

SPRENDIMAI

EUROPOS CENTRINIO BANKO SPRENDIMAS

2012 m. gruodžio 11 d.

kuriuo iš dalies keičiamas Sprendimas ECB/2007/7 dėl TARGET2-ECB sąlygų

(ECB/2012/31)

(2013/31/ES)

EUROPOS CENTRINIO BANKO VYKDOMOJI VALDYBA,

direktyva 98/26/EB dėl atsiskaitymų baigtinumo mokėjimų ir vertybinių popierių atsiskaitymų sistemose ⁽⁴⁾,

atsižvelgdama į Sutartį dėl Europos Sąjungos veikimo, ypač į jos 127 straipsnio 2 dalį,

PRIĖMĖ ŠĮ SPRENDIMĄ:

atsižvelgdama į Europos centrinių bankų sistemos ir Europos Centrinio Banko statutą, ypač į jo 11 straipsnio 6 dalį ir 17, 22 bei 23 straipsnius,

1 straipsnis

Sprendimo ECB/2007/7 daliniai pakeitimai

Sprendimas ECB/2007/7 iš dalies keičiamas taip:

atsižvelgdama į 2012 m. gruodžio 5 d. Gaires ECB/2012/27 dėl Transeuropinės automatizuotos realaus laiko atskirųjų atsiskaitymų skubių pervedimų sistemos (TARGET2) ⁽¹⁾, ypač į jų 8 straipsnio 2 dalį,

1. 1 straipsnio 1 dalies c punkto pirmoji išnaša pakeičiama taip:

kadangi:

„(1) Eurosistemos dabartinė politika dėl infrastruktūros vietos įtvirtinta šiuose pareiškimuose, kuriuos visus galima rasti ECB svetainėje www.ecb.europa.eu: a) 1998 m. lapkričio 3 d. „Politinis pareiškimas dėl ne euro zonoje esančių euro mokėjimo ir atsiskaitymo sistemų“ (*Policy statement on euro payment and settlement systems located outside the euro area*); b) 2001 m. rugsėjo 27 d. „Eurosistemos politikos kryptis dėl konsolidavimo pagrindinių sutarties šalių vykdomo kliringo srityje“ (*The Eurosystem's policy line with regard to consolidation in central counterparty clearing*); c) 2007 m. liepos 19 d. „Eurosistemos politikos principai dėl mokėjimus eurais vykdančių infrastruktūrų vietos ir veikimo“ (*The Eurosystem policy principles on the location and operation of infrastructures settling in euro-denominated payment transactions*); d) 2008 m. lapkričio 20 d. „Eurosistemos politikos principai dėl mokėjimus eurais vykdančių infrastruktūrų vietos ir veikimo: sąvokos „teisiškai esančios ir veikiančios euro zonoje“ patikslinimas“ (*The Eurosystem policy principles on the location and operation of infrastructures settling euro-denominated payment transactions: specification of legally and operationally located in the euro area*); e) 2011 m. liepos mėn. „Eurosistemos priežiūros politikos pagrindai“ (*The Eurosystem oversight policy framework*).“;

(1) neseniai priimta nauja 2007 m. balandžio 26 d. Gairių ECB/2007/2 dėl Transeuropinės automatizuotos realaus laiko atskirųjų atsiskaitymų skubių pervedimų sistemos (TARGET2) ⁽²⁾ redakcija siekiant įtraukti taisyklės, kurios anksčiau buvo Eurosistemos vidaus taisyklės, ir pridėti reikalingas sąvokų apibrėžtis, taip pat nuostatas dėl ne Sąjungos bankams netaikytinų sankcijų, dėl dalijimosi informacija, susijusia su naudojimosi pinigų politikos operacijomis sustabdymu arba nutraukimu ir su tokio sustabdymo arba nutraukimo pasekmėmis;

(2) todėl būtina iš dalies pakeisti 2007 m. liepos 24 d. Sprendimą ECB/2007/7 dėl TARGET2-ECB sąlygų ⁽³⁾, kad: a) į TARGET2-ECB sąlygas būtų įtraukti tam tikri Gairių ECB/2012/27 elementai, ir b) būtų atnaujintos nuorodos į nacionalinės teisės aktus, susijusius su 1998 m. gegužės 19 d. Europos Parlamento ir Tarybos

2. Sprendimo ECB/2007/7 priedas iš dalies keičiamas pagal šio sprendimo priedą.

⁽¹⁾ Dar nepaskelbta Oficialiajame leidinyje.⁽²⁾ OL L 237, 2007 9 8, p. 1.⁽³⁾ OL L 237, 2007 9 8, p. 71.⁽⁴⁾ OL L 166, 1998 6 11, p. 45.

2 straipsnis

Įsigaliojimas

Šis sprendimas įsigalioja 2013 m. sausio 1 d.

Priimta Frankfurte prie Maino 2012 m. gruodžio 11 d.

ECB Pirmininkas
Mario DRAGHI

PRIEDAS

Sprendimo ECB/2007/7 priedas iš dalies keičiamas taip:

1. 1 straipsnis iš dalies keičiamas taip:

a) apibrėžtis „Eurosystem CBs“ pakeičiama taip:

„— „Eurosystem CB“ means the ECB or a euro area NCB.“;

b) įterpiama ši apibrėžtis:

„— „euro area NCB“ means the national central bank (NCB) of a Member State whose currency is the euro.“;

2. 20 straipsnio 1 dalis pakeičiama taip:

„1. For the purposes of the first sentence of Article 3(1) of the Settlement Finality Directive and the third sentence of § 116, § 96(2), § 82 and § 340(3) of the German Insolvency Code (*Insolvenzordnung*) and the last sentence of § 46(2) of the KWG, payment orders are deemed entered into TARGET2-ECB at the moment that the relevant participant's PM account is debited.“;

3. 25 straipsnio 5 dalis pakeičiama taip:

„5. Notwithstanding Sections 675(u), 675(v), 675(x), 675y 675z, 676a, 676c of the German Civil Code (*Bürgerliches Gesetzbuch*), paragraphs 1 to 4 shall apply to the extent that the ECB's liability can be excluded.“;

4. 33 straipsnio 3 dalies pirmasis sakiny s pakeičiamas taip:

„Participants, when acting as the payment service provider of a payer or payee, shall comply with all requirements resulting from administrative or restrictive measures imposed pursuant to Articles 75 or 215 of the Treaty on the Functioning of the European Union to which they are subject, including with respect to notification and/or the obtaining of consent from a competent authority in relation to the processing of transactions. In addition.“;

5. VI priedėlis pakeičiamas taip:

„Appendix VI

FEE SCHEDULE AND INVOICING

Fees and invoicing for direct participants

1. The monthly fee for the processing of payment orders in TARGET2-ECB for direct participants, depending on which option the direct participant has chosen, shall be either:

- (a) EUR 150 per PM account plus a flat fee per transaction (debit entry) of EUR 0,80; or
- (b) EUR 1 875 per PM account plus a fee per transaction (debit entry) determined as follows, based on the volume of transactions (number of processed items) per month:

Band	From	To	Price (EUR)
1	1	10 000	0,60
2	10 001	25 000	0,50
3	25 001	50 000	0,40
4	50 001	100 000	0,20
5	Above 100 000	—	0,125

Liquidity transfers between a participant's PM account and its sub-accounts shall not be subject to a charge.

2. There shall be an additional monthly fee for direct participants who do not wish the BIC of their account to be published in the TARGET2 directory of EUR 30 per account.
3. The following invoicing rules apply to direct participants. The direct participant shall receive the invoice for the previous month specifying the fees to be paid, no later than on the fifth business day of the following month. Payment shall be made at the latest on the 10th working day of that month to the account specified by the ECB and shall be debited from that participant's PM account.

Fees and invoicing for ancillary systems

4. An ancillary system using the ASI or the Participant Interface, irrespective of the number of any accounts it may hold with the ASCB and/or the SCB, shall be subject to a fee schedule consisting of three elements, as set out below.
 - (a) A fixed monthly fee of EUR 1 000 to be charged to each ancillary system (Fixed Fee I).
 - (b) A second monthly fixed fee of between EUR 417 and EUR 4 167, in proportion to the underlying gross value of the ancillary system's euro cash settlement transactions (Fixed Fee II):

Band	From (EUR million/day)	To (EUR million/day)	Annual fee (EUR)	Monthly fee (EUR)
1	0	below 1 000	5 000	417
2	1 000	below 2 500	10 000	833
3	2 500	below 5 000	20 000	1 667
4	5 000	below 10 000	30 000	2 500
5	10 000	below 50 000	40 000	3 333
6	Above 50 000	—	50 000	4 167

The gross value of the ancillary system's euro cash settlement transactions shall be calculated by the ASCB once a year on the basis of such gross value during the previous year and the calculated gross value shall be applied for calculating the fee as from 1 January of each calendar year.

- (c) A transaction fee calculated on the same basis as the schedule established for direct participants in paragraph 1 of this Appendix. The ancillary systems may choose one of the two options: either to pay a flat EUR 0,80 fee per payment instruction (Option A) or to pay a fee calculated on a degressive basis (Option B), subject to the following modifications:
 - (i) for Option B, the limits of the bands relating to volume of payment instructions are divided by two, and
 - (ii) a monthly fixed fee of EUR 150 (under Option A) or EUR 1 875 (under Option B) shall be charged in addition to Fixed Fee I and Fixed Fee II.
5. Any fee payable in relation to a payment instruction submitted or payment received by an ancillary system, via either the participant interface or the ASI, shall be exclusively charged to this ancillary system. The Governing Council may establish more detailed rules for the determination of billable transactions settled via the ASI.
6. Each ancillary system shall receive an invoice from its respective ASCB for the previous month based on the fees referred to in paragraph 4, no later than the fifth business day of the following month. Payments shall be made no later than the 10th business day of this month to the account specified by the ASCB or shall be debited from an account specified by the ancillary system.
7. For the purposes of this paragraph, each ancillary system that has been designated under Directive 98/26/EC shall be treated separately, even if two or more of them are operated by the same legal entity. The same rule shall apply to the ancillary systems that have not been designated under Directive 98/26/EC, in which case the ancillary systems shall be identified by reference to the following criteria: (a) a formal arrangement, based on a contractual or legislative instrument, e.g. an agreement among the participants and the system operator; (b) multiple membership; (c) common rules and standardised arrangements; (d) for the clearing, netting or settlement of payments or securities between the participants.“