

Screening for Critical Congenital Heart Disease in the Apparently Healthy Newborn

A presentation of *Texas Pulse Oximetry Project*:

A Joint Educational Initiative of The University of Texas Health Science Center at San Antonio/Department of Pediatrics, Baylor College of Medicine/Department of Pediatrics and Texas Department of State Health Services









Objectives

 Explain the rationale for screening for Critical Congenital Heart Disease (CCHD) in newborns

 Examine the evidence supporting the routine use of pulse oximetry in the Newborn Nursery to detect CCHD

 Discuss evidence-based recommendations for implementation of CCHD screening



Outline

- What is "critical" congenital heart disease?
- Why do we need to screen?
- How do we screen for critical CHD?
- Current status of screening
 - National
 - Local
- Potential Barriers



Congenital Heart Disease

- Incidence: 8-9/1000 births
- 2/1000 potentially lethal "critical"
 - Requiring expert cardiac care and intervention in the immediate NB period or early infancy
- In the US, about 4800 babies are born each year with CRITICAL CHD - no. in TX
 - Leading cause of death in infants < 1 year old



Congenital Heart Disease

- Advances in surgical and interventional cardiology has improved survival over the past 30 years
 - There are an estimated 800,000 adults living with CHD
 - Survivors who present late are at greater risk for neurologic injury and subsequent development delay
 - Focus now has shifted from increasing survival to reducing morbidity



Critical Congenital Heart Disease

- Those CHD's that will require cardiac intervention in the newborn period or within the first year of life
 - Ductal dependent systemic circulation
 - HLHS, Coarctation, IAA, Critical AS
 - Ductal dependent pulmonary circulation
 - PA, PS and variants, TOF
 - Complex critical CHD
 - TGA, Truncus Arteriosus, TAPVR, Single ventricle



Critical Congenital Heart Disease

- Physiologic changes may occur after hospital discharge corresponding to changes in the pulmonary vascular resistance and closure of the patent ductus arteriosus
- Present in extremis with low cardiac output and acidosis, multi-organ failure, hypoxic ischemic brain injury
- Early detection and timely intervention can thus decrease morbidity and lead to better outcomes



So how can we screen for CCHD?

- Screening valuable if:
 - Incidence is sufficient in the population
 - Therapy provided before onset of clinical manifestations results in an improved outcome
 - Screening identifies disease before symptoms
 - Test has acceptable sensitivity and false positive rates
 - Cost effective
 - Wilson and Junger WHO 1968 Public Health Paper



Diagnosis vs. Screening

Diagnostic	Screening	
Pros	Pros	
Fewer resources needed	Higher detection rate	
	More uniform approach	
Cons	Cons	
Identification may be too late	High resource use	
Application may be spotty	Adverse impact of false positives	



CCHD detection – diagnostic

- Fetal echocardiography
 - >50% detection rates for single ventricle lesions
 - <30% for 2-ventricle</p>
 - Highly variable, limited access

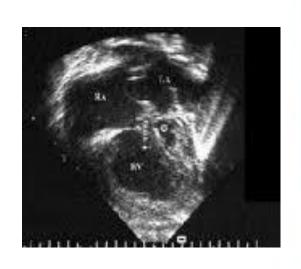
- Newborn physical exam (in nursery and in clinic)
 - 4-5 grams of deoxygenated Hgb is needed to detect cyanosis
 - Most CCHD have mild desaturation to 80-95%
 - Harder in darker skinned babies



Diagnostic Process







Newborn
presents in
shock with
murmur

Exam suggestive of CHD





Missed Diagnosis

- Some babies can appear healthy at first
 - Some have no murmurs or cyanosis
 - PE alone failed to identify 50% of CHD's that were not detected by prenatal U/S
 - Estimated 30% of infant deaths from CCHD occur before diagnosis



Chain of Detection

CCHD

Prenatal US

CCHD

Symptoms

CCHD

Physical Exam

CCHD



Missed Diagnosis of CCHD

Table 2. Total Number of Patients in Each Group by Diagnosis

	No. (%) of Patients ^a		
Cause of Death	Study Cohort (N=898) ^b	Unknown (n=299)	Missed CCHD Diagnosis (n=152)
Aortic stenosis	31 (3.5)	19 (6.4)	12 (7.9)
Coarctation of aorta, including interrupted aortic arch	90 (10.0)	49 (16.4)	41 (27.0)
DORV and single ventricle	15 (1.7)	14 (4.7)	1 (0.7)
Hypoplastic left heart syndrome	565 (62.9)	60 (20.1)	58 (38.2)
Pulmonary atresia	30 (3.3)	22 (7.4)	8 (5.3)
Tricuspid atresia	9 (1.0)	9 (3.0)	0
TAPVR	32 (3.6)	23 (7.7)	9 (5.9)
d-Transposition of great vessels	37 (4.1)	31 (10.4)	6 (3.9)
Tetralogy of Fallot	55 (6.1)	50 (16.7)	5 (3.3)
Truncus arteriosus	34 (3.8)	22 (7.4)	12 (7.9)

Abbreviations: DORV, double outlet right ventricle; TAPVR, total anomalous pulmonary venous return.

bStudy cohort indicates the 898 patients selected by the initial selection criteria specified in the "Patient Selection" subsection of the "Methods" section.





a Because of rounding, percentages may not total 100.

CCHD Screening

- Pulse Oximetry
 - Indirectly monitors the oxygen saturation of a patient's blood and changes in blood flow in the skin
 - Can detect mild hypoxemia without obvious cyanosis
 - Can provide continuous and immediate values
 - Non-invasive
 - Easy to use and widely available
 - Cost-effective and widely used



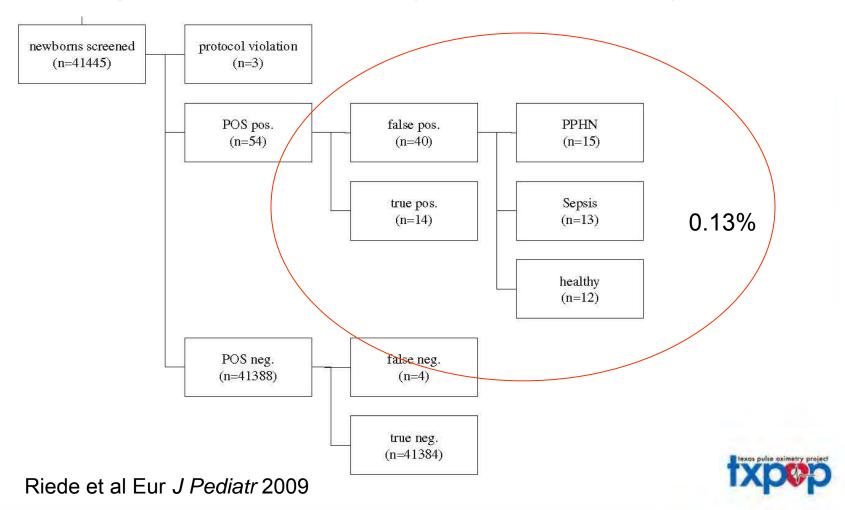
Pulse Oximetry Screening- Evidence



- Using a cut-off of 95% in the LE, Hoke et al identified 81% of infants with CCHD
- Many investigators have since investigated the use of pulse oximetry as a screening tool in newborns NOT known to have CCHD
 - Most studies were small, with different protocols and cut-offs, at low altitude
 - Low false positive rate < 1%, sensitivity <80%
 - Likely because hypoxemia is not present in all CCHD



Pulse Oximetry Screening Program Saxony, Germany



Pulse Oximetry Screening- Evidence

- 2 separate large prospective screening of 40,000 newborns in Sweden and nearly 40,000 in Germany
 - Sensitivity 62%, Specificity 99.8%
- A meta-analysis of pulse ox screening for CCHD in asymptomatic newborns
 - Over 220,000 NB's
 - Overall sensitivity was 76.5%, specificity was 99.9% with a false positive rate of 0.14%



Cost of Routine Pulse Oximetry

- Includes both the direct cost of the pulse oximetry and the follow-up costs of any additional examinations and transfers
 - At experienced centers, it may take a technician only 2 minutes on average to perform screen
 - Calculation of time in New Jersey 9 min per child
 - No new nursing or medical technician FTEs added
- ????Cost of <u>approximately \$3-6 per</u> <u>asymptomatic newborn</u>
 - Assumes reusable probe



Current Status of Recommendations

- US Health and Human Services Secretary's Advisory Committee on Heritable Disorders in Newborns and Children (HHS-SACHDNC)
 - In 2010, recommended that CCHD be added to the newborn uniform screening panel
 - Identify newborn with structural heart defects associated with hypoxia that could have significant morbidity or mortality early in life with closing of the patent ductus arteriosus or other physiologic changes
 - 2011, Endorsed by Secretary of Health Kathleen Sibelius

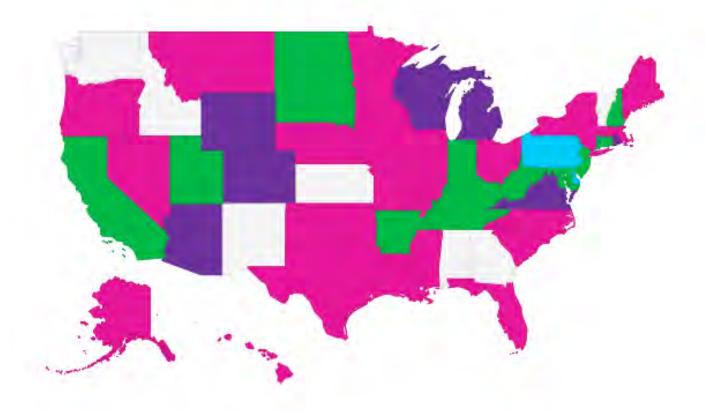


National Efforts

- Maryland first state to pass CCHD screening legislation
- New Jersey first state to mandate universal CCHD screening- Implemented August 31, 2011
- Other states have legislation passed, introduced or pending
 - Multi-center screening/pilots
 - HRSA sponsored demonstration projects
- Opportunity for other states to learn and not have to "re-invent" the wheel

Newborn CCHD Screening Progress

Click on a state for additional details.









Potential Barriers

- States have different processes
- Several programs who do not publish their experience
- Reporting/Tracking/ QI
- Inadequate resources
- Limited US evidence-based research
- Resistance from some in the medical community



Potential Barriers

- Screener
 - Additional work load
 - Education
- Equipment
 - Probe, machine
- Patient/Parent
 - False positives, false negatives
 - Delay in discharge
- Potential transfer to another center
- Costs and reimbursement



Interested Parties in Newborn Oximetry Screening

Advocates
Families with CHD
Pediatric
Cardiologist

Possible opponents
Delivery Hospitals
Insurance
companies

Neutral
Public Health Analysts
Pediatricians/Neonatologists

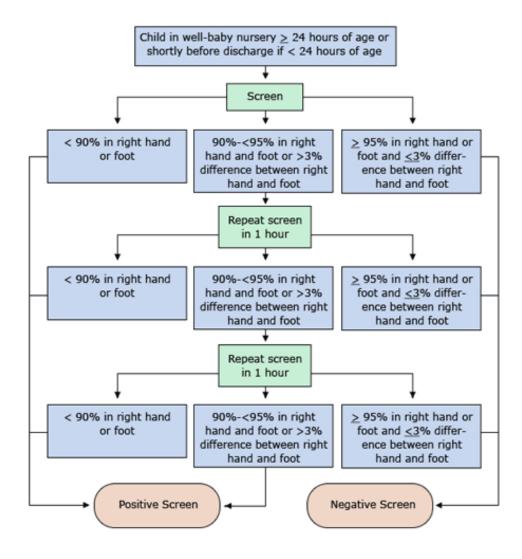


SACHDNC /AAP/ACCF/AHA

- Health Resource Service Administration's Advisory Council on Heritable Diseases in Newborns and Children hosted a workshop to discuss implementation recommendations surrounding screening – Sept 2012
- Screening protocol based on the most current evidence available



AAP/CDC Algorithm





CCHD Screening Protocol

- 7 primary targets
 - Hypoplastic Left Heart Syndrome
 - Pulmonary Atresia (with intact atrial septum)
 - Tetralogy of Fallot
 - Total Anomalous Pulmonary Venous Return
 - Transposition of the Great Arteries
 - Tricuspid Atresia
 - Truncus arteriosus
 - 17-31% of all CHD's



CCHD Screening Protocol

- Secondary screening targets
 - Can be just as severe but not consistently detected
 - Aortic arch atresia/hypoplasia
 - Interrupted aortic arch
 - Coarctation
 - DORV
 - Ebstein's anomaly
 - PS, PA, AVCD
 - Other Single ventricle defects



How to Perform Screening

- Screen after 24 hours of age
- Conduct when infant is calm and awake
- Perform in preductal (RIGHT hand) and postductal (one FOOT), in parallel or one after the other
- If < 90% positive screen, refer</p>
- If ≥ 95% in EITHER extremity with ≤ 3% difference: PASS
- If 90 94% in BOTH or difference > 3%: REPEAT in 1 hour up to 2 times, then refer



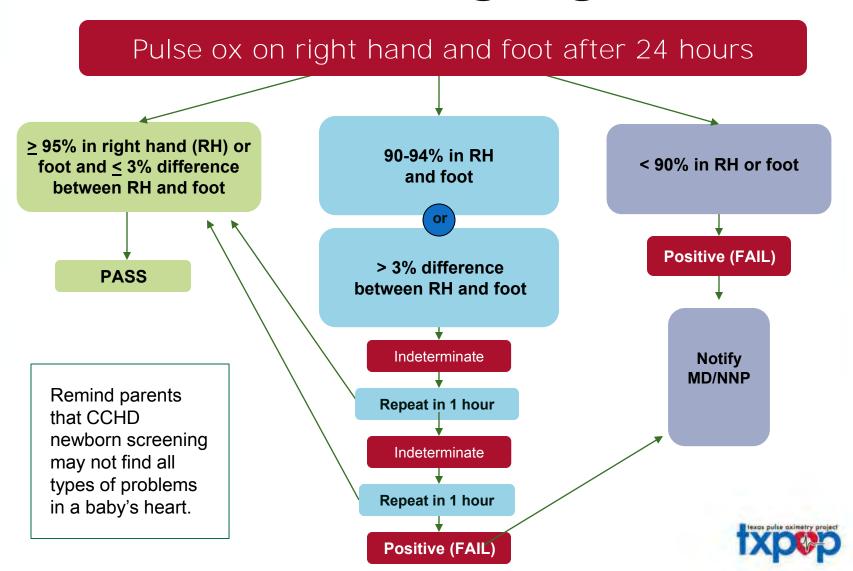
How is it done?







CCHD Screening Algorithm



Evaluation for Positive Screen

- Clinical Assessment
- Infectious or Pulmonary pathology should be excluded
- Complete echocardiogram
- Pediatric Cardiology referral as indicated



Managing the Positive Screen

"In the absence of other findings to explain hypoxemia, CCHD needs to be excluded on the basis of a diagnostic echocardiogram (which would involve an echocardiogram within the hospital or birthing center or transport to another institution)...."

Kemper et al Pediatrics 2011

- Alternative strategies
 - Keep child until evaluation can be performed
 - Transfer to advanced nursery (without cardiac inpatient service)
 - Transfer to center with advanced cardiac care



Screening in the Real World

- Feasibility of implementing pulse oximetry screening for CHD in a community hospital
 - Bradshaw, *J Perinat.* 2012,1-6.
- 6745 eligible infants screened at average age 42h
 - 9 positive 1 had CCHD
- Barriers (1.4%):
 - screening equipment 54%
 - staff 23%
 - infant 20%
 - family 4%
- Physician and Nurse "champions" important to successful implementation

TxPOP

- Texas Pulse Oximetry Project: A Joint Educational Initiative
- Goal: Develop an appropriate implementation strategy for screening of CCHD using pulse oximetry as a potential public health mandate
 - Develop and provide educational programs and materials
- Funding: Texas Department of State Health Services' Children's Outreach Heart Program



TxPOP

- Devised and implemented Needs Assessment of clinical sites
- Developed an educational plan to include curriculum and educational materials
- Target: 13 facilities in South Texas and Southeast Texas representing an array of birthing facilities ranging from the rural hospital with limited resources to the large metropolitan medical centers with access to multiple resources
- Identified a staff person at each facility to champion CCHD screening



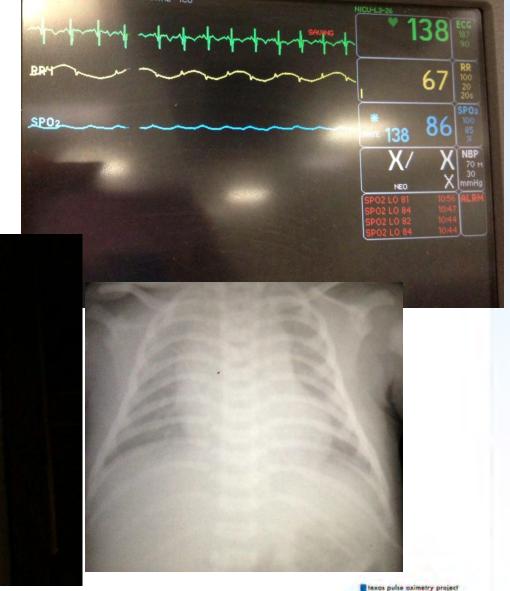
TAPVR







pneumonia





Video

http://youtu.be/2IM8hFHUMI4



References

- 1) Endorsement of Health and Human Services Recommendation for Pulse Oximetry Screening for Critical Congenital Heart Disease. William T. Mahle, Gerard R. Martin, Robert H. Beekman III, W. Robert Morrow, Geoffrey L. Rosenthal, Christopher S. Snyder, L. LuAnn Minich, Seema Mital, Jeffrey A. Towbin and James S. Tweddell; *Pediatrics*; 2012;129;190; originally published online December 26, 2011; DOI: 10.1542/peds.2011-3211
- 2) Evidence Review: Critical Congenital Cyanotic Heart Disease.Prepared for Maternal and Child Health Bureau, September 3, 2010 Authors: Alixander A. Knapp, Danielle R. Metterville, Alex R. Kemper, Lisa Prosser, James M. Perrin
- 3) Editorial A new milestone in the history of congenital heart disease (1 page) *The Lancet*, Vol. 379, June 30, 2012; p. 2401

References

- 4) Pulse oximetry screening for critical congenital heart defects in asymptomatic newborn babies: a systematic review and meta-analysis. Shakila Thangaratinam, Kiritrea Brown, Javier Zamora, Khalid S. Khan, Andrew K. Ewer. *The Lancet*, Vol 379, June 30, 2012; p. 2459-2464
- 5) Strategies for Implementing Screening for Critical Congenital Heart Disease. Alex R. Kemper, William T. Mahle, Gerard R. Martin, Carl Cooley, Praveen Kumar, W. Robert Morrow, Kellie Kelm, Gail D. Pearson, Jill Glidewell, Scott D. Grosse and R. Rodney Howell. *Pediatrics*, Vol. 128, No. 5, November 2011; originally published online October 10, 2011; DOI: 10.1542/peds.2011-1317



References

- 7) Congenital heart disease (CHD) in the newborn: Presentation and screening for critical CHD. Carolyn A. Altman, MD; Wolters Kluwer Health, Official reprint from UpToDate; Literature review current through 2012
- 8) Feasibility of implementing pulse oximetry screening for congenital heart disease in a community hospital. A Bradshaw, S Cuzzi, SC Kiernan, N Nagel, JA Becker and GR Martin. Journal of Perinatology (2012), 1-6
- 9) A Nurse-Driven Algorithm to Screen for Congenital Heart Defects in Asymptomatic Newborns. Amanda Hines, MS, RN, NNP-BC, DNP. Advances in Neonatal Care. Vol. 12; No. 3, pp 151-157; 2012.

