

## NEW EFFECTIVE RESULTS IN THE THEORY OF THE RIEMANN ZETA-FUNCTION

ALEKSANDER SIMONIČ 

(Received 12 October 2023; first published online 12 December 2023)

2020 *Mathematics subject classification*: primary 11M06; secondary 11M26, 11N37, 11Y35, 41A30.

*Keywords and phrases*: Riemann zeta-function, Dirichlet  $L$ -functions, Selberg class, Mertens function, square-free numbers, Riemann Hypothesis, generalised Riemann Hypothesis, band-limited functions, explicit results.

Results in this dissertation are divided into four groups and are mainly effective estimates for the Riemann zeta-function

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}, \quad \operatorname{Re} s > 1,$$

and associated functions in the Selberg class under the assumption of the (generalised) Riemann hypothesis ((G)RH), that is,  $\zeta(s) \neq 0$  for  $\operatorname{Re} s > 1/2$ . The zero-free regions for  $\zeta(s)$  are connected with the distribution of prime numbers, for example, the celebrated prime number theorem

$$\sum_{n \leq x} \Lambda(n) \sim x$$

is equivalent to the statement that  $\zeta(1 + it) \neq 0$  (see [13]), and that RH is equivalent to

$$\sum_{n \leq x} \Lambda(n) = x + O(\sqrt{x} \log^2 x).$$

Here,  $\Lambda(n)$  is the von Mangoldt function, equal to  $\log p$  for  $n = p^k, k \in \mathbb{N}$ , and 0 otherwise. The fundamental connection between  $\zeta(s)$  and  $\Lambda(n)$  is

$$-\frac{\zeta'}{\zeta}(s) = \sum_{n=1}^{\infty} \frac{\Lambda(n)}{n^s}, \quad \operatorname{Re} s > 1, \quad (1)$$

---

Thesis submitted to the University of New South Wales in August 2022; degree approved on 10 November 2022; supervisor Timothy Trudgian.

© The Author(s), 2023. Published by Cambridge University Press on behalf of Australian Mathematical Publishing Association Inc.



which is an immediate consequence of the Euler product for  $\zeta(s)$ . Selberg [12] found a remarkably simple but powerful method to replace the right-hand side of (1) by the corresponding Dirichlet sum, together with the other terms which emerge from the singularities of  $(\zeta'/\zeta)(s)$ . His equation, known as the Selberg moment formula, and its variants are primary for establishing conditional estimates for  $\log |\zeta(s)|$  and  $|(\zeta'/\zeta)(s)|$ . Although not considered in the thesis, explicit versions of these bounds prove to be useful in connection with the error term in the prime number theorem [4], as well as with the distribution of prime numbers in intervals [3] and in arithmetic progressions [8]. A very brief description of the four groups that constitute the thesis is given below.

The first group of results consists of explicit and RH estimates for the moduli of  $S(t)$ , that is, the argument of  $\zeta(1/2 + it)$ , its antiderivative

$$S_1(t) = \int_0^t S(u) du, \quad t \geq 0,$$

and  $\zeta(1/2 + it)$ . More precisely, explicit estimates of the bounds

$$S(t) \ll \frac{\log t}{\log \log t}, \quad S_1(t) \ll \frac{\log t}{(\log \log t)^2}, \quad \left| \zeta\left(\frac{1}{2} + it\right) \right| \leq \exp\left(O(1) \frac{\log t}{\log \log t}\right)$$

are provided. We follow techniques outlined by Selberg [12] and Fujii [5, 6], and in the last case, also by Soundararajan [17]. As a corollary, we establish explicit and conditional upper bounds on gaps between consecutive zeros, for example,

$$\gamma' - \gamma \leq \frac{12.05}{\log \log \gamma}$$

for  $\gamma' \geq \gamma \geq 10^{2465}$ , where  $\gamma$  and  $\gamma'$  are the ordinates of two consecutive nontrivial zeros. Results from this chapter are published in [14].

The second group of results consists of explicit and RH estimates for  $\log 1/|\zeta(s)|$  and  $\log |\zeta(s)|$  to the right of the critical line by following techniques developed by Titchmarsh [18] while using also results on  $S(t)$  and  $S_1(t)$  from the first group. Moreover, we use these bounds to obtain effective and conditional estimates for

$$M(x) = \sum_{n \leq x} \mu(n) \quad \text{and} \quad Q_k(x) = \sum_{n \leq x} \sum_{d^k | n} \mu(d),$$

where  $\mu(n)$  is the Möbius function and  $Q_k(x)$  counts the number of  $k$ -free numbers not exceeding  $x \geq 1$ . Note that the prime number theorem is equivalent to  $M(x) = o(x)$  and that RH is equivalent to  $M(x) \ll_{\varepsilon} x^{1/2+\varepsilon}$ . Our estimates are of the same strength as those of Titchmarsh [18], and Montgomery and Vaughan [10], that is,

$$M(x) \ll \sqrt{x} \exp\left(\frac{O(1) \log x}{\log \log x}\right), \quad Q_k(x) = \frac{x}{\zeta(k)} + O_{k,\varepsilon}(x^{1/(k+1)+\varepsilon}),$$

respectively. Results from this chapter are published in [15]. It should be noted that better (nonexplicit) results on various bounds on  $\zeta(s)$  exist (see [1]). Improvements and generalisations of some of these results are currently in progress, and should yield also an improvement over our explicit estimate for  $\zeta(1/2 + it)$  from the first group.

The third group of results consists of GRH estimates for  $|\log \mathcal{L}(s)|$  and  $|(\mathcal{L}'/\mathcal{L})(s)|$  for functions in the Selberg class with a polynomial Euler product, where  $\sigma \geq 1/2 + 1/\log \log(c_{\mathcal{L}}|t|)$  and  $|t|$  is sufficiently large. The shape of these bounds are as in Littlewood [9] namely

$$\log \zeta(s) \ll_{\varepsilon, \sigma_0} (\log t)^{2(1-\sigma)+\varepsilon}$$

for  $\varepsilon > 0$ ,  $1/2 < \sigma_0 \leq \sigma \leq 1$  and  $t$  large, and are thus not the sharpest known. However, GRH can be replaced with a weaker assumption of having no zeros  $\rho = \beta + i\gamma$  with  $\beta > 1/2$  and  $t - \gamma \ll \log \log |t|$ . We provide effective estimates for  $\zeta(s)$ , Dirichlet  $L$ -functions with primitive characters and Dedekind zeta-functions, together with an improvement over a particular estimate for  $M(x)$  from the second group of results, for example, for  $x \geq 1$ ,

$$|M(x)| \leq 555.71x^{0.99} + 1.94 \cdot 10^{14}x^{0.98}$$

under RH. We also discuss a connection between a particular estimate on the 1-line and several classes of functions. Results from this chapter are published in [16]. We should mention that our bounds on  $\mathcal{L}(s)$  have been already improved in [11], see also the next paragraph.

The fourth group of results consists of effective and GRH estimates for  $|(L'/L)(\sigma, \chi)|$  for Dirichlet  $L$ -functions with primitive characters  $\chi$  modulo  $q$ , where

$$\frac{1}{2} + \frac{1}{\log \log q} \leq \sigma \leq 1 - \frac{1}{\log \log q}$$

and also  $\sigma = 1$ , by combining methods from Selberg [12] and from the theory of band-limited functions applied to the Guinand–Weil exact formula. One of the results is that under GRH,

$$\left| \frac{L'}{L}(1, \chi) \right| \leq 2 \log \log q - 0.4989 + 5.91 \frac{(\log \log q)^2}{\log q}$$

for  $q \geq 10^{30}$ , which improves [7, Corollary 3.3.2]. We provide a similar conditional estimate also for  $|(\zeta'/\zeta)(1 + it)|$ . Results in this group were obtained in collaboration with A. Chirre and M. V. Hagen, and are published in [2]. These techniques are used in [11] to obtain GRH estimates for  $|\log \mathcal{L}(s)|$  and  $|(\mathcal{L}'/\mathcal{L})(s)|$  for functions in the Selberg class with a polynomial Euler product, where

$$\frac{1}{2} + \frac{1}{\log \log q_{\mathcal{L}}|t|^{d_{\mathcal{L}}}} \leq \sigma \leq 1 - \frac{1}{\log \log q_{\mathcal{L}}|t|^{d_{\mathcal{L}}}}$$

and  $|t|$  is sufficiently large. Here,  $q_{\mathcal{L}}$  and  $d_{\mathcal{L}}$  are the conductor and the degree of  $\mathcal{L}$ , respectively. This improves several known results. Moreover, our results are fully explicit under the additional assumption of the strong  $\lambda$ -conjecture.

## References

- [1] E. Carneiro and V. Chandee, ‘Bounding  $\zeta(s)$  in the critical strip’, *J. Number Theory* **131**(3) (2011), 363–384.
- [2] A. Chirre, M. V. Hagen and A. Simonič, ‘Conditional estimates for the logarithmic derivative of Dirichlet  $L$ -functions’, *Indag. Math. (N.S.)* (to appear). Published online (31 July 2023).
- [3] M. Cully-Hugill and A. W. Dudek, ‘An explicit mean-value estimate for the prime number theorem in intervals’, *J. Aust. Math. Soc.* (to appear). Published online (19 September 2023).
- [4] M. Cully-Hugill and D. R. Johnston, ‘On the error term in the explicit formula of Riemann–von Mangoldt’, *Int. J. Number Theory* **19**(6) (2023), 1205–1228.
- [5] A. Fujii, ‘An explicit estimate in the theory of the distribution of the zeros of the Riemann zeta function’, *Comment. Math. Univ. St. Pauli* **53**(1) (2004), 85–114.
- [6] A. Fujii, ‘A note on the distribution of the argument of the Riemann zeta function’, *Comment. Math. Univ. St. Pauli* **55**(2) (2006), 135–147.
- [7] Y. Ihara, V. K. Murty and M. Shimura, ‘On the logarithmic derivatives of Dirichlet  $L$ -functions at  $s = 1$ ’, *Acta Arith.* **137**(3) (2009), 253–276.
- [8] E. S. Lee, ‘The prime number theorem for primes in arithmetic progressions at large values’, *Q. J. Math.* (to appear). Published online (10 August 2023).
- [9] J. E. Littlewood, ‘Quelques conséquences de l’hypothèse que la fonction  $\zeta(s)$  de Riemann n’a pas de zéros dans le demi-plan  $\text{Re}(s) > 1/2$ ’, *C. R. Math. Acad. Sci. Paris* **154** (1912), 263–266.
- [10] H. L. Montgomery and R. C. Vaughan, ‘The distribution of square-free numbers’, in: *Recent Progress in Analytic Number Theory (Durham, 1979)*, Vol. 1 (eds. H. Halberstam and C. Hooley) (Academic Press, London, 1981), 247–256.
- [11] N. Palojärvi and A. Simonič, ‘Conditional estimates for  $L$ -functions in the Selberg class’, Preprint, 2023, [arXiv:2211.01121](https://arxiv.org/abs/2211.01121).
- [12] A. Selberg, ‘On the remainder in the formula for  $N(T)$ , the number of zeros of  $\zeta(s)$  in the strip  $0 < t < T$ ’, *Avh. Norske Vid.-Akad. Oslo* **1**(1) (1944), 1–27.
- [13] A. Simonič, ‘On Littlewood’s proof of the prime number theorem’, *Bull. Aust. Math. Soc.* **101**(2) (2020), 226–232.
- [14] A. Simonič, ‘On explicit estimates for  $S(t)$ ,  $S_1(t)$  and  $\zeta(1/2 + it)$  under the Riemann Hypothesis’, *J. Number Theory* **231** (2022), 464–491.
- [15] A. Simonič, ‘Explicit estimates for  $\zeta(s)$  in the critical strip under the Riemann Hypothesis’, *Q. J. Math.* **73**(3) (2022), 1055–1087.
- [16] A. Simonič, ‘Estimates for  $L$ -functions in the critical strip under GRH with effective applications’, *Mediterr. J. Math.* **20**(2) (2023), Article no. 87, 24 pages.
- [17] K. Soundararajan, ‘Moments of the Riemann zeta function’, *Ann. of Math. (2)* **170**(2) (2009), 981–993.
- [18] E. C. Titchmarsh, ‘A consequence of the Riemann Hypothesis’, *J. Lond. Math. Soc. (2)* **2**(4) (1927), 247–254.

ALEKSANDER SIMONIČ, School of Science,  
 University of New South Wales (Canberra), Campbell, ACT 2612, Australia  
 e-mail: [a.simonic@adfa.edu.au](mailto:a.simonic@adfa.edu.au)