

4-Aminobutyrate (GABA): a metabolite and signal with practical significance

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Context: GABA accumulates in response to both abiotic and biotic stresses

Extensive research has demonstrated that abiotic stresses such as cold, heat, salinity, drought, 70 waterlogging, O_2 deficit, CO_2 enrichment and UV radiation, applied singly or in combination, can lead to GABA accumulation in various plant species, organs/ tissues, cell types and translocation fluids (Table 1). Cytosolic acidification, which reportedly accompanies some abiotic stresses, also increases the GABA level. Furthermore, there have been several reports of GABA accumulation in response to biotic stresses such as fungal and bacterial infections and elicitors, as well as the mechanical stimulation/damage resulting from crawling and feeding insects.

GABA metabolism and interactions with other pathways

GABA Shunt enzymes

Properties
action consumes a p
GADs, unlike bacter The primary source of GABA appears to be glutamate, which is generated via glutamate decarboxylase or GAD (Fig. 1). This reaction consumes a proton, and therefore may mitigate reductions in cytosolic pH. Most plant GADs, unlike bacterial or animal GADs, possess a C-terminal 30-50 amino acid residue calmodulin (CaM)-binding domain, and *in vitro* activity of 84 recombinant GAD is activated by Ca^{2+}/CaM at neutral pH. However, it is not activated by Ca^{2+}/Ca at the acidic pH optimum for the enzyme (Shelp et al. 2012*a*; Trobacher et al. 2013*b*). Multiple GADs are present in most plant species. For example, there are five GADs in *Arabidopsis* (Fig. 1), *Oryza sativa* L. (rice), *Solanum lycopersicum* L. (tomato) and *Zea mays* L. (maize), nine GADs in *Glycine max* [L.] Merr. (soybean), six GADs in *Populus trichocarpa* Torr. et Gray (poplar), three GADs in *Malus* x *domestica* Borkh. (apple) and *Camellia sinensis* (L.) Kuntze (tea), and four GADs in various *Prunus* species (Shelp et al. 2012*a*, *c*; Trobacher et al. 2013*b*; Mei et al. 2016; Salvatierra et al. 2016). *In silico* and empirical analyses suggest that the

preference. For example, there are two to four GABA-Ts in tomato, rice and canola (Shelp et al.

2012*a*; Shimajiri et al. 2013*b*; Bao et al*.* 2015; Faës et al. 2015). There is little evidence for induction of GABA-T expression in response to stress (Shelp et al. 2012*c*). Indeed, GABA-T activity is probably limiting during stress, which would contribute to the accumulation of GABA (Simpson et al. 2010). Succinic semialdehyde is oxidized to succinate via a recombinant NAD-dependent SSA dehydrogenase (SSADH), which is regulated by NADH and adenylates (Shelp et al. 2012*a*) (Fig. 1). This is significant because redox balance can be modified by numerous stress conditions. There is only a single SSADH in Arabidopsis and tomato. Together these three reactions, from glutamate to GABA, SSA and succinate, are known as the GABA shunt because they bypass two reactions of the tricarboxylic acid cycle (TCAC), 2-oxoglutarate dehydrogenase and succinyl-Co ligase (see Respiratory Processes).

Respiratory processes

Dark respiration in aerobic organisms involves the glycolytic production of pyruvate, which is converted to acetyl-CoA via pyruvate dehydrogenase for citrate formation and input into the TCAC. The TCAC includes succinyl-CoA ligase, which generates ATP directly, as well as four oxidation reactions, isocitrate dehydrogenase, 2-oxoglutarate dehydrogenase (OGDH), succinate dehydrogenase (SDH) and malate dehydrogenase, which together generate NADH and FADH2. These reduced co-enzymes fuel ATP synthesis by oxidative phosphorylation. The carbon flux under normoxia in the dark is generally considered to be cyclic, proceeding from citrate to oxaloacetate, with the levels of all the C intermediates, including 2-oxoglutarate, succinate and

theoretically be recycled to pyruvate via the malic enzyme, it seems less likely given an unfavourable redox balance.

GHB metabolism

of the various other
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 Providate/succinic sersible NADPH-denoted Based on earlier studies of bacteria and animals (Valentin 1995; Buckel 2001; Mamelak 2012; Salminen et al. 2015: see references therein), it seems likely that stress-induced GABA accumulation and an elevated NADH/NAD ratio would be accompanied by an elevated NADPH/NADP ratio, which could facilitate the operation of an alternative path for SSA metabolism to 4-hydroxybutyrate (GHB) (Fig. 1). Indeed, evidence has shown that GHB accumulates with oligomycin inhibition of mitochondrial ATP synthase (Geisler et al. 2012), as 216 well as cold (Kaplan et al. 2007) (Fig. 2) and various other abiotic stresses, including O_2 deficit, waterlogging, heat, drought and UV (Allan et al. 2003, 2008, 2012; Breitkreuz et al. 2003; Fait et al. 2005). To date, two *GLYR*/*SSAR* (g*lyoxylate/succinic semialdehyde reductase*) genes have been empirically identified for the irreversible NADPH-dependent reduction of SSA to GHB in Arabidopsis, apple and rice (Brikis et al. 2017; Zarei et al. 2017*a*) (Fig. 1). The encoded enzymes have been designated as GLYRs since the recombinant proteins utilize glyoxylate more efficiently than SSA. However, single *glyr* and *glyr2* knockout mutants of Arabidopsis accumulate less GHB with submergence than the wild type, providing convincing evidence for a role of the GLYRs in SSA reduction *in vivo* (Allan et al. 2012). Recently, Mekonnen and Ludwig (2016) used a *gaba-t x ssadh* double mutant to demonstrate that exogenous GHB can be back-converted to both GABA and succinate, and provided evidence, using a gel-based assay, for the 227 GHB-dependent conversion of NAD⁺ to NADH (Fig. 1). Unfortunately, we have not been able to 228 measure NAD⁺-dependent GHB dehydrogenase activity in cell-free extracts despite repeated

attempts over the last decade. Based on bacterial and human studies, GHB could be back-converted to SSA, as well converted to acetyl-CoA or 2,4-dihydroxbutyrate in the forward direction (Valentin 1995; Buckel 2001; Mamelak 2012; Salminen et al. 2016; see references therein). For the time being, the importance of these reactions in plants exposed to stress is uncertain. It is clear, however, that GLYR/SSAR activity may divert some flux from succinate to GHB during stress, and that this would be accompanied by NADPH oxidation. Indeed, the early growth of a *glyr1/2* knockout or knockdown mutant is more susceptible to SSA toxicity in the cold than WT and *GLYR1* overexpression lines (Zarei et al. 2017*a*). These findings are consistent 237 with an elevated rate of SSA conversion to GHB with cold, and suggest that GLYR1/2 are part of an adaptive response to stress.

Polyamine catabolism

Dramatical Stratt
 Draft
 Example 1 accumulation of C GABA can also be derived from polyamines (Shelp et al. 2012*b*; Tiburcio et al. 2014). Indeed, the salinity- and anoxia-induced accumulation of GABA is reduced by 25% to 39% by aminoguanidine, a diamine oxidase inhibitor (Xing et al. 2007; Liao et al. 2017). Recent research has demonstrated that one to two recombinant Cu-amine oxidases and two recombinant ALDH10As, respectively, can convert putrescine to 4-aminobutanal and 4-aminobutanal to GABA in Arabidopsis (Fig. 1) and apple fruit (Planas-Portell et al. 2013; Zarei et al. 2015*a*, 2015*b*, 2016). Interestingly, both ALDH10A8/9 prefer 3-aminopropanal as a substrate over 4- aminobutanal, but the root growth of single *ataldh10A8* and *ataldh10A9* knockout mutants is oversensitive to salinity and GABA accumulation in shoots is reduced (Zarei et al. 2015*b*, 2016). Together, these findings indicate that the pathway from putrescine to GABA plays a role in the stress response in dicotyledonous plants and suggest that the carbon flux through this pathway

252 could be regulated by a combination of $O₂$ availability and redox balance, particularly in bulky fruit (Shelp et al. 2012*b*; Zarei et al. 2015*b*; Lum et al. 2016*b*).

Signaling

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ding (see Shelp 201
signaling in plants
h the apoplastic spa Various physiological, transcriptional and molecular responses are elicited by changes in plant or tissue GABA status, which can be induced by fungal/bacterial infections, nutrient limitation, exogenous GABA, plant development, and the use of transgenic/gene knockout strategies (see details and citations in Table 2). While these findings might be useful, caution must be exercised in interpreting studies that use very high concentrations of exogenous GABA (e.g., Kathiresan et al. 1997; Barbosa et al. 2011) and/or damage tissue during treatment 262 application (e.g., Sulieman and Schulze 2010), unless appropriate controls are conducted to account for potential osmotic and wounding (see Shelp 2012) effects, respectively. Perhaps the best support for GABA signaling in plants is: (i) the requirement for a GABA gradient to guide the pollen tube through the apoplastic spaces within the pistil to the female gametophyte (Palanivelu et al. 2003); (ii) the upregulation of the KLM operon in *Agrobacterium* by GABA or wounded stems of GAD overexpression (Ox) lines of tobacco, which reduces the quorum-sensing signal and virulence (Chevrot et al. 2006); (iii) the existence of a GABA-binding domain on the plasma-membrane, aluminum-activated malate transporter (ALMT) (Ramesh et al. 2015); (iv) the repression of the type III secretion system in *Pseudomonas* by GABA or by tobacco GAD Ox plants, resulting in a reduction of the plant`s hypersensitive response (McGraw et al. 2016); and (v) the wounding-induced accumulation of GABA in 273 systemic untreated leaves, which is independent of systemic cytosolic Ca^{2+} accumulation and GABA translocation from wounded to systemic leaves (Scholz et al. 2017) (Table 2). Other

al. 2016). Since then
al. 2015), it has been
on of stomatal closu
al. 2016; also see **H** Stress-induced plant GABA can probably bind ALMT, thereby negatively regulating malate efflux and conserving malate within the cell (Fig. 3), as suggested previously (Gilliham and Tyerman 2016). A *gad1/2* double mutant has reduced GABA levels and tolerance to prolonged drought, symptoms that are reversed by crossing this mutant with a *gaba-t* mutant in order to elevate the GABA level (Mekonnen et al. 2016). Since there are multiple *ALMT*s in plants, and they encode anion channels (Ramesh et al. 2015), it has been suggested that GABA accumulation and ALMT are involved in the regulation of stomatal closure by stimulating solute loss and consequent loss of turgor (Mekonnen et al. 2016; also see Bown and Shelp 2016). Notably, the high expression of *TaALMT1* in wheat correlates with elevated GABA accumulation, which is reduced by treatment with aluminum (Ramesh et al. 2016). This has not been explained to date and may be relevant in terms of factors that alter endogenous GABA. Recent research has indicated that up-regulation of *AtGAD4* expression in Arabidopsis plantlets subjected to short-term salinity stress is associated with inducible co-expression of *ALMT2* and *calmodulin-like 37*, as well as the transcription factors *WRKY28*, *WRKY30*, *WRKY40*, *MYB2*, *MYB15* and *MYB108*, suggesting the involvement of anion transport, protein activation and gene regulation in GABA accumulation (Zarei et al. 2017*b*). Further research should focus on short-term responses to

stress in order to determine whether or not GABA functions as a signal molecule by binding to or regulating the activity of GABA receptor molecules.

The emerging functions of GABA in metabolism and signaling have practical significance

Defense against bacteria and invertebrate pests

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3), the tobacco budy
Tables 2 and 3). Th Several studies have suggested that GABA mediates interactions between plants and other organisms such as fungi, bacteria and invertebrate pests (see Bown et al. 2006 and Shelp et al. 2006), and consequently defense against such species could be improved by genetic engineering elevated levels of GABA in plants. For example, constitutive GAD Ox lines of tobacco are more resistant to *Agrobacterium* and *Pseudomonas* infection (Chevrot et al. 2006; McGraw et al. 2016) (Table 2). Furthermore, constitutive GAD Ox or *gaba-t* lines are more resistant to larvae of the oblique-banded leafroller (Ramputh and Bown 1996; Scholz et al. 2015), the northern root-knot nematode (McLean et al. 2003), the tobacco budworm (MacGregor et al. 2003) and *Spodoptera* (Scholz et al. 2015, 2017) (Tables 2 and 3). These latter findings suggest that wounding due to infestation or herbivory by invertebrate pests disrupts cell structure and stimulates GAD activity and GABA accumulation with the release of hydrogen ions from the vacuole to the cytosol (Bown et al. 2006). They do not establish whether GABA functions by regulating GABA-sensitive neuromuscular junctions or by some other mechanism (Bown and Shelp 2016).

Exogenous GABA alleviates stress-induced losses in quality

While it is clear that GAD Ox can enhance the endogenous level of GABA, no one has yet studied the response of such plants to abiotic stress. However, there are several reports on the

impact of exogenous GABA on the plant response to abiotic stress. These have focused on peach fruit, banana peel, tomato seedlings and cut flowers exposed to chilling stress (Shang et al. 2011; Yang et al. 2011; Malekzadeh et al. 2014; Wang et al. 2014*b*; Aghdam et al. 2015, Aghdam et al. 2016*a*-*c*), as well as melon seedlings subjected to hypoxic or saline conditions (Wang 2014*b*; Hu et al. 2015), rice seedlings grown at elevated temperatures (Nayyar et al. 2014), and barley seedlings treated with aluminum (Song et al. 2010). Overall, the application of exogenous GABA appears to alleviate the stress-induced losses in quality such as the incidence of chilling injury and various characteristics associated with membrane deterioration (Fig. 4). This is accompanied by changes in the status of key metabolites such as GABA, proline, and some polyamines, as well as improvements in energy and anti-oxidant systems. Further research is required to establish whether the positive impact of exogenous GABA on the response to abiotic stress is due to its role as a metabolite or signal.

Enhancing endogenous GABA and health benefits

GABA is known for its various medicinal properties, such as a reduction in anxiety, depression and insomnia, and for its anti-cancer and antihypertensive activities (Okada 2000;Adham et al. 2006). For the last two decades, there has been considerable interest, particularly in Korea, China and Japan, in producing foods with enriched GABA levels (Diana et al. 2014; Cho & Lim 2016). The first main strategy for attaining this outcome in plants is the use of various cultural or abiotic (e.g., hypoxia, heat) stress conditions to enhance GAD activity during the germination of rice/wheat/soybean/fava bean seeds (Matsuyma et al. 2009; Youn et al. 2011; Morrison et al. 2013; Yang et al. 2013; Zhang et al. 2014; also see Cho and Lim 2016), or the preparation of dried immature soybean fruits (Takahashi et al. 2013) and fermented green tea (Tsushida et al.

1987; Abe et al. 1995; Sawai et al. 2001; Allan et al 2003). GABA levels that are two to 50 times control levels have been reported (Table 4). Some of these treatments can modify the nutritional, organoleptic and functional properties of plants, so there is interest in inducing GABA accumulation in plant-derived foodstuffs using non-thermal technologies such as high-pressure processing (for review see Poojary et al. 2017). The second main strategy involves genetic engineering of GAD Ox and/or GABA-T down-regulation, resulting in GABA levels in rice seed and tomato fruit which are five to 349 times the levels in the wild-type (Akama et al. 2009; Shimarjiri et al. 2013*a*; Nonaka et al. 2017; Takayama et al. 2015, 2017) (Table 4). Some of these GABA-enriched plant foodstuffs have been successfully screened for positive outcomes using spontaneously hypertensive or salt-sensitive rats (Abe et al. 1995; Akama at al. 2009; Yoshimura et al. 2011), providing proof-of-concept for the benefits of plant foodstuffs enriched in GABA.

Concluding remarks

Much has been learned about the metabolism and functions of GABA over the past three decades. It is now clear that GABA accumulates in plants in response to both abiotic and biotic stresses. With the identification of many genes responsible for GABA metabolism in various species, including Arabidopsis, it has become possible to generate recombinant proteins for biochemical characterization. These studies have shown that the activity of many plant GADs, 362 unlike bacterial and animal GADs, is activated by bound Ca^{2+}/CaM , thereby linking GABA accumulation to Ca influx resulting from external stimuli. They have also shown that plant GABA-Ts utilize pyruvate and glyoxylate as amino acceptors, rather than 2-oxoglutarate as in bacterial and animal GABA-Ts. These data suggest links between plant GABA metabolism and

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Lecture given by B.J.

Kingston. Ontario. Our knowledge of GABA metabolism and signaling suggests that there are opportunities for genetically engineering stress-resistant/tolerant plants and plants with enhanced health benefits. If the major role of GABA in plants is as a signaling molecule the regulation of GABA levels within the immediate vicinity of binding sites will be required. Thus the regulation of GABA transport (see Shelp and Zarei 2017), as well as metabolism, would be an important component of the signaling process. **Conflict of interest** The authors declare that there is no conflict of interest regarding the publication of this paper. **Author contributions** 401 This paper is based on the Gold Medal lecture given by B.J.S at the 2016 meeting of the Canadian Society of Plant Biologists in Kingston, Ontario. A.W.B. and A.Z. contributed significantly to the research presented at that conference and in particular to the preparation of the present manuscript. All authors read and approved the final manuscript. **Acknowledgements** We apologize to colleagues whose original work was not cited here because of space limitations. B.J.S. wishes to acknowledge financial support from the Natural Sciences and Engineering Research Council (NSERC) of Canada, Agriculture and Agri-Food Canada, and the Ontario Ministry of Agriculture, Food and Rural Affairs for research on GABA.

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986 **Table 1.** Abiotic and biotic stresses stimulate GABA accumulation in plants.

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1000 **Table 2.** Plant GABA acts a signal

| Plant species | Experimental details | Physiological, transcriptional or molecular response | References |
|---------------|---|---|-------------------------|
| Crustose red | GABA is released from grazed | Induces GABA neuronal receptors and triggers | Morse and Morse 1979, |
| algae | algae | metamorphosis in red abalone | 1984; Trapido-Rosenthal |
| | | | and Morse 1986 |
| Helianthus | Seedlings treated with 100 mM | Increases ethylene production and expression of | Kathiresan et al. 1997 |
| annuus | GABA for 12-16 h | ACC synthase and ACC oxidase | |
| Arabidopsis | gaba-t mutant has disrupted | Arrested or misdirected pollen tube growth reduces | Palanivelu et al. 2003 |
| thaliana | GABA gradient along pollen tube | seed yield | |
| | path | | |
| | Seedling grown with 10 mM | Downregulates expression of 14-3-3 gene family | Lancien et al. 2004 |
| | GABA for 7 d | members in a Ca^{2+} , ethylene- and abscisic acid- | |
| | | dependent manner | |
| | gaba-t mutant germinated on 10 | White, bleached phenotype with a shorter life cycle | Clark et al. 2009 |
| | mM GABA | than when grown without N | |
| | <i>gaba-t</i> mutant; seedlings treated | Pollen tube elongation is defective and cell wall- | Renault et al. 2011 |
| | with $1-10$ mM GABA for up to 6 | related genes are downregulated; leaf chlorosis and | |
| | d | cell elongation is inhibited | |
| | Seedlings treated with up to 200 | Root length and nitrate uptake are stimulated by | Barbosa et al. 2011 |
| | mM GABA for up to 15 d | GABA at low nitrate, and inhibited at high nitrate; | |
| | | increases amount of nitrate reductase protein at low | |
| | | nitrate | |

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1017 **Table 3.** GABA is a defence mechanism against invertebrate pests

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1019 Abbrev: FM, fresh mass; GABA-T, GABA transaminase; GAD, glutamate decarboxylase; Ox, overexpression; WT, wild-type

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1020 **Table 4.** Abiotic stress, breeding and genetic engineering strategies enrich GABA levels in plant-derived foodstuffs and provide 1021 hypotensive benefits

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Figure legends

- **Figure 1.** GABA metabolism in Arabidopsis.
- The well-known GABA shunt is shown in blue balloons, and auxiliary pathways involving 4-
- hyroxybutyrate and polyamines are shown in red and green, respectively; all enzymes are shown
- in orange. The dashed lines represent reactions or enzymatic paths which have been
- characterized in a preliminary fashion only, if at all, in plants. The dotted lines represent
- reactions that are only found in animal and bacterial systems. Three of the five glutamate
- decarboxylases possess a calmodulin-binding domain. (See text for more explanation.)
- Abbreviations: ABAL, 4-aminobutanal; ALDH, aldehyde dehydrogenase; CaM, calmodulin;
- dihydroxybutyrate;
 NBA transaminase;
 CR, glyoxylate/succ

ic semialdehyde de CuAO, copper amine oxidase; DHBA, dihydroxybutyrate; GABA, 4-aminobutyrate; GAD,
- glutamate decarboxylase; GABA-T, GABA transaminase; GHB, 4-hydroxybutyrate; GHBDH,
- 4-hydroxybutyrate dehydrogenase; GLYR, glyoxylate/succinic semialdehyde reductase; SSA,
- succinic semialdehyde; SSADH, succinic semialdehyde dehydrogenase.

- **Figure 2.** Metabolic dysfunction and **s**tress stimulates the GABA shunt & non-cyclic flux in the tricarboxylic acid cycle.
- The heat map represents the relative levels of marker metabolites in plants subjected to
- chemical, knockout and knockdown strategies, and/or abiotic stresses: red, increased; green,
- decreased; yellow, no effect; gray, not determined. Abbreviations: Ala, alanine; as, antisense; Cit,
- citrate; Fum, fumarate; GAB, GABA; *gaba-t*, GABA transaminase mutant; *gad*, glutamate
- decarboxylase mutant; *gdh*, glutamate dehydrogenase mutant; GHB, 4-hydroxybutyrate; Gln,
- glutamine; Glu, glutamate; IC, isocitrate; *nad-idh*, nad-dependent isocitrate dehydrogenase

56x54mm (300 x 300 DPI)

stimulates the GABA sacid cycle. Figure 2. Metabolic dysfunction and stress stimulates the GABA shunt & non-cyclic flux in the tricarboxylic acid cycle.

Figure 3. GABA regulates ALMT membrane channels across the plasmalemma.

44x40mm (300 x 300 DPI)

Figure 4. Exogenous GABA alleviates stress-induced losses in quality.

52x32mm (300 x 300 DPI)