



OPCW

Executive Council

Seventieth Session
25 – 28 September 2012

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NOTE BY THE DIRECTOR-GENERAL

**CASH SITUATION AND THE USE OF THE WORKING CAPITAL FUND
FOR THE FINANCIAL YEAR TO 31 AUGUST 2012**

1. At its Sixteenth Session, the Conference of the States Parties (hereinafter “the Conference”) requested the Director-General to report to it at its Seventeenth Session, through the Executive Council (hereinafter “the Council”), on the details of transfers from and the replenishment of the Working Capital Fund (WCF) in 2012 (subparagraph 3(n) of C-16/DEC.12, dated 2 December 2011).
2. The outstanding assessed contributions as at 31 August 2012 amounted to EUR 5.6 million out of the total amount of EUR 67.4 million in assessed contributions for receipt in 2012. Although the rate of collection of assessed contributions as at 31 August 2012 (91.72%) is slightly lower than the situation as at 31 August 2011 (95.62%), there has been sufficient cash balance during the period up to 31 August 2012. Accordingly, there has been no need for the Technical Secretariat (hereinafter “the Secretariat”) to draw on the WCF.
3. Nevertheless, the Secretariat continues to encourage States Parties with arrears to pay their assessed contributions in full and on time or to submit a proposal for multi-year payment plans to eliminate their arrears, in accordance with the mechanism to regularise the payment of outstanding annual contributions approved by the Conference at its Eleventh Session (C-11/DEC.5, dated 7 December 2006).

Annexes:

- Annex 1: Status of Assessed Annual Contributions from Member States as at 31 August 2012
- Annex 2: Summary of Cash Balances as at 31 August 2012 (and 31 August 2011)
- Annex 3: Summary of Steps the Technical Secretariat Has Undertaken to Encourage the Timely Payment by Member States of Their Assessed Contributions



Annex 1

STATUS OF ASSESSED ANNUAL CONTRIBUTIONS FROM MEMBER STATES AS AT 31 AUGUST 2012¹

Member State	Outstanding Contributions from the Prep. Comm. 1993 – 97	Outstanding Annual Contributions 1997 – 2010	2011 Annual Contributions		2012 Annual Contributions		Overpayment	Total Annual Contributions Outstanding 1993 – 2012	WCF Outstanding
			Assessment	Receipts as at 31-08-12	Balance Outstanding	Assessment			
1			2,753	2,753	-	2,714	-	-	-
2			6,883	6,883	-	6,785	-	6,785	-
3			88,104	88,104	-	86,842	-	-	-
4			4,818	4,818	-	4,749	-	4,621	-
5		8,334	1,377	-	1,377	1,357	-	11,068	300
6			197,545	197,545	-	194,717	-	-	-
7			3,442	3,442	-	3,392	-	-	-
8			1,330,505	1,330,505	-	1,311,456	-	-	-
9			585,753	585,753	-	577,366	-	-	-
10			10,325	10,325	-	10,177	-	-	-
11			12,390	12,390	-	12,212	-	7	7
12			26,844	26,844	-	26,460	-	26,009	-
13			6,883	6,883	-	6,785	-	-	-
14			5,506	5,506	-	5,428	-	-	-
15			28,909	28,909	-	28,495	-	-	-
16			739,935	739,935	-	729,340	-	-	-
17		1,154	684	-	684	674	-	674	-
18			2,065	2,065	-	2,035	-	2,512	-
19			684	684	-	674	-	20	20
20			4,818	4,818	-	4,749	-	4,654	-
21			9,636	9,636	-	9,498	-	9,403	-
22			12,390	12,390	-	12,212	-	11,289	-
23			1,108,870	1,108,870	-	1,092,993	-	150	150
24			19,273	19,273	-	18,997	-	-	-
25			26,156	26,156	-	25,781	-	-	-
26			2,065	1,950	115	2,035	-	2,035	-
27			684	684	-	674	-	2,048	-
28			2,065	2,065	-	2,035	-	-	-
29			7,571	7,571	-	7,463	-	9,497	-
30			2,207,414	2,207,414	-	2,175,809	-	-	-
31		4,930	684	-	684	674	-	9,940	96

¹ The amounts listed in the "Receipts" columns in this Annex include payments received and credited for the year in question, regardless of when they were received. They do not include overpayments. In the heading to column 2, "Prep. Comm." stands for Preparatory Commission, while in the heading to the last column, "WCF" stands for Working Capital Fund.

Member State	Outstanding Contributions from the Prep. Comm. 1993 – 97	Outstanding Annual Contributions 1997 – 2010	2011 Annual Contributions			2012 Annual Contributions			Over-payment	Total Annual Contributions Outstanding 1993 – 2012	WCF Out-standing
			Assessment	Receipts as at 31-08-12	Balance Outstanding	Assessment	Receipts as at 31-08-12	Balance Outstanding			
32	Central African Republic	6,577	2,905	684	684	674	674	674	-	10,840	99
33	Chad	-	-	1,377	-	1,357	-	-	6,845	-	-
34	Chile	-	-	162,442	-	160,116	-	-	2,558	-	-
35	China	-	-	2,195,024	-	2,163,597	-	-	-	-	-
36	Colombia	-	-	99,117	-	97,698	-	-	-	-	-
37	Comoros	6,577	2,964	684	684	674	674	674	-	10,899	99
38	Congo	-	-	2,065	-	2,035	-	-	20	-	-
39	Cook Islands	-	604	684	684	674	674	674	-	1,962	-
40	Costa Rica	-	-	23,403	-	23,403	-	-	1,737	-	-
41	Côte d'Ivoire	-	-	6,883	-	6,883	-	-	35	-	-
42	Croatia	-	-	66,766	-	66,766	-	-	-	-	-
43	Cuba	-	-	48,870	-	48,870	-	-	-	-	-
44	Cyprus	-	-	31,662	-	31,662	-	-	-	-	-
45	Czech Republic	-	-	240,221	-	236,781	-	-	-	-	-
46	Democratic Republic of the Congo	6,534	10,730	2,065	2,065	2,035	-	2,035	-	21,364	300
47	Denmark	-	-	506,597	-	499,344	-	-	-	-	-
48	Djibouti	5,973	3,371	684	684	674	674	674	-	10,702	99
49	Dominica	4,302	6,502	684	684	674	674	674	-	12,162	96
50	Dominican Republic	8,864	41,115	28,909	28,909	28,495	-	28,495	-	107,383	2,395
51	Ecuador	-	-	27,532	-	27,532	-	334	-	26,804	-
52	El Salvador	6,577	150,702	13,078	13,078	12,891	-	12,891	-	183,248	1,576
53	Equatorial Guinea	-	-	5,506	131	5,428	-	5,428	-	10,803	-
54	Eritrea	-	-	684	684	674	674	654	-	20	-
55	Estonia	-	-	27,532	27,532	27,138	-	27,138	-	-	-
56	Ethiopia	-	-	5,506	5,506	5,428	-	5,408	-	20	-
57	Fiji	-	-	2,753	2,753	2,714	-	69	-	2,645	-
58	Finland	-	-	389,584	389,584	384,006	-	384,006	-	-	-
59	France	-	-	4,214,531	4,214,531	4,154,187	-	4,154,187	-	-	-
60	Gabon	-	1,234	9,636	9,636	9,498	-	9,498	-	20,368	-
61	Gambia	-	-	684	684	674	674	2	-	672	-
62	Georgia	-	52,826	4,130	4,130	4,071	4,071	4,071	-	52,826	-
63	Germany	-	-	5,518,881	5,518,881	5,439,861	-	5,439,862	-	-	-
64	Ghana	-	-	4,130	1,698	4,071	-	4,071	-	6,503	-
65	Greece	-	-	475,623	475,623	468,813	-	468,813	-	459,343	-
66	Grenada	-	1,154	684	684	674	674	674	-	2,512	-
67	Guatemala	-	-	19,273	19,273	18,997	-	18,997	-	140	-
68	Guinea	6,577	26,569	1,377	1,377	1,357	-	1,357	-	35,880	607
69	Guinea-Bissau	6,577	1,761	684	684	674	674	674	-	9,696	99
70	Guyana	-	-	684	684	674	674	674	-	20,998	-
71	Haiti	-	-	2,065	2,065	2,035	-	2,035	-	493	-
72	Holy See	-	-	684	684	674	674	674	-	-	-

Member State	Outstanding Contributions from the Prep. Comm. 1993 – 97	Outstanding Annual Contributions 1997 – 2010	2011 Annual Contributions			2012 Annual Contributions			Over-payment	Total Annual Contributions Outstanding 1993 – 2012	WCF Out-standing
			Assessment	Receipts as at 31-08-12	Balance Outstanding	Assessment	Receipts as at 31-08-12	Balance Outstanding			
73	Honduras	-	15,463	5,506	-	5,506	5,428	-	-	26,397	-
74	Hungary	-	-	200,299	200,299	-	197,431	197,431	-	-	-
75	Iceland	-	-	28,909	28,909	-	28,495	588	-	27,907	-
76	India	-	-	367,558	367,558	-	362,296	362,276	-	20	-
77	Indonesia	-	-	163,818	163,818	-	161,473	161,473	-	-	-
78	Iran (Islamic Republic of)	-	-	160,377	160,377	-	158,080	-	-	158,080	-
79	Iraq	-	-	13,766	13,766	-	13,569	-	-	-	-
80	Ireland	-	-	342,779	342,779	-	337,871	337,871	-	-	-
81	Italy	-	-	3,440,868	3,440,868	-	3,391,603	3,391,603	-	-	-
82	Jamaica	-	17,685	9,636	-	9,636	9,498	-	-	36,819	-
83	Japan	-	-	8,624,542	8,624,542	-	8,501,056	8,501,056	-	-	-
84	Jordan	-	-	9,636	9,636	-	9,498	191	-	9,307	-
85	Kazakhstan	-	-	52,312	52,312	-	51,563	51,453	-	110	-
86	Kenya	-	-	8,260	8,260	-	8,141	8,141	11	-	-
87	Kiribati	-	-	684	268	416	674	-	-	674	-
88	Kuwait	-	-	181,026	181,026	-	178,434	178,434	-	-	-
89	Kyrgyzstan	28,454	4,983	684	-	684	674	-	-	34,795	96
90	Lao People's Democratic Republic	-	-	684	684	-	674	674	326	-	-
91	Latvia	-	-	26,156	26,156	-	25,781	25,781	-	-	-
92	Lebanon	-	-	22,714	21,582	1,132	22,389	-	-	23,521	-
93	Lesotho	-	-	684	684	-	674	44	-	630	-
94	Liberia	5,584	3,313	684	-	684	674	-	-	674	-
95	Libya	-	-	88,792	-	88,792	87,521	-	-	10,255	99
96	Liechtenstein	-	-	6,195	6,195	-	6,106	6,106	-	176,313	-
97	Lithuania	-	-	44,740	44,740	-	44,100	44,100	-	-	-
98	Luxembourg	-	-	61,948	61,948	-	61,061	61,061	-	-	-
99	Madagascar	-	2,746	2,065	-	2,065	2,035	-	-	6,846	-
100	Malawi	-	-	684	117	567	674	-	-	674	-
101	Malaysia	-	-	174,143	174,143	-	171,649	171,649	-	1,241	-
102	Maldives	-	347	684	-	684	674	-	-	1,705	-
103	Mali	-	-	2,065	2,065	-	2,035	2,035	-	30,781	-
104	Malta	-	-	11,701	11,701	-	11,534	270	-	11,264	-
105	Marshall Islands	-	2,864	684	-	684	674	-	-	674	-
106	Mauritania	-	-	684	684	-	674	674	2,094	-	-
107	Mauritius	-	-	7,571	7,571	-	7,463	7,463	-	-	-
108	Mexico	-	-	1,621,661	1,621,661	-	1,598,443	35,862	-	1,562,581	-
109	Micronesia (Federated States of)	-	3,950	684	-	684	674	-	-	5,308	-
110	Monaco	-	-	2,065	2,065	-	2,035	2,035	-	-	-
111	Mongolia	-	-	1,377	1,377	-	1,357	276	-	1,081	-
112	Montenegro	-	-	2,753	66	2,687	2,714	-	-	2,714	-

Member State	Outstanding Contributions from the Prep. Comm. 1993 – 97	Outstanding Annual Contributions 1997 – 2010	2011 Annual Contributions			2012 Annual Contributions			Over-payment	Total Annual Contributions Outstanding 1993 – 2012	WCF Outstanding
			Assessment	Receipts as at 31-08-12	Balance Outstanding	Assessment	Receipts as at 31-08-12	Balance Outstanding			
113	Morocco	-	39,922	39,922	-	39,350	39,350	-	-	-	
114	Mozambique	-	2,065	2,065	-	2,035	2,035	120	-	-	
115	Namibia	-	5,506	5,506	-	5,428	95	-	5,333	-	
116	Nauru	4,064	684	684	684	674	-	-	10,885	-	
117	Nepal	-	4,130	4,130	-	4,071	4,053	18	18	-	
118	Netherlands	-	1,276,817	1,276,817	-	1,258,536	1,258,536	-	-	-	
119	New Zealand	-	187,909	187,909	-	185,219	185,219	-	-	-	
120	Nicaragua	3,937	2,065	-	2,065	2,035	-	2,035	17,540	-	
121	Niger	6,577	1,377	-	1,377	1,357	-	1,357	28,674	505	
122	Nigeria	-	53,688	53,688	-	52,920	763	-	52,157	-	
123	Niue	-	684	684	-	674	659	15	15	-	
124	Norway	-	599,519	599,519	-	590,935	590,935	-	-	-	
125	Oman	-	59,195	59,195	-	58,347	58,347	-	-	-	
126	Pakistan	-	56,442	56,442	-	55,633	55,633	32,564	-	-	
127	Palau	-	684	684	684	674	-	-	2,876	-	
128	Panama	-	15,143	-	15,143	14,926	-	-	34,481	-	
129	Papua New Guinea	-	1,377	1,377	-	1,357	129	-	1,228	-	
130	Paraguay	-	4,818	-	4,818	4,749	-	-	66,742	-	
131	Peru	-	61,948	-	61,948	61,061	-	-	162,469	-	
132	Philippines	-	61,948	61,948	-	61,061	61,061	-	-	-	
133	Poland	-	569,922	569,922	-	561,762	561,762	-	-	-	
134	Portugal	-	351,727	351,727	-	346,691	8,375	-	338,316	-	
135	Qatar	-	92,922	92,922	-	91,592	91,592	-	-	-	
136	Republic of Korea	-	1,555,583	1,555,583	-	1,533,311	1,533,311	-	-	-	
137	Republic of Moldova	-	1,377	1,377	-	1,357	1,357	5,923	-	-	
138	Romania	-	121,831	121,831	-	120,087	1,112	-	118,975	-	
139	Russian Federation	-	1,102,674	1,102,674	-	1,086,887	1,086,887	-	-	-	
140	Rwanda	-	684	684	684	674	-	-	2,179	-	
141	Saint Kitts and Nevis	-	684	684	684	674	-	-	1,888	-	
142	Saint Lucia	-	684	130	554	674	-	-	1,228	-	
143	Saint Vincent and the Grenadines	5,973	684	-	684	674	-	-	12,940	96	
144	Samoa	-	684	684	-	674	514	-	160	-	
145	San Marino	-	2,065	2,065	-	2,035	2,035	-	-	-	
146	Sao Tome and Principe	-	684	684	684	674	-	-	6,341	96	
147	Saudi Arabia	-	571,299	571,299	-	563,119	563,119	-	-	-	
148	Senegal	-	4,130	244	3,886	4,071	-	-	7,957	-	
149	Serbia	-	25,468	25,468	-	25,103	25,103	-	-	-	
150	Seychelles	-	1,377	1,377	-	1,357	129	-	1,228	-	
151	Sierra Leone	6,577	684	-	684	674	-	-	12,243	96	
152	Singapore	-	230,584	230,584	-	227,283	227,283	-	-	-	
153	Slovakia	-	97,740	97,740	-	96,341	96,341	-	-	-	

Member State	Outstanding Contributions from the Prep. Comm. 1993 – 97	Outstanding Annual Contributions 1997 – 2010	2011 Annual Contributions			2012 Annual Contributions			Over-payment	Total Annual Contributions Outstanding 1993 – 2012	WCF Out-standing
			Assessment	Receipts as at 31-08-12	Balance Outstanding	Assessment	Receipts as at 31-08-12	Balance Outstanding			
154	Slovenia	-	70,896	70,896	-	69,881	69,881	-	1,526	-	
155	Solomon Islands	-	684	684	684	674	674	674	-	2,395	
156	South Africa	-	265,000	265,000	-	261,206	261,206	-	-	-	
157	Spain	-	2,186,766	1,214,754	972,012	2,155,455	-	2,155,455	-	3,127,467	
158	Sri Lanka	-	13,078	13,078	-	12,891	12,891	-	-	-	
159	Sudan	-	6,883	660	6,223	6,785	-	6,785	-	13,008	
160	Suriname	-	2,065	2,065	-	2,035	2,035	-	-	-	
161	Swaziland	-	2,065	2,065	-	2,035	1,868	167	-	167	
162	Sweden	-	732,363	732,363	-	721,877	721,877	-	-	-	
163	Switzerland	-	777,792	777,792	-	766,656	766,656	-	-	-	
164	Tajikistan	-	1,377	1,377	-	1,357	1,357	-	-	-	
165	Thailand	-	143,857	143,857	-	141,797	141,797	-	-	-	
166	The former Yugoslav Republic of Macedonia	-	4,818	4,818	-	4,749	4,749	-	-	-	
167	Timor-Leste	-	684	684	-	674	137	537	-	537	
168	Togo	-	684	684	-	674	17	657	-	657	
169	Tonga	-	684	684	2	674	676	-	3,305	2	
170	Trinidad and Tobago	-	30,286	30,286	-	29,852	29,852	-	-	-	
171	Tunisia	-	20,649	20,649	-	20,354	494	19,860	-	19,860	
172	Turkey	-	424,688	424,688	-	418,607	418,607	-	-	-	
173	Turkmenistan	-	17,896	17,896	-	17,640	96	17,544	-	17,544	
174	Tuvalu	-	684	684	-	674	420	254	-	254	
175	Uganda	-	4,130	-	4,130	4,071	-	4,071	-	10,861	
176	Ukraine	-	59,883	59,883	-	59,026	59,026	-	-	-	
177	United Arab Emirates	-	269,130	269,130	-	265,276	265,276	-	-	-	
178	United Kingdom of Great Britain and Northern Ireland	-	4,545,608	4,545,608	-	4,480,525	4,480,525	-	-	-	
179	United Republic of Tanzania	-	5,506	-	5,506	5,428	-	5,428	-	19,281	
180	United States of America	-	15,041,069	15,041,069	-	14,825,712	14,825,712	-	-	-	
181	Uruguay	-	18,584	18,584	-	18,318	18,318	-	2,046	-	
182	Uzbekistan	-	6,883	-	6,883	6,785	6,785	-	-	42,447	
183	Vanuatu	-	684	-	684	674	-	674	-	4,964	
184	Venezuela	-	216,130	3,461	212,669	213,035	-	213,035	-	425,704	
185	Viet Nam	-	22,714	22,714	-	22,389	22,389	-	-	-	
186	Yemen	-	6,883	6,883	-	6,785	111	6,674	-	6,674	
187	Zambia	-	2,753	2,753	-	2,714	16	2,698	-	2,698	
188	Zimbabwe	-	2,065	1,693	372	2,035	-	2,035	-	2,407	
	Totals	123,376	68,368,500	66,880,015	1,488,485	67,389,600	61,810,443	5,579,160	122,571	7,763,586	
	Percentage of contributions received	99.87%		97.82%			91.72%				

SUMMARY OF PAYMENT PERFORMANCE

Member States:

	2011		2012	
	Number of Member States	Amount Received as at 31-08-11	Number of Member States	Amount Received as at 31-08-12
Member States that have paid in full	89	62,725,187	92	60,196,765
Member States that have partially paid	38	2,648,793	41	1,613,678
Member States that have made no payment	61	-	55	-
Totals		65,373,980		61,810,443
Assessment for the year		68,368,500		67,389,600
Collection rate		95.62%		91.72%

New Member States:

During the reporting period, there were no new Member States.

COUNTRIES WITH OUTSTANDING ASSESSED CONTRIBUTIONS FOR 2011 AND 2012 LARGER THAN EUR 100,000 AS AT 31 AUGUST 2012

Member State	2011	2012	Comments
Spain	972,012	2,155,455	fully outstanding (2011 partially). (Note: in September 2012, Spain paid its 2011 contribution in full)
Mexico	-	1,562,581	fully outstanding
Greece	-	459,343	fully outstanding
Portugal	-	338,316	fully outstanding
Venezuela	212,669	213,035	fully outstanding
Iran (Islamic Republic of)	-	158,080	fully outstanding
Romania	-	118,975	fully outstanding
Total	1,184,681	5,005,785	

Annex 2

**SUMMARY OF CASH BALANCES
AS AT 31 AUGUST 2012 (AND 31 AUGUST 2011)**

Fund	Balance	
	As at 31 August 2012	As at 31 August 2011
General Fund	17,964,530	20,651,844
Working Capital Fund	9,909,288	9,935,449
Voluntary Fund for Assistance (Article X)	1,500,904	1,414,790
OPCW special accounts	1,388,048	1,285,934
OPCW trust funds	8,464,248	2,744,678
Total	39,227,018	36,032,695

Annex 3

SUMMARY OF THE STEPS THE TECHNICAL SECRETARIAT HAS UNDERTAKEN TO ENCOURAGE THE TIMELY PAYMENT BY MEMBER STATES OF THEIR ASSESSED CONTRIBUTIONS

Step Taken	Date of Action
The Secretariat sent letters to all Member States in accordance with Financial Regulation 5.4, informing them of the amount of their assessed contribution for 2012 and of any arrears from previous years.	16 December 2011
The Secretariat sent reminder letters to 120 Member States that were in arrears in respect of assessed contributions, indicating the balance of their outstanding contributions. The letters made reference to paragraph 8 of Article VIII of the Chemical Weapons Convention, which deals with the loss of voting rights. The letters also invited those Member States that were in arrears to submit proposals for multi-year payment plans.	10 May 2012
A second, similar reminder will be sent to Member States in arrears in respect of assessed contributions.	September 2012
Country briefs are provided to the Director-General on an ongoing basis to assist him in his high-level talks with senior government officials of Member States that are in arrears.	Ongoing
The status of contributions of all Member States is presented to the Council on a quarterly basis. It includes a list of Member States that have lost their voting rights because the amount of their arrears equals or exceeds the amount of the contributions due from them for the preceding two full years.	Quarterly
Ongoing contacts with Permanent Representations to the OPCW.	Ongoing
Multi-year payment plans: The Director-General wrote to those Member States that were in arrears in respect of their assessed contributions, inviting them to submit proposals for multi-year payment plans as a mechanism to regularise their financial obligations to the OPCW. As at 7 November 2007, the Conference at its Twelfth Session approved proposals for multi-year payment plans by two Member States, Guatemala and the Republic of Moldova. Guatemala paid its full arrears by 15 May 2008, ahead of its payment plan. At its Sixtieth Session, the Council recommended that the Conference approve the multi-year payment plan submitted by Georgia. The Conference at its Fifteenth Session approved Georgia's multi-year payment plan. Georgia is on schedule with its payments. The payment plan of the Republic of Moldova is fully implemented. The Director-General wrote letters to 36 States Parties in arrears, inviting them to submit proposals for multi-year payment plans. At its Thirty-First Meeting, the Council recommended that the Conference approve the multi-year payment plan submitted by Uzbekistan. The Conference at its Sixteenth Session approved Uzbekistan's multi-year payment plan. Uzbekistan is on schedule with its payments. The Director-General wrote letters to 33 States Parties in arrears, inviting them to submit proposals for multi-year payment plans.	December 2006 7 November 2007 15 May 2008 20 April 2010 1 December 2010 3 May 2011 22 July 2011 November 2011 30 November 2011 20 July 2012