mr. NIELDS. What is it that makes you remember it was around the time of the Korean Independence Day?

Mr. PARK. And I also remember Korean Independence Day in conjunction with the Louisiana primary. I had many friends, as I know, and I would also be interested in how my friends do in the primary, so it was right around Louisiana primary time, so it had to be the latter part of August.

Mr. NIELDS. And Mr. Rarick was having a primary, I take it. That is the point.

Mr. PARK. Yes; as I recall, he had a runoff election and my contribution was specifically intended for some assistance to his runoff election.

Mr. NIELDS. I take it he was defeated in that runoff election and that is the reason why you never saw him again?

Mr. PARK. That is what I was told, yes.

Mr. NIELDS. Mr. Park, did you ever receive a thank you note from Mr. Rarick shortly after the time that you made the contribution?

Mr. PARK. Yes.

[Counsel hands paper to witness.]

Mr. NIELDS. Mr. Park, I am showing you a letter. Copies of that letter are marked as exhibit 1 which each member of the committee has, I believe, and counsel for Mr. Roybal have.

Can you identify that letter, please.

Mr. PARK. Yes; this is the same instrument, the letter, that I did receive from Mr. Rarick expressing his thanks for the contribution I made.

Mr. NIELDS. He doesn't use the word "contribution" in that letter, does he?

Mr. PARK. No.

Mr. NIELDS. He thanks you for your kindness and courtesy during the meeting the day before; is that right?

Mr. PARK. I think this is generally understood.

Mr. NIELDS. There is a stamped date at the bottom of that letter that says August 26, 1974. What is that?

Mr. PARK. That is the stamp indicating the date that this particular letter was received by my office.

Mr. NIELDS. And your office had a practice of stamping incoming mail?

Mr. PARK. Yes.

Mr. NIELDS. Mr. Chairman, I ask that copies of exhibit 1 be made part of the record.

What Mr. Park has been looking at is an original which we obtained from the Justice Department. With counsel's consent and the committee's consent I would ask that copies be received in evidence. Without that consent I would offer the original.

Mr. FLYNT. Do you have any objection?

Mr. HIBEY. I have no objection, subject to my being able to examine it at some moment. The copies we have received are not as clearly legible.

Mr. FLYNT. Would you like to see the original?

Mr. HIBEY. I need only look at it.

Thank you, and I have no objection to its being received.

Mr. FLYNT. Without objection, the copy of the original here from Mr. Rarick to Mr. Park will be received into the record at this point.

[Whereupon, Exhibit No. 1 was marked for identification and received in evidence.]

[Exhibit No. 1 follows:]

HOUSE OF REPRESENTATIVES,  
Washington, D.C., August 23, 1974.

Mr. TONGSUN PARK,  
Washington, D.C.

DEAR MR. PARK: I deeply appreciate the kindness and courtesy which you extended to me during our conversation yesterday.

I am off to Louisiana on Sunday but look forward to visiting with you again when I return to Washington in early October.

With kindest personal regards.

Sincerely,

JOHN R. RARICK,  
Member of Congress.

Mr. NIELDS. Mr. Park, did you ever give any other money to any other Congressman at Otto Passman's request?

Mr. PARK. Not that I recall. I think those two we just discussed are the only ones.

Mr. NIELDS. Had you ever given any money to Otto Passman personally?

Mr. PARK. I think that has been discussed. I think I don't have to go into that.

Mr. NIELDS. That is about \$50,000 a year starting in 1972?

Mr. PARK. Yes.

Mr. NIELDS. And that was in cash?

Mr. PARK. Mostly, yes.

Mr. NIELDS. Mr. Park, you have already said you did not meet Mr. Rarick again. Had you ever seen him before the meeting?

Mr. PARK. No; well, I think I might have seen him once or twice at those gatherings where most of the Louisianans were brought together because I used to go to some of those functions. But I don't have a specific recollection.

Mr. NIELDS. But you had never conversed with him before as you recall?

Mr. PARK. No.

Mr. NIELDS. And you had never given him any money before?

Mr. PARK. No.

Mr. NIELDS. Had you ever met Mr. Roybal before?

Mr. PARK. No.

Mr. NIELDS. Had you ever given him any money before? Had you ever seen Mr. Roybal again?

Mr. PARK. I believe I saw him after we met in Congressman Passman's office somewhere in the Rayburn Building. I recognized him and he said hello but I don't know whether he recognized me or not. But as many Congressmen do, they usually respond whether you know the person or not. But I seem to think I met the Congressman afterwards.

Mr. NIELDS. Did you ever give him any money again?

Mr. PARK. No.

Mr. NIELDS. Mr. Chairman, I have no further questions of this witness.

Mr. FLYNT. Mr. Hibey.

Mr. HIBEY. Mr. Park, I understand from your testimony on direct examination, that when you met Mr. Roybal in Mr. Passman's office you indicated to him, that is to Mr. Roybal, that "Congressman Passman asked me to give you a campaign contribution and I was happy to be helpful." Is that correct, sir?

Mr. PARK. That is correct.

Mr. HIBEY. Now, sir, is it fair to say you were not solicited for the payment of this campaign contribution by Congressman Roybal?

Mr. PARK. I think that is an accurate statement.

Mr. HIBEY. Did you ever ask Mr. Roybal to do anything for you then or at any time?

Mr. PARK. No. No.

Mr. HIBEY. Had you ever had any business relationship with Mr. Roybal or any member of his family?

Mr. PARK. No.

Mr. HIBEY. Did you talk to Mr. Roybal about rice or anything like that in that meeting?

Mr. PARK. No. There was no need. He is not from the rice-growing district.

Mr. HIBEY. That is correct. Do you know what district he is from, Mr. Park?

Mr. PARK. I think he is from the great city of Los Angeles.

Mr. HIBEY. That is correct. Thank you.

Mr. PARK. There was some information that was transmitted to me that some redistricting was involved or something.

Mr. HIBEY. What was said after you gave him the money? Did he say anything more than thank you?

Mr. PARK. No. I think again only it was an uneventful type of meeting, and my recollection concerning that money is not as vivid as the one I had with Mr. Rarick.

Mr. HIBEY. Do you recall that you testified in executive session on or about March 9, 1978, and made this statement, quote, "And Mr. Roybal said"—I am referring to page 1004, counsel—"Thank you very much. It will be very helpful to my campaign." And it was simple as that.

Do you recall giving that answer?

Mr. PARK. I think I said not from positive recollection but I felt that is something that Mr. Roybal said to me and usually that is the reaction that I get whenever I make the contribution to a Congressman.

Mr. HIBEY. I didn't see anything in this testimony, Mr. Park, which suggested a qualification on that particular statement. Do you dispute that statement was made, that you had said that Mr. Roybal said to you, "Thank you very much, it will be very helpful to my campaign"?

Mr. PARK. That is what I thought that he said at the time.

Mr. HIBEY. And do you think that, today, that is what he said?

Mr. PARK. I am not 100 percent positive but it doesn't make any difference one way or the other to me.

Mr. FLYNT. Mr. Hibey, may I interrupt you at this point?

Mr. HIBEY. Of course, Mr. Chairman.

Mr. FLYNT. We are having a vote and usually the procedure is for us to proceed until the second bells ring. I assume you have additional questions to ask this witness.

Mr. NIELDS. I have some additional questions.

Mr. FLYNT. The committee will suspend so that the members may repair to the floor of the House of Representatives to be recorded on this recorded vote, and I would request all members to return as soon as possible after they have recorded their vote. The committee will stand in recess until this vote is completed.

[Recess.]

Mr. FLYNT. The committee will come to order.

Mr. Hibey, you may proceed.

Mr. HIBEY. Before I proceed, Mr. Chairman, may I note the fact that there are present in the hearing room now only five members of the committee.

Mr. FLYNT. Mr. Bennett, I think, will be back promptly. You may suspend awaiting the additional members or you may proceed as you desire.

Mr. HIBEY. I appreciate that, Mr. Chairman. It is not my intention to be obstructive or dilatory.

Mr. FLYNT. I understand that. And I want to state for the record as we have stated earlier today, there is some question as to the appropriate number of members with which to conduct this hearing. There are those who feel that the rule provides that two members shall constitute a quorum for the purpose of receiving testimony and hearing evidence. The Chair, however feels, and I know at least some of the members of the committee agree with the Chair on this, that at this point in time we are doing more than receiving testimony and hearing evidence.

We are in effect sitting as a court. It is for that reason that the Chair delayed calling the meeting to order today until we had a quorum of seven. There are a number of members of the committee, as I am sure counsel is aware, who are out of town.

The Chair is going to do his best to maintain the largest number of committee members present for this hearing, and the Chair is going to, as always, try to be completely fair and objective. I know the respondent and counsel for respondent are just as anxious to conclude these proceedings as we are. Consistent with the Chair's determination to be fair, I am going to suggest—I am not going to insist—that at any time that the membership of the committee present drops below five, upon motion made by counsel we will suspend until we have five or more.

I would hope that counsel would concur in that and with the understanding that the Chair and the committee will make every effort possible to have a full attendance.

Mr. HIBEY. Mr. Chairman, I wish to state on the record that the Chair and the committee have accorded us every courtesy with respect to the attempt by the Chair to get a quorum of seven members into the hearing room, and I deeply appreciate that. I will concur with the suggestion of the Chair that we may proceed at this point with five members present. There will come a time when Mr. Roybal himself will testify, and I wish to express my wish on behalf of my client that as many members of the committee as possible be in attendance for the hearing of his statement.

Mr. HAMILTON. Mr. Chairman.

Mr. FLYNT. The gentleman from Indiana.

Mr. HAMILTON. Your statement puzzled me. I was under the impression that the rules were clear on the point that two members of the committee were sufficient for the taking of testimony. Am I correct in that?

Mr. FLYNT. The gentleman has stated the rule correctly but I think that the further statement by the Chair that when this committee is sitting as a court, as indeed we are at this time, it is the opinion of the Chair that it would be manifestly unfair to proceed with only two members present.

Mr. HAMILTON. I recognize, Mr. Chairman, that we would like to have all members of the committee present, but as you pointed out we have a very difficult situation confronting us with five members of the committee not able to be here. That leaves of us only seven upon which to draw. To maintain five of the seven here throughout the testimony, I think, will be extraordinarily difficult, given the other responsibilities of the Members of the House.

Mr. FLYNT. I recognize that.

Mr. HAMILTON. It does seem to me that a decision to require five members ought to be a decision reached by the committee.

Mrs. FENWICK. Mr. Chairman.

Mr. FLYNT. Yes, ma'dam.

Mrs. FENWICK. I do most earnestly concur with the remarks of the gentleman from Indiana. It seems to me the House is going to constitute the court and it is clear in the rules that two members are required for hearings.

Mr. FLYNT. The objections of the gentleman from Indiana and the gentlelady from New Jersey are duly noted; but this member of the committee, if he can possibly avoid it, will not conduct this hearing with only two members present. If anybody wants to appeal that ruling, I will entertain a motion to do so.

Mr. HAMILTON. I am not thinking of that, Mr. Chairman. I recognize that you and the members of the committee are in an extraordinary constitutional position. I know the chairman is making every effort to be fair in his position, and I appreciate and respect that. I did want to clarify the rules as I understood them to be.

Mr. FLYNT. As the Chair stated earlier, the gentleman stated the rule eminently correctly, but I think there comes a time when the rule of fairness should supersede the technical letter of the rule, and that is the ruling of the Chair.

I will say this, as Judge Preyer and Mr. Bennett have both indicated, they can and will be here possibly as early as 4 o'clock, certainly not later than 5.

I am certain we will give Mr. Roybal, the respondent in this case, an opportunity to be heard, when he takes the stand, by a full quorum of this committee, notwithstanding the rule of two constituting a quorum for the purpose of hearing testimony.

The Chair does not want to be dilatory in this, but the Chair is trying to conduct this matter in a judicious fashion because while this is not a judicial proceeding, the Chair and certain members of this committee are of the firm opinion this is a quasi-judicial hearing because of the results which could come from it.

The Chair will entertain a motion if anybody wants to make it. The Chair has stated his position on it. If there is any desire to overrule the ruling of the Chair, the Chair will certainly entertain such motion.

You may proceed if you wish, Mr. Hibey.

Mr. HIBEY. Mr. Park, you testified the occasion on which you made your campaign contribution to Mr. Roybal was in August 1974; is that correct?

Mr. PARK. As far as my recollection goes, yes.

Mr. HIBEY. Was it also your statement or is it fair to say, Mr. Park, that the way in which you are able to recall that particular date is by virtue of the letter which is staff exhibit No. 1, a letter dated August 23, 1974, sent to you by Mr. John Rarick, then a Member of Congress; is that correct?

Mr. PARK. What I think I stated is that I seem to, as to the time of the year involved, because of the Korean independence day celebration, and also there is a primary in Louisiana.

Mr. HIBEY. There were two incidents which cause you to remember: the independence day celebration in Korea, and the Louisiana primary, because you have friends, most notably Mr. Otto Passman.

Mr. PARK. And several others.

Mr. HIBEY. All of whom were involved in primaries around that time?

Mr. PARK. Yes.

Mr. HIBEY. The right peg in securing your knowledge that the occasion of the meeting with Mr. Roybal in Mr. Passman's office was in August 1974 was the personal letter of Mr. Rarick to you, dated August 23, 1974; is that not correct?

Mr. PARK. The specific date of August 22, as a date during which time I met Congressman Roybal, was something that I remember, and as a result of the letter, I think I was helped to remember more accurately. I think that is the statement I would like to make regarding your question.

Mr. HIBEY. So it is fair to say the letter secured your knowledge this event took place in August and on August 22, 1974?

Mr. PARK. The letter helped me to secure the date, not necessarily the approximate time. Because as I said earlier, relating to independence day and the Louisiana primary, I would have known it was August, but the letter helped me to identify specifically the date.

Mr. HIBEY. I want to go back, if I may, in order to clarify my own understanding. I do not mean to be duplicative, Mr. Chairman; I would look upon this as being the last area of questioning of the witness.

You did testify in March 1978 that Mr. Roybal said, "Thank you very much. It will be very helpful to my campaign."

Do you recall making that statement in your testimony March 9, 1978.

Mr. PARK. Yes.

Mr. HIBEY. If the Chair will indulge me a moment—I have no further questions of the witness under cross-examination.

Mr. FLYNT. Do you have redirect, Mr. Nields?

Mr. NIELDS. No, Mr. Chairman.



Mr. FLYNT. Mr. Park, will you step down.

You may call your next witness.

Mr. PARK. I just wanted to say I enjoyed being with you again and especially seeing Congressman Quillen, because I spent as many as 9 months, which represents a great many of my formative years, in Bristol, Tenn.

Mr. QUILLEN. King College is a wonderful college, and thank you very much for pointing out you know about Bristol. That is a fine, fine college.

Mrs. FENWICK. You have touched upon a sensitive nerve.

Mr. PARK. Thank you. And with the exception of Chairman Flynt, I wish you all success in your reelection.

Mr. FLYNT. Call the next witness.

Mr. NIELDS. Mr. Chairman, at this time I would like to offer in evidence a stipulation between counsel for the staff and counsel for the respondent.

Mr. FLYNT. Have you shown it to counsel for respondent?

Mr. NIELDS. It has been signed by counsel for respondent and has been marked as committee exhibit A, and I would ask permission to enter it into evidence and read it. (See exhibits.)

Mr. FLYNT. With no objection the stipulation will be received for the record at this point and counsel may read it if he so desires.

Mr. NIELDS. It is hereby stipulated and agreed by and between John W. Nields, Jr., Chief Counsel, Special Staff, House Committee on Standards of Official Conduct, and Stanton D. Anderson, Esq. and Richard Hibey, Esq., counsel for respondent Edward R. Roybal, that the attached copies of reports filed with the Clerk of the House of Representatives marked as exhibits 2-17 are true and accurate copies of all reports of receipts of campaign contributions received by Mr. Roybal or any committee acting on his behalf which were filed with the Clerk of the House of Representatives with respect to contributions received during the year 1974.

At this time, Mr. Chairman, I would like to offer into evidence and into the record of this hearing exhibits 2 through 17.

Mr. HIBEY. No objection, Mr. Chairman.

Mr. FLYNT. Without objection, exhibits 2 through 17 will be received for the record at this point.

[Whereupon, committee hearing exhibits 2 through 17 were received in evidence (see "exhibits").]

Mr. NIELDS. I would like to indicate for the record that Tongsun Park's name did not appear on any of these exhibits, 2 through 17, which have just been taken into the record.

Mr. FLYNT. The record will speak for itself.

Mr. NIELDS. The next witness is Roger Johnson.

#### TESTIMONY OF ROGER JOHNSON

Mr. FLYNT. Mr. Johnson, will you raise your right hand.

Do you solemnly swear that the testimony you will give before this committee in the matter now under consideration will be the truth, the whole truth, and nothing but the truth, so help you God?

Mr. JOHNSON. I do.

Mr. NIELDS. Your name is Roger Johnson?

Mr. JOHNSON. Yes; that is right.

Mr. FLYNT. You may proceed.

Mr. NIELDS. Mr. Johnson, in 1974 were you the campaign committee treasurer for Edward Roybal?

Mr. JOHNSON. Yes, I believe I was.

Mr. NIELDS. In such capacity, was it your responsibility to report contributions received by his campaign committee?

Mr. JOHNSON. Yes.

Mr. NIELDS. Did Mr. Roybal ever tell you during the year 1974 that he had received a contribution from a man named Tongsun Park?

Mr. JOHNSON. No; he did not.

Mr. NIELDS. Did Mr. Roybal ever personally give you \$1000 in cash in 1974 for deposit into the campaign account?

Mr. JOHNSON. No; he did not.

Mr. NIELDS. Into what account were campaign contributions put for the Roybal campaign committee in 1974?

Mr. JOHNSON. The Roybal campaign committee.

Mr. NIELDS. Account?

Mr. JOHNSON. Yes.

Mr. NIELDS. Was that at the——

Mr. JOHNSON. Security Pacific National Bank.

Mr. NIELDS. Thank you. I have no further questions of this witness, Mr. Chairman.

Mr. HIBEY. How long have you known Mr. Roybal?

Mr. JOHNSON. Since 1947.

Mr. HIBEY. Have you worked in his campaign since he entered public life?

Mr. JOHNSON. Yes; I have been his campaign manager.

Mr. HIBEY. Do you know others who know Mr. Roybal?

Mr. JOHNSON. I know many.

Mr. HIBEY. Have you ever heard his reputation for truthfulness and honesty discussed in the community? And if so, do you know what that reputation is?

Mr. NIELDS. Mr. Chairman, I would like to state for the record at this time that I believe these questions are relevant to what is termed the second phase of hearings. I have no objection to having this put in at this time, just with the understanding that this is under the committee's rules relevant to the second phase of the hearings.

Mr. FLYNT. With that understanding, you may proceed.

Mr. HIBEY. Do you know what the reputation of Mr. Roybal is for truthfulness or honesty?

Mr. JOHNSON. His reputation is excellent or I would not be with him.

Mr. HIBEY. During the years you have been involved with Mr. Roybal's campaigns have there been any complaints of campaign irregularity or in the campaign accounts ever been made by anyone?

Mr. JOHNSON. Never.

Mr. HIBEY. Do I take it, sir, you share the opinion of his excellent reputation.

Mr. JOHNSON. I certainly do.

Mr. FLYNT. Redirect?

Mr. NIELDS. No redirect, sir.

Mr. FLYNT. Mr. Johnson, you may step down. Mr. Nields.

Mr. NIELDS. At this time, I would like to offer in evidence a stipulation marked "exhibit B."

Mr. FLYNT. Stipulation B or exhibit B?

Mr. NIELDS. The stipulations are marked A and B; the actual exhibits are numbered numerically.

Mr. FLYNT. Any objection?

Mr. HIBEY. No objection.

Mr. FLYNT. You may proceed with that.

Mr. NIELDS. Is that received for the record?

Mr. FLYNT. It is (see "exhibits.")

Mr. NIELDS. Without objection, I would like to read it.

Mr. HIBEY. No objection.

Mr. NIELDS. It is hereby stipulated and agreed by and between John W. Nields, Jr., Chief Counsel, House Committee on Standards of Official Conduct, and Stanton D. Anderson, Esq., and Richard HibeY, Esq., counsel for Edward R. Roybal, that if called, Stephen C. Newkirk would testify as follows:

1. That he is assistant vice president for security Pacific National Bank, Civic Center Branch, 110 South Spring Street, Los Angeles, Calif., and is familiar with records relating to the account maintained at the bank by the Roybal campaign committee;

2. That exhibit 18 is a copy of a record maintained by the bank in the ordinary course of its business which reflects all deposits into and checks written on the Roybal campaign committee account for the year 1974;

3. That exhibits 19-31 are copies of deposit tickets maintained by the bank in the ordinary course of its business reflecting deposits into the Roybal campaign committee account and indicating, with respect to each deposit, the number and size of the checks deposited and the total amount of currency deposited.

At this time, Mr. Chairman, I would like to offer in evidence at this hearing exhibits 18 through 31.

Mr. FLYNT. Any objection?

Mr. HIBEY. No objection, Mr. Chairman.

Mr. FLYNT. Without objection, exhibits 18 through 31 will be received for the record at this point. (See exhibits.)

Mr. NIELDS. I would like to state for the record, there are six deposits in exhibit 18 in amounts in excess of \$250 during and after August 1974, and for each such deposit, there is a deposit ticket which has been placed in evidence. The only ticket showing cash is for October 29, which shows cash of \$365.

Mr. FLYNT. The record will speak for itself.

Mr. NIELDS. Mr. Chairman, at this time, I offer in evidence exhibit No. 33, which is a transcript of the deposition of Edward R. Roybal on February 1, 1978.

Mr. FLYNT. Is there objection?

Mr. HIBEY. No objection, Mr. Chairman.

Mr. FLYNT. Without objection, exhibit 33 will be received for the record at this point. (See exhibits.)

Mr. NIELDS. Mr. Chairman, I request permission to read excerpts of that deposition into the record at this time.

Mr. FLYNT. Any objection to reading it by excerpts?

Mr. HIBEY. If the choice is between reading the entirety of the transcript or the excerpts, no objection. I only ask that the entirety

of the transcript be placed in the record and the committee in its deliberation read the entire transcript.

Mr. FLYNT. Without objection, the entire transcript will be received for the record, (see *Exhibits*) and without objection the committee counsel may read excerpts.

Mr. NIELDS. This is on page 5 of the transcript: Question. "Do you know a man named Tongsun Park?"

Mr. HIBEY. Excuse me, Mr. Nields, may I inquire, is that which is going to be read that which has already been specified in counts 3 and 5 of the complaint, or are you specifying more than that?

Mr. NIELDS. More than that.

*Question.* Do you know a man named Tongsun Park?

Answer. I know him by sight and reputation.

*Question.* Have you ever met him?

Answer. I don't think I have ever met him personally but he is known by every member of the House and Senate.

*Question.* Do you mean by reputation or because you have seen them?

Answer. No, by reputation. I think we know every lobbyist in the House of Representatives and he was just another lobbyist.

Turning to page 9, again with respect to Tongsun Park.

*Question.* Do you remember him being pointed out to you by anyone in particular?

Answer. Not necessarily pointed out, but when you are around here long enough, you more or less get information via the process of osmosis, so you have a pretty good idea as to who is doing the lobbying on Capitol Hill. If a member of Congress did not know that, he is not alert to know what is going on.

*Question.* Did Tongsun Park ever make any gift to you?

Answer. No.

*Question.* Did he ever offer to make a gift to you?

Answer. No.

*Question.* Did he ever make a contribution to any of your campaigns, either directly to you or to one of the committees which supported you?

Answer. He never made a contribution directly to me and if a contribution was made to my campaign, it would show up in the reports I have given you. I have read some of these reports and I see no evidence of the fact Mr. Park made a contribution to the campaign at all. I don't think he would be particularly interested in making a contribution to a Californian, anyway.

*Question.* But I take it what you are saying is that you have no knowledge of his ever making a contribution to any of your campaigns?

Answer. I not only have no knowledge, but I have no evidence in records I have that he ever made a contribution to my campaign and I see no reason why he should have made a contribution to my campaign, since first of all I was not high enough on either the Committee on Foreign Affairs or Foreign Operations, never handled any of the Korean legislation, I was most particularly interested in the Middle East and Latin America. Any legislation that came through the committee, that is the Committee on Foreign Operations, that included the Middle East, I would be personally involved in that, and involved also in those matters which affected Latin America.

*Question.* Did Tongsun Park, to your knowledge, ever offer to make a contribution to any of your campaigns?

Answer. No, he never did.

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*Question.* To your knowledge, has any Korean national made a contribution to any of your campaigns for Congress?

Answer. I have in my district, a place called Little Korea and I have fund raisers in my own district and I assume that there have been Koreans who have made contributions to my campaign, that is by buying, perhaps, a ticket to one of my fund raisers. If that is the case, then the name of that particular individual will be found in the records I have given you.

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*Question.* Have you ever talked to Congressman Passman about rice?

Answer. I have talked to Congressman Passman about rice for Korea. Congressman Passman was quite interested in Korea. In fact, all legislation pertaining to Korea was handled by Mr. Passman. He gave me the opportunity to deal with the Middle East and Latin America. On various occasions together with statements made on the floor, indicated he was interested in Korea. There is no secret of the fact that he did promote the best interests of Korea. He was interested in Korea as a whole. But never did I hear Congressman Passman ask me or anyone in the committee about anything with regard to the sale of rice directly.

*Question.* Did he ever mention the name Tongsun Park to you?

Answer. I don't remember that he ever mentioned the name Tongsun Park to me, no.

*Question.* Did you ever attend a luncheon—

Answer. But everyone around knew Tongsun Park, so when one was talking about the sale of rice to Korea, you did not have to be too smart to know who was handling. Everyone knew it, including the clerks around here. Anyone who has been on the Hill for any time at all and was familiar with the needs of Korea for more rice; and if they did know, they suspected that Mr. Park was a rice broker and was involved and would get the business.

That completes the reading of that exhibit.

I will next call a witness, Mr. Chairman.

Mr. FLYNT. Before we call the next witness, the bells indicate a recorded vote is in progress before the House. The members may go to the floor of the House to record their votes and the committee will resume its hearing as soon as possible after the vote is completed. In the event there are to be a series of votes following this one, those parties present will be notified and the committee members may wait until the series of votes is completed. However, if there is only one vote, at the time I request, subject to that understanding, the committee will stand in recess until the votes have been completed.

[Recess.]

Mr. FLYNT. The committee will come to order.

Mr. NIELDS, will you call your next witness.

Mr. NIELDS. Mr. Chairman, I call Mr. Harris.

#### TESTIMONY OF JEFFREY HARRIS, DEPUTY CHIEF COUNSEL, COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT, SPECIAL STAFF

Mr. FLYNT. Do you solemnly swear that the testimony you will give before this committee in the matter now under consideration will be the truth, the whole truth, and nothing but the truth, so help you God?

Mr. HARRIS. Yes; I do.

Mr. FLYNT. Are you Mr. Jeffrey Harris?

Mr. HARRIS. Yes, I am, Mr. Chairman.

Mr. FLYNT. A member of the special staff of this committee?

Mr. HARRIS. That is correct, Mr. Chairman.

Mr. FLYNT. You may proceed.

Mr. NIELDS. Mr. Harris, exactly how are you employed?

Mr. HARRIS. I am Deputy Chief Counsel of the Special Staff of the Committee on Standards of Official Conduct, specifically working on the Korean influence investigation pursuant to House Resolution 252.

Mr. NIELDS. Have you had a conversation with Edward Roybal?

Mr. HARRIS. Yes; I have.

Mr. NIELDS. Approximately when was that?

Mr. HARRIS. It was approximately the 10th of March 1978. I think it is approximate. It might have been 2 or 3 days later, on Monday the 13th.

Mr. NIELDS. How did the conversation come about?

Mr. HARRIS. Well, in midafternoon, Mr. Nields, you informed me that you were going to Mr. Roybal's office and you asked me to accompany you. We went to Mr. Roybal's office about 3 in the afternoon.

Mr. NIELDS. Who was there?

Mr. HARRIS. We went into his outer office where there were some office personnel and were shown into his private office, and it was yourself and myself and Mr. Roybal.

Mr. NIELDS. Did we have a conversation?

Mr. HARRIS. Yes; we did.

Mr. NIELDS. Can you give your best recollection of what was said by Mr. Roybal, what the full conversation was?

Mr. HARRIS. Yes; Mr. Roybal stated that he had heard through a reporter that he had been mentioned by Tongsun Park in his then private testimony. He stated that he had been in touch with Mr. Flynt and Mr. Swanner about it and had been told by them that you were the person that he should contact.

He contacted you and asked, he stated, to see you, and hence we were in his office.

He said that he had heard that Park mentioned that he had received some money, that Mr. Roybal had gotten a contribution from Mr. Park, and Mr. Roybal said there are only two explanations that he could think of that could explain how Park could so testify.

He stated that, first, he had a large Korean population in his district known as Little Korea and there was a possibility that if Park gave him money it had come through Little Korea. He stated the second possibility was that it came through Mr. Passman, and he went on to explain that he had received \$1,000 from Mr. Passman to buy dinner tickets for a dinner that Mr. Roybal was running.

Mr. Passman said that the money came from a supporter of Passman's, and Mr. Roybal said he received this \$1,000 from Passman, and gave away the tickets that the \$1,000 was to buy to senior citizens in his district so they could attend the dinner.

Mr. BENNETT. You meant Mr. Roybal said that instead of Mr. Passman said that?

Mr. HARRIS. That is exactly what I meant. Mr. Roybal was doing the talking. Thank you, Mr. Bennett.

Mr. Roybal said the tickets purchased with that money were given to senior citizens in his district so they could attend the dinner.

Mr. Nields, you then asked Mr. Roybal whether it was possible that he was given the money by an oriental person in Mr. Passman's office rather than given by Mr. Passman. Mr. Roybal said that was not possible, that as a matter of fact he had never received a contribution face to face from an oriental person, and with that we concluded the conversation and you and I left.

Mr. NIELDS. I have no further questions of Mr. Harris.

Mr. FLYNT. Mr. Hibey.

Mr. HIBEY. How long have you been working on the investigation involving Korean influence?

Mr. HARRIS. Since April 1977.

Mr. HIBEY. This has been your sole and exclusive work since that date in the month of April and the year 1977?

Mr. HARRIS. That is correct.

Mr. HIBEY. Mr. Harris, you have been performing the function of lawyer on the staff; is that correct?

Mr. HARRIS. Yes, sir.

Mr. HIBEY. As an attorney on the staff, you are responsible for the investigations that are being conducted in the name of the staff; is that right?

Mr. HARRIS. I think that is a fair statement, Mr. Hibey.

Mr. HIBEY. Is it also a fair statement that the time, place, and circumstances of an event which you are investigating are critical to your understanding of facts in a particular case? Would that be fair to say?

Mr. HARRIS. It would be fair to say to a point.

Mr. HIBEY. Well now, let's explore that point, if we can. It is important to know, I take it, when a particular event took place; isn't that right?

Mr. HARRIS. Generally speaking, you are correct.

Mr. HIBEY. It is important to know, for instance, not only whether Mr. Park made a contribution to someone, in this case Mr. Roybal, but also when it took place; wouldn't that be fair to say?

Mr. HARRIS. In that context I would say that is critical, yes.

Mr. HIBEY. Wouldn't it also be critical to know exactly when certain facts came to your attention in order for us to be able to assess the accuracy of them; yes or no?

Mr. HARRIS. Yes and no. There are situations in which the exact time or date would be critical. There are situations in which it would be more benign, but there are situations in which what you say is very true, Mr. Hibey.

Mr. HIBEY. Because isn't it also true from the standpoint of the investigator he wants to be sure of his facts so that he is not mistaken in his reportage of them? Wouldn't that be fair to say?

Mr. HARRIS. I would say that that is correct also, Mr. Hibey.

Mr. HIBEY. Mr. Harris, it is all part of being careful in the preparation of one's investigation, isn't it?

Mr. HARRIS. To an extent, I would agree with you.

Mr. HIBEY. You have some hesitation over that proposition, sir.

Mr. HARRIS. I have some hesitation with your basic proposition that dates and times are always critical. I think there are situations in which they are important and I think there are situations in which they are not important. Sometimes they are and sometimes they aren't.

Mr. HIBEY. Do you have an example immediately in mind of a situation where dates are not important?

Mr. HARRIS. Yes. I could give you almost any example. Let's do it in the context.

Mr. HIBEY. Of this case?

Mr. HARRIS. Of this case. I would say that in a case in which we are trying to determine whether, for example, someone filed an election report and received a contribution, that it might be impor-

tant to know in which year it was received or in which reporting period, but not what day. And specifically I have in mind the case of Mr. Brademas, for example.

Mr. HIBEY. All right. When did you attend the meeting of Mr. Niels and Mr. Roybal in this case?

Mr. HARRIS. When did I attend it? You mean the date?

Mr. HIBEY. The date.

Mr. HARRIS. It was either Friday the 10th or Monday the 13th of March.

Mr. HIBEY. How did you fix that date?

Mr. HARRIS. My recollection.

Mr. HIBEY. Just on the basis of your recollection? Was it not aided by anything?

Mr. HARRIS. Well, it was aided by the fact that it occurred during the period that Mr. Park was testifying in private before the committee and that span of time I used as a reference.

Mr. HIBEY. You are not saying that it happened at the time that Mr. Park was in executive session?

Mr. HARRIS. You see, Mr. Park was in executive session over a period of time and there were certain days in which he did not testify. One day, for example, he was ill and it did happen during that span of time between the first and his last executive session.

Mr. HIBEY. In the month of March 1978, from information supplied to me by Mr. Niels—and I would stand corrected if the information is not as I am now stating it—Mr. Park testified in executive session on March 1, March 2, March 6, 7th, 8th, and 9th, and not at any other time during March; is that correct?

Mr. HARRIS. I don't know that, Mr. Hibey.

Mr. HIBEY. Will you stipulate to that, Mr. Niels?

Mr. NIELDS. Yes.

Mr. HIBEY. Will you stipulate also that Mr. Park did not testify in executive session in the month of April 1978?

Mr. NIELDS. I just don't recall. But if that is what I told you when I gave you a written summary, I am sure that is true.

Mr. HIBEY. That is what you told me orally. I have not received a written summary to that effect, so I represent to you that is what you told me on the phone, Friday, September 8.

Mr. HARRIS. Mr. Hibey, would you give me the starting date? I just don't recall what you just said. Did you say March 1?

Mr. HIBEY. March 1st, 2nd, 6th, 7th, 8th, and 9th.

Mr. HARRIS. That was the 2-week period he testified, that is right.

Mr. HIBEY. But he did not testify in April 1978, to your knowledge; is that correct?

Mr. NIELDS. Mr. Chairman, I think now I have a recollection he did testify later in March and I so notified Mr. Hibey. I think it was March 31.

Mr. HIBEY. I am asking now about April.

Mr. HARRIS. I don't know whether there were other sessions of Mr. Park after what I consider the main session, but I did not attend them so I cannot give you an answer. It is just not within my knowledge, Mr. Hibey.



Mr. HIBEY. Is it usually the investigator's function to memorialize in writing an occasion in which he is performing an investigative act?

Mr. HARRIS. I guess investigators do that, yes.

Mr. HIBEY. I take it that lawyers who are involved in investigations do the same thing, wouldn't you agree?

Mr. HARRIS. Well, as a general proposition, yes. I can't agree universally.

Mr. HIBEY. But as a general proposition you would so agree; isn't that correct?

Mr. HARRIS. Yes.

Mr. HIBEY. If you had a number of cases involving a multitude of complex or simply numerous facts, sound judgment would seem to dictate that the attorney or the investigator would keep memoranda on his various investigative acts; is that right?

Mr. HARRIS. As a general proposition I agree again, Mr. Hibey.

Mr. HIBEY. You did that in this case, did you not?

Mr. HARRIS. I made a memorandum of the conversation of Mr. Roybal in April, April 19 perhaps.

Mr. HIBEY. Yes; Mr. Harris, April 19, 1978, you made a memorandum of the conversation you had on March 13, 1978; is that correct?

Mr. HARRIS. If that is the date on my memorandum, that is correct, Mr. Hibey.

Mr. HIBEY. Do you have a copy of your memorandum with you?

Mr. HARRIS. No; I don't.

Mr. HIBEY. Could counsel provide you with a copy of the memorandum?

Before you examine the memorandum, would your recollection be fresher of the occasion in which you talked to Mr. Roybal if you were to have memorialized that occasion on the 13th of March of 1978, as opposed to the 19th of April, 1978?

Mr. HARRIS. As to certain peripheral facts there is no doubt that you are right. As to certain other facts, namely what I was speaking to here from Mr. Roybal and what I was principally briefed on before I went, you are wrong.

Mr. HIBEY. So what you are telling me is that you were exercising judgment as to which facts were important to you and which facts were not important?

Mr. HARRIS. That is not what I am telling you. You asked me would my memory be fresher as to the facts or the circumstances of the meeting if I had memorialized it immediately, and I am telling you that as to certain facts you are undoubtedly correct. For example, something has occurred to me since I wrote the memo that is not in the memo, and that is something Mr. Roybal said, which was a passing remark, that when you are on a committee chaired by Mr. Passman and you got a call from the chairman, you went.

I now remember that. That is not in my memorandum.

Mr. HIBEY. It is something you have not testified to either previously; is that correct?

Mr. HARRIS. That is correct.

As to Mr. Roybal's explanation, as to the possibilities where or how Park could have said that he gave money to Mr. Roybal, my

memory will be as fresh on that I suspect 5 years from today as it was the day I heard it.

Mr. HIBEY. You testified in this room just a few minutes ago that one of the possible explanations was that the money was received through Otto Passman. Did you not just testify to that?

Mr. HARRIS. From Passman.

Mr. HIBEY. I said through. You testified "through," did you not?

Mr. HARRIS. I don't think I did. And if I did, what I meant to say was from Passman—

Mr. HIBEY. Would it be fair to say if you did, you were mistaken?

Mr. HARRIS. No, no, no. I don't know what I said, and absent the record being read back let me say this. What I intended to say is that Mr. Roybal said if he got money from Park it was given from Park to Passman to Roybal.

Mr. HIBEY. I don't understand why you would say you don't know what you said a few minutes ago, yet you are able to remember what Mr. Roybal said when you memorialized this thing 5 weeks later. Would you explain that to me.

Mrs. FENWICK. I think that is important.

Mr. HARRIS. I do not know the exact quote I said a few minutes ago, what I am saying to you any more than you can tell me what you said verbatim a few minutes ago. But what I am telling to you as a lawyer, it was critical in this case what Mr. Roybal was going to say, if anything, about the allegations that he had heard that Park had named him.

Mr. HIBEY. Doesn't that underscore the necessity on the part of an attorney investigating these kinds of charges to memorialize that thing immediately? Why did you wait 5 weeks?

Mr. HARRIS. I am glad you asked that. I have been waiting for you to ask that. I waited 5 weeks, Mr. Hibey, because I went along at Mr. Niels' request. I was not and am not the attorney responsible for the situation involving Mr. Roybal.

Mr. HIBEY. So I understand—

Mr. HARRIS. Please let me finish. As such, I expected he would make a memorandum of it and I would not, as is my practice, when I ask someone who is not on the case involved to come along with me. Mr. Niels came to me at a later date and said, "Did you memorialize it in a memorandum?"

I said, "No."

He said, "I suggest you do it. And I do not want to discuss this matter with you until you put down on paper what you recall because I want your memorandum to be your recollection."

Mr. HIBEY. So you did not go in there as being the person who was to memorialize the event; is that correct?

Mr. HARRIS. That is correct.

Mr. HIBEY. It was 5 weeks later that you became that person to memorialize the event; is that correct?

Mr. HARRIS. I think, as you well know, Mr. Hibey, Mr. Niels also memorialized it.

Mr. HIBEY. I am well aware of that, Mr. Harris.

Please look at the exhibit. We have established the date as April 19, 1978; is that correct?

Mr. HARRIS. Yes.

Mr. HIBEY. Would you read aloud the first sentence of the memorandum.

Mr. HARRIS. "At midafternoon, Nields and I went to Congressman Roybal's office at his request."

Mr. HIBEY. At midafternoon; is that correct, sir?

Mr. HARRIS. I just read that, yes.

Mr. HIBEY. On April 19, 1978?

Mr. HARRIS. No.

Mr. HIBEY. Then is it fair to say that this statement is not a true and accurate statement?

Mr. HARRIS. No. The date, if you were familiar with our filing system—

Mr. HIBEY. I am not.

Mr. HARRIS. Then if you would like me to finish, I will familiarize you.

Mr. HIBEY. Please finish.

Mr. HARRIS. The date is the date of the drafting of the memorandum, not the event.

Mr. HIBEY. But how is anyone to tell from this document? Isn't it a fair reading of this document, Mr. Harris, that the interview which you report in this memorandum took place on April 19, 1978? Is that not a fair reading of this document?

Mr. HARRIS. Not to my way of thinking, Mr. Hibey.

Mr. HIBEY. Show me where in this document you make reference to the date March 13 or March 13, 1978?

Mr. HARRIS. I don't, and the reason is because I was not sure of the exact date. I have testified I am not certain to this day. And if that were a critical fact, I could not tell you for certain about the exact date this took place within the scope I have set.

Mr. HIBEY. Would you grant me this, sir, that the memorandum as it stands dated April 19, 1978, and beginning with the first sentence that you have now read aloud for this committee to hear, that this memorandum cannot possibly be construed to memorialize the occasion of March 13, 1978, which occasion you have testified to on direct examination? Yes or no?

Mr. HARRIS. I can't do that, Mr. Hibey.

Mr. HIBEY. Why?

Mr. HARRIS. Well, because it is clear, as I told you, from our filing system—although not perhaps to you—the date it was memorialized in writing was April 19. It specifically does not mention a date, so—

Mr. HIBEY. But is it not fair to construe the date April 19 as the midafternoon occasion of this interview?

Mr. HARRIS. I do not think so. I am willing to concede if you did not know the fact about the system in which we draft memoranda—which, by the way, are not drafted for your benefit, although incidentally in this position you are certainly entitled to have them all, you might arrive at that conclusion.

Mr. HIBEY. That conclusion is a mistaken one, is it not?

Mr. HARRIS. Yes; it is.

Mr. HIBEY. A mistake concerning the date on which something occurred; isn't that correct?

Mr. HARRIS. It would be your mistaken conclusion, that is correct, Mr. Hibey.

Mr. HIBEY. No further questions of the witness on cross.

Mr. FLYNT. Redirect?

Mr. NIELDS. No questions, Mr. Harris.

Mr. FLYNT. You may come down, Mr. Harris. Mr. Nields.

Mr. NIELDS. Mr. Chairman, at this time I would like to offer into the record hearing exhibit No. 34, which is the executive session testimony of Edward R. Roybal, April 25, 1978.

Mr. FLYNT. Is there objection?

Mr. HIBEY. No objection, Mr. Chairman.

Mr. FLYNT. Without objection, the committee hearing exhibit No. 34 will be received in the record.

[Whereupon, committee hearing exhibit No. 34 was identified and received in evidence.]

Mr. NIELDS. Mr. Chairman, I request permission to read excerpts of this deposition into the record at this time.

Mr. FLYNT. Any objection to reading excerpts instead of reading it in its entirety, Mr. HibeY?

Mr. HIBEY. I have no objection so long as the entire transcript will be in the record and members of the committee will have the opportunity to read it.

Mr. FLYNT. You may proceed.

Mr. NIELDS. Thank you, Mr. Chairman.

Page 2:

*Question:* Have you ever received a contribution from a man named Tongsun Park?

Mr. ROYBAL. Yes; now in retrospect I have.

Mr. NIELDS. When was what?

Mr. ROYBAL. Some time in 1954. Excuse me, 1974.

Mr. NIELDS. And where did you receive it?

Mr. ROYBAL. In the office of Congressman Passman.

Mr. NIELDS. And how did you happen to be in the office of Congressman Passman?

Mr. ROYBAL. Well, I had served with Congressman Passman on the Committee on Foreign Operations during 1972 and 1973. During those 2 years, he often asked me to go to his office to meet people that had come from foreign countries. Most of them were from Korea.

I also met with him in the Rayburn Building, with people from Korea, and during that time he also gave me the responsibility of meeting with anyone that came from Latin America.

When I was summoned again to his office, and, incidentally, you have to know Mr. Passman to know that when he asks anyone on this committee to go to his office, you just went to his office—when I was summoned to go to his office in 1954, I went there thinking that again I was going to meet some of his Korean friends, and I was there at his request.

Mr. NIELDS. First of all, I take it you mean 1974?

Mr. ROYBAL. I keep saying 1954, don't I? I am sorry, it is 1974.

Page 8:

*Question:* And who was the person who gave you the money?

Mr. ROYBAL. Someone of Korean descent gave me the money that I now assume to be Mr. Park.

Page 10:

Mr. NIELDS. What did you do with the funds that you received from Mr. Park?

Mr. ROYBAL. Well, we put them in the general cash flow of the Campaign Committee.

Mr. NIELDS. What does that mean?

Mr. ROYBAL. That means it became part of the campaign moneys which was recorded as part of the campaign money that came into the committee at that time.

Page 11:

Mr. NIELDS. Did you tell anyone what the source of the \$1,000 was?

Mr. ROYBAL. No; I just turned the money in.

Mr. NIELDS. And who did you turn it in to?

Mr. ROYBAL. I turned it in to either the chairman of the committee who was involved at that time or to my secretary who usually made the deposits.

Mr. NIELDS. Who was your secretary?

Mr. ROYBAL. Dianne Lewis.

Page 12, line 19:

Mr. NIELDS. Is it possible that you gave this money to someone other than Dianne Lewis?

Mr. ROYBAL. Oh, it is possible, but not probable. I am sure that I gave it to Dianne Lewis.

Mr. NIELDS. Can you tell us the name of anyone else to whom you might have given it?

Mr. ROYBAL. The only other one that I might have given it to would have been the treasurer of the committee, which was Roger Johnson, but I don't think that I did. I think I gave it to Dianne Lewis.

Mr. NIELDS. Did I understand correctly from what you said a moment ago that this money was treated as having been used to purchase tickets?

Mr. ROYBAL. This money was used, as I have said before, to purchase tickets, and the tables were set aside to senior citizens that we invited. I do that all the time.

Mr. NIELDS. When in 1974 did you have such a dinner?

Mr. ROYBAL. Well, we had one dinner in February of 1974. We had two or three other functions during the year.

Mr. NIELDS. And might it have been one of these other functions that the money was received in connection with?

Mr. ROYBAL. No, I don't think so. I think the money was received in February for the February dinner.

Mr. NIELDS. Have you spoken to Dianne Lewis within the last 3 months or so about this contribution?

Mr. ROYBAL. Yes; I have.

Mr. NIELDS. And what did you say to her and what did she say to you?

Mr. ROYBAL. I asked her if she had any record of my giving her that money and she said she did, that it had been deposited, and that you now have the deposit slip.

Page 26:

Mr. DESMOND. Mr. Roybal, is there any reason why you would not give Tongsun Park credit for contributing \$1,000 to your campaign?

Mr. ROYBAL. First of all, I didn't know what his name was at the time.

Further down on page 27:

Mr. NIELDS. Congressman, you testified that you didn't know his name at the time. Surely Mr. Passman introduced you to him, didn't he?

Mr. ROYBAL. Mr. Passman introduced me to a lot of people whose name he probably mispronounced the way he mispronounced, and during all the time that I served with Mr. Passman, he never pronounced my name right once.

Mr. NIELDS. But he did introduce you to Mr. Park using some name?

Mr. ROYBAL. Introduced me to his very dear friend, using some name, and he introduced me to Mr. Park as his very dear friend, mispronouncing my name.

Mr. NIELDS. Did you ask Mr. Park what his name was?

Mr. ROYBAL. No.

Mr. NIELDS. But he was giving you a thousand dollar contribution which you were required to report by name.

Mr. ROYBAL. Yes.

Mr. NIELDS. But you did not ask him his name.

Mr. ROYBAL. No, I did not.

Mr. Chairman, the next witness is Dianne Lewis.

Mr. FLYNT. Call Dianne Lewis.

#### TESTIMONY OF DIANNE LEWIS, AN EMPLOYEE OF REPRESENTATIVE EDWARD R. ROYBAL

Mr. FLYNT. Ms. Lewis, before taking the stand, would you remain standing and raise your right hand. Do you solemnly swear the

testimony you give before this committee in the matter now under consideration will be the truth, the whole truth, and nothing but the truth, so help you God?

Ms. LEWIS. Yes.

Mr. FLYNT. You are Ms. Dianne Lewis?

Ms. LEWIS. Yes, sir.

Mr. FLYNT. Are you accompanied by your counsel, Mr. Russell Gaspar?

Ms. LEWIS. Yes, sir.

Mr. FLYNT. You may proceed, Mr. Niels.

Mr. NIELDS. Mr. Chairman, for reasons which I am sure you can understand and I think we can all understand, this has been an extremely difficult situation for Ms. Lewis. She has requested that rather than respond to questions from me, at least at the outset, she be permitted to give her testimony as a brief statement.

The risk of following such a procedure, in my view, is that the witness does not know the relevant issues and may give testimony on things which the committee would rather not hear. However, her counsel has been over it with her. He is aware of the relevant issues, and I have no objection if it makes her more comfortable for her to give a statement and then to be examined on that by me and then Mr. Hibey. But I think that is up to the committee to decide and to find out whether Mr. Hibey has any objections.

Mr. FLYNT. Mr. Hibey, do you have any objection?

Mr. HIBEY. Mr. Chairman, I certainly do not want this witness to feel any great discomfort, any greater than she is experiencing by having to participate in proceedings such as this one. I have not had the opportunity to confer with her. I have talked to counsel. I simply do not know what her prepared remarks will entail. I do not mean to suggest any apprehension on my part but since Mr. Niels does raise the existence of the possibility, let me say that I have no objection to the format, that perhaps if the proposed statement is something that since it will be read to the committee, might be shared with counsel, a brief opportunity to peruse it, we might be able to go forward very quickly thereafter.

Mr. NIELDS. I do not understand that there is a written statement.

Mr. GASPAR. So there is no confusion, there is no written statement. It is just that Ms. Lewis would be a little more comfortable if she were permitted to describe her knowledge of events relevant to this inquiry in narrative form rather than in direct response to questions.

Mr. HIBEY. I am not going to object, Mr. Chairman.

Mr. FLYNT. Does any member of the committee have any objection to this procedure?

Hearing none, Ms. Lewis, you may proceed as you have requested.

Ms. LEWIS. Thank you, sir.

Mr. FLYNT. In this connection the Chair would caution you, Ms. Lewis, that you have been advised generally by Mr. Gaspar what is and what is not relevant. I would like to request Mr. Gaspar to stop Ms. Lewis at any time that he feels she is commenting on facts which have no bearing on this case.

Mr. GASPAR. Yes, sir, I will.

Mr. FLYNT. Also I would certainly expect counsel for respondent and counsel for the committee to object and make an effort to stop anything that is clearly irrelevant to the issues in this case. With that understanding, Ms. Lewis, you may proceed.

Ms. LEWIS. I work in the Los Angeles office of Congressman Edward Roybal a a caseworker. My duties include casework, constituent.

Mr. QUILLEN. Mr. Chairman, it is difficult to hear.

Ms. LEWIS. My functions include casework, working with constituents, representing the Congressman at congressional seminars. The point in question is the money that were supposed to have been given to the Congressman, and to the best of my recollection the only time the Congressman has given me cash money was in February 1974. It was in the Los Angeles office, and he stated that these moneys were given to him at a breakfast of Jewish community leaders and that they were to be used for senior citizen tickets and that I was to deposit it in the bank, which was done at a later date.

Mr. FLYNT. Do you wish to make a further statement?

Ms. LEWIS. The dinner dance was held the weekend of February—it was mid-February. The Congressman was in the office Friday prior to the dinner dance. That is when he gave me the moneys, and the dance was held on either—I can't remember the exact date—Saturday or Sunday, and the moneys were held in the office until a deposit was made the following Thursday at the regular bank.

To my knowledge there was no other cash or checks that were received at the dance that were given to me, and the moneys that were recorded were the moneys given to me in the office by the congressman, which is a total amount of \$1,200.

Mr. NIELDS. I have just one or two questions to supplement, Mr. Chairman.

First, Ms. Lewis, you were struggling with the date.

Mr. HIBEY. I will stipulate it was February 17.

Mr. NIELDS. Fine.

February 17 was the date of the dinner, and the Thursday following on which the deposit ticket was dated would be the 21st?

Ms. LEWIS. The 21st of February, 1974.

Mr. NIELDS. And you are aware the amount of cash on the deposit ticket on the 21st was \$1,200?

Ms. LEWIS. Yes, sir.

Mr. NIELDS. I take it that you are aware of adding no other cash other than the cash you received from Mr. Roybal to that deposit ticket?

Ms. LEWIS. No other cash moneys were mixed with that money for the deposit.

Mr. NIELDS. But I take it it is also true that you didn't count the money when you got it; is that right?

Ms. LEWIS. At the time the moneys were given to me I did not count it. I counted it at a later date.

Mr. NIELDS. But you did count the moneys?

Ms. LEWIS. Yes. To make the listing on the deposit slip.

Mr. NIELDS. Do you remember whether the money was loose or in an envelope when you received it?

Ms. LEWIS. It was loose.

Mr. NIELDS. Where did he take it from?

Ms. LEWIS. He took it out of his wallet.

Mr. NIELDS. If you will give me just a moment, Mr. Chairman, this is an unfamiliar procedure.

Mr. FLYNT. All right.

Mr. NIELDS. Ms. Lewis, do you remember now anything else that Mr. Roybal said to you when he gave you the money?

Ms. LEWIS. He stated that money had come from the members of the Jewish community and that they did not want to be put on a sucker list, so to list it as cash.

Mr. NIELDS. In other words, they didn't want their name to appear?

Ms. LEWIS. To appear to be used on a separate list for future mailings.

Mr. NIELDS. I have nothing further of this witness, Mr. Chairman.

Mr. FLYNT. Mr. Hibey.

Mr. HIBEY. I just want to be clear on one point. The only time you counted the money was when you tallied the proceeds from the dinner dance together with what the Congressman gave you for purposes of making out the deposit slip in the amount of \$1,200; is that right?

Ms. LEWIS. There were no other cash moneys given to me from the dinner dance.

Mr. HIBEY. Again?

Ms. LEWIS. There were no other moneys, currency from the dinner dance, given to me to make the deposit. There were checks but no other cash.

Mr. HIBEY. That you recall?

Ms. LEWIS. That I recall.

Mr. HIBEY. There could have been cash, however, that was received?

Ms. LEWIS. I don't recall any other cash money being given to me.

Mr. HIBEY. Thank you.

No further questions, Mr. Chairman.

Mr. NIELDS. Just one further question.

You are aware it is expectable that some cash would be received at the door of the dinner dance?

Ms. LEWIS. Yes, sir.

Mr. NIELDS. And I take it if that cash does not appear on any other deposit tickets, it's a mystery to you why not?

Mr. HIBEY. I didn't hear that question.

Mr. NIELDS. I say if that cash did not appear on some other deposit ticket, you simply don't know why it does not.

Ms. LEWIS. I am not aware of any other cash that would have been deposited.

Mr. NIELDS. Nothing further, Mr. Chairman.

The CHAIRMAN. Mr. Hibey, do you have anything else?

Mr. HIBEY. No, Mr. Chairman.

The CHAIRMAN. You may step down, Miss Lewis.

Mr. NIELDS. I have nothing further, Mr. Quillen.



Mr. QUILLEN. Mr. Chairman, whomever can clarify this for my own information, on September 30, 1974, there is a bank deposit to the Roybal campaign committee, where Philip Friedman shows a deposit of \$1,300 in cash.

Mr. NIELDS. I think I can clarify that, Congressman.

Mr. QUILLEN. But I think the prior testimony was that there was no deposit of cash made after Mr. Roybal's meeting with Passman.

Mr. NIELDS. That's true, there was not. The \$1,300 deposit was made of two checks, one \$1,000 and one \$300 and that is to be found on the deposit tickets which you will find behind the bank statements.

Mr. QUILLEN. Thank you, Mr. Chairman.

The CHAIRMAN. Mr. Hibey?

Mr. HIBEY. I was going to ask that the Chair indulge me 5 minutes before we proceed.

The CHAIR. I might indulge you more than that.

Mr. HIBEY. There are only six Members here, Mr. Chairman, and I think we earlier—

The CHAIRMAN. Do you have any idea when the next vote is coming?

Mr. QUILLEN. It should have already been, but maybe we can call.

The CHAIRMAN. The committee will come to order.

The Chair is informed that there are going to be two votes in rapid succession, the first of which will require the full 15 minutes and the second will require also 15 minutes, and it is hoped that members of the committee, when they are recorded on the second vote, can return to this hearing room as soon as possible.

With that understanding, the committee will stand in recess until 4:40 p.m. and the Chair has promised he will make every effort to have seven members present when Mr. Roybal testifies, and the Chair honors that commitment.

The committee will stand in recess until 4:40 p.m.

Mr. FLYNT. The committee will come to order.

Let the record show that seven members are present.

Mr. Hibey.

Mr. HIBEY. I call Mr. Roybal.

**TESTIMONY OF HON. EDWARD R. ROYBAL, A  
REPRESENTATIVE IN CONGRESS FROM THE STATE OF  
CALIFORNIA**

Mr FLYNT. Will you raise your right hand, and stand please. Do you solemnly swear the testimony you will give before this committee in the matter now under consideration will be the truth, the whole truth, and nothing but the truth, so help you God?

Mr. ROYBAL. I do.

Mr. FLYNT. You may be seated.

You are Representative Edward R. Roybal?

Mr. ROYBAL. Yes, sir, I am.

Mr. FLYNT. You represent a district in California?

Mr. ROYBAL. Yes; I do, the 25th Congressional District of the State of California.

Mr. FLYNT. Los Angeles County?

Mr. ROYBAL. Yes, sir.

Mr. FLYNT. Mr. Hibey, you may proceed.

Mr. HIBEY. Mr. Chairman, Mr. Roybal has a statement that he wishes to make to the committee, and I would submit that would be my direct examination and that would, of course, be subject to cross-examination.

Mr. FLYNT. Do you have any objection, Mr. Nielsds?

Mr. NIELDS. No.

Mr. FLYNT. Mr. Roybal, you may proceed.

Mr. ROYBAL. Thank you, Mr. Chairman.

Mr. Chairman and members of the committee, this is the first opportunity that I have had to present my side of the case before this committee. I only wish that I had been given the opportunity to make this presentation to the full committee before formal charges against me were made. Sometime in 1974 I was called by Otto Passman, chairman of the Subcommittee on Foreign Operations, to come to his office. This was not unusual for he had called me on other occasions to come to his office to meet foreign visitors, most of them from Korea.

I remember that Mr. Passman mispronounced my name during the introduction and I did not catch the name of the man whom he referred to as his very dear friend who wanted to help me with a campaign contribution. Since I had not solicited the contribution, I felt that Passman was responsible, and in my mind gave him credit for having helped me raise funds.

Four years later Mr. Nielsds came to my office and asked me several questions. He did not tell me that I was under investigation. Sometime later, on February 1, 1978, my deposition was taken. But before I did so, I asked Mr. Nielsds if I needed an attorney, and he told me that he didn't think so. Before going to the room where the deposition was taken, I was assured by my staff that Mr. Park had never called or visited my office, and I knew for a fact that I had never visited or called his, that I had never attended any of his parties, and that neither I nor any member of my family were engaged in the business venture with him.

Based on this information and knowledge, I testified that I had not received a campaign contribution from Mr. Park.

On March 10, 1978, while in Los Angeles I learned from a reporter in Washington that I had been named by Park as the recipient of a campaign contribution. On March 13, upon my return from Los Angeles I called to see the chairman of this committee to try to ascertain the facts, and he had confirmed the story I had heard.

In essence, I told both the chairman and Mr. Swanner, who was present, that the only possibility that I had received the money was through Mr. Passman or from a citizen group of Koreans in my district.

I indicated to them that I had always been grateful to Mr. Passman and that I even suspected that he might have been the donor, but at any rate thankful to him for helping me raise funds for my campaign.

I indicated also that I didn't think I received the money from Mr. Park because more recently I had seen his picture in the newspapers and did not connect him with the man in Mr. Passman's office in 1974. At the time I made this statement about the pictures of

Mr. Park in the news media, I was thinking in terms of my knowledge of the man in 1978 and not in 1974 when Park was very little known. I was admonished by Mr. Swanner to promptly see Mr. Nields.

That same day I talked to Mr. Nields and his associate and told them essentially the same thing.

On April 25, 1978, I again testified under oath correcting my original statement that I had not received money from Park. In addition, after checking with my Los Angeles office, I explained what I believed to be the disposition of the funds. Since I was positive that the funds were spent in my campaign and since I gave Dianne Lewis at least \$1,000 in cash in February 1974, and was aware of a deposit slip showing a \$1,200 contribution, I assumed that the deposit slip included the money received in Passman's office, and on that assumption I testified that the money received in Passman's office had been deposited to my campaign account.

I testified on what I believed to be the facts. I was in no way trying to deceive this committee. I firmly believe that the money I received from Park was part of the moneys deposited in my campaign account in February 1974.

But, Mr. Chairman, I cannot independently prove that with documentary evidence since I did not personally attend to these matters but delegated them to volunteers. In all candor, as a candidate I must admit that the money I received from Mr. Park was not properly recorded, that I agree with the testimony of Dianne Lewis that prior to the dinner I gave her money coming from friends in the Jewish community. This, however, Mr. Chairman, does not deter me from my conviction that the moneys were used for my campaign, regardless of whether there is evidence to indicate that the campaign contribution may have been made in August and not in February.

If my assertions concerning depositing and proper accounting for it are wrong, it is because I assume from the records that I had checked that I was correct.

I vigorously deny that I intentionally tried to deceive any one member or the committee as a whole.

Mr. Chairman, I have been in public office for 30 years; 16 of those years I have spent in the Congress of the United States. I really believe that I enjoy an excellent reputation in my district and, I hope, with my colleagues here in the House of Representatives. I can assure you, Mr. Chairman, that I have too much respect for you and the members of this committee and this Congress to willfully or intentionally lie to a member of this or any other committee, and that there isn't enough money to make me throw that away, much less for 1,000 measly dollars.

I thank you, Mr. Chairman, for this opportunity.

Mr. HIBEY. I submit the witness for cross examination.

Mr. FLYNT. Mr. Nields.

Mr. NIELDS. Thank you, Mr. Chairman. Mr. Roybal, I take it it's now your testimony that you received \$1,000 from Tongsun Park in Mr. Passman's office in mid-1974; is that correct?

Mr. ROYBAL. That is correct.

Mr. NIELDS. I take it it's also your testimony that you never told anyone who gave you the money?

Mr. ROYBAL. That is correct.

Mr. NIELDS. Consequently the money was never reported; is that right?

Mr. ROYBAL. That is correct.

Mr. NIELDS. Why didn't you cause the money to be reported?

Mr. ROYBAL. Well, Mr. Nields, it was a matter of a mistake. I should have definitely called to find out who the donor was, and I should have recorded it with the donor's name.

Mr. NIELDS. That is true, Mr. Roybal. That is what the law requires. But my question is, why did you not do it?

Mr. ROYBAL. Because it was a mistake at the time, and I am sorry that a thing like that happened.

Mr. NIELDS. Mr. Roybal, had you ever received any other contributions in cash as large as \$1,000?

Mr. ROYBAL. No, sir.

Mr. NIELDS. This was the only occasion on which you received a contribution of that size?

Mr. ROYBAL. To my memory; that is true.

Mr. NIELDS. All the other contributions which you have received have been duly reported according to your testimony; is that right?

Mr. ROYBAL. Yes, sir.

Mr. NIELDS. A \$1,000 cash contribution from a person you do not know is an event which would stand out in your mind; would it not?

Mr. ROYBAL. Yes; it would.

Mr. NIELDS. Indeed, aren't you aware that this was precisely the kind of transaction which the reporting laws were designed to bring out into the open?

Mr. ROYBAL. I am aware of that but at the time that I submitted the testimony I looked at the record itself, I saw a \$1,200 cash contribution, and I assumed that included the \$1,000 that I received from Mr. Park.

Mr. NIELDS. You are shifting ground a little bit, Mr. Roybal. I am not addressing the timing of the contribution or how you testified. I am simply asking you why it wasn't reported.

Mr. ROYBAL. Because I made a mistake.

Mr. NIELDS. Well, did you think there was something wrong with this contribution that would lead you not to report it although you reported all others?

Mr. ROYBAL. No.

Mr. NIELDS. I take it, Mr. Roybal, that you knew that Tongsun Park was a lobbyist; is that right?

Mr. Roybal. I know now that Tongsun Park was a lobbyist but I did not know that in 1974 and I don't think that Mr. Park was well known in 1974.

Mr. NIELDS. Mr. Roybal, you testified at some length in your first deposition that everyone in Washington knew that Tongsun Park was a lobbyist.

Mr. ROYBAL. At the time that I testified in 1978 it seems to me that most anyone in Congress would have known he was a lobbyist.

Mr. NIELDS. But your testimony was in the past tense, you testified that everyone knew that Tongsun Park was a lobbyist?

Mr. ROYBAL. In 1978.

Mr. NIELDS. Mr. Roybal, when you were asked why you did not report the contribution in your second testimony before this committee, you said because you did not know Mr. Park's name.

Mr. ROYBAL. Well, that is true. At the time I received the contribution from Mr. Park I did not know what his name was.

Mr. NIELDS. Did you make any efforts to find out his name?

Mr. ROYBAL. No, sir, I did not.

Mr. NIELDS. You didn't ask him his name when you were in the room alone with him?

Mr. ROYBAL. No, sir.

Mr. NIELDS. And you didn't ask Mr. Passman later on what the name of the person who had given you a \$1,000 was?

Mr. ROYBAL. I did not and Mr. Passman never mentioned it again.

Mr. NIELDS. Is it still your testimony, Mr. Roybal, that you turned this \$1,000 in cash over to someone else involved in your campaign?

Mr. ROYBAL. I firmly believe that I did turn the money in to someone in the campaign, but I do not have documentary evidence to prove that.

Mr. NIELDS. What did you tell them?

Mr. ROYBAL. Sorry, I didn't hear.

Mr. NIELDS. What did you tell them about the money?

Mr. ROYBAL. At the time that the money in question was turned in, I did say that it had been given to me by friends in the Jewish community.

Mr. NIELDS. You mean you are saying now that you made that remark about the Tongsun Park money?

Mr. ROYBAL. No, I say that I made that remark at the time I turned over some money to Dianne Lewis. I am agreeing with her statement.

Mr. NIELDS. I understand that. But what did you say when you turned over Tongsun Park's money?

Mr. ROYBAL. I don't know that I turned over Tongsun Park's money.

Mr. NIELDS. That was my question. What did you do with the Tongsun Park money?

Mr. ROYBAL. If I had documentary evidence to show what I had done with the Tongsun Park money I would have presented that.

Mr. NIELDS. So you can't tell us whether you turned the Tongsun Park money over to anyone? I understand how you have just testified. You do not know now whether you gave that money to anyone?

Mr. ROYBAL. That is correct, I do not know.

Mr. NIELDS. So you may have kept it?

Mr. ROYBAL. It could be, yes.

Mr. NIELDS. Mr. Roybal, the first time that you testified before this committee you were asked whether Tongsun Park had ever given you a contribution, and you testified no. Is that correct?

Mr. ROYBAL. That is correct.

Mr. NIELDS. Why did you say no?

Mr. ROYBAL. Because I didn't know that the man in Mr. Passman's office was Tongsun Park.

Mr. NIELDS. But, Congressman, you were asked in that same deposition whether you had ever received any contribution from a Korean national.

Mr. ROYBAL. I don't know Tongsun Park is a Korean national.

Mr. NIELDS. Mr. Roybal, you have just testified that you knew that Mr. Passman was inviting you down to meet a Korean?

Mr. ROYBAL. Yes. But it could be a Korean who could also be a citizen of the United States, someone of Korean descent.

Mr. NIELDS. So that you are saying that you could positively testify the first time that you appeared before the committee that you had never received money from a Korean national because the Korean in Otto Passman's office might have been a naturalized citizen; is that what you are saying?

Mr. ROYBAL. No. What I am saying is after assuring myself that Tongsun Park had never been in my office, that I had never called him, that I had never been to any of his parties, that he never thrown a party for me, that none of my relatives were involved in a business venture with him, I assumed then that I had absolutely no contact with him and therefore I went down and testified to that effect.

Mr. NIELDS. But my question is: Weren't you also asked in that first deposition whether you had received a contribution from a Korean national?

Mr. ROYBAL. I may have been asked that question.

Mr. NIELDS. You replied that there were some Korean nationals in your district and if any of them had given you any money it would be reported, but you did not disclose your meeting in Otto Passman's office with a Korean who gave you \$1,000. Why not?

Mr. ROYBAL. Because, again, there may be some Koreans in my district that are nationals of Korea, that is, not American citizens, I did not report the money that I received from Passman's office, and as I said before, I should have found out what his name was, I should have recorded it as having come from Tongsun Park. I did not, and it was a mistake on my part, a mistake in judgment.

Mr. NIELDS. Mr. Roybal, my question still is, when you were asked whether you had ever received a contribution from a Korean national why did you not then disclose the episode in Otto Passman's office?

Mr. ROYBAL. Because I did not know Tongsun Park was a Korean national.

Mr. NIELDS. Mr. Roybal, you testified that you knew that Otto Passman had a very large interest in rice; is that correct?

Mr. ROYBAL. He had a very large interest in Korea.

Mr. NIELDS. And also rice?

Mr. ROYBAL. Probably also rice.

Mr. NIELDS. And you testified to that previously?

Mr. ROYBAL. Yes.

Mr. NIELDS. And you have testified that Tongsun Park was known as a rice merchant and that if there were some sales of rice to Korea Mr. Park would probably get the business; is that right?

Mr. ROYBAL. If you are talking in the context of 1976 or 1978; yes.

Mr. NIELDS. I am talking at the time you testified.

Mr. ROYBAL. I testified in 1978.

Mr. NIELDS. That is right.

Mr. ROYBAL. Not 1974.

Mr. NIELDS. At the time you testified, you were aware of those facts; is that right?

Mr. ROYBAL. At the time I testified; yes.

Mr. NIELDS. And you were aware that this committee was conducting a Korean influence investigation which involved Tongsun Park and other Koreans; is that correct?

Mr. ROYBAL. That is correct.

Mr. NIELDS. I take it you as a Congressman keep up with press reports concerning Congress and concerning congressional business; is that also true?

Mr. ROYBAL. I try to; yes.

Mr. NIELDS. So you were aware at the time you testified that Mr. Passman was a prime subject of the investigations which were going on at that time involving Tongsun Park's Korea sales of rice?

Mr. ROYBAL. Yes.

Mr. NIELDS. And you were also aware that an investigation was focusing on cash passing hands from Koreans to congressmen in little white envelopes; is that also true?

Mr. ROYBAL. Yes.

Mr. NIELDS. And you were asked whether you had ever received a cash contribution from Tongsun Park or a Korean national in your first deposition. The fact was that you had been in Otto Passman's office and received a little white envelope filled with cash from a Korean and yet you did not disclose that in response to any of the questions asked you in that deposition.

Mr. ROYBAL. First of all, I was askew whether or not I received a campaign contribution from Tongsun Park. I did not know that the man in Passman's office was Tongsun Park.

Mr. NIELDS. Mr. Roybal, isn't it really the fact that during that deposition you had no intention of disclosing to this committee the fact that you had received some money in Otto Passman's office?

Mr. ROYBAL. That is not the fact at all.

Mr. NIELDS. Because you had not reported the money you received and in fact had not turned it into the campaign committee and therefore couldn't afford to inform us?

Mr. ROYBAL. That is not correct, because at the time I took the deposition I based my deposition on what I believed at the time to be the facts.

Mr. NIELDS. Mr. Roybal, did you tell Mr. Harris that you had not received any money directly from Tongsun Park or from an oriental in Otto Passman's office?

Mr. ROYBAL. I may have told Mr. Harris that I did not receive money from—will you rephrase the question? I am sorry, I lost it.

Mr. NIELDS. Would the reporter read it.

[Question read.]

Mr. ROYBAL. I told Mr. Harris that I did not remember receiving money from Tongsun Park. With regard to an oriental, there are many in my district and I have received money from orientals in my district.

Mr. NIELDS. That wasn't the question, Mr. Roybal. The question was whether you told Mr. Harris and me that you had not received any money directly from Tongsun Park or an oriental.

Mr. ROYBAL. I don't remember the oriental part of it. But I did say I didn't remember receiving money from Tongsun Park.

Mr. NIELDS. But that you had received some money from Otto Passman in his office?

Mr. ROYBAL. That I received some money through Otto Passman, as Mr. Harris has testified.

Mr. NIELDS. We understand, Mr. Roybal, that you told Mr. Harris that it was your understanding that the money that Otto Passman had given you had originated with someone else and consequently it was through Otto Passman. My question is, did you tell Mr. Harris that it was Otto Passman who gave you the money and it wasn't some oriental in his room?

Mr. ROYBAL. I said at the time that I was crediting Otto Passman with having helped me with a campaign contribution.

Mr. NIELDS. What is the answer to my question?

Mr. ROYBAL. I just gave it to you.

Mr. NIELDS. Well, I will ask it again. Did you tell Mr. Harris that you had received the money from Otto Passman or did you tell him that you had received it from an oriental or someone else in his room?

Mr. ROYBAL. I told Mr. Harris that I had received the money through Otto Passman.

Mr. NIELDS. Did you tell Mr. Swanner that in an effort to explain what you learned to be Tongsun Park's testimony, that you had come up with two possibilities, one of which was it was the money you got from Otto Passman but that you had never met Tongsun Park? Of that you were certain?

Mr. ROYBAL. I said that I had not remembered meeting Tongsun Park, that is correct.

Mr. NIELDS. Did you tell him that you were certain that you had never met Tongsun Park because you would have recognized him when you saw his picture in the papers later?

Mr. ROYBAL. I was certain at the time of the first deposition that I had not met Tongsun Park. Tongsun Park was unknown to me and I believe to most of us back in 1974.

Mr. NIELDS. My question was what you told Mr. Swanner.

Did you tell Mr. Swanner when you were attempting to explain what you had learned to be Tongsun Park's testimony, did you tell him that you had received some money from Otto Passman which might have come from Tongsun Park but that you were certain you had never met Tongsun Park?

Mr. ROYBAL. I told Mr. Swanner that I felt I was certain I had not met Tongsun Park and that I had received some money in Mr. Passman's office, yes.

Mr. NIELDS. So that you were not telling Mr. Swanner at that time and you were not telling Mr. Harris at that time that you had received money from an oriental in Otto Passman's office; isn't that true?

Mr. ROYBAL. Not from an oriental, from Tongsun Park.

Mr. NIELDS. Mr. Roybal, isn't the fact that at the time you spoke to Mr. Harris and Mr. Swanner you had decided that Tongsun Park had testified and that you would have to disclose this event in Mr. Passman's office, but that you would disclose it in a way that



was still consistent with the testimony you have given under oath earlier before this committee?

Mr. ROYBAL. That is not the case at all. I tried to tell the truth at all times based on information that I had available to me, and that is exactly what I did.

Mr. NIELDS. And that led you to tell Mr. Swanner and Mr. Harris that you had received some money from Otto Passman, that you did, but you did not tell him you received money from an oriental in Otto Passman's office.

Mr. ROYBAL. As Mr. Harris testified, I told him I had received money through Otto Passman.

Mr. NIELDS. And it was only later, after Tongsun Park's testimony was vividly given in opposition, on television, that you then disclosed in your deposition, in fact, you had received some money from a person, directly now from a person that you assumed to be Tongsun Park?

Mr. ROYBAL. Yes; that is true.

Mr. NIELDS. I have no further questions.

The CHAIRMAN. Mr. Hibey, do you have questions?

Mr. HIBEY. Indulge me for a minute, Mr. Chairman.

The CHAIRMAN. You may step down, Mr. Roybal.

Mr. HIBEY. I was simply asking that I be indulged a moment.

The CHAIRMAN. I beg your pardon, have a seat.

Mr. HIBEY. I have nothing further, Mr. Chairman.

The CHAIRMAN. You may step down, Mr. Roybal.

Mr. Nields, do you have anything else?

Mr. NIELDS. Nothing further, Mr. Chairman.

The CHAIRMAN. Excuse me, Mr. Hibey, do you have anything else?

Mr. HIBEY. Nothing further, Mr. Chairman.

The CHAIRMAN. Mr. Nields, do you have anything else?

Mr. NIELDS. Nothing further, Mr. Chairman.

The CHAIRMAN. That concludes the taking of all testimony, apparently, and receiving of all evidence with regard to this matter now before this committee.

According to the rules of supplemental procedures adopted by this committee, it now becomes the duty of the chairman to fix a date certain to hear closing oral argument from staff counsel and from counsel for the respondent following which oral argument the committee will conduct its deliberations on the testimony and evidence which has been presented in this case.

Mr. Nields, would you and Mr. Hibey help me with this?

Do you have a suggestion as to when you would like to conclude the oral arguments in this case? I will ask Mr. Nields first.

I know you cannot do it tomorrow, Mr. Hibey.

Mr. HIBEY. That's correct, Mr. Chairman.

The CHAIRMAN. Because of a death in your family of which we have just been informed, and that you will necessarily be there tomorrow.

Mr. NIELDS. Mr. Chairman, may I possibly make a suggestion, and that is would it be possible to confer, Mr. Hibey and myself and the chairman, and have this proceeding formally adjourned until tomorrow morning and announce the date which the chairman would fix at that time?

The CHAIRMAN. The Chair, of course, certainly wants to accommodate counsel and counsel for Mr. Roybal.

Now, Mr. Hibey cannot be here tomorrow. However, the Chair will certainly be glad to follow the suggestion if it's agreeable with Mr. Hibey to confer with the two of you immediately following this hearing today, and tomorrow we can announce, subject to this agreement, if we are in agreement, the date up on which this will take place, and Mr. Anderson, we will notify you immediately or you may be present at the time the announcement is made, if you so desire.

We will let you know in ample time to be there for the announcement in the event you desire to be. If not we will notify you immediately.

Is that satisfactory to both of you?

Mr. HIBEY. It is, Mr. Chairman; thank you.

The CHAIRMAN. And it's satisfactory to you to follow that procedure, Mr. Nields?

Mr. NIELDS. Absolutely, thank you very much.

The CHAIRMAN. Does any member of the committee have any questions they would like to raise at this time?

Mrs. FENWICK. Concerning what?

The CHAIRMAN. Concerning this hearing.

Mrs. FENWICK. You mean the procedure?

The CHAIRMAN. No, not the procedure, or well, yes, anything, procedure or anything else.

Mrs. FENWICK. I had a question of Mr. Roybal, but perhaps that time has passed.

The CHAIRMAN. I apologize.

Mrs. FENWICK. Thank you.

Mr. HIBEY. Mr. Chairman, I do not wish to deprive Mrs. Fenwick of the opportunity to ask Mr. Roybal a question.

The CHAIRMAN. Mr. Roybal, do you have any objection?

Mr. ROYBAL. No objection.

The CHAIRMAN. Would you resume the stand?

Mrs. FENWICK. I can't find my place, Mr. Chairman, I thought we were ending, so I didn't keep it.

Here it is.

Mr. Roybal, I am sure you can satisfy us with this. This is page 10 of the testimony of Tuesday, April 25, and in that, at that point on page 10:

Mr. NIELDS. What did you do with the funds that you received from Mr. Park?

Mr. ROYBAL. Well, we put them in the general cash flow of the campaign committee.

Mr. NIELDS. What does that mean?

Mr. ROYBAL. That means it became part of the campaign moneys which was recorded as part of the campaign money that came into the committee at that time.

Mr. NIELDS. Was it reported?

Mr. ROYBAL. Yes. You have my records and you no doubt can find it in my records.

Mr. NIELDS. By name of contributor?

Mr. ROYBAL. No. Had I known who the contributor was, I would have done it, and I also did not put Mr. Passman's name because he was not the contributor. Therefore, it was listed as cash.

Mr. NIELDS. Mr. Roybal, didn't the laws require at that time that contributions of over \$100 be reported by name of contributor?

Mr. ROYBAL. It probably did, but my practice was to put in the money. The reporting was done by someone else.

Mr. NIELDS. But how was that person to report by name in this case?

Mr. ROYBAL. The person did not report by name. The person reported in cash.

Now, Mr. Roybal, could we just, because I can see how one might become confused and then, unless I misunderstand it, you checked records, saw the cash in the February thing, and thought happily that's it. Then it was later discovered by you that all of this took place in August so you realize it couldn't have been it. I mean, I am trying to go with you here.

Now, the only thing that trips me up is that it could not be from nobody. In other words, if you knew that the name had to be reported and you didn't want to report it from Mr. Passman because although you testified it came through him you knew it came from someone else, why didn't you ask Mr. Passman the name of that someone so you could properly report it?

Mr. ROYBAL. Well, Mrs. Fenwick, I would like to see the copy of the transcript if you will make it available to me.

Mrs. FENWICK. It starts on page 10.

Mr. ROYBAL. Mrs. Fenwick, are you referring to page 10?

Mrs. FENWICK. Yes, of April, I think.

Mr. ROYBAL. Where Mr. Nields asks, "What did you do with the funds that you received from Mr. Park?"

Mrs. FENWICK. Yes.

Mr. ROYBAL. And I said, "Well, we put them in the general cash flow of the Campaign Committee."

Mrs. FENWICK. Right. You did not say you never received it.

Mr. ROYBAL. That's right. Now, what was your question?

Mrs. FENWICK. My question is, I started it there just to give you an orientation, but the real question comes at the top of page 11: "Had I known who the contributor was, I would have done it. And I also did not put Mr. Passman's name because he was not the contributor."

What I am saying is obviously the money came from somewhere. How does it happen that you didn't ask, if you considered Mr. Passman not to be the donor, which you testified, and I agree with you, obviously; how come you didn't ask Mr. Passman who he was so you could correctly list him?

Mr. ROYBAL. Well, Mrs. Fenwick, I should have asked Mr. Passman.

Mrs. FENWICK. I know you should have. I am not saying, I agree, I mean, why didn't you? Why? What I am trying to get is to flow with your thinking.

Mr. ROYBAL. It was a mistake of judgment. I should have found out who the donor was or the name of the donor. I should have given it to the person who made the deposit, but I didn't.

Mrs. FENWICK. I know, that's the law, but I mean—

Mr. ROYBAL. And I am just telling you I made a mistake.

Mrs. FENWICK. Yes, I know, but I am trying to see were you distressed when you suddenly thought, my Lord, I never got that man's name?

You see what I mean? And, I never got that man's name, what am I going to do with it now, I don't know how to deposit it, I don't know how to account for it.

Was that kind of thinking going through your mind?

Mr. ROYBAL. Well, it was in a sense going through my mind, I suppose. But with the rush of the campaign and so forth, I just made the mistake of not asking, and not recording it.

Mrs. FENWICK. Thank you, Mr. Chairman.

Thank you, Mr. Roybal.

The CHAIRMAN. Are there further questions?

Mr. NIELDS. Mr. Chairman, I do have further questions now in light of that.

The CHAIRMAN. All right.

Mr. NIELDS. Just two things to follow up on what Mrs. Fenwick asked you.

You refer to the rush of the campaign. Were you running unopposed that year?

Mr. ROYBAL. Yes, I was running unopposed that year. I did not have any opposition. I always run scared even if I don't have opposition.

Mr. NIELDS. Now, Mr. Roybal, I think maybe to help solve the problem Mrs. Fenwick was raising, you have testified already that you now believe that it is possible that you did not turn the money into your campaign but kept it. That would supply a pretty good reason why you would not have reported it or asked for his name; there is no need to report money you don't give to your campaign committee, is there?

Mr. ROYBAL. I really didn't get the question.

Mr. NIELDS. Mr. Roybal, you have testified it's possible, you now say it's possible you simply kept the money.

Mr. ROYBAL. Right.

Mr. NIELDS. Now, in light of that, of course, there would be no reason for you to inquire of the name, because if you weren't going to turn the money into your committee you wouldn't need to know the name.

Mr. ROYBAL. Well, first of all, at the time that this matter took place I had seen a \$1,200 deposit in a deposit slip, and I assumed that that money included the \$1,000 that came from Mr. Passman.

Mr. NIELDS. I have no further questions, Mr. Chairman.

The CHAIRMAN. If there are no further questions, with the understanding that this proceeding is not concluded but will be concluded on a date certain to be fixed and announced not later than, hopefully, tomorrow, this meeting stands adjourned, subject to the call of the Chair.

[Whereupon, at 5:45 p.m. the Committee on Standards of Official Conduct adjourned, subject to the call of the Chair.]

APPENDIX N

TRANSCRIPT OF ARGUMENTS



WEDNESDAY, SEPTEMBER 27, 1978

HOUSE OF REPRESENTATIVES,  
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT,  
*Washington, D.C.*

The committee met, pursuant to notice, at 10:45 a.m., in Room 2266, Rayburn House Office Building, the Honorable John J. Flynt, Jr. (chairman of the committee) presiding.

Present: Representatives Flynt, Spence, Quillen, Bennett, Quie, Hamilton, Cochran, Fenwick, and Caputo.

Also present: John M. Swanner, staff director; John W. Nields, Jr., chief counsel; Richard A. Hibey and Stanton D. Anderson, counsel to Representative Edward R. Roybal.

The CHAIRMAN. The committee will come to order.

Pursuant to the provisions of rule II and rule III of the rules of supplemental procedure adopted by this committee on September 12, today is the day which has been set for hearing closing oral arguments from staff counsel and from counsel for the respondent, Representative Edward R. Roybal.

There is another argument to be conducted in another matter also today. Upon the conclusion of oral arguments in both cases, in further pursuance to rule II, the committee will begin its deliberations.

Certain of the members have been present during all or most of the testimony and the taking of evidence. The Chair has addressed a letter to all members who have not been so present urging them to be present today for the oral arguments, and also urging them to fully and completely read the transcript of all evidence taken in the investigative hearings which preceded this meeting today. The Chair assumes that all members have done so and, based on that assumption, the committee is now ready to hear oral arguments.

Under the rules which have been agreed to by counsel for staff, staff counsel, and counsel for Representative Roybal, the time for oral argument will be limited to 30 minutes to the side. In accordance with generally accepted rules and practices, the committee counsel having the burden of proof, counsel for the committee will be open and close.

Mr. Nields for the committee counsel may divide his time as he sees fit. Mr. Hibey, as leading counsel for Representative Roybal, will divide his time between him and his cocounsel, Mr. Anderson, as he sees fit.

Mr. Nields is recognized for 30 minutes to make oral argument on behalf of the committee staff.

Mr. NIELDS. Mr. Chairman, before my time starts running, there is one matter. I would simply like to note for the record there are seven members of the committee present. I am aware of the extraordinary efforts which you as chairman have made to gain full attendance here, because I know as you know that the rules pro-

vide that findings of fact can only be made by a vote of seven members of the committee, that is, a majority of the full committee.

On the understanding that those not present cannot vote on findings of fact, those not present at the oral argument can vote based on the transcript and the written papers. I am prepared to proceed. If that is not the understanding, I would ask leave to postpone my argument until there are more members here. I assume that that is the plan.

The CHAIRMAN. With the understanding that you have requested, that the time has not yet begun to run, Mr. Hibey, what do you say to this?

Mr. HIBEY. If you would indulge me a moment, Mr. Chairman, I do not think that we dispute the position of staff counsel in this respect. Our understanding, and hopefully it will be that way, is that all members of the committee would be eligible to vote while convening in a session among themselves in which a quorum is present. We would presume that the members of the committee have heeded the advice or admonition of the Chair as set forth in its letter by urging each member of the committee to read the transcript before the deliberations begin. With that understanding, we have no objection to the procedures as outlined.

The CHAIRMAN. The Chair will include in the record at this point the letter which was addressed to the member of the committee on this subject, with the understanding that we will have as many members present for the entire argument as can possibly be accounted for, and with the understanding that if we drop below a full quorum of seven, we will suspend until such time as at least seven are present.

There are eight members present. Let the record show that there are eight members present at this time.

Mr. Nields is recognized for 30 minutes and may divide his time between opening and closing as he sees fit.

#### ORAL ARGUMENT OF JOHN W. NIELDS, JR. CHIEF COUNSEL TO THE COMMITTEE

Mr. NIELDS. Thank you, Mr. Chairman, members of the committee, Mr. Hibey and Mr. Anderson.

On February 1 of this year, Congressman Roybal gave this committee his first version of the facts relevant to this case. In a deposition under oath before Mrs. Fenwick of this committee, Congressman Roybal was asked, at page 9: "Did Tongsun Park ever make any gift to you?" and he answered "No."

He was asked "Did he ever offer to make a gift to you?" and he answered "No."

He was asked "Did Tongsun Park to your knowledge ever offer to make a contribution to any of your campaigns?" and he answered "No, he never did."

Then he was asked "To your knowledge has any Korean national made a contribution to any of your campaigns for Congress?" and he responded "I have in my district a place called Little Korea and I have fundraisers in my own district and I assume that there have been Koreans who have made contributions to my campaign, that is, buying perhaps a ticket to one of my fundraisers. If that is the



case, then the name of those particular individuals will be found in the records I have given you."

In mid-March of this year, after Congressman Roybal had learned from a Los Angeles Times reporter that Tongsun Park had named him in closed session as a recipient of a campaign contribution, Congressman Roybal sought an interview with staff counsel Harris and Niels of this committee, and gave his second version of the facts.

At that interview, the evidence shows Congressman Roybal said that he had not received a contribution directly from Tongsun Park, that he had received \$1,000 in cash from Congressman Passman, which had originally come from one of Congressman Passman's supporters, which might have been Tongsun Park, but he specifically stated that he did not receive any money directly from an oriental in Congressman Passman's office.

On April 25 of this year, Congressman Roybal, after Tongsun Park had testified vividly in public to a direct payment to Congressman Roybal in Otto Passman's office, Congressman Roybal gave this committee his third version of the facts.

In a sworn deposition before Congressman Spence of this committee, Congressman Roybal conceded that in retrospect he had received a contribution directly from Tongsun Park, claiming that he had not heard Tongsun Park's name when Otto Passman introduced them. He conceded also at that deposition that he had not properly reported the contribution, but he claimed that he handled it properly.

He testified that he placed it in the general cash flow of his campaign committee. He testified specifically that he had given it to this district office employee named Dianne Lewis in connection with his February fundraiser, and he referred to a deposit ticket which it turned out was dated February 21, 1974, which he said contained the cash which he had received from Tongsun Park and which Dianne Lewis had deposited. So that as of the time of the beginning of the hearing, Congressman Roybal's version of the facts was that he had received a contribution, but that he had handled it properly. He turned it in to this campaign committee.

At the hearing, however, the staff presented evidence which is now undisputed that the contribution from Tongsun Park was given to Congressman Roybal not in February but 6 months later on August 22, 1974.

The staff also presented evidence that there were no bank records, that there were no cash deposits into the Roybal campaign account after August 22, 1974, of anything approaching a \$1,000 in amount.

The staff also called Dianne Lewis, who testified that yes, she had once received, and only once received, cash from Congressman Roybal, but that was back in February, and that Congressman Roybal told her at the time that the money had come from his friends in the Jewish community.

Faced with this proof, Congressman Roybal testified at this hearing before this committee and gave his fourth version of the facts. At his most recent appearance, Congressman Roybal testified that it was true that the money that he had given Dianne Lewis in February of 1974 had in fact come from his friends in the Jewish

community and not from Tongsun Park, and he testified, I believe his exact words were, it could be that he just pocketed the money.

I submit to this committee that Congressman Roybal has lied to this committee, lied to this institution repeatedly, and that his most recent version of the facts is also untrue, because he testified that although it could be that he simply pocketed the money, when he had claimed in his deposition that he handled it properly, he then believed that that was the truth.

I submit to this committee that it is incredible that a man would not know whether or not he had pocketed the largest cash campaign contribution that he ever received in his life.

I would like now to discuss the charges one-by-one.

The first count charges that Mr. Roybal received a campaign contribution from Tongsun Park in August of 1974 and that he did not properly report it. Well, the committee has heard the testimony of Tongsun Park which is not undisputed that on August 22, 1974, Congressman Passman arranged but deliberately did not witness two transfers of cash from Tongsun Park, one to Congress Roybal and then later on in the afternoon one to Congressman Rarick. As I say, the fact that he received this contribution is now undisputed.

It is also undisputed that the contribution was not recorded. Consequently, I suggest that this committee has no alternative but to find that the charge in count 1 has been sustained.

There is a question, however, which still remains unanswered with respect to count 1. It is a question that Mrs. Fenwick asked Mr. Roybal at the hearing. The question was, why did Congressman Roybal fail to report this contribution, and I submit that this committee does not yet have an answer to that question from Congressman Roybal.

Prior to the hearing, he explained that he had failed to report it because he did not hear Tongsun Park's name when Otto Passman introduced them and, consequently, he did not know who had given him this \$1,000 cash contribution. When it was pointed out to him that he had not bothered ever to ask Otto Passman after that time who was it that gave you that \$1,000 contribution, he simply said well, failure to report it was a mistake. He was asked repeatedly, and he gave no further explanation other than it was a mistake.

I suggest to the committee that it was not a mistake, that the reason why Congressman Roybal did not report the contribution was that he kept it. He could not very well tell his campaign treasurer that he had gotten \$1,000 from Tongsun Park and not turned it in. Inconceivable that Congressman Roybal would report a contribution which he was planning to and did keep in his pocket.

That brings me to the second count against Congressman Roybal. In the second count, Congressman Roybal is charged with converting this contribution to his own use. The committee has heard proof that the contribution was made on August 22, 1974. Tongsun Park remembers that the contributions to both Roybal and Rarick occurred at about the time of Rarick's runoff, primary runoff. He knew that the primary in Louisiana was always held in August right at about the time of Korean Independence Day, which is August 15; and he was further able to exactly pinpoint the date on

which the contributions had been given, because he received a thank you letter from Congressman Rarick which is dated the 23d of August, and thanks Tongsun Park for his kindness and courtesy extended the day before.

Then the staff put in the bank records which showed that there were no deposits into the campaign account after August 22, 1974, in cash which approached \$1,000 in amount.

Then the staff called Roger Johnson, the campaign treasurer, who testified that Roybal had never given him cash in the amount of \$1,000; and then we called Dianne Lewis, and she testified that she had in fact gotten cash once but that it was in February and it came from the Jewish community, and you have the campaign records filed by Congressman Roybal himself which disclose no campaign expenditures for the year 1974, and then you have Mr. Roybal's own testimony in which he conceded that it could be that he pocketed the money.

I submit that the committee has no alternative but to find that the charge in count 2 has been conclusively sustained.

That brings me to count 3. In count 3 Congressman Roybal is charged with lying to this committee when he testified that he had never received a campaign contribution from Tongsun Park.

Now if Congressman Roybal had reported the contribution that he received from Tongsun Park, and if he had handled it properly, turned it into his campaign committee, there would have been virtually no motive in the world for him to have falsely denied that he had ever received a contribution. The maximum cost to him would have been a little bit of bad publicity, but the fact of the matter is, and this committee may find, that Congressman Roybal did not report the contribution, and he left it in his own pocket. Consequently, when Congressman Roybal was called before this committee on February 1, 1978, he faced a very difficult dilemma.

If he told the truth about the money from Tongsun Park, he would have had to have admitted two offenses, subjecting himself to discipline by this House; failure to report the contribution as required by law, and conversion of that contribution to his own use in violation of rule VI.

I suggest to this committee that Congressman Roybal therefore had a substantial motive to lie about that contribution, and that he unfortunately gave in to that motive.

Congressman Roybal claims that the real reason he did not disclose the contribution from Tongsun Park was that he had not heard Tongsun Park's name when he was introduced, and that, consequently, he did not know when he testified before this committee that he had in fact received a contribution from Tongsun Park.

Well, there are several things wrong with that claim.

First of all, members of the committee, I suggest that \$1,000 in cash is an awful lot of money to receive from somebody whose name you do not know, whose name you did not hear when he was introduced to you, whose name you did not ask when he was giving you the money, and whose name you never asked Otto Passman about at any later time. I suggest that is an awful lot of money for a Congressman to get in cash without knowing where it came from.

Second, although Congressman Roybal has testified more recently when he has admitted receiving the money that he did not know anything about Tongsun Park in 1974, in his February 1 deposition when he was denying that he received any money from Tongsun Park, he was a bit of an expert about Tongsun Park.

He testified at length about Tongsun Park. He said he had never met him but he knew him by sight and reputation. He said that he knew he was a rice merchant. He even knew that he specialized in Louisiana rice, the State where Otto Passman came from. He said he knew him as a lobbyist. He said he was famous for giving parties and he was written up in newspapers as a socialite, which this committee knows occurred in 1973 and 1974. He testified to Tongsun Park's habits of giving money at fundraisers. He testified that he was known to every Member of the House and Senate, and he was in fact even known to all the clerks around the House.

Now when asked how he had come by this information, Congressman Roybal was unable to come up with an answer. He could not identify anybody with whom he had ever discussed Tongsun Park, but he indicated that when you are around the House you just learn by osmosis who the lobbyists are, and he had learned who Tongsun Park was.

The most significant thing which is wrong with Congressman Roybal's claim that the reason he did not tell us about Tongsun Park's "contribution was that he did not know he had gotten one."

If that is the case, why did not Congressman Roybal tell us about that contribution when he was asked if he had ever gotten one from a Korean national? There is no answer to that question.

When Congressman Roybal testified on February 1, according to his own later admissions, he knew that this committee was investigating the passing of cash in little white envelopes from Koreans to Congressmen. He knew that the investigation had focused on Otto Passman and specifically Otto Passman's relationship with Tongsun Park. He knew that in 1974, according to his own testimony, he had been invited to Otto Passman's office to meet a Korean, and that the Korean had given him a white envelope full of cash, and yet when he was asked, "Did you get money from Tongsun Park?" he said no, and then he was asked "Did you get money, a campaign contribution, from a Korean national," and he did not tell us about the event which had taken place in Otto Passman's office.

I suggest to this committee that there is only one explanation for his failure to respond to that question, and that is that he had no intention telling this committee about the money which Tongsun Park had given him, not because he had forgotten Tongsun Park's name, but because to admit it would have been to admit two offenses disciplinable by this House.

That brings me to the final count, in which Congressman Roybal is charged with lying when he said he put the campaign contribution from Tongsun Park into the general cash flow of his campaign committee.

Now Congressman Roybal's explanation for why he said that is very obvious. He concedes now that maybe it was false. Maybe he just kept the money, but he says, acting almost as though he were an investigator with no personal knowledge of the facts himself, that he went out to Los Angeles and he looked for deposit tickets

and he found a deposit ticket which showed \$1,000 in cash and he assumed that that was Tongsun Park's cash and consequently, when he came back before this committee, that is why he testified affirmatively without any equivocation that he had put the money into the cash flow of his campaign account, given it to Dianne Lewis, carried it with him to Los Angeles, specifically testified that he had used it in connection with tickets for senior citizens. He says he testified that because that was the conclusion he reached as a result of his investigation.

Congressman Roybal did not have to investigate. He was the one who received the money from the Jewish community. He was the one who give it to Dianne Lewis and told her it came from the Jewish community. He did not have to investigate to know that that money that went in on that deposit ticket came from the Jewish community, and I suggest he did not have to investigate in order to know whether or not he pocketed the money from Tongsun Park.

Now there may be some financial transactions the details of which a man could forget over the passage of 4 years in time, but I suggest that a Member of the U.S. Congress does not forget whether he improperly pocketed the largest campaign contribution he ever received in his life. Consequently, I suggest to this committee that it should find that the evidence clearly and convincingly establishes Congressman Roybal's false claim that he handled the money properly was a deliberate lie. And the charge in the fourth count of the statement of alleged violation has also been sustained.

Thank you.

Mr. CHAIRMAN. Mr. Nields, you have consumed 23 minutes. You have 7 minutes remaining.

Mr. Hibey, you are recognized for 30 minutes.

#### ORAL ARGUMENT BY RICHARD A. HIBEY, COUNSEL FOR RESPONDENT

Mr. HIBEY. Mr. Chairman, members of the committee, now that all the evidence is in and we have reached the point in the case where counsel for each side are permitted to sum up and present their arguments in behalf of the side which they propose. Before I begin I want to express my gratitude to you, Mr. Chairman, and to the members of the committee for the time and attention which you have devoted to this very important case.

This, as you know, was the first opportunity that Mr. Roybal had to present his case to the full committee. It was a matter of grave and substantive importance in our mind that as many of you as possible could be present during the proceedings in order to evaluate the evidence and ultimately to delineate upon the case.

I know from the period that we were together in those days that that is quite an accomplishment to be able to bring together any number of you for however brief a period of time to focus upon issues of this magnitude. So before I get into the actual recitation of the facts of the case, I wanted to express my gratitude to each one of you.

Indeed the evidence does show, and there is no dispute, that Tongsun Park made a cash contribution in the amount of \$1,000 in the office of Congressman Otto Passman in 1974, and indeed in

August on the date of the 22d of the year 1974. On that occasion Mr. Park said to Mr. Roybal, "Congressman Passman asked me to be helpful to you, and I am delighted to make this campaign contribution."

How do we know that it was August 22, 1974, when this event took place; because Mr. Park has told us that on August 15, 1974, he celebrates Korean Independence Day; because Mr. Park told us that his interest in the politics of the U.S. Congress caused him to recall quite specifically that in the month of August, in the year of 1974, there was a primary, congressional primary in the State of Louisiana.

Third, Mr. Park recalls that he received a letter, not from Mr. Roybal but from Congressman John Rarick, acknowledging in somewhat veiled terms the courtesy that had been extended to Mr. Rarick on August 22, and thus with those memory pegs Mr. Park testified that he gave money to Mr. Roybal on August 22, 1974.

The circumstances surrounding the receipt of this money were that they took place in Otto Passman's office, in a very brief encounter. It was an unsolicited contribution from the standpoint of Mr. Roybal. He had never, Tongsun Park had never asked Mr. Roybal to do anything for him, to vote for him on any particular measure before the Congress. He never had a business relationship with this man, and they never talked about rice, which apparently was the subject near and dear to the heart of Mr. Tongsun Park.

And on that occasion, what is it that Edward Roybal said, and this from the mouth of Tongsun Park? In response to the receipt of the money which was accompanied by the expression, "Congressman Passman asked me to be helpful and I am delighted to make this campaign contribution," the response was "Thank you very much. It will be very helpful in my campaign."

Roger Johnson testified he had not received any money from Mr. Roybal that was identified as money received from Tongsun Park. Indeed Mr. Johnson testified to something else, something that we are going to have to keep in our minds because I suggest to you members of this committee that it does have a bearing ultimately on where we are going in our analysis.

Mr. Johnson testified that he had known Mr. Park for 30 years of his public life, that he enjoyed an excellent reputation. When I said Park—thank you, Mrs. Fenwick—that Mr. Johnson had known Mr. Roybal for the 30 years of his public life, and that he enjoyed an excellent reputation, and that during the 30 years in which Mr. Johnson was associated with the campaigns for election and reelection of Mr. Roybal, who has spent 16 years in the U.S. Congress, there has never been the suggestion or hint of a campaign irregularity.

Then the witness, who I suggest to you ladies and gentlemen of this committee is probably more important than Tongsun Park himself, testified, in this case a witness heretofore not even mentioned by counsel in his final argument. I am referring to Mr. Jeffrey Harris, his cocounsel, for Mr. Jeffrey Harris provides for us, straining as it may seem, a parallel between his testimony and Mr. Roybal's testimony that is striking in its support for our position, that what you have before you is not a liar but an honest man enjoying a good and excellent reputation, who has made an honest

mistake, an error of judgment, and is not the perjurer which he is being painted by the staff.

Mr. Harris was called upon to testify to an interview which took place in March, March 13 in the year 1978, after the February 1 deposition of Mr. Roybal, and before his April 19 appearance for a second deposition.

In his testimony before this committee, and you will find this on page 66, he testified to Mr. Roybal's statement that there might have been ways in which this money had gotten to him, that there were two possible ways, one of which was that he had received this money through Otto Passman.

The proposed findings of fact by the staff adopt that without more, and yet here in the argument this morning, Mr. Niels goes to the other argument, which says that at that meeting Mr. Roybal said from Otto Passman.

Is that significant? Yes, it is significant because Mr. Harris himself states later, and we will go into this in some detail, that "I didn't say through, I said from," in an attempt to be consistent with a memorandum dated April 19, 1978, the subject of which we will come to in just a moment.

So we have a person testifying that the money came through Otto Passman. What kind of person is Jeffrey Harris? I do not know too much about him, but we got a little bit from him in his testimony that he has spent 17 months of his life in devotion to nothing other than the investigation of the Korean influence among Members of the House of Representatives. He is an attorney who acknowledges responsibility for investigations, seeking to establish the time, place, and circumstances of important events.

On March 13, 1978, the day he went to Mr. Roybal's office, he knew that there had been conflicting versions of what had happened in 1974. On March 13, 1978, he was involved in a number of cases involving a multitude of complex or imply numerous facts involving Members of Congress, not only those who were ultimately charged, but also those who were investigated by the committee and not charged.

It would seem that reason and commonsense and pure professionalism for that matter would dictate that he make notes of that conversation that he and Mr. Niels had with Mr. Roybal on March 13, 1978. Did he do it? No, not at the time of that interview, not immediately after the interview, not until April 19, 1978, 5 weeks after the occasion of that meeting in Mr. Roybal's office.

Let us turn to the memorandum of April 19, 1978. "Was your recollection fresher, Mr. Harris, on March 13 or on April 19, 5 weeks later, of what had happened on March 13?"

The answer is "Well, it is not clear as to peripheral facts, Mr. Hibey."

The question is, well, now, who decides what is peripheral and what is important, He does, and you, my friends, are being asked to accept his distillation of what is material and what is not. It is getting to sound familiar now, is it not, and the parallel that we are going to reach when we get to Mr. Roybal. This is how it works.

Mr. HARRIS. He stated the second possibility as that it came through—through—Mr. Passman.

You testified in this room—cross-examination—You testified in this room just a few minutes ago that one of the possible explanations was that the money was received through Otto Passman, did you not just testify to that?

Mr. HARRIS. From Passman.

Mr. HIBEY. I said through. You testified through, did you not?

Mr. HARRIS. I don't think I did, and if I did, what I meant to say was from Mr. Passman.

Mr. HIBEY. Would it be fair to say that you were mistaken?

Mr. HARRIS. No, no, no. I know what I said, and absent the record being read back to me, let me say this: What I intended to say is that Mr. Roybal said that if he got money from Mr. Park, it was given from Park to Passman to Roybal.

Mr. HIBEY. I don't understand why you would say you do not know what you said a few minutes ago. Yet you are able to remember what Mr. Roybal said when you memorialized this thing 5 weeks later. Would you explain that to me?

Mrs. FENWICK. I think that is important.

Mr. HARRIS. I do not know the exact quote I said a few minutes ago, what I am saying to you, any more than you could tell me what you said verbatim a few minutes ago, but what I am telling you as a lawyer, it was critical in this case what Mr. Roybal was going to say, if anything, about the allegations that he had heard that Mr. Park had named him.

Yet you are being asked to credit this testimony. I mean this is a man who was not even responsible for writing the notes in the meeting. Who is on first? No one knew. Was it Niels who was going to have the responsibility or was it Harris?

Neither had it, and yet you are being asked to accept a version of the facts that is clearly an afterthought, is it not? And it is contradicted by Mr. Harris on the first occasion in which he testifies subject to cross-examination.

The first thing out of his mouth, page 66, "The money came through Mr. Passman." The memorandum itself, let us consider it. The date was April 19, 1978, the author Mr. Harris. The first sentence:

At midafternoon Niels and I went to Congressman Roybal's office at his request. Well, now, Mr. Harris, was that midafternoon on April 19, 1978? No, March 13, 1978.

This is Mr. Harris—

The date is the date of the drafting of the memorandum, not the event.

Mr. HIBEY. But how was anyone to tell from this document? Is it a fair reading of this document, Mr. Harris, that the interview which you report in this memorandum took place on April 19, 1978? Is that not a fair reading of this document?

Answer. Not to my way of thinking, Mr. Hibey.

Mr. HIBEY. Show me where in this document you make reference to the date March 10 or March 13, 1978.

Mr. HARRIS. I don't, and the reason is because I was not sure of the exact date. I have testified that I am not certain to this day, and if this were a critical fact I could not tell you for certain about the exact date this took place within the scope I have set.

Would you grant me this, sir?

Question.

Would you grant me this, sir: that the memorandum as it stands, dated April 19, 1978, and beginning with the first sentence that you have now read aloud for this committee to hear, that this memorandum cannot possibly be construed to memorialize the occasion of March 13, 1978, which occasion you have testified to on direct examination? Yes or no.

I can't do that, Mr. Hibey.

Why?

Well, because it is clear, as I told you, from our filing system, although perhaps not to you, the date it was memorialized in writing was April 19. It specifically does not mention a date, so—

And I interject:



But is it not fair to construe the date April 19 as the mid-afternoon occasion of this interview?

Answer. I do not think so.

Listen to this.

I am willing to concede if you do not know the fact about the system in which we draft memoranda, which by the way are not drafted for your benefit, although incidentally in this position you are certainly entitled to have them all, you might arrive at that conclusion.

That conclusion?

That conclusion is a mistake one, is it not?

Yes, it is.

A mistake concerning the date on which something occurred, isn't that correct? It would be your mistaken conclusion, that is correct, Mr. Hibey.

I had to know his filing system in order to figure that memorandum out. This memorandum is not made for the benefit of Mr. Hibey defending Mr. Roybal, but Mr. Hibey and Mr. Roybal particularly are going to have to suffer from the results of that kind of reporting.

Now what conclusion would you draw from the testimony of Mr. Harris then, members of this committee? There are only two. Either that Jeffrey Harris is a liar and a perjurer, or Jeffrey Harris is mistaken.

Let us look at the facts as Harris the liar. Does he have a motive to falsify? Yes, he spent 17 months of his life, and what does he come up with?—this kind of case. They want to ride this case out. Is that not a fair motive to falsify?

The falsification itself, he has given false testimony. He has attempted to back it up with a document that is falsified 5 weeks after the fact. He changes the word "from" to "through," or "through" to "from," depending upon which you read first, his testimony here or when he wrote the memorandum. He contradicts himself. He changes his story. He cannot remember what he said a moment ago on examination, and the first sentence of the memorandum is utterly false, regardless of what the filing system in that committee room is.

So the conclusion is, we have false testimony, known to be false, and given with the intention to deceive those who hear the testimony.

Are you prepared to accept that kind of analysis, and condemn Jeffery Harris as a perjurer? I think not, not because it is not plausible, my friends, but because there is another and equally valid explanation, that this testimony is a mistake, because it is the product of a careless acceptance of responsibility in reporting, of failing to recognize the function that he was to perform on March 13, 1978, of negligence in the timing and the writing of the memo, of the use of the language in the memorandum, of the mistake recollection of what was said, not only by himself but by Mr. Roybal.

Despite those protestations, I suggest to you that the man was mistaken. I do not brand him a liar. I do not brand him a perjurer, even though an argument like that can be made, and I suggest to you, ladies and gentlemen, that it was an honest mistake, and that is what I am suggesting to you with respect to Mr. Roybal himself. He should be indulged the same kind of consideration.

The man has admitted he did not report the campaign contribution in 1974. Four years later, told that he must come down to the meeting and give a deposition, without counsel being necessary when he asked that question; he comes down and he gives his best recollection after he has done what? He has checked with the staff to see if he has had any dealings with this man Tongsun Park. He finds that he doesn't. He concludes, therefore, that he has not received anything from him and he goes in and testifies.

He learns later that that is not correct. He thinks about the episode with Mr. Passman. He reports it. The report is garbled. He comes in and he testifies and he does his best again to recall what happened about an event that took place in August 1974, that is easily remembered by Mr. Park, but this man doesn't have the Korean Independence Day, the Louisiana primary, or John Rarick's letter of thanks to jog his memory. He goes to what he has. He takes a look. He sees that he has made a deposit of \$1,200 in February of 1974. He knows deep in his soul he didn't pocket that money, and there is no proof in this case that he did.

All you have is Park saying, "Thank you very much. It was a campaign contribution," and this man saying "I accept it as a campaign contribution" and that is what you have and you have no evidence of anything else, of purchased suits, of purchased stock, of deposits into private accounts or anything.

You are being asked to make that jump, that leap to conversion, and I suggest to you it is not there, and the man comes in and he recants and he explains, "I made a mistake," but then he goes and he says, "This is what I recall," because he believes down deep in his soul in all the years that he has been in public service he has never taken campaign funds and converted them to his own use.

Can we not say, therefore, that he, too, was mistaken? Can we not indulge with Mr. Roybal the same kind of consideration we will necessarily indulge for Mr. Harris, that Mr. Roybal, like Mr. Harris, was mistaken, and not lying and not intending to deceive the members of this committee with the Congress of the United States or the American public?

The CHAIRMAN. Mr. Hibey, you are aware the bells have sounded. You have consumed 24 minutes. You have 6 minutes remaining. I suggest, subject to your approval, that the committee take a recess so the Members desiring to vote may do so, and that you may conclude afterward. I will give you your option on it.

Mr. HIBEY. Whatever the pleasure of the committee is.

The CHAIRMAN. Is that satisfactory to you?

Mr. HIBEY. Yes, Mr. Chairman. I would rather have the committee's full attention, and I know while votes are pending I am not going to have it, so you have my agreement, sir.

[Recess.]

The CHAIRMAN. The committee will come to order.

Let the record show that there are 8 members present, and let the record further show that Mr. Hibey has 6 minutes remaining.

Mr. Hibey, you may proceed.

Mr. HIBEY. I was thinking during the break, Mr. Chairman, that when I go home this evening my wife will ask me how it went. I could tell my wife and children that I was accorded 30 minutes and

after 24 minutes everybody got up and walked out. On the other hand, I am very happy to see that everybody returned. Welcome.

In the remaining minutes, let me attempt to recapture the moment, to say that in this case now what we have is a man 30 years in public life, 16 years in the Congress of the United States, who enjoys and excellent reputation both here and in his home, whose election campaigns were not among the 6,500 that were referred in the year 1972 and 1973 to the Department of Justice for prosecutive determination, or among the 111 that were referred in 1972 and 1974 to the Justice Department for prosecutive determination, nor is he the only one sitting Member of Congress who stands criminally convicted of failure to report a campaign contribution.

But here is a man who believes with all his heart that he never pocketed any campaign money, and has said so, and in saying so has said also that he cannot prove it, but has the staff proved it? It was a campaign contribution. Tongsun Park said so. He was the donor. That was his intent. It was accepted by Mr. Roybal as a campaign contribution. Tongsun Park said so. "Thank you very much. It will be very helpful to me in my campaign.

The fact that it was not reported does not, I suggest to you members of the committee, lead to the inexorable conclusion that it was not used in the campaign. The staff has the burden here of proving by clear and convincing evidence that that money was converted to the personal use and benefit of Mr. Roybal. The fact that he candidly admits on cross-examination that, absent proof on his side that he indeed used that money in the campaign, and that therefore as a matter of logic it can be concluded that he kept it does not establish a fourth version. It is a response to a question: Can we not conclude that you kept it?

Mr. Roybal's answer is, yes, you can because I cannot prove to the contrary that which I know and which I have come before you to say that I know, although I cannot independently prove it.

Therefore, I suggest to you that his assertion that he did not convert this money has not been rebutted by the staff, which has the burden of proving it, and so we come to this.

In a little more than 6 minutes the case will be submitted to you for your deliberation upon the fate of a Member of Congress on evidence under count 1, which establishes clearly and convincingly that he did not report a campaign contribution which he received from Tongsun Park in 1974. No breast-beating.

We are not saying kill us for that. You have not before. One wonders why you should now. It is a fact, but that is where we draw the line.

We say to you that there is no evidence under count 2 that establishes clearly and convincingly that he converted the funds to his own personal use and benefit. The evidence is in a state of equipoise, perfect balance. Is it not reflected in the reports.

The man says, as he said to Park and as he said to you, "I used it in the campaign but I can't prove it." I say to you the staff has to do more than simply seize upon the cynical smearing, sniffing aspect of that to say oh, yes, but what he really did is he pocketed it.

Then, ladies and gentlemen, I ask you to think carefully about the elements of perjury from the basis of counts 3 and 4. Erroneous testimony is not in and of itself perjury. There must be more, an intent to lie and to deceive you, and I suggest to you that Mr. Roybal never had that intent. So in the end, in his name, we ask you to return an appropriate and just verdict, which indeed finds him having failed to make a report of that campaign contribution 4 years ago, but which exonerates him from any further wrongdoing of which this staff has brought charges.

Thank you, Mr. Chairman.

The CHAIRMAN. The time of Mr. Hibey has expired.

Mr. Nields, you are recognized for 7 minutes to conclude.

#### REBUTTAL BY MR JOHN W. NIELDS, JR., CHIEF COUNSEL TO THE COMMITTEE

Mr. NIELDS. Thank you, Mr. Chairman.

Mr. Hibey spent the majority of his time addressing himself to the date on which Mr. Harris wrote a memorandum, and on the difference between the words "from" and "through." I do not fault him for that, because the fact of the matter is there is virtually nothing in the record from which he can argue.

However, I want to make one thing, one point very clear. Mr. Harris made no mistake in any part of his testimony.

Sure, Congressman Roybal told him that the money came through Otto Passman. There is no question about that. There never has been any question about that.

The question in March of this year is whether Congressman Roybal said that the money came directly from Tongsun Park or not. The question then was whether Congressman Roybal's version of the fact was consistent or inconsistent with the version which Tongsun Park had testified to.

On that point, Mr. Harris testified that Mr. Roybal said the money had not come directly from Tongsun Park, and he testified that in response to a question by me; Congressman Roybal stated in fact it had not come from any oriental in Otto Passman's office.

Mr. Hibey did not ask Harris a single question on cross-examination about that part of his testimony, not one question, and the reason for that is clear, because that is what Mr. Roybal told to Mr. Harris. He told a version of the facts inconsistent with Tongsun Park's, inconsistent with his first version, and inconsistent with his second version.

When he was asked by me on cross-examination at this hearing a series of questions designed to find out whether he disputed that portion of Mr. Harris' testimony, he evaded the question. I asked it about six times, and if you wish to look at it, the questions and answers are from page 123 to page 125 of the transcript, and he never answered the question. He kept saying, "Well, I got it through Mr. Passman."

Sure he got it through Mr. Passman. That was conceded. The question was whether he was saying that he got it or didn't get it directly from Tongsun Park or an oriental. He never answered that question.

Then he was asked a series of questions about what he had told Mr. Swanner at or about the time that he had the interview with

Mr. Harris and me. Those questions are at page 126 and 127. Twice he was unresponsive to that question, but finally he conceded yes, he had told Mr. Swanner at that time he was certain that he had not received the money from Tongsun Park.

Now Mr. Hibey has said that the staff has not proved that Congressman Roybal converted this contribution. Well, we have proved that it was not deposited into his campaign account. We have proved that it was not given over to anybody in the campaign committee. We have proved that he reported on his reports no expenditure, no campaign expenditure, and we have proved the explanation that he first gave as to what he did with the money, which was to give it to Dianne Lewis, and we have proved that that is false, and as I said before, when faced with that situation, Congressman Roybal was asked "Is it possible that you pocketed the money?" and he said "It could be, yes."

He knows what he did with the money. He is the one who knows what he did with the money, and he has come up with absolutely no explanation except it could be that he pocketed it. I suggest that the evidence on that issue is not in equipoise. The evidence overwhelmingly establishes that he pocketed the money, he diverted it from his campaign purpose and he did not put it to a campaign use.

Mr. Hibey has pointed to Mr. Roybal's many years of service with the House of Representatives and his good reputation. I recognize that if the committee decides that the charges are sustained, it will be performing a very painful duty. I did not ask the committee to find that Congressman Roybal is a bad man.

I do not ask the committee to find that Congressman Roybal is a bad Congressman. I ask the committee to find that on four separate occasions Mr. Roybal performed bad acts. Those acts have been established, I submit, to a moral certainty, and the committee has no alternative but to find that they have been sustained.

Thank you, Mr. Chairman.

The CHAIRMAN. The committee now has the option of going into executive session to deliberate this matter, or hearing the other matter scheduled for today, and go into executive session simultaneously.

The Chair proposes that we go into executive session at this time, and if any member desires to make such motion I will entertain it.

Mr. BENNETT. I so move.

The CHAIRMAN. Is there any discussion?

Mr. CAPUTO. Are we going to vote ultimately in public, Mr. Chairman?

The CHAIRMAN. We will vote in executive session and announce in public.

Mr. QUILLEN. Mr. Chairman, I certainly agree that we should go into executive session, but I thought that the counsel of this committee in his opening statement said that he did not want to proceed unless all the members of this committee had the benefit of the testimony and the closing arguments, and that that was agreed upon.

The CHAIRMAN. It is of course always hoped that we will have 12 members. We have one member who is in the hospital.

Mr. QUILLEN. I agree.

The CHAIRMAN. We have two other members who may be available for executive session. One will be, which would make 10. We have nine here now.

The question of how to proceed in an effort to get the other two members who are able to be present will be a matter which I think we could discuss in executive session.

Mr. QUILLEN. I certainly am not trying to delay.

The CHAIRMAN. I know you are not.

Mr. QUILLEN. Perhaps I misunderstood.

The CHAIRMAN. I think you understood what counsel said, but I do not think that the committee agrees that we would not proceed until we have 12 members.

Mr. NIELDS. Your understanding is correct, Mr. Chairman, of what my point was.

Mr. HIBEY. That is my understanding, too, Mr. Quillen, if it is helpful to your recollection.

The CHAIRMAN. You have heard the motion. Is there further discussion? This is a motion which, under the rules of the House must be made in public session with a quorum of the committee present. Further, under the rules of the House it is a vote which must be taken on a rollcall vote. When your names are called, those in favor of the motion will vote aye; those opposed will vote no, and the staff will call the roll.

Mr. SWANNER. Mr. Flynt.

The CHAIRMAN. Aye.

Mr. SWANNER. Mr. Spence.

Mr. SPENCE. Aye.

Mr. SWANNER. Mr. Teague.

[No response.]

Mr. SWANNER. Mr. Quillen?

Mr. QUILLEN. Aye.

Mr. SWANNER. Mr. Bennett.

Mr. BENNETT. Aye.

Mr. SWANNER. Mr. Quie.

Mr. QUIE. Aye.

Mr. SWANNER. Mr. Hamilton.

Mr. HAMILTON. Aye.

Mr. SWANNER. Mr. Cochran.

Mr. COCHRAN. Aye.

Mr. SWANNER. Mr. Preyer.

[No response.]

Mrs. Fenwick?

Mrs. FENWICK. Aye.

Mr. SWANNER. Mr. Flowers.

[No response.]

Mr. Caputo?

Mr. CAPUTO. Aye.

Mr. SWANNER. Mr. Chairman, nine members answer aye, three members are absent.

The CHAIRMAN. On this vote by rollcall, the ayes are nine, the nays are none, and the motion is agreed to. The committee will go into executive session in room 2360.

Before the committee adjourns or recesses, the committee will reconvene at 2:30 p.m. this afternoon unless the committee is unable to reconvene at 2:30, and a notice will be posted on the board if for any reason the time is moved to an hour later than 2:30.

The committee stands in recess until 2:30, but will now go into executive session.

[Whereupon, at 12:15 p.m., the committee proceeded to executive session.]





APPENDIX O

TRANSCRIPT OF DECISION



WEDNESDAY, SEPTEMBER 27, 1978

HOUSE OF REPRESENTATIVES,  
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT,  
*Washington, D.C.*

The committee met at 3:46 p.m. in room 2226, Rayburn House Office Building, Hon. John J. Flynt (chairman of the committee) presiding.

Present: Representatives Flynt, Bennett, Hamilton, Flowers, Spence, Quillen, Quie, Cochran, Fenwick, and Caputo.

Also present: John M. Swanner, staff director; John W. Nields, Jr., chief counsel; Thomas M. Fortuin, professional staff member, and Richard A. Hibey, counsel for Hon. Edward R. Roybal.

The CHAIRMAN. The committee will come to order in public session. The Chair will read the rules of the Committee on Standards of Official Conduct, 95th Congress.

Scope and Authority. These rules govern the procedures to be followed by the Committee on Standards of Official Conduct, and are adopted under the authority of rule XI2.(a) of the Rules of the House of Representatives, 95th Congress.

The Chair now reads from committee rule 10, paragraph d:

The investigative hearing shall consist of two phases, unless the committee determines that a single phase is more appropriate. The first phase shall be for the purpose of obtaining probative evidence upon which the committee can base its findings and conclusions. The second phase shall be for the purpose of making recommendations for action. Evidence offered solely as a reflection of the respondent's character or which tends to mitigate the charges against the respondent shall be received only during the second phase.

Rule 13: Findings, Conclusions and Recommendations. After completion of the investigative hearings, the committee, by the affirmative vote of a majority of its members, shall adopt an appropriate resolution, report, or recommendation, which shall be made public and furnished to the complainant, if any, unless a majority of the members of the committee determines that there is good cause not to do so.

The Chair has ruled that the first phase of the investigative hearing was completed on Wednesday, the 13th of September. The Chair further ruled and the committee agreed that the second phase took place today and has been completed.

In accordance with rule 13, which requires that the action be made public unless a majority of the committee members determines that there is good cause not to do so, pursuant to rule 13 and pursuant to other applicable rules, the Chair announces that as to count 1, the committee by a vote of nine to zero voted that count 1 had been sustained with a technical amendment, that being to strike the parenthesis and the parenthesis close where it appears on lines 5 and 6 of count 1 and insert commas in lieu thereof.

With regard to count 2, the committee by a vote of nine to zero voted that count 2 had been sustained by a preponderance of the evidence, by clear and convincing evidence.

With regard to count 3, count 3 was amended on lines 3 and 4 by striking the parenthesis following the word "representatives" on

line 3 and the parenthesis close following the word "representatives" on line 4 and inserting in lieu thereof commas, and further amended count 3 by striking following the word "true" on line 5, the parenthesis, all that is contained within the parenthesis and the parenthesis close.

That amendment was adopted by a vote of five to four.

The motion as amended was agreed to by a vote of nine to zero.

As to count 4, the committee by a vote of one aye, six nays, and one member voting present, voted that count 4 be not sustained.

Mr. COCHRAN. Mr. Chairman, I think it was two.

The CHAIRMAN. On the motion on count 4 as amended, the ayes were two, the nays were six, and one member present and not voting. The count 4 was not sustained and in effect was dismissed.

Mr. NIELDS. Mr. Chairman, I believe you announced the motion on count 3 passed nine to zero, but I don't think you said what the motion was.

The CHAIRMAN. The motion as amended was sustained and the motion was unanimously agreed to.

The committee further, by a vote of nine to zero, voted to recommend to the House that the respondent be censured.

Does any member have any comment to make?

The Chair will proceed.

Mr. Hibey, do you have anything?

Mr. HIBEY. I have nothing, Mr. Chairman.

The CHAIRMAN. Mr. Nields?

Mr. NIELDS. I have nothing, Mr. Chairman.

The CHAIRMAN. If not, this proceeding is closed.

[Whereupon, at 3:53 p.m. the committee proceeded to other business.]

