

1 **Knocking out USP7 attenuates cardiac fibrosis and endothelial-to-**
2 **mesenchymal transition by destabilizing SMAD3 in mice with heart**
3 **failure with preserved ejection fraction**

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10

11 **Short title:** Knocking out USP7 Attenuates HFpEF

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18 **Abstract**

19 **Background:** Heart failure with preserved ejection fraction (HFpEF) is a predominant type of heart
20 failure. Exploring new pathogenesis and identifying potential novel therapeutic targets for HFpEF
21 is of paramount importance.

22 **Methods:** HFpEF mouse model was established by the "Multiple-hit" strategy, in that 18- to 22-
23 month-old female C57B6/J mice fed with a high-fat diet were further challenged with chronic
24 infusion of Angiotensin II. RNA sequencing analysis showed that USP7 was significantly increased
25 in the heart of HFpEF mice. Liquid chromatography coupled with tandem mass spectrometry (LC-
26 MS/MS) analysis, in conjunction with co-immunoprecipitation (Co-IP) techniques, identified
27 expression of SMAD3, the key molecule of endothelial-to-mesenchymal transition (EndMT), was
28 also significantly elevated. USP7 endothelium-specific knockout mice was generated to investigate
29 the involvement of USP7 in HFpEF. The biological significance of the interaction between USP7
30 and SMAD3 was further explored.

31 **Results:** USP7 promotes EndMT and cardiac fibrosis by binding to SMAD3 directly via its UBL
32 (Ubiquitin-like) domain and cysteine at position 223 of USP7, leading SMAD3 deubiquitination to
33 maintain the stability of SMAD3 by removing the K63 ubiquitin chain and preventing the
34 degradation of SMAD3 by proteasomal process. USP7 also promotes SMAD3 phosphorylation and
35 nuclear translocation, thereby aggravating EndMT and cardiac fibrosis. Endothelium-specific USP7
36 knockout led to improvement of HFpEF phenotypes and reduction of cardiac fibrosis.
37 Overexpression of SMAD3 in endothelium-specific knockout HFpEF mice reversed the protective
38 effects of USP7 knockout in this HFpEF mouse model.

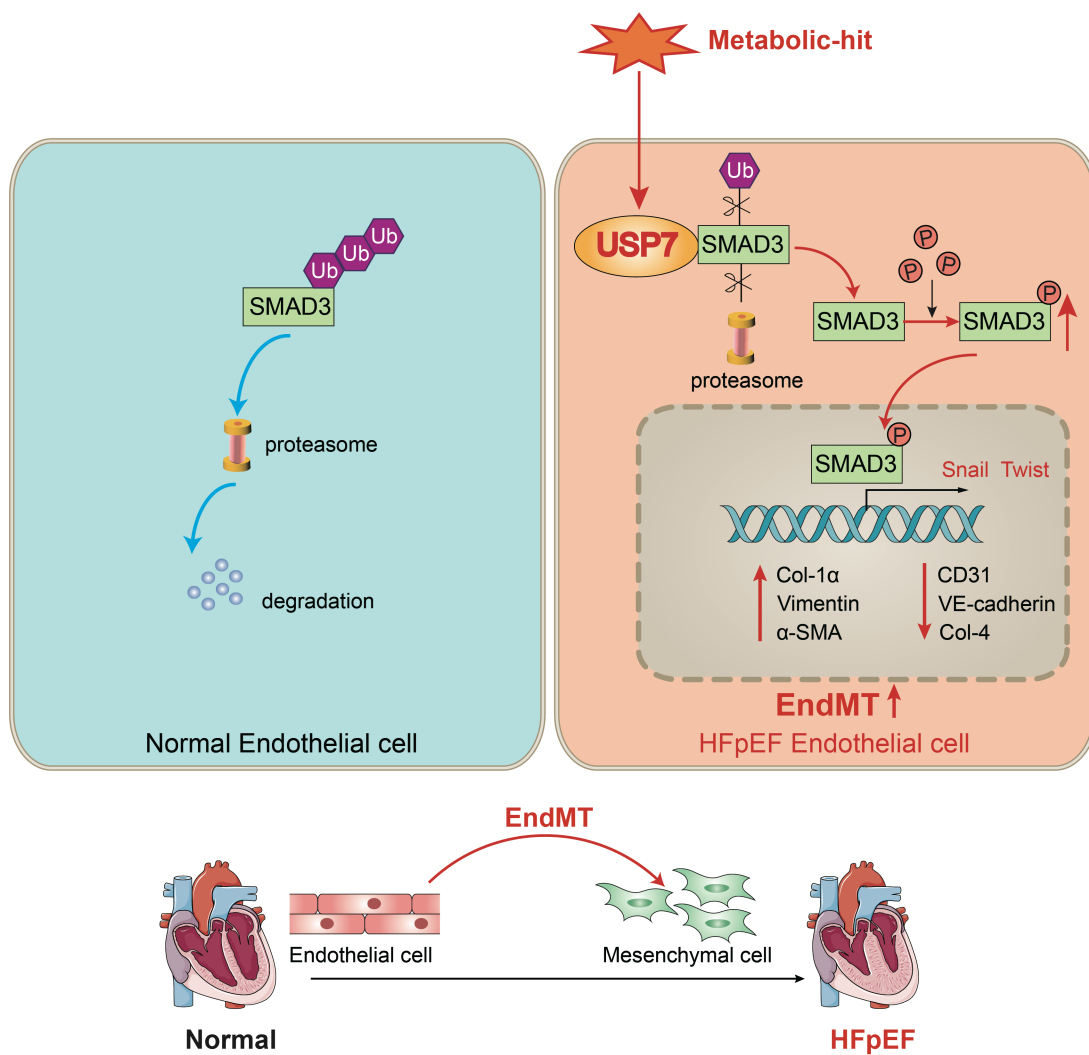
39 **Conclusion:** Our results indicated that USP7 is one of the key pathogenic molecules of HFpEF, and

40 knocking out USP7 could attenuate HFpEF injury by promoting the degradation of SMAD3. USP7
 41 and SMAD3 inhibition might be potential therapeutic options for HFpEF.

42 **Key words:** USP7; Deubiquitination enzyme; Heart failure with preserved ejection fraction;
 43 Endothelial-to-mesenchymal transition; Cardiac fibrosis.

44

45 **Graphical Abstract**



46

47 **Introduction**

48 Heart failure (HF) is a clinical syndrome causing significant morbidity, mortality, and healthcare
49 expenditure. Heart failure with preserved ejection fraction (HFpEF) is a predominant type of heart
50 failure, representing approximately 50% of all HF cases[1]. Given the aging population and the
51 ongoing epidemics of metabolic disorders and hypertension, the prevalence of HFpEF is expected
52 to rising continuously in the future[2, 3]. However, HFpEF has shown poor response to the standard
53 treatment approach used for heart failure with reduced ejection fraction (HFrEF). Major clinical
54 trials conducted have not yielded positive results on primary outcomes until the era of angiotensin
55 receptor–neprilysin inhibitor (ARNI) sacubitril/valsartan and the sodium–glucose cotransporter 2
56 inhibitor (SGLT2i) empagliflozin[4-6]. Exploring the pathogenesis and identifying potential
57 therapeutic targets for HFpEF is thus of paramount importance to develop novel therapeutic targets.
58 There is growing recognition that cardiac fibrosis plays a significant role in the etiology of all types
59 of HF, particularly in the pathophysiology of HFpEF[7, 8]. Among the multiple factors contributing
60 to the development of HFpEF, fibrosis serves as a major pathogenic factor irrespective of the
61 underlying etiology. It has been observed that the extracellular fibrotic burden exhibits a stronger
62 correlation with diastolic dysfunction and is also associated with increased hospitalization and
63 mortality in HFpEF[9, 10]. Options to attenuate fibrosis thus draw significant attention on
64 alleviating HFpEF phenotypes. However, in contrast to cardiac fibrosis observed in HFrEF,
65 characterized by the replacement of cardiomyocyte loss with extracellular matrix (ECM) proteins
66 to preserve the structural integrity of the myocardium, cardiac fibrosis in HFpEF is considered to
67 be reactive fibrosis occurring in the context of systemic inflammation and metabolic abnormalities
68 in the setting of non-significant cardiomyocyte death[11]. In this reactive fibrosis process, a series

69 of reactions resulted from endothelial inflammation, such as impaired nitric oxide (NO) utilization,
70 and increased adhesion of inflammatory cells, which serve as significant driving factors for
71 enhanced fibrosis and ventricular remodeling in HFpEF[12]. Under conditions of systemic
72 inflammation, dysfunctional endothelial cells (ECs) have been observed to undergo a phenotypic
73 transformation into a mesenchymal-like state, commonly referred to as endothelial-mesenchymal
74 transition (EndMT)[13, 14]. Previous studies have demonstrated that EndMT could contribute to
75 the process of cardiac fibrosis[15-17]. While myofibroblasts are traditionally recognized as the
76 primary contributors to fibrosis, there is an increasing recognition of the crucial role played by
77 EndMT in myocardial fibrosis. It is known that EndMT may function as an intermediate process
78 linking endothelial inflammation and ventricular remodeling in HFpEF[18]. Thus, targeting EndMT
79 might emerge as a novel therapeutic strategy for managing HFpEF.

80 Ubiquitination, as a crucial posttranslational modification, plays a significant role in various cellular
81 processes including cell signal transduction, cell fate determination, inflammatory responses, and
82 other essential biological activities[19, 20]. Ubiquitination is a reversible process that can be
83 counter-regulated by deubiquitinating enzymes (DUBs). DUBs are essential for maintaining cellular
84 signaling networks and are involved in various aspects of pathophysiology by precisely controlling
85 protein function, localization, and degradation. Approximately 100 deubiquitinating enzymes
86 (DUBs) have been identified, and they play a significant role in regulating intracellular signal
87 transduction. These DUBs have been found to be closely associated with various cardiovascular
88 diseases[21-23]. However, the exact involvement of DUBs in the pathogenesis of HFpEF is not
89 fully understood.

90 In our experiment, we examined the expression of DUBs in HFpEF mice induced by a combined

91 set of risk factors, including age, obesity, and hypertension, referred to as the "Multiple-hit"
92 Strategy[24]. We identified significantly upregulated level of a DUB, USP7(ubiquitin-specific
93 protease 7), also known as herpes virus-associated ubiquitin-specific protease (HAUSP), in heart
94 tissues of HFpEF mice. Furthermore, our findings revealed that the observed increase in USP7
95 expression was predominantly localized within endothelial cells in HFpEF mice. To examine the
96 specific role of USP7 in endothelial cells, we generated endothelial cell-specific USP7 knockout
97 mice. Our results provided evidence that the deficiency of USP7 in endothelial cells improved
98 diastolic dysfunction, reduced BNP, fibrosis and EndMT in HFpEF model. Detailed mechanistic
99 studies showed that USP7 deficiency blocked its interaction with SMAD3, leading to enhanced
100 degradation and inactivation of SMAD3. SMAD3 overexpression reversed the protective effects of
101 USP7 deficiency in this HFpEF model. Our results thus hint that targeting USP and/or SMAD3
102 might serve as promising therapeutic options for the treatment of HFpEF.

103

104 **Methods**

105 All data, methods, and study materials will be made available to other researchers for the purposes
106 of reproducing our results or replicating the procedures. Detailed methods are provided in the
107 Supplemental Material.

108 **Animal Studies**

109 WT mice (C57BL/6J) were purchased from Beijing Vital River Laboratory Animal Technology Co.,
110 Ltd (Beijing, China). *USP7^{fllox/fllox}* mice and *Cdh5-Cre^{ERT}* mice on C57BL/6J background, aged 8-10
111 months, were purchased from Cyagen (Suzhou, China). Endothelial-specific conditional USP7
112 deficiency mice (*USP7^{fllox/fllox}/Cdh5-Cre^{ERT}*) were generated by crossing *USP7^{fllox/fllox}* mice with

113 Cdh5-Cre^{ERT} mice and intraperitoneally injected with tamoxifen (30 mg/kg) daily for 5 days. All
114 animal experiments complied with the Guide for the Care and Use of Laboratory Animals published
115 by the US National Institutes of Health (publication No. 85-23, revised 1996) and permitted by the
116 Animal Care and Use Committee of Zhongshan Hospital, Fudan University.

117 **Statistical Analysis**

118 Data were reported as Mean \pm SEM. For $n \geq 6$ data, the Shapiro-Wilk normality test was conducted
119 to assess the normality of the data. Fisher's exact test was utilized to compare categorical variables.
120 For data with a normal distribution, the unmatched two-tailed Student's t-test was employed to
121 determine whether the difference between the two groups was statistically significant. For multi-
122 group comparison, one-way or two-way ANOVA with Tukey's multiple comparison test or Šidák
123 test was utilized. For datasets with $n < 6$ or non-normal distribution, the non-parametric unpaired
124 Mann-Whitney test was used to assess the statistical significance of the difference between the two
125 groups. A statistically significant difference was obtained at $P < 0.05$. Data were analyzed by
126 GraphPad Prism software (version 9.4.1, CA, USA) and R (Version 4.2.3).

127

128 **Results**

129 **Endothelial USP7 expression is upregulated in HFpEF mice generated by the Multiple-hit** 130 **strategy**

131 To establish the HFpEF phenotypes, female mice (18 - 22 months old) were fed a high-fat diet for
132 12 weeks and infused with angiotensin II (Ang II) at a dosage of 1.25 mg/kg/day from the 8th week
133 to the 12th week (**Figure 1A**). These HFpEF mice recapitulate human HFpEF by demonstrating
134 hypertension, obesity, exercise intolerance, lung congestion, left ventricular (LV) hypertrophy, and

135 hemodynamic evidence of diastolic dysfunction, featured by higher E/e', while LV ejection fraction
136 (EF) remains preserved (**Figure S1-2**). Histopathologic data showed that hearts of HFpEF mice
137 exhibited cardiac hypertrophy, pronounced collagen deposition, microvascular rarefaction and
138 interstitial fibrosis (**Figure S3**). Recent years have witnessed an increasing body of evidence
139 implicating DUBs in the pathogenesis of heart failure. Through transcriptome sequencing, we
140 observed significantly differentiated expression of a large number of DUBs in the myocardial tissue
141 of HFpEF mice (**Figure 1B, Figure S5, Table S1**). Additionally, mRNA expression of the DUBs
142 was detected through transcriptome sequencing and results showed that the transcription of USP7
143 was significantly upregulated in HFpEF mice (**Figure 1B-C**). Immunofluorescence staining on the
144 extracted cells from the hearts of HFpEF mice and subsequent western blotting experiments showed
145 that the upregulation of USP7 was primarily localized in the endothelial cells (**Figure 1D through**
146 **1G, Figure S4**). Collectively, these results indicated the upregulation of endothelial USP7 in this
147 HFpEF model.

148

149 **Endothelium-specific knockout of USP7 alleviates cardiac fibrosis by mitigating EndMT,**
150 **thereby ameliorating the HFpEF phenotypes**

151 USP7 knockout led to early embryonic lethality[25]. Endothelial-specific conditional USP7
152 deficiency mouse ($USP7^{\text{lox/lox}}/\text{Cdh5-Cre}^{\text{ERT}}$; USP7-ECKO) were generated by crossing $USP7^{\text{lox/lox}}$
153 mice with $\text{Cdh5-Cre}^{\text{ERT}}$ mice and intraperitoneally injected with tamoxifen (30 mg/kg) daily for 5
154 days (**Figure S6**). To examine the functional significance of endothelial USP7 activation in HFpEF
155 mice, $USP7^{\text{lox/lox}}$ and USP7-ECKO mice were stimulated with the “Multiple-hit” strategy. After
156 “Multiple-hit” strategy, USP7-ECKO mice exhibited a lower heart weight-to-tibia length ratio

157 (HW/TL), lower lung weight wet/dry ratio, and improved exercise tolerance compared to
158 *USP7^{fllox/fllox}* mice (**Figure 2A and 2B, Figure S7B**). However, no significant difference in body
159 weight and blood pressure were observed between 2 groups (**Figure S7A**). Serum BNP levels and
160 TGF β 1 levels were found to be decreased in USP7-ECKO mice compared to *USP7^{fllox/fllox}* HFpEF
161 mice (**Figure 2F, Figure S7J**). Echocardiography results demonstrated partial improvement in
162 diastolic function in mice with USP7-ECKO mice, as reflected by E/e', -GLS and IVRT as
163 compared to *USP7^{fllox/fllox}* HFpEF mice (**Figure 2C through 2E, Figure S7E**). Tissue section
164 staining revealed that cardiac fibrosis and microvascular rarefaction were ameliorated, and EndMT
165 was alleviated in mice with EC-specific knockout of USP7 (**Figure 2J through 2L, Figure S8**).
166 Western blot assay and RT-qPCR further demonstrated that the EC-specific knockout of USP7 could
167 alleviate the reduction in endothelial phenotype expression and the increase in interstitial phenotype
168 expression induced by the “Multiple-hit” strategy (**Figure 2M and 2N, Figure S7K**).

169

170 **USP7 is involved in endothelial EndMT in vitro**

171 Based on the increased expression of USP7 in endothelial cells of HFpEF mice and the observed
172 partial improvement in cardiac fibrosis and EndMT following EC-specific knockout of USP7 in this
173 in vivo HFpEF model, we hypothesized that USP7 might alleviate HFpEF cardiac fibrosis by
174 regulating the EndMT process in the setting of HFpEF. To prove this concept, we isolated primary
175 cardiac microvascular endothelial cells (CMECs) from lactating rats. Results showed that USP7
176 expression was upregulated in the process of EndMT upon TGF β 1 stimulation in a time-dependent
177 manner and the most significant increase in USP7 expression upon TGF β 1 stimulation was found
178 at the concentration of 10 ng/ml, consistent with the observations in the animal model (**Figure 3A**

179 **Through 3D**). Additionally, reducing the protein expression of USP7 through short hairpin RNA
180 lentiviral particles targeting USP7 (shUSP7) transfection resulted in the amelioration of EndMT
181 under TGF β 1 stimulation, characterized by an increase in the endothelial cell phenotype and a
182 decrease in the interstitial phenotype (**Figure 3E through 3K, Figure S10**). These findings suggest
183 that USP7 plays a crucial role in the regulation of EndMT in cardiac endothelial cells.

184

185 **USP7 directly interacts with SMAD3, with SMAD3 being one of the crucial substrates of USP7**

186 It is known that DUBs could modulate biological activities by influencing the degradation or
187 function of substrate proteins[26]. In order to identify the substrate proteins involved in EndMT and
188 regulated by USP7, we extracted the proteins of cardiac tissue from HFpEF mice and conducted a
189 screening of potential substrate proteins using co-immunoprecipitation (Co-IP) in conjunction with
190 Liquid chromatography coupled with tandem mass spectrometry (LC-MS/MS) analysis. After
191 excluding the peptides related to the light and heavy chains of the antibody, SMAD3, a key molecule
192 involved in the regulation of EndMT, was found to be a potential substrate for USP7 (**Figure 4A**
193 **and 4B**). As shown in **Figure 4C and 4D**, knockdown of USP7 expression resulted in a reduction
194 in the expression of SMAD3, indicating a regulatory relationship in that USP7 could influence
195 SMAD3 expression. However, the reverse scenario, where knocking down the expression of
196 SMAD3 does not have a significant impact on USP7 expression, suggests that SMAD3 is the
197 downstream signaling of USP7 (**Figure 4E and 4F**). Furthermore, TGF β 1 stimulation further
198 enhanced the interaction between USP7 and SMAD3 in CMECs (**Figure 4G and 4H**). Subsequently,
199 USP7 and SMAD3 plasmids were co-transfected into 293T cells and their interaction was confirmed
200 by visualizing the co-localization of USP7 and SMAD3 (**Figure 4I and 4J**). USP7 consists of 3

201 domains: a TRAF (TNF receptor-associated factor) domain, a CAT (Cysteine-rich domain
202 Associated with TRAF1) domain, and a UBL (Ubiquitin-like) domain[27]. To further elucidate the
203 specific domain of USP7 that interacts with SMAD3, three USP7 mutants were generated. Results
204 of co-transfection of SMAD3 and the respective mutated USP7 plasmids in 293T cells showed that
205 the USP7 mutant containing amino acids 561-1102 retained the ability to bind to SMAD3, while
206 mutants in other domains failed to interact with SMAD3 (**Figure 4K and 4L**). Above findings
207 demonstrate that USP7 directly binds to SMAD3, and this interaction is mediated by the UBL
208 domain of USP7. Similarly, we constructed 3 mutant plasmids of SMAD3 (MH1, Linker and MH2
209 domain) and found that SMAD3 interacted with USP7 through MH2 domain (**Figure S13**).

210

211 **USP7 regulates the stability of SMAD3 protein through deubiquitination process**

212 The interaction and regulatory relationship between USP7 and SMAD3 raise the question of how
213 USP7 regulates SMAD3. Interestingly, we observed a decrease in SMAD3 protein levels upon
214 reducing USP7 expression, and this decrease was not attributed to altered transcription of SMAD3
215 (**Figure 5A and 5B**). This suggests that USP7 may regulate SMAD3 at the post-translational level,
216 possibly through protein stabilization or degradation pathways. We hypothesized that USP7 might
217 prevent the intracellular degradation of SMAD3. In fact, cycloheximide (CHX) pulse-chase assay
218 revealed that USP7 obviously prevented SMAD3 from proteasomal degradation (**Figure 5C and**
219 **5D**). This indicated that USP7 might play a role in mitigating degradation of SMAD3 protein. It is
220 known that USP7 might function as a deubiquitylating enzyme, exert its regulatory role by removing
221 ubiquitin molecules from target proteins. The exact regulatory mechanism of USP7 in SMAD3
222 ubiquitination was explored. Myc-SMAD3 and ubiquitin plasmids were co-transfected into 293T

223 cells. Subsequently, the transfected cells were divided into two groups: one group was transfected
224 with Flag-USP7 plasmid, while the other group was transfected with Flag-vector plasmid as a
225 control. Then, the cells were treated with MG132 to inhibit proteasomal degradation of the SMAD3
226 protein. Notably, a significant decrease was observed in the presence of ubiquitin molecules on
227 SMAD3 in cells transfected with the Flag-USP7 plasmid compared to cells transfected with the
228 Flag-vector plasmid (**Figure 5E**). It has been reported that cysteine at position 223 was crucial for
229 USP7 to exert its deubiquitination function[27]. To further identify the deubiquitination sites of
230 USP7, a catalytic mutant USP7 (C223S) was constructed. Results showed that the catalytic mutant
231 USP7 (C223S) failed to reduce the ubiquitination level of SMAD3, and could not affect the binding
232 of them (**Figure 5F and 5G**). To investigate the specific ubiquitin chains recognized by USP7, we
233 further generated ubiquitin plasmids retain only K48 or K63 active sites. Results showed that USP7
234 primarily exerts its deubiquitination function by recognizing and cleaving ubiquitin chains at the
235 K63 sites (**Figure 5H**). These results thus indicate that USP7 could remove K63-linked ubiquitin
236 molecules from SMAD3 and prevent SMAD3 from proteasomal degradation, and the cysteine at
237 position 223 of USP7 is implicated in the removal of ubiquitin molecules from SMAD3, thereby
238 preventing its degradation.

239

240 **USP7 regulates EndMT by stabilizing SMAD3 and accumulating phosphorylated SMAD3**

241 To further define the mechanistic role of SMAD3 in the USP7-mediated EndMT process, effects of
242 overexpressing SMAD3 while simultaneously knocking down USP7 were observed in CMECs. The
243 results indicated that the improvement in the EndMT phenotype resulting from the knockdown of
244 USP7 was partially attenuated by overexpressing SMAD3 (**Figure 6A, Figure S14**). The process

245 of SMAD3 activation contains phosphorylation and nuclear translocation. We demonstrated that
246 down-regulation of USP7 reduced the protein level of SMAD3 in the previous part. However,
247 whether this down-regulation could indirectly lead to the decrease of phosphorylated SMAD
248 remains unclear. Therefore, we then explored whether USP7 could modulate the levels of
249 phosphorylated SMAD3 (p-SMAD3). Results showed that knocking down USP7 resulted in a
250 reduction of the elevated levels of pSMAD3 induced by TGF β 1 (**Figure 6B**). Interestingly, further
251 nuclear-cytoplasmic separation assays and immunofluorescence staining experiments provided
252 additional evidence in that knocking down USP7 impeded the translocation of pSMAD3 into the
253 nucleus (**Figure 6C and 6D**). These in vitro study results thus demonstrate that USP7 could regulate
254 the phosphorylation and nuclear translocation of SMAD3, ultimately modulate the EndMT process
255 in CMECs stimulated by TGF β 1.

256

257 **USP7 knocking out ameliorates cardiac fibrosis and EndMT of HFpEF via SMAD3-dependent** 258 **pathway in vivo**

259 To validate the role of SMAD3 in USP7 knocking out-mediated beneficial effects in this HFpEF
260 model, we constructed AAV9-ENT vectors (based on adeno-associated virus 9 (AAV9) serotype
261 modification and enhanced the infection efficiency of vascular endothelial cells) carrying SMAD3
262 under the ICAM2 promoter. And then delivered these AAV9-ENT vectors via cardiac injection in
263 situ (**Figure 7A**). We confirmed that SMAD3 was highly expressed in cardiac ECs of HFpEF mice
264 (**Figure S15**). Injection of AAV9-ENT did not have a significant effect on weight gain and blood
265 pressure following the “Multiple-hit” strategy in mice (**Figure S16**). The heart weight-to-tibial
266 length ratio (HW/TL), lung weight/dry ratio, and exercise tolerance measurements indicated that

267 myocardial overexpression of SMAD3 in EC-specific USP7 knockout mice reversed the protected
268 effects of knocking out USP7 in the HFpEF phenotypes induced by the “Multiple-hit” strategy
269 (**Figure 7B and 7C**). Additionally, it was observed that myocardial overexpression of SMAD3 in
270 EC-specific USP7 knockout mice reversed the protective effects of EC-specific USP7 knockout in
271 terms of cardiac function, fibrosis, and EndMT post the “Multiple-hit” strategy (**Figure 7D through**
272 **7F, Figure S16**). Serum BNP levels changed in line with above changes (**Figure 7G**).

273

274 **Discussion**

275 Our study revealed that USP7 plays a pivotal role in the progression of cardiac fibrosis in HFpEF
276 mice by promoting the process of EndMT. The novel findings of our study are as follows: 1.
277 upregulation of USP7 and SMAD3 was identified in the cardiac microvascular endothelial cells of
278 the “Multiple-hit” HFpEF mouse model; 2. EC-specific knockout of USP7 significantly ameliorated
279 cardiac diastolic dysfunction, reduced cardiac fibrosis, and mitigated EndMT in HFpEF mice; 3.
280 Mechanistically, USP7 could remove K63-linked ubiquitin molecules from SMAD3 and prevent
281 SMAD3 from proteasomal degradation, and the cysteine at position 223 of USP7 was implicated in
282 the removal of ubiquitin molecules from SMAD3, thereby preventing its degradation, USP7 thus
283 enhanced the stability of SMAD3 and regulated the phosphorylation and nuclear translocation of
284 SMAD3, thereby facilitating the transcription of genes related to EndMT. Collectively, our study
285 demonstrated knocking out USP7 could ameliorate diastolic dysfunction and reduce cardiac fibrosis
286 through promoting the degradation of SMAD3 in HFpEF, primarily by mitigating the process of
287 EndMT (**Figure 8**). Inhibiting USP7 and SMAD3 might be feasible ways to alleviate HFpEF
288 pathology.

289 The development of effective, evidence-based treatments for HFpEF is challenged by the
290 phenotypic heterogeneity and the complexity of underlying pathogenesis of HFpEF[28, 29]. One
291 important obstacle is the absence of the animal model that accurately replicates the complexities of
292 human HFpEF[30, 31]. Patients with HFpEF usually present with multiple comorbidities, including
293 obesity, hypertension, diabetes, and other metabolic disorders[3, 32]. Several research groups
294 developed animal models for HFpEF, each of them with distinctive strengths and limitations. The
295 efficacy of animal models could be partially evaluated in the two recent HFpEF scoring systems[33,
296 34]. In our study, the animal model got a score of 4 points in the H2PEF scoring system and 5 points
297 in the HFA-PEF scoring system, which was consistent with the results of previous study[24]. This
298 suggests that the HFpEF model used in this study resembles the human clinical situation to some
299 extent.

300 EndMT is the process in which endothelial cells (ECs) transform into mesenchymal cells. This
301 phenomenon is implicated in a variety of cardiovascular diseases, including valve disease,
302 myocardial infarction (MI), fibrosis, endocardial fibroelastic fibrosis, atherosclerosis, and
303 pulmonary arterial hypertension (PAH)[14]. A recent study demonstrated that in vitro cultivation of
304 human aortic endothelial cells using serum obtained from patients with HFpEF could stimulate
305 endothelial EndMT and there is thus a close clinical association between EndMT and HFpEF[35].
306 In line with this finding, our results hinted that alleviated HFpEF phenotypes was related to reduced
307 EndMT in our HFpEF model post USP7 knocking out.

308 DUBs can modify the signal transmission and protect substrate proteins from degradation by
309 regulating the form of ubiquitin molecule linkage. Understanding the regulatory mechanisms of
310 DUBs in HFpEF is anticipated to yield novel therapeutic strategies. Previous studies have also

311 demonstrated that DUBs are implicated in a range of cardiovascular diseases, including cardiac
312 hypertrophy[36, 37], cardiomyopathy[38], and vascular remodeling[39]. However, as far as our
313 knowledge extends, there were no prior studies investigating the functioning of DUBs in HFpEF.
314 Our study revealed significant upregulation of USP7 in the cardiac microvascular endothelium of
315 HFpEF mice, and EC-specific knockout of USP7 improved HFpEF phenotypes, including cardiac
316 diastolic function, myocardial fibrosis, and exercise tolerance in HFpEF mice, indicating a crucial
317 role of USP7 in the pathogenesis of HFpEF.

318 The role of DUBs is closely related to the function of the substrate proteins. Through LC-MS/MS,
319 we identified SMAD3 as a substrate of USP7. This finding was further confirmed through co-
320 immunoprecipitation (Co-IP) experiments. The TGF β -SMAD3 signaling pathway serves as a
321 primary inducer of EndMT, SMAD3 is activated through phosphorylation, subsequently
322 translocating into the nucleus to regulate the transcription of proteins associated with EndMT[40].
323 Previous studies demonstrated that the specific knockdown or inhibition of SMAD3 could
324 effectively mitigate EndMT[41, 42]. Ubiquitination is one of the key mechanisms involved in the
325 degradation of SMAD3[43]. Simultaneously, DUBs possess the capability to inhibit the
326 ubiquitination and subsequent degradation of SMAD3, enabling precise regulation of SMAD3
327 levels. OTUB1, UCHL5, OTUD1 and USP15 have been reported to participate in the regulation of
328 SMAD3 deubiquitination, and the abnormal expression of DUBs disrupts the dynamic balance of
329 SMAD3, consequently promoting the development of various pathological processes[39, 44-46]. It
330 had been reported that USP7 could influence the progression of p53-negative lung cancer by
331 regulating SMAD3[47]. In our study, we demonstrated that the abnormal activation of USP7 might
332 not lead to beneficial effects in HFpEF. Due to the abnormal activation of USP7, SMAD3 was

333 upregulated, which in turn increased the EndMT process of ECs, promoted myocardial fibrosis, and
334 accelerated the progression of HFpEF. Inhibiting the abnormal activation of USP7 in ECs might be
335 an effective target to improve the prognosis of HFpEF. This suggested that USP7 might play
336 different roles in different diseases and cell types. Our study complemented the existing research by
337 addressing the role of USP7 in the field of HFpEF. In addition, our study also showed that during
338 EndMT in CMECs, USP7 primarily influenced the post-translational modification process. USP7
339 removed K63-linked ubiquitin molecules from SMAD3, preventing its proteasomal degradation.
340 Our research enriched the understanding of regulatory mechanism between USP7 and SMAD3.
341 Briefly, we identified USP7 as a crucial DUB that regulates SMAD3 and play pivotal role in the
342 EndMT process of HFpEF cardiac fibrosis. Our results showed that USP7 sustained SMAD3
343 stability via promoting deubiquitination of SMAD3, reversing K63-linked ubiquitin chains in the
344 cysteine at position 223 of USP7. Furthermore, USP7 also enhanced the activation of SMAD3 by
345 promoting SMAD3 phosphorylation. Identifying the specific active site of USP7 that regulates
346 SMAD3 ubiquitination can streamline drug development targeting this specific site, without
347 impacting the functions of substrate proteins at other active sites of USP7, and thereby minimizing
348 potential drug side effects.

349 Some limitations of this study should be acknowledged. First, the improvement in the HFpEF
350 phenotypes achieved through EC-specific knockout of USP7 may not solely be attributed to the
351 regulation of SMAD3 to alleviate EndMT. Other potential mechanisms may also be involved, as
352 evidenced by the reduced plasma TGF β 1 levels observed in mice with EC-specific knockout of
353 USP7 (**Figure S7J**). Second, in vitro experiments may not entirely replicate the conditions under
354 which EndMT occurs in the complex "Multiple-hit" state of the HFpEF phenotypes. Additionally,

355 there may be other pathways contributing to the process of EndMT in our HFpEF model, such as
356 metabolic transitions. Further investigations are also required to explore if there is an add-on effects
357 on HFpEF by jointly inhibition of USP7 and SMAD3. Third, because of the challenges in acquiring
358 myocardial tissue samples from HFpEF patients, our dataset lacked validation from patient
359 myocardial tissue. Further validation of the HFpEF phenotypes in humans would enhance the
360 clinical translational significance of this study.

361 In conclusion, our study unveiled the pivotal role of USP7 in endothelial cells as a key regulator of
362 EndMT in the context of HFpEF. EC-specific knockout of USP7 could ameliorate cardiac diastolic
363 function, reduce cardiac fibrosis, and mitigate EndMT in HFpEF mice in a SMAD3 dependent
364 pathway. Our studies hinted that inhibiting USP7 and SMAD3 alone or in combination might be
365 potential therapeutic option for the management of HFpEF.

366

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370

371 **Author Contributions**

372 The study was designed by Jingmin Zhou. and Junbo Ge. The experiments were conducted by Shuai
373 Yuan, Zimu Wang, Shun Yao, Yanyan Wang, Zhonglei Xie, Jingfeng Wang, Xueting Yu and Yu Song.

374 The data were analyzed by Shuai Yuan, Zimu Wang and Zhonglei Xie. The article was written by

375 Shuai Yuan, Zimu Wang, Xiaotong Cui, Jingmin Zhou and approved by all authors.

376

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380

381 **Disclosures**

382 None.

383

384 **Reference**

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506

507

508 **Figure Legend**

509 **Figure 1 Endothelial USP7 expression was upregulated in HFpEF mice generated by a**
510 **“Multiple-hit” strategy.**

511 **A**, A schematic representation of the “Multiple-hit” strategy.

512 **B**, RNA transcriptome sequencing was used to reveal the expression profile of DUBs
513 (deubiquitinating enzymes) in HFpEF mice. RNA transcriptome sequencing was performed on
514 control (n = 4) and HFpEF (n = 4) mice heart samples, respectively. We use log₂ of the fold
515 change as the source of data for the x axis and -log₁₀ of the P as the source of data for the y axis.
516 Fold change > 1.5× and P < 0.05 indicate statistically significant differences. Red and green points
517 represent the upregulated DUBs and the downregulated DUBs compared with control group. Red
518 arrow labeled represents the exact point of USP7; Although, black points represent the DUBs with
519 no statistical difference compared with the control group.

520 **C**, Representative western blotting for USP7 in normal heart tissue and HFpEF heart tissue in
521 HFpEF mice and densitometric quantification of USP7, n = 6.

522 **D**, Real-time qPCR analysis of the mRNA expression of USP7 in primary cardiomyocytes,
523 primary ECs, cardiac fibroblast and macrophages isolated from HFpEF mice heart tissue.

524 **E**, Representative western blot analysis for USP7 protein levels in primary cardiomyocytes, ECs,
525 cardiac fibroblast and macrophages. GAPDH was used as loading control.

526 **F**, Immunofluorescence staining and quantification of USP7 (red) and CD31 (green) in the
527 isolated primary ECs from HFpEF mice. Scale bars, 20 μm.

528 **G**, Immunofluorescence staining of USP7(red) and CD31(green) on cross sections of the heart
529 tissues from mice under “Multiple-hit” strategy insult and mice under normal diet. Scale bars, 20
530 μm.

531 **C-F**: Student t test; number of comparisons = 12(**C**), number of comparisons = 8(**D-F**); DAPI
532 indicates 4'6-diamidino-2-phenylindole; HFD, high-fat diet; ECs, endothelial cells; HFpEF, heart
533 failure with preserved ejection fraction; The protein level was standardized by GAPDH from each
534 group was normalized to 1 value from the control group, which was set to 1.

535

536 **Figure 2 EC-specific knockout of USP7 alleviates cardiac fibrosis by mitigating EndMT,**
537 **thereby ameliorating the HFpEF phenotypes.**

538 *USP7^{flox/flox}* and USP7-ECKO mice were subjected to normal diet and “Multiple-hit” strategy.

539 **A**, Representative whole heart image from mice in each group.

540 **B and C**, Masson (**B**) and Sirius Red (**C**) in sections of hearts. (Scale bar, 1 mm and 100 μm for
541 Masson; 100 μm for Sirius Red staining).

542 **D and E**, Quantification of fibrosis by assessing the Masson(**O**) and SR-positive(**P**) areas, n = 4.

543 **F**, Heart weight (HW) normalized to tibia length (TL).

544 **G**, Ratio between wet and dry lung weight.

545 **H**, Percentage of left ventricular ejection fraction (LVEF).

546 **I**, Ratio between mitral E wave and E' wave (E/E').

547 **J**, Percentage of global longitudinal strain (GLS).

548 **K**, The serum levels of BNP (B-type natriuretic peptide) in four groups.

549 **L**, Representative immunofluorescent staining images and quantification of microvascular
550 endothelial cell CD31 (green) and fibrosis marker α -SMA (red) in the heart tissues (n = 4). Scale
551 bar, 20 μ m.
552 **M**, Representative western blot analysis for endothelial cell marker (VE-cadherin), mesenchymal
553 marker (α -SMA, Vimentin) from the heart tissues of four groups.
554 **N**, Real-time qPCR analysis of endothelial cell marker (*Cdh5*), mesenchymal marker (*Acta2*, *Vim*)
555 and transcription factors of EndMT (*Twist1*, *Snail1*) in heart tissues. n = 4.
556 **D-L, N**: 1-way ANOVA followed by Tukey post-hoc tests; Data are shown as mean \pm SEM and
557 adjusted P values were provided in case of multiple groups. The protein level was standardized by
558 GAPDH and the mRNA level from each group was normalized to 1 value from the control group,
559 which was set to 1.

560

561 **Figure 3 USP7 is involved in endothelial EndMT in vitro.**

562 **A and B**, Representative western blotting analysis (**A**) and densitometric quantification (**B**) of
563 USP7 under different TGF β 1 stimulation in primary cardiac microvascular endothelial cells
564 (CMECs). n = 4.

565 **C and D**, Representative western blotting analysis (**C**) and densitometric quantification (**D**) of
566 USP7 under different treating time of TGF β 1 stimulation(10ng/ml) in primary cardiac
567 microvascular endothelial cells (CMECs). n = 4.

568 **E and F**, Representative western blotting analysis (**E**) and densitometric quantification (**F**) of
569 endothelial cell marker (CD31, VE-cadherin), mesenchymal marker (α -SMA, Vimentin) and
570 transcription factors of EndMT (*Twist1*) in primary cardiac microvascular endothelial cells
571 (CMECs) transfected with short hairpin RNA lentiviral particles targeting USP7 (shUSP7) or
572 control adenovirus (sh-Vector) under TGF β 1 stimulation (10ng/ml, 72h) or PBS control. n = 4.

573 **G**, Expression analysis by RT-qPCR of endothelial marker (*Cdh5* and *Pecam1*), mesenchymal
574 marker (*Acta2* and *Vim*) and transcription factors of EndMT (*Twist1* and *Snail1*) in primary
575 cardiac microvascular endothelial cells (CMECs) transfected with short hairpin RNA lentiviral
576 particles targeting USP7 (shUSP7) or control adenovirus (sh-Vector) under TGF β 1 stimulation
577 (10ng/ml, 72h) or PBS control. n = 4.

578 **H and I**, Immunofluorescence staining of CD31 (green) and α -SMA (red) (**H**) and bright field
579 image (**I**) in transfected CMECs (sh-Vector or sh-USP7) were either untreated or treated with
580 TGF β 1 for 72 hours. Scale bars, 20 μ m(**H**), 200 μ m(**I**).

581 **J and K**, Quantification of EndMT of CMECs by assessing the α -SMA intensity(**J**) of **H** and
582 percentage of spindle-shaped cells(**K**) in **I**, n = 4.

583 **B, D**: 2-way ANOVA followed by Dunnett's multiple comparisons tests; **F, G, J, K**: 1-way
584 ANOVA followed by Tukey post-hoc tests; Data are shown as mean \pm SEM and adjusted P values
585 were provided in case of multiple groups. The protein level was standardized by GAPDH, the
586 mRNA level and α -SMA intensity from each group was normalized to 1 value from the control
587 group, which was set to 1.

588

589 **Figure 4 USP7 directly interacts with SMAD3.**

590 **A**, Schematic illustration of quantitative proteomic screen.

591 **B**, Coomassie Blue staining of potential target proteins of USP7.

592 **C and D**, CMECs were transfected with short hairpin RNA lentiviral particles targeting USP7
593 (shUSP7) for 24 h, while the control cells were transfected with control adenovirus (sh-Vector),
594 Levels of USP7 and SMAD3 protein were measured by western blotting analysis (**C**) and
595 densitometric quantification (**D**), n = 6.

596 **E and F**, CMECs were transfected with SMAD3 siRNA for 24 h, while the control cells were
597 transfected with negative control (NC) siRNA. Levels of SMAD3 and USP7 protein were
598 measured by western blotting analysis (**E**) and densitometric quantification (**F**), n = 6.

599 **G and H**, Coimmunoprecipitation of USP7 and SMAD3 in CMECs treated with or without
600 TGFβ1 stimulation. Endogenous USP7 was immunoprecipitated by anti-USP7 antibody (**G**) and
601 Endogenous SMAD3 was immunoprecipitated by anti-SMAD3 antibody (**H**). IgG,
602 immunoglobulin G. n = 3.

603 **I and J**, Coimmunoprecipitation of USP7 and SMAD3 in 293T cells co-transfected with Flag-
604 USP7 and Myc-SMAD3 plasmids. Exogenous USP7 was immunoprecipitated by anti-Flag
605 antibody(**I**) and exogenous SMAD3 was immunoprecipitated by anti-Myc antibody(**J**), n = 3.

606 **K**, Schematic illustration of the USP7 domain deletion construct used in **L**.

607 **L**, Coimmunoprecipitation of WT-USP7, Mut-USP7, and SMAD3 in 293T cells co-transfected
608 with overexpression plasmids of Flag-WT-USP7, Flag-Mut-USP7 and Myc-SMAD3. Exogenous
609 normal or mutated USP7 was immunoprecipitated by anti-Flag antibody. n = 3.

610 **D, F**: Student t test; number of comparisons = 6; The protein level was standardized by GAPDH
611 from each group was normalized to 1 value from the sh-Vector/si-NC group, which was set to 1.

612

613 **Figure 5 USP7 regulates the stability of SMAD3 protein through deubiquitination.**

614 **A and B**, Representative western blotting (**A**) and real-time qPCR (**B**) for USP7 and SMAD3 in
615 CMECs transfected with short hairpin RNA lentiviral particles targeting USP7 (shUSP7) or
616 control adenovirus (sh-Vector), and treated for MG132 or PBS for 4 hours. n = 6.

617 **C and D**, Representative western blotting for USP7 and SMAD3 in CMECs co-transfected with
618 control adenovirus or recombinant USP7 adenovirus and then subjected to CHX pulse-chase assay
619 (**C**) and densitometric quantification of SMAD3 (**D**). n = 4.

620 **E**, Western blot analysis of indicated proteins in 293T cells cotransfected with Myc-SMAD3 and
621 HA-Ub in the presence of Flag-vector or Flag-USP7 plus the proteasome inhibitor MG132 (10
622 μM) for 4 hours before IP of whole cell lysates with MYC magnetic beads (n = 3).

623 **F**, Coimmunoprecipitation of USP7 and SMAD3 in 293T cells co-transfected with Flag-USP7 or
624 Flag-USP7-C223S and Myc-SMAD3 plasmids. Exogenous SMAD3 was immunoprecipitated by
625 anti-Myc antibody, n = 3.

626 **G**, Western blot analysis of indicated proteins in 293T cells cotransfected with Myc-SMAD3 and
627 HA-Ub in the presence of Flag-vector, Flag-USP7 or Flag-USP7-C223S plus the proteasome
628 inhibitor MG132 (10 μM) for 4 hours before IP of whole cell lysates with MYC magnetic beads (n
629 = 3).

630 **H**, Western blot analysis of indicated proteins in 293T cells cotransfected with Myc-SMAD3 and
631 HA-Ub, HA-K48 and HA-K63 in the presence of Flag-vector or Flag-USP7 plus the proteasome
632 inhibitor MG132 (10 μM) for 4 hours before IP of whole cell lysates with MYC magnetic beads (n
633 = 3).

634 **B**: 1-way ANOVA followed by Tukey post-hoc tests; **D**, 2-way ANOVA plus Šídák's multiple
635 comparisons test; Data are shown as mean±SEM and adjusted P values were provided in case of

636 multiple groups. The protein level was standardized by GAPDH or β -actin and the mRNA level
637 from each group was normalized to 1 value from the control group, which was set to 1.

638

639 **Figure 6 USP7 Regulates EndMT by stabilizing SMAD3 and accumulating phosphorylated**
640 **SMAD3**

641 **A**, Representative western blotting analysis and densitometric quantification of endothelial cell
642 marker (CD31, VE-cadherin), and mesenchymal marker (α -SMA, Vimentin) in primary cardiac
643 microvascular endothelial cells (CMECs) cotransfected with short hairpin RNA lentiviral particles
644 targeting USP7 (shUSP7)/control adenovirus (sh-Vector) and control adenovirus (Ad-
645 Vector)/recombinant SMAD3 adenovirus (Ad-SMAD3) under TGF β 1 stimulation (10 ng/ml, 72h)
646 or PBS control. n = 4.

647 **B**, Representative western blotting analysis (**C**) and densitometric quantification (**D**) of p-SMAD3
648 and SMAD3 protein level in primary cardiac microvascular endothelial cells (CMECs) transfected
649 with short hairpin RNA lentiviral particles targeting USP7 (shUSP7)/control adenovirus (sh-
650 Vector) under TGF β 1 stimulation (10 ng/ml, 72h) or PBS control. n = 4.

651 **C**, Representative western blotting analysis of p-SMAD3 and SMAD3 protein level in cytoplasm
652 and nucleus in primary cardiac microvascular endothelial cells (CMECs). β -Tubulin was used as
653 the loading control for cytosolic fractions. Histone 3 was used as the loading control for nuclear
654 fractions. n = 4.

655 **D**, Immunofluorescence staining of SMAD3 (red) and DAPI (blue) in primary cardiac
656 microvascular endothelial cells (CMECs) transfected with short hairpin RNA lentiviral particles
657 targeting USP7 (shUSP7)/control adenovirus (sh-Vector) under TGF β 1 stimulation (10 ng/ml,
658 72h) or PBS control. Scale bars, 20 μ m.

659 **A, B**: 1-way ANOVA followed by Tukey post-hoc tests; Data are shown as mean \pm SEM and
660 adjusted P values were provided in case of multiple groups. The protein level was standardized by
661 GAPDH from each group was normalized to 1 value from the control group, which was set to 1.

662

663 **Figure 7 USP7 ameliorates cardiac fibrosis and EndMT of HFpEF by stabilizing SMAD3 in**
664 **vivo.**

665 **A**, Schematic of the experimental setup. After “Multiple-hit” strategy for 12 weeks, recombinant
666 AAV9-ENT vectors carrying SMAD3 or ctrl were injected to heart of mice in situ for 2 weeks.

667 **B**, Heart weight (HW) normalized to tibia length (TL).

668 **C**, Ratio between wet and dry lung weight.

669 **D**, Percentage of left ventricular ejection fraction (LVEF).

670 **E**, Ratio between mitral E wave and E' wave (E/E').

671 **F**, Percentage of global longitudinal strain (GLS).

672 **G**, The serum levels of NT-proBNP (N-terminal pro-B-type natriuretic peptide).

673 **H and I**, Representative and quantification in Masson (**B**) and Sirius Red (**C**) staining in sections
674 of hearts. (Scale bar, 100 μ m for Masson; 100 μ m for Sirius Red staining).

675 **J**, Representative immunofluorescent staining images and quantification of microvascular
676 endothelial cell CD31 (green) and fibrosis marker α -SMA (red) in the heart tissues (n = 4). Scale
677 bar, 20 μ m.

678 **B-J**: 1-way ANOVA followed by Tukey post-hoc tests; Data are shown as mean \pm SEM and
679 adjusted P values were provided in case of multiple groups.

680

681 **Figure 8 A schematic diagram of this study.**

682 In normal endothelial cells, SMAD3 undergoes ubiquitination and normal degradation. However,
683 under various metabolic-hit conditions, the abnormal increasing USP7 leads to a reduction in
684 SMAD3 ubiquitination and degradation. This, in turn, promotes the activation of SMAD3,
685 facilitating its entry into the nucleus and promoting the EndMT process. Consequently, this
686 cascade of events contributes to cardiac fibrosis and HFpEF. Ub, ubiquitin; EndMT, endothelial-
687 to-mesenchymal transition; HFpEF, heart failure with preserved ejection fraction.

688

Ang II	angiotensin II
ARNI	angiotensin receptor–neprilysin inhibitor
BNP	B-type natriuretic peptide
CHX	cycloheximide
CMECs	cardiac microvascular endothelial cells
Co-IP	co-immunoprecipitation
DUBs	deubiquitinating enzymes
ECM	extracellular matrix
ECs	endothelial cells
EMT	epithelial-mesenchymal transition
EndMT	endothelial-mesenchymal transition
GLS	global longitudinal strain
HF	heart failure
HFpEF	heart failure with preserved ejection fraction
HFrfEF	heart failure with reduced ejection fraction
HW/TL	heart weight-to-tibia length ratio
IVRT	isovolumic relaxation time
LVEF	left ventricular ejection fraction
LVPWd	left ventricular posterior wall thickness in diastole
MI	myocardial infarction
NO	nitric oxide
PAH	pulmonary arterial hypertension
p-SMAD3	phosphorylated SMAD3
SGLT2i	sodium–glucose cotransporter 2 inhibitor
USP7	ubiquitin-specific protease 7
