

OPEN ACCESS FUNDS IN ACTION



| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---|---------------|------------------|--------------------|--|---|--|---|
| Boston College University Libraries | No set amount | February, 2014 | | All Boston College faculty, researchers and currently enrolled students are eligible to apply for funding. | Peer-reviewed articles published in fully open access journals and accessible immediately on publication are eligible. The Directory of Open Access Journals and the Open Access Scholarly Publishers Association will be used to vet the journals' quality and open access credentials, as will articles in the academic press about predatory practices. "Hybrid" open access journals, are not eligible. | -- Authors who have external funding which can be used for publishing fees are not eligible. -- The annual funding limit for each author will be \$3000. -- Authors who receive OA funding must also deposit the article in eScholarship@BC. | # Articles Approved: 52 # Articles Reimbursed: 46 # Unique Submitting Authors: 36 # Unique Departments: 12 # Unique Journals: 32 # Unique Publishers: 18 NOTES: Through June 30, 2018 |
| Brandeis University Library & Technology Services | \$25,000 | October, 2013 | | LTS funding is available to faculty, staff and students. Only one author per article may apply. | Funding is available for open access journals that do not charge a fee for institutions, libraries or readers for access to the content, and do not have an embargo period for access. This includes: - Members of the Open Access Scholarly Publishing Association (OASPA) - All Journals in the Directory of Open Access Journals that allow author to retain distribution rights. - Hybrid journals that allow authors to retain distribution rights. | In order to include as many authors as possible while covering typical costs LTS will support 100% of the supported charges with a limit of \$3000.00 Only those fees associated with open access publishing are eligible for | # Articles Approved: 18 # Articles Reimbursed: 16 # Unique Submitting Authors: 13 # Unique Departments: 9 # Unique Journals: 14 # Unique Publishers: 8 NOTES: Through June 30, 2015 |

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|--|---|------------------|---|--|---|---|--|
| | | | | | | <p>support. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not supported.</p> <p>Articles whose underlying research was funded by grants that allow funds to be used for open access fees are not eligible for funding.</p> | |
| Brock University Library | \$10,000 | October, 2011 | One-time fund established as part of OA Week 2011; renewed for an additional year in 2012 | The fund is open to Brock Faculty, Librarians, Staff, Graduate Students, Postdoctoral Fellows. | The grant covers only the fees associated with open access publishing [costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable.] Articles eligible for this grant are: peer-reviewed articles that have been accepted for publication in either a fully OA journal. | Up to \$2,500 per article. Authors must affirm that they have not received funding or have received insufficient funding to cover OA dissemination costs. Each eligible applicant is entitled to one grant. | <p># Articles Approved: 46 # Articles Reimbursed: 33 # Unique Submitting Authors: 40 # Unique Departments: 11 # Unique Journals: 39 # Unique Publishers: 20</p> <p>NOTES: Through June 30, 2018</p> |
| Carleton Library/Office of the Vice President (Research & International) | \$50,000 in combined contributions from the Library and | May 1, 2012 | The pilot project will be evaluated in relation to its contribution to | All Carleton University faculty, post-doctoral fellows, | Articles accepted for publication after March 1, 2012 are eligible for this program. These must be peer-reviewed articles that have been accepted for publication in journals which are fully "Open Access". That is, all of | \$2500 per article for fully Open Access journals and a cap on any one author of | <p># Articles Approved: 48 # Articles Reimbursed: 48 # Unique Submitting Authors: 35 # Unique Departments: 12</p> |

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| | the Office of VP Research; the Library has committed an additional \$25,000 as of summer, 2015 | | Carleton's strategic, academic and research plans. Continuation of this initiative depends on the outcome of the pilot and the availability of sustainable base funding. | graduate students and full-time professional staff who are named as an author of a research article and who have the lead responsibility for submitting this article to a peer-reviewed Open Access journal or conference proceedings are eligible to apply for funding. Funding will not be made available if any of the authors of the article are eligible for complete reimbursement of the article processing fees for this article through grants from a foundation, granting agency, or other institutions | the content of the entire journal is freely and globally available online immediately upon payment of the article processing fee, with minimal or limited copyright restrictions. Open Access articles are freely available for redistribution and reuse which means that anyone who has access to the internet may read, download, copy and distribute that article. In addition, to be eligible, authors must be publishing in a journal which: --is listed in the Directory of Open Access Journals --is a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct -- has a publicly available standard fee schedule -- has a policy to substantially waive fees in case of economic hardship | \$5000 per year. | # Unique Journals: 28 # Unique Publishers: 20 NOTES: Through June 30, 2016 |

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| | | | | including Carleton. | | | |
| Carnegie Mellon University Libraries | Open-ended, with \$45,131 disbursed through June, 2015 | May, 2013 | | Carnegie Mellon faculty, research scientists, post-docs, and graduate students are eligible if (a) they are the corresponding author on the article and (b) they have no money from funders that allow grant funds to be used for Article Processing Charges (APCs). | -- The publisher of the journal is a member of the Open Access Scholarly Publishers Association (OASPA) or adheres to the OASPA Code of Conduct. The publisher does not appear on Beall's List of Predatory Open Access Publishers. OR -- The journal is a hybrid journal with a publicly available policy that clearly describes the transition strategy for changing the business model from subscription to open access. To date, only hybrid journals published by the Association for Computing Machinery (ACM) qualify for APC funding. | Maximum funding per article is \$1500. Maximum funding per author per fiscal year is \$3000. Unused amounts do not roll over to the next year. | # Articles Approved: 30 # Articles Reimbursed: 30 # Unique Submitting Authors: 25 # Unique Departments: 13 # Unique Journals: 20 # Unique Publishers: 13 NOTES: Through June 30, 2015 |
| Colorado State University Libraries | \$45,000 annually | December, 2012 | | CSU tenured/tenure track faculty | Journals should be listed in the DOAJ: Directory of Open Access Journals (www.doaj.org) and must NOT be listed on Beall's List of Predatory Open Access Publishers (scholarlyoa.com/publishers). In cases where a journal does not meet these criteria, authors will be asked to briefly explain why the journal is an appropriate venue, given one's discipline. Within six months of publication, the author must deposit the article into CSU's digital repository | Authors will be eligible for up to \$2,000 of support per year for one article. Authors publishing in a journal that provides authors with an open access article option ("hybrid") will be eligible for up to \$1,250 per year for one article. | # Articles Approved: 185 # Articles Reimbursed: 92 # Unique Submitting Authors: 143 # Unique Departments: 34 # Unique Journals: 108 # Unique Publishers: 39 NOTES: Through June 30, 2017 |

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| Columbia University Libraries/Information Services | Up to \$25,000 for fiscal year 2010; up to \$50,000 for fiscal year 2011 | January 2010 | All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis. | Any Columbia faculty member, post-doctoral researcher, staff member, or student author. Not currently available to Columbia affiliates including Barnard College, Teachers College, or Union Theological Seminary. | Columbia Open-Access Publication (COAP) funds apply to article-processing fees for scholarly peer-reviewed articles. Only articles that are published in open-access journals are eligible. Journals with a hybrid open-access model or delayed open-access model are not eligible. Articles submitted for publication after January 1, 2010 are eligible for this program. | COAP funds are intended to be a funding source of last resort and to support open access to articles whose research was not grant funded. Articles for which other publication funding is available are not eligible for COAP funds. This includes: -- Articles reporting on grant-funded research where the granted funds can be used for publication fees (whether or not the particular grant had budgeted for such fees and whether or not sufficient grant funds remain); -- Articles funded by an institution that itself pays publication fees on behalf of the | # Articles Approved: 109* # Articles Reimbursed: 90 # Unique Submitting Authors: 243 # Unique Departments: 54 # Unique Journals: 59 # Unique Publishers: 21 *The cumulative number of articles approved reflects a downward revision to the FY14–15 figure previously reported, based on a review and correction of the data. NOTES: Through June 30, 2016 |

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|---------|-------|------------------|--------------------|------------------|-------------------|--|--------------------------|
| | | | | | | <p>author (such as Wellcome Trust).</p> <p>Authors may receive funding for up to \$3,000 per year for all article-processing charges. Unused amounts do not roll over to future years. The most an author can request for a single article is also \$3,000.</p> <p>In the case of an article with multiple authors, each eligible author can apply for reimbursement for a prorated portion of the publication fee. An example: An article with three authors, two of whom are from Columbia, is to appear in a journal with a \$3,000 publication fee. Each Columbia author</p> | |

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| | | | | | | may apply for reimbursement for \$1,000. In the hypothetical case of an eligible journal with a \$6,000 publication fee, each Columbia author could apply for reimbursement for a prorated portion of the \$3,000 funding cap per article, or \$1,000. | |
| Concordia University Libraries/Office of Research | \$40,000, including strategic funding from the Office of the President | June, 2011 | | The Fund is open to all full-time and part-time faculty members, staff, currently registered graduate students, and postdoctoral fellows affiliated with Concordia. The request for reimbursement must be made by the corresponding author of the article. | <p>The article must be published in a fully Open Access journal. At this time, traditional subscription-based or 'hybrid' journals that offer an open access option for a fee are not eligible.</p> <p>Eligible Open Access journals will be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct; provide unfettered access to all peer-reviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship.</p> <p>The Fund covers only the costs and fees associated with Open Access publishing. Costs for reprints, color illustration fees, non-open access page charges and other fees are not eligible for reimbursement.</p> | <p>The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund.</p> <p>Researchers who receive research funds that explicitly include publication or submission support will be required to use those funds before applying to the Open Access Author Fund.</p> <p>Fund recipients must enable the</p> | <p># Articles Approved: 134 # Articles Reimbursed: 134 # Unique Submitting Authors: 80 # Unique Departments: 18 # Unique Journals: 68 # Unique Publishers: 33</p> <p>NOTES: Data through April 30, 2018</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
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| | | | | | | deposit of their article in Spectrum: Concordia University Research Repository. | |
| Cornell University Library | \$50,000, with support from the Provost's Office | September, 2009 | All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis. If the pilot project proves to be valuable to scholarly communication, COAP may receive continued funding. \$50,000 was added to the fund in July, 2014 | Available to any Cornell faculty, post-doctoral researcher, staff member, or student author affiliated with Cornell's Ithaca campus. | <p>Publication and processing fees for scholarly peer-reviewed articles in open-access journals meeting these requirements:</p> <ul style="list-style-type: none"> * Listed in the Directory of Open Access Journals * Member of the Open Access Scholarly Publishers Association or adherent to its Code of Conduct * Provide unfettered access to all peer-reviewed articles (journals with a hybrid open-access model or delayed open-access model are not eligible) * Publicly available standard article fee schedule. * Policy to waive fees in case of economic hardship. <p>COAP funds may also be applied to publication and processing fees for book chapters and books.</p> | <p>- Articles for which alternate publication funding is available are not eligible for COAP funds. This includes articles whose research was funded by grants or gifts that allow grant funds to be used for article processing fees (regardless of whether that particular grant had budgeted for such fees).</p> <p>- Reimbursement cap for a single article is \$3,000.</p> <p>- Authors may receive reimbursement for up to \$3,000 per year for all submission and publication</p> | <p># Articles Approved: 152 # Articles Reimbursed: 152 # Unique Submitting Authors: 109 # Unique Departments: 42 # Unique Journals: 66 # Unique Publishers: 24</p> <p>NOTES: Through June 30, 2017</p> |

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|---------|-------|------------------|--------------------|------------------|-------------------|---|--------------------------|
| | | | | | | <p>charges.</p> <p>- Multiple Authorship: In the case of an article with multiple authors, each author is responsible for a prorated portion of any publishing fees. For example, for an article with three authors that is to appear in a journal with a \$3,000 publication fee, each author is responsible for \$1,000 of that fee. If two of the authors are Cornellians, each may enter an application for \$1,000. If both apply, \$2,000 will be sent to the journal. If the journal has a \$6,000 publication fee, the \$3,000 per article cap will apply and each Cornell author may only apply for \$1,000.</p> | |

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| Dartmouth College Library | N/A | Fall, 2009 | | Dartmouth faculty and graduate students. | <p>Established, scholarly open access journals. Subscription-based journals that charge a fee, sometimes called an “author’s choice” or “open choice” fee, to make single articles available by open access are not eligible. In addition, eligible journals must:</p> <ul style="list-style-type: none"> - Be listed in the Directory of Open Access Journals (www.doaj.org) - Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct - Make their standard fee schedules publicly accessible - By policy, waive their fees in cases of financial hardship | <p>The limit for a single payment is set at \$3,000. Individuals may be supported up to \$3,000 per year in journal payments, in order to make funding available to the greatest number of authors. In the case of multiple authors, an individual author’s support will be set at a prorated portion of the publication fee, which will be set by dividing the fee by the number of authors.</p> | <p># Articles Approved: 141 # Articles Reimbursed: 141 # Unique Submitting Authors: 95 # Unique Departments: 21 # Unique Journals: 86 # Unique Publishers: 36</p> <p>NOTES: Data through June 30, 2018</p> |
| Duke University Libraries, Duke University School of Medicine, and the Office of the Provost | \$35,000 | September, 2010 | Subject to periodic evaluation | Duke faculty members, post-doc researchers, and graduate or professional students | <p>Articles accepted for publication in a peer-reviewed, open access publication, as determined by:</p> <ul style="list-style-type: none"> * Listing in the Directory of Open Access Journals * Membership in the Open Access Scholarly Publishers Association * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct <p>Only journals that are fully open access based on a published schedule of article</p> | <p>Individual authors may receive a maximum of \$3,000 reimbursement of article processing fees in an academic year, with a maximum per-article reimbursement of \$2,000. Unused funds do not roll over to future</p> | <p># Articles Approved: 288 # Articles Reimbursed: 204 Unique Submitting Authors: 325 # Unique Departments: 45 # Unique Journals: 113 # Unique Publishers: 35</p> <p>NOTES: Through June 30, 2018</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
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| | | | | | processing fees are eligible. The fund cannot be used to support "hybrid" open access publishing, where individual articles from journals that are generally subscription access only are made openly available when author fees are paid. Only journals that do not charge readers or institutions for access to peer-reviewed content are eligible. | years. When there are multiple eligible Duke authors for an article, each author is responsible for a prorated portion of article processing fees and can be reimbursed for that amount, up to the annual cap. Articles that are supported by a gift or grant from a foundation, institution or agency that allows granted funds to be used for article processing fees are not eligible for reimbursement from COAPE funds . | |
| Emory University Libraries | \$25,000 | September 1, 2012 | | Emory University faculty, post-docs, researchers and currently enrolled graduate and | The article or book must be published with an open access publisher that does not charge readers or institutions for access to the publication. To be eligible, journal publishers must be listed in the Directory of Open Access Journals (DOAJ) and book publishers may be listed in the Directory of Open Access Books (DOAB). Also, a publisher | Open access publishing funds are awarded on a first come, first serve basis to a maximum reimbursement of \$1,500.00 per | # Articles Approved: 146 # Articles Reimbursed 102 # Unique Submitting Authors 185 # Unique Departments 39 # Unique Journals 75 # Unique Publishers 27 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
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| | | | | <p>undergraduate students are eligible to apply for funds for open access fees for articles and books connected with their research activities at Emory. Preference is given to authors who have not been previously funded.</p> <p>In the case of an article or book with multiple authors, each author is responsible for a prorated portion of any publishing fees. Due to limited funds, any given author is limited to one fund reimbursement per fiscal year.</p> | <p>must be a member of Open Access Scholarly Publisher's Association (OASPA) or meet its Code of Conduct.</p> <p>Journals or books with a hybrid model (some content by fee and some content open access) or delayed open access are not eligible for this fund. Articles and books must be fully available open access at the time of publication.</p> | <p>article or book. Funds may be used for open access publishing and processing fees, including open access page charges. Funds may not be used for reprints, color illustration fees, non-OA page charges, permissions fees, web hosting for self-archiving, or other expenses not directly related to open access fees. Articles or books for which alternative funding is available are not eligible for reimbursement from this fund.</p> | <p>NOTES: Through June 30, 2018</p> |
| Florida State University Libraries | \$25,000 | December, 2013 | June, 2017 | Florida State University faculty, post-docs, researchers and | To be eligible, journal publishers must be listed in the Directory of Open Access Journals (DOAJ) and book publishers may be listed in the Directory of Open Access Books (DOAB). Also, a publisher must be a member | Maximum reimbursement is \$1,500.00 per article or book. Due to limited | # Articles Approved: 48 # Articles Reimbursed: 47 # Unique Submitting Authors: 42 # Unique Departments: 22 |

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| | | | | currently enrolled graduate and undergraduate students are eligible. Preference is given to authors who have not been previously funded. | of Open Access Scholarly Publisher's Association (OASPA) or meet its Code of Conduct. Journals or books with a "hybrid model" or delayed open access are not eligible for this fund. | funds, any given author is limited to one fund reimbursement per fiscal year. Any open access item published with support from this fund must also be submitted to DigiNole Commons. | # Unique Journals: 27 # Unique Publishers: 16 NOTES: Through June 30, 2016. The fund was discontinued in 2017 and repurposed to focus on mini-grants for OER and digital humanities. |
| George Mason University Libraries | \$25,000 annually | October 2012 | | All Mason faculty members, registered graduate students, and post-doctoral fellows are eligible. | The journal must: -- Be listed in the Directory of Open Access Journals -- Hold membership in the Open Access Scholarly Publishers Association or demonstrate its adherence to the OASPA Code of Conduct -- Publish its standard fee schedules, and -- Waive its article processing fee in cases of financial hardship, as evidenced by its public policy. Support for a hybrid journal open access fee will be considered if: -- the publisher is a non-profit society or institution, -- access to the journal's proprietary content is available only through subscription with the society or institution and not through a for-profit aggregator like Proquest, Ebsco, or Elsevier, -- the fee and its use are clearly indicated on the journal or publisher website, and | Individuals eligible to apply for publisher payment of an APF may receive up to \$3,000 per fiscal year, with a \$3,000 cap per article. Fees are pro-rated for multi-authored articles. That is, if more than one author from Mason applies for funding support for the same article, the article processing fee will be divided equally, up to the \$3,000/article cap. | # Articles Approved: 114 # Articles Reimbursed: 114 # Unique Submitting Authors: 82* # Unique Departments: 28* # Unique Journals: 60* # Unique Publishers: 28* NOTES: Through June 30, 2017 * approximate |

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| | | | | | -- the fee is waived for authors from developing nations or those with demonstrated financial hardship. | | |
| Grand Valley State University Libraries | \$35,000/yr | Fall 2011 | The Fund will be reviewed annually adjustments made as necessary. | <ul style="list-style-type: none"> - Applicant must be a current GVSU tenure-track, visiting, affiliate and adjunct faculty, staff, or currently registered graduate student. - Applicant must be listed as one of the authors, and article must indicate GVSU affiliation. | <ul style="list-style-type: none"> - Funding is available for newly published (within the past six months), peer reviewed articles in open access or hybrid journals that charge a publication fee associated with the cost making an article freely available. - Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable. - Articles must be made freely available on the web at the time of initial publication, with no embargo period. - Eligible journals must be listed in the Directory of Open Access and Hybrid Journals or meet the DOAJ's selection criteria. The Libraries has a selective list of open access and hybrid publisher fees for quick reference. - Eligible journals must make their fee schedule publicly available online. | <ul style="list-style-type: none"> - There is no limit to the per article amount that can be funded, but an author may not receive more than \$3,000 in one year. - Authors with grant support or external funding to cover publishing fees are ineligible. - For articles with multiple authors, each author is responsible for a prorated portion of any publishing fees. For example, for an article with three authors that is to appear in a journal with a \$3,000 publication fee, each author is responsible for \$1,000 of that fee. If two of the authors are from GVSU, each may separately apply for \$1,000. If both | <ul style="list-style-type: none"> # Articles Approved: 112 # Articles Reimbursed: 104 # Unique Submitting Authors: 113 # Unique Departments: 32 # Unique Journals: 96 # Unique Publishers: 35 <p>NOTES: Through June 30, 2018</p> |

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|--|----------|------------------|--------------------|---|--|---|---|
| | | | | | | apply, up to \$2,000 of the fees will be reimbursed, with \$1,000 being applied to each person's \$3,000 annual award limit. | |
| Harvard University Library/Office of Scholarly Communication | \$50,000 | Fall, 2009 | None | <p>Funds are available for researchers at Harvard schools that have instituted an open-access policy. Currently, these are: FAS (including SEAS), HLS, HKS, HGSE. Faculty, researchers, staff, and students may request reimbursement for articles connected with their research activities at these schools.</p> <p>- All authors agree to post a copy of their article in the institutional repository.</p> | <p>- Reimbursable article-processing fees may include publication fees (charges levied on articles accepted for publication, including page charges), and submission fees (charges levied on articles submitted for publication). Eligible fees must be based on a publication's standard fee schedule that is independent of the author's institution.</p> <p>- Articles for which alternative funding is available are not eligible for reimbursement.</p> <p>- The venue of publication must be an established open-access journal, that is, a journal that does not charge readers or their institutions for unfettered access to the peer-reviewed articles that it publishes. Journals with a hybrid open-access model or delayed open-access model are not eligible. To be eligible, a journal must meet these additional requirements:</p> <ul style="list-style-type: none"> * Be listed in the Directory of Open Access Journals, * Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct, * Have publicly available a standard article fee schedule, and * Have a policy to substantially waive fees | <p>- \$3,000 per article</p> <p>- There is no limit on the number of articles reimbursed, except that authors may receive reimbursement for up to a total of \$3,000 per academic year for all article processing fees. Reimbursement can cover 100% of fees up to the cap. Unused amounts do not roll over to future years. Exceptions to the \$3,000 cap may be made based on availability of funds.</p> <p>- In the case of an article with multiple authors, each author is</p> | <p># Articles Approved: 383 # Articles Reimbursed: 164 # Unique Submitting Authors: 326 # Unique Departments: 74 # Unique Journals: 149 # Unique Publishers: 53</p> <p>NOTES: Data through June 30, 2018</p> |

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| | | | | | in case of economic hardship. | responsible for a prorated portion of any publishing fees. | |
| Indiana University-Purdue University Indianapolis (IUPUI) Library | \$47,000 initially, with support from the IUPUI University Library, the Office of the Vice Chancellor for Research, IU School of Dentistry and the Robert H. McKinney School of Law; a second 2-year funding cycle of \$50,000 began in mid-2015 | July, 2013 | The pilot will be evaluated after two years | Funds are available for IUPUI faculty. | To be eligible, a journal must meet these additional requirements: <ul style="list-style-type: none"> • Be listed in the Directory of Open Access Journals (unless the journal is too new for DOAJ eligibility), • Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct, • Have publicly available a standard article fee schedule. <p>Journals with a hybrid open-access model or delayed open-access model are not eligible.</p> | No cap on per-article funding. Articles for which alternative funding is available are not eligible for use of the fund. A onetime exception to this rule will be made for authors who did not include dissemination fees in the budget of the grant or contract. <p>Articles with co-authors from other institutions will be supported at a prorated portion of the article processing fees. Authors from all IUPUI departments and schools are encouraged to apply. Although article processing fees for eligible publications will be distributed on a</p> | # Articles Approved: 133 # Articles Reimbursed: 119 # Unique Submitting Authors: 84 # Unique Departments: 42 # Unique Journals: 76 # Unique Publishers: 27 NOTES: Data through June 30, 2018 |

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| | | | | | | <p>first-come, first-served basis, disbursement will be monitored to ensure diverse participation across the schools.</p> <p>As an added service, the IUPUI University Library will deposit a copy of funded articles in the IUPUIScholarWorks</p> | |
| Johns Hopkins University Libraries | \$72,000 | FY 2012 | January, 2015 | Because the request for support exceeds the funds available, this year the OAPF is limiting grants to Hopkins students, postDocs and early-career faculty. The applicant must be an author on the paper in question. | <p>-- Must be published in a peer-reviewed fully Open Access journal (defined as any journal that appears in the Directory of Open Access Journals).</p> <p>-- Cannot be used to pay for publishing in 'hybrid' Open Access journals.</p> <p>-- Must be immediately, openly accessible at publication; no delayed publishing or embargo periods are accepted.</p> | <p>-- Up to \$1,500 per article.</p> <p>-- Up to \$1,500 per year per author.</p> <p>-- A copy of all funded articles will be made publicly in the Johns Hopkins University institutional repository, no later than three (3) months after receiving this award or three (3) months post-publication. Authors will be contacted by JScholarship staff</p> | <p># Articles Approved: 44 # Articles Reimbursed: 44 # Unique Submitting Authors: 45 # Unique Departments: 24 # Unique Journals: 24 # Unique Publishers: 13</p> <p>NOTES: The Fund closed in January, 2015</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
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| | | | | | | to complete this task. | |
| Kent State University Libraries | \$115,000 from FY2013/14 through 2016/17 | September, 2013 | None | The Open-Access Fund is available to any Kent State University faculty, postdoc, staff member, or student who is the lead or corresponding author. | Eligible Publications are open-access journals that: <ul style="list-style-type: none"> are approved by the applicants' Academic Unit Are peer reviewed Are listed in the Directory of Open Access Journals Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct Make their standard fee schedules publicly accessible Waive their APCs in cases of the authors' financial hardship | Funding allowance for a single article is capped at \$2000. Authors may receive funding for up to \$3,000 per year for all APCs. Unused amounts do not roll over to future years. | # Articles Approved: 47 # Articles Reimbursed: 47 # Unique Submitting Authors: 35 # Unique Departments: 12 # Unique Journals: 38 # Unique Publishers: 11 NOTES: Data through December 31, 2016 |
| Louisiana State University Libraries | \$30,000 | January, 2018 | | Authors applying for funds along with the primary authors of the publications must be LSU employees or students. Preference is given to research or scholarship primarily conducted by LSU employees or students. | Peer-reviewed articles submitted to an open access journal yet not already published are eligible. Journals must meet the following criteria: <ul style="list-style-type: none"> - Be an entirely open access journal that provides unfettered access to all peer-reviewed articles. Journals with a hybrid or delayed open access model are not eligible. - Be published by a member of the Open Access Scholarly Publishers Association OR adhere to its Code of Conduct. - Have a standard article fee schedule publicly posted. - Be listed in the Directory of Open Access | Funds may be used to pay article processing charges up to \$1,500 per article. Color, page, or image charges are not eligible. A requesting author may be awarded funding once a calendar year. | # Articles Approved: 12 # Articles Reimbursed: 7 # Unique Submitting Authors: 12 # Unique Departments: 10 # Unique Journals: 10 # Unique Publishers: 5 NOTES: Data through June 30, 2018 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|-------------------------------|--------------------------|------------------|--|---|---|---|---|
| | | | | Researchers are expected to exhaust other funding sources and to use appropriate research grant funds to pay publication charges. | Journals. Exceptions to a DOAJ listing may be made if the journal meets other criteria and the OA fund reviewers verify journal credibility. LSU Libraries will upload the published version of each funded article to LSU Digital Commons, LSU's institutional repository. | | |
| MIT Libraries | Pilot funding for FY2011 | | This is a pilot project subject to periodic evaluation | Current MIT faculty | Open-access journals that: <ul style="list-style-type: none"> * Are peer reviewed * Are listed in the Directory of Open Access Journals * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct * Make their standard fee schedules publicly accessible * Waive their fees in cases of financial hardship <p>Articles in journals that charge an annual subscription fee, including journals that use a delayed open-access model, or offer an "open choice" option to make a particular article open access are not eligible.</p> <p>Articles reporting on research that was supported by funders that allow research funds to be used for publication fees (e.g. NIH) are not eligible for this funding, whether or not publication costs were specifically included in the grant. This fund is intended to be a last resort for use when no alternative source of funding is available.</p> | The subsidy is limited to \$1,000 per article, regardless of the number of authors. | # Articles Approved: 106 # Articles Reimbursed: 95 # Unique Submitting Authors: 82 # Unique Departments: 30 # Unique Journals: 43 # Unique Publishers: 22 NOTES: Data through June 30, 2018 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---|---|------------------|--------------------|--|---|---|---|
| Memorial University Libraries | \$199,000 in 2016/17. Values are in Canadian dollars. This funding covers both OA memberships and APCs. | Summer, 2011 | None | All Memorial, faculty, graduate students, staff, post-docs, emeriti, and honorary research profs who have had a peer-reviewed article accepted to an Open Access journal that meets the funding criteria. Where an article has multiple authors, at least one of these authors must be a Memorial affiliated researcher. | <p>The fund will cover Author Processing Charges (also called author's fees or page fees) for articles that have been accepted to "gold" open access journals that meet the following criteria:</p> <p>a) Journal content is peer-reviewed.</p> <p>b) Journal does not charge subscription fees for any of its content. All articles are immediately available online at no cost to the reader.</p> <p>c) Author retains copyright over his or her work. (e.g. The journal uses Creative Commons licensing or similar.)</p> <p>A copy of the funded paper will also be made available through the Memorial University Research Repository within a year of initial publication.</p> <p>The fund will not cover open access author's fees in gated or hybrid journals.</p> | Memorial Libraries will fund a maximum of \$3000 per year for each researcher. Researchers with no other funding source to cover APCs will be given priority over those with research funding available for this purpose. | <p># Articles Approved: 254 # Articles Reimbursed: 254 # Unique Submitting Authors: 179 # Unique Departments: 35 # Unique Journals: 140 # Unique Publishers: 39</p> <p>NOTES: Data through June 30, 2016</p> |
| Northwestern University Library | \$20,000 | October, 2013 | | Faculty members, post-doc researchers, graduate or | Articles accepted for publication in peer-reviewed journals. Publication will be supported in any peer-reviewed journal that is listed in the Directory of Open Access Journals, or whose publisher is a member of | The fund will provide \$3,000 per Northwestern researcher per year. As funds | <p># Articles Approved: 117 # Articles Reimbursed: 117 # Unique Submitting Authors: 122 # Unique Departments: 46</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---|---|---|--|--|---|--|---|
| | | | | professional students and any staff who are authors of articles accepted for publication in a peer-reviewed, open access publication. | the Open Access Scholarly Publishers Association and is published in a fully open access format based on a published schedule of article processing fees. Hybrid publication model is not supported. The fund supports article processing fees or publishing fees. The fund does not cover submission fees or personal or institutional subscriptions or sponsorships. | allow, exceptions will be considered for requests above this annual cap. For intramural collaborations, each author will be responsible for a prorated portion up to a total per-article cap of \$3,000. | # Unique Journals: 65 # Unique Publishers: 31 NOTES: Data through June 30, 2018 |
| Penn State University Libraries | \$20,000 during initial pilot; \$20,000 assigned again for FY 2016/17 | February 2015. Criteria for awards was established by the Libraries Publishing Board, which oversees the process and determines what applications are funded. | Pilot requested in a proposal in August 2014; approved February 2015 | A Penn State scholar at any Penn State location is eligible (faculty, staff, student). Undergrad students eligible with concurrence of a faculty member. First time applicants get preference for funding if appropriate. Requirement to deposit a copy in ScholarSphere repository. | Peer-reviewed journal articles in fully open access publications that are considered by the Board in their investigation to have strong scholarly merit. <ul style="list-style-type: none"> • Eligible publications must provide free, immediate, online access to the full text of the research article upon publication without restrictions. Access may be provided by an available pre-print or post-print version of the article. • Eligible journals may include those listed in the Directory of Open Access Journals or publishers that are generally compliant with the Open Access Scholarly Publishers Association’s Code of Conduct. • Journals must be peer-reviewed. • Journals must make their standard fee schedules publicly accessible. • “Hybrid” open-access publishing, such as subscription-based journals that make some articles available after an author pays fees, are not eligible for funding. • Any journals or publishers whose legitimacy is in doubt or are listed in Beall’s List of predatory scholarly open-access publishers | Award is capped at \$2000 per article, one article per individual per fiscal year. For co-authored submissions, awards are prorated depending on how many of the authors have a Penn State affiliation. | # Articles Approved: 38 # Articles Reimbursed: 30 # Unique Submitting Authors: 36 # Unique Departments: 22 # Unique Journals: 26 # Unique Publishers: N/A NOTES: Data through June 30, 2018 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---|-------------------|------------------|--------------------|---|---|---|---|
| | | | | | <p>require greater scrutiny by authors and the University Libraries.</p> <p>Ultimately, the eligibility of the journal is the purview of the Penn State Libraries Publishing Board.</p> <p>Authors are encouraged to include the following statement: "Publication of this article was funded in part by The Pennsylvania State University Libraries Open Access Publishing Fund."</p> | | |
| Simon Fraser University Library | \$50,000 annually | January, 2010 | None | <p>SFU authors who are faculty, staff or graduate students are eligible to use the Central fund.</p> <p>Undergraduate students working under the supervision of a faculty member are also eligible.</p> | <p>Eligible journals will be those in the Directory of Open Access Journals, or those whose editorial policies meet the criteria for inclusion in DOAJ. The fund will also cover article processing charges for book chapters, provided the entire publication is open access. The fund does not cover optional article processing charges from hybrid journals. The fund does not cover additional charges such as for including color plates, long articles, submission fees, or excess page charges.</p> | <p>It is anticipated that SFU authors will include OA publishing charges in grant applications where they are eligible, and will first use such funds before applying for reimbursement from the central OA fund. The Library will work with the Office of Research Services to raise awareness of grant eligibility for OA fees and funder mandates.</p> <p>The amount each SFU author may claim during one fiscal year is</p> | <p># Articles Approved: 593 # Articles Reimbursed: 593 # Unique Submitting Authors: 362 # Unique Departments: 31 # Unique Journals: 200 # Unique Publishers: 60</p> <p>NOTES: Data through June 30, 2018</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|--------------------------------------|------------------|---|--|--|--|--|
| Southern Illinois University Carbondale Morris Library | \$26,000 for 2014-15 | Fall 2011 | The Fund is a pilot project which will be reviewed annually | Grants are available to faculty, including nontenure track faculty, and graduate students. | <ul style="list-style-type: none"> - Funding is available for open access journals that do not charge a fee for institutions, libraries or readers for access to the content, This includes: <ul style="list-style-type: none"> <input type="checkbox"/> Members of the Open Access Scholarly Publishers Association (OASPA) <input type="checkbox"/> All journals in the Directory of Open Access Journals that allow authors to retain distribution rights <input type="checkbox"/> Hybrid journals that allow authors to retain distribution rights. - Journals must not have an embargo period for access. - Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable. | <ul style="list-style-type: none"> - limited to \$10,000. - For fully open access journals: \$2000 per article and per year per author - For hybrid journals: \$1500 per article and per year or 50% of the Open Access fee, whichever is less. - Articles whose underlying research was funded by grants that allow funds to be used for article-processing fees (regardless of whether that particular grant budgeted for such fees) are not eligible for funding. | <ul style="list-style-type: none"> # Articles Approved: 99 # Articles Reimbursed: 88 # Unique Submitting Authors: 59 # Unique Departments: 22 # Unique Journals: 67 # Unique Publishers: 35 <p>NOTES: Data through June 30, 2018</p> |
| Temple University Libraries | \$15,000 for the current fiscal year | 2016 | | Applicants must be a current Temple University faculty member OR a current postdoctoral fellow/graduate student with a | <ul style="list-style-type: none"> - The publication must take the form of a peer-reviewed journal article. - Publications in “hybrid” open access journals will not be supported. - The journal must be listed in the Directory of Open Access Journals (DOAJ). | <ul style="list-style-type: none"> - Each applicant may request up to \$1,500 total per fiscal year. This amount may be split across multiple applications so long as funds are | <ul style="list-style-type: none"> # Articles Approved: 24 # Articles Reimbursed: 19 # Unique Submitting Authors: 18 # Unique Departments: 12 # Unique Journals: 20 # Unique Publishers: 11 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|------------------------------------|------------------|--------------------|--|--|--|---|
| | | | | <p>faculty member listed as a co-author.</p> <p>Applicants with external grant funding that could cover, either in whole or in part, the cost of any publication and processing fees are ineligible.</p> <p>Applicants must agree to deposit a copy of their publication in our Digital Library.</p> | <p>- The publisher must be a member of the Open Access Scholarly Publishing Association (OASPA), or clearly follow the membership criteria of the organization.</p> <p>- Because the Libraries already cover 50% of the APC for BioMed Central journals, these journals are not eligible.</p> <p>- Funding will cover publication and processing fees only. Funds may not be used for reprints, color illustration fees, non-open access page charges, permissions fees, web hosting for self-archiving, or other expenses not directly related to open access fees.</p> | <p>available.</p> <p>- For articles with multiple Temple authors, the per article payment is capped at \$3,000.</p> <p>- Fees are pro-rated for multi-authored articles. Co-authors from outside of Temple are not supported. If an article includes non-Temple authors, the APC will be divided equally among all authors and then the Temple authors' portion will be funded. For example, if the APC is \$2000, and there are four authors, two of whom are from Temple, the authors can apply for \$1000 from the fund (\$500 each).</p> | <p>NOTES: Data through June 30, 2018</p> |
| Texas Woman's University | \$30,000 annually from the Provost | Fall 2015 | | Current full-time TWU faculty (clinical or tenure track). | <p>To be eligible for support, a work must be:</p> <p>- A peer-reviewed journal article, scholarly monograph, conference proceeding, or data set.</p> | Fund provides awards of up to \$3000/article per author/contact, person/lead or | <p># Articles Approved: 12</p> <p># Articles Reimbursed: 10</p> <p># Unique Submitting Authors: 12</p> <p># Unique Departments: 7</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---------------------------------------|---|------------------|--------------------|---|---|---|--|
| | | | | | <ul style="list-style-type: none"> - Published in a journal or platform that is openly available immediately upon publication after charges are paid. - Published in a traditional subscription based or 'hybrid' journal that offers an open access option. - Accepted for publication at the time of application for funding support. - Not eligible for funding by a grant that would cover publication charges. - The publication must have a standard article fee schedule publicly posted. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not supported. The article must be available immediately upon publication. | author group in an open access journal. Funds will be distributed by semester: Fall (August - December), Spring (January - April), Summer (May - July). | # Unique Journals: 12 # Unique Publishers: 9 NOTES: Through June 30, 2016 |
| University of Arizona | \$131,000 disbursed through June, 2016, with support from the Office of the Senior Vice President for Research and Discovery and the University Libraries | April 2014 | None | UA faculty, researchers, staff, post-docs, and students | Funds may be applied to peer-reviewed articles within an open access journal. The journal must: <ul style="list-style-type: none"> - Be published by a member organization of the Open Access Scholarly Publishers Association or adhere to its code of conduct. - Have a standard article fee schedule publicly posted. - Be listed in the Directory of Open Access Journals. We may make an exception if the journal meets other criteria and the faculty advisory board verifies its credibility. | The fund covers author charges up to \$3,000 per article (when available). If the article is written with authors from other institutions, funding is prorated to cover UA authors only. We may make an exception if the primary author is from the UA. | # Articles Approved: 169 # Articles Reimbursed: 144 # Unique Submitting Authors: 123 # Unique Departments: 55 # Unique Journals: 95 # Unique Publishers: 40 NOTES: Through June 30, 2017 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|--|------------------|---|---|--|--|---|
| Libraries and Cultural Resources, University of Calgary | \$100,000/yr (CAN) | June 2008 | None. The Fund is in its second year and is expected to continue. | University of Calgary faculty, staff, graduate students, and postdocs. The first author on articles should be from the University of Calgary. | <ul style="list-style-type: none"> - Publication fees for peer-reviewed articles that have been accepted in journals which are fully open access, where all of the content (articles, editorials, letters, appendices, data, etc), in all formats (HTML, pdf, etc), in all issues (current and older), is openly accessible. - Charges for journals which are not fully open access but allow individual articles to be made freely available online immediately upon payment of the submission fee ('hybrid') are not eligible as of June, 2014. - Journals/publishers that have differential charges for Creative Commons licenses (e.g. APCs for CC-BY licenses are higher than those for CC-BY-NC licenses) will not be eligible for coverage. - Deposition of funded articles in the University of Calgary institutional repository is mandatory. | <ul style="list-style-type: none"> - If eligible, the entire fee is covered. - Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund. | <ul style="list-style-type: none"> # Articles Approved: 1,375 # Articles Reimbursed: 1,375 # Unique Submitting Authors: 898* # Unique Departments: N/A # Unique Journals: 407* # Unique Publishers: 89* <p>NOTES: Through June 30, 2018</p> <p>* Indicates that this figure encompasses both applications for the fund that have been accepted, as well as those that have been rejected by fund administrators as out of scope</p> |
| UC Berkeley Research Impact Initiative (co-sponsored by UC Berkeley's Vice Chancellor for Research and the University Librarian) | \$54,000; an additional \$44,000 in funding was added in September, 2014 | January 21, 2008 | None | UC Berkeley faculty members, post-docs, and graduate students | <ul style="list-style-type: none"> BRIL will provide funding to pay Berkeley authors' OA article processing charges (APCs), or OA scholarly book (monograph) or chapter subvention fee. - Articles must be made freely available at the time of initial publication. No embargo periods. - Article may be published in any open access publication, - Hybrid journals offering a paid access option are not eligible as of September, | <ul style="list-style-type: none"> - Maximum reimbursement of \$3000 per article for fully open access publication. - Maximum reimbursement of \$1500 per article for hybrid journals that provide authors with an open access option. | <ul style="list-style-type: none"> # Articles Approved: 370 # Articles Reimbursed: 370 # Unique Submitting Authors: 297 # Unique Departments: 58 # Unique Journals: 159 # Unique Publishers: 53 <p>NOTES: Data through June 30, 2018</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--------------------------|-------|------------------|--|--|--|---|---|
| | | | | | 2014. | <ul style="list-style-type: none"> - Authors must stipulate that they have no other available funding (e.g., grants) before turning to the Fund. - Authors are limited to \$6,000 in BRI funds each year. - BRII will fund up to \$2500 for OA journal articles or chapters within OA scholarly books. - BRII will offer \$7500 apiece in publishing fees for up to three OA scholarly books. | |
| UC Davis | | October 2012 | The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year. | UC Davis Academic Senate, Academic Federation members, faculty, post-docs, residents, fellows, and graduate students | <ul style="list-style-type: none"> - Articles must be made freely available at the time of initial publication. No embargo periods. - Article may be published in any fully open access publication (no hybrid journals are eligible). | Up to \$1,000 per article. | <ul style="list-style-type: none"> # Articles Approved: 36 # Articles Reimbursed: 36 # Unique Submitting Authors: 39 # Unique Departments: 21 # Unique Journals: 22 # Unique Publishers: 13 <p>NOTES: Data through March 31, 2014. The Fund is no longer operative.</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|-------------------------------------|----------|------------------|--|---|--|--|--|
| UC Irvine Libraries | \$15,000 | Fall, 2012 | The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year. | UC Irvine faculty members, post-doctoral scholars, researchers, and staff | <p>Funds are available to pay open access/ processing fees for:</p> <ul style="list-style-type: none"> - Fully open access journals: fees to publish in journals in which all articles are immediately available open access - Hybrid open access journals: fees to publish in journals in which the default is for articles to only be available to subscribers, but authors may pay to make individual articles open access - Open access monographs: fees for publishing open access ebooks with reputable publishers - Open data archiving: fees charged by a data repository for archiving open access data <p>Articles must be made freely available at the time of initial publication. No embargo periods.</p> | <p>\$3000 is the maximum any one applicant may be awarded in one year. The person who completes the application form will be considered the applicant for that article.</p> <p>UCI Libraries Open Access Publishing Fund will cover a maximum of \$3000 for any one article in a fully open access journal - journals in which all articles are published open access. Some examples include PLOS journals in the sciences (particularly biology and medicine) and SAGE Open in social sciences and humanities. To search for open access journals, use the box to the right.</p> <p>The pilot Fund will</p> | <p># Articles Approved: 30 # Articles Reimbursed: 30 # Unique Submitting Authors: 39 # Unique Departments: 17 # Unique Journals: 32 # Unique Publishers: 21</p> <p>NOTES: Data through March 31, 2014. The Fund is no longer operative.</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|------------------------------|----------|------------------|--|--|--|--|--|
| | | | | | | cover a maximum of \$1500 for any one article in a hybrid open access journal. | |
| UC Merced | \$15,000 | January, 2013 | The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year. | <ul style="list-style-type: none"> - UC Merced faculty - UC Merced lecturers and others with academic appointments - Currently enrolled UC Merced graduate students <p>Priority will be given to articles with multiple authors from UC Merced, and those that represent collaborative or interdisciplinary research. Authors with grant funds that can be used for open access charges are not eligible.</p> | Only fully open access journals (immediately available with no embargo period) listed in the Directory of Open Access Journals will be funded. | Article Publication Charges (APCs) and Open Access Fees (OA Fees) up to \$3,000/article. | <p># Articles Approved: 12 # Articles Reimbursed: 11 # Unique Submitting Authors: 21 # Unique Departments: 10 # Unique Journals: 12 # Unique Publishers: 12</p> <p>NOTES: Data through March 31, 2014. The Fund is no longer operative.</p> |
| UC Riverside | | March, | The Fund is a | UC Riverside | Funds are available to pay open access fees | UR-OAF provides | # Articles Approved: 5 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|------------------------------|----------|------------------|--|--|---|--|---|
| | | 2013 | pilot that will be re-evaluated at the end of 2014/15 academic year. | faculty, post-doctoral scholars, researchers and graduate students | <p>and article publication charges for fully open access journals:</p> <ul style="list-style-type: none"> •Fees to publish in journals in which all articles are immediately available open access •Articles must be made freely available at the time of initial publication, with no embargo periods. <p>Articles published in “hybrid open access journals” in which only some articles are open access and a publication fee is usually paid to the publisher do not qualify for these funds.</p> | <p>UC Riverside authors reimbursement up to \$1000 per article for those publishing in fully open access journals (in which all articles are published as open access).</p> <p>There is a cap of one article per author per year. The person who completes the application form will be considered the applicant for that article.</p> | <p># Articles Reimbursed: 4 # Unique Submitting Authors: 7 # Unique Departments: 5 # Unique Journals: 7 # Unique Publishers: 7</p> <p>NOTES: Data through March 31, 2014. The Fund is no longer operative.</p> |
| UC San Diego | \$20,000 | October, 2012 | The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year. | UC San Diego faculty, post-docs, residents, fellows, and graduate students and other affiliates are eligible to apply for funds. | <p>Funds are available to pay open access fees and article publication charges for fully open access journals:</p> <ul style="list-style-type: none"> - Fees to publish in journals in which all articles are immediately available open access - Articles must be made freely available at the time of initial publication, with no embargo periods. <p>(Funds from the pilot are not available to cover color charges, page charges, illustration charges, or submission charges.)</p> | <p>UC San Diego Open Access Fund Pilot funds will cover a maximum of \$1000 for any one article in a fully open access journal - journals in which all articles are published open access.</p> <p>\$1000 is the maximum any one applicant may be awarded in one</p> | <p># Articles Approved: 41 # Articles Reimbursed: 36 # Unique Submitting Authors: 60 # Unique Departments: 23 # Unique Journals: 35 # Unique Publishers: 20</p> <p>NOTES: Data through March 31, 2014. The Fund is no longer operative.</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|----------------------------------|-----------|------------------|--|--|---|--|---|
| | | | | | | year. The person who completes the application form will be considered the applicant for that article. | |
| UC San Francisco | \$100,000 | December 2012 | Renewed annually | UCSF faculty in any of the six faculty title series listed here may sponsor an application. Others may apply on behalf of the sponsor so long as the faculty member is an author on the publication. | <p>Publications must be made freely available at the time of initial publication. Embargo periods for open access will not be covered. OA article must be in a fully OA journal.</p> <ul style="list-style-type: none"> - Journal articles must be published in a peer-reviewed, scholarly journal. - OA journals must be listed in the Directory of Open Access Journals. - The journal must apply policies and practices consistent with the OAPSA's Code of Conduct. - Journal articles must be published under an unrestricted CC BY or CC BY-NC license. - Books must be peer-reviewed. | <p>Open access journal articles processing charges (APCs):</p> <ul style="list-style-type: none"> - Up to \$2,000 per article in a fully OA journal. <p>Open access books:</p> <ul style="list-style-type: none"> - Up to \$5,000 per book. <p>A maximum of one funded article per faculty member per calendar year, and one application per article. Books will be funded once every two years.</p> | <p># Articles Approved: 126 # Articles Reimbursed: 108 # Unique Submitting Authors: 100 # Unique Departments: 45 # Unique Journals: 66 # Unique Publishers: 23</p> <p>NOTES: Data through October 17, 2017</p> |
| UC Santa Barbara | \$30,000 | Fall, 2012 | The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year. | UCSB faculty members, post-doctoral scholars, graduate students, researchers, | <ul style="list-style-type: none"> - Fully open access journals - Fees to publish in journals in which all articles are immediately available open access. - Hybrid journals (subscription journals with | <p>The fund will pay up to \$3,000 per publication and has a cap of one publication per author per year. This is a pilot</p> | <p># Articles Approved: 19 # Articles Reimbursed: 11 # Unique Submitting Authors: 26 # Unique Departments: 13 # Unique Journals: 14 # Unique Publishers: 10</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|-------------------------------|----------|------------------|--------------------|---|--|---|---|
| | | | | lecturers, scientists, librarians, and other non-Senate academic appointees are eligible to participate in the pilot. We request that authors with funding from external grants or contracts not utilize pilot project funds in order to allow others to share in the benefit of open publications. | <p>an open access option) which offer publication fee discounts to UC authors.</p> <ul style="list-style-type: none"> - Open access monographs - fees for publishing open access ebooks with reputable publishers. - Open data archives - fees charged by a data repository for archiving open access data. - Open access conference proceedings - fees to publish papers in fully open access conference proceedings. <p>Funds are for unpublished research. Publications must be made freely available at the time of initial publication with no embargo periods. Funds from the pilot are not available to cover color charges, page charges, illustration charges, or submission charges. As with all journals, authors are encouraged to publish their research results in high quality publications.</p> | program and is subject to funding restraints. | NOTES: Data through March 31, 2014. The Fund is no longer operative. |
| UC Santa Cruz | \$15,000 | Fall, 2012 | June, 2014. | UC Santa Cruz faculty, researchers, lecturers, post-docs, and currently enrolled graduate students who do not have grant funds that can be used for open access charges are | <p>Article publication charges (APCs) or Open Access Fees (OA Fees):</p> <ul style="list-style-type: none"> - for previously unpublished articles - in fully open access journals (in which all articles are available open access) or in hybrid open access journals (in which the default is for articles to only be available to subscribers, but authors may pay to make individual articles open access); - where articles are made immediately available, with no embargo period. | <p>UCSC Open Access Fund will cover a maximum of \$3000 for any one article in a fully open access journal - journals in which all articles are published open access.</p> <p>The pilot Fund will cover a maximum of \$1500 for any</p> | <p># Articles Approved: 23 # Articles Reimbursed: 15 # Unique Submitting Authors: 31 # Unique Departments: 13 # Unique Journals: 14 # Unique Publishers: 10</p> <p>NOTES: Data through June 30, 2014. The Fund is no longer operative.</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|--|------------------|--------------------|--|---|---|---|
| | | | | eligible to apply for funds from the UCSC Open Access Fund pilot. | | <p>one article in a hybrid open access journal. Hybrid journals charge readers a subscription fee, but allow authors to pay a fee to make a single article open access.</p> <p>\$3000 is the maximum any one applicant may be awarded in one year. The person who completes the application form will be considered the applicant for that article.</p> | |
| University of Colorado Boulder Libraries | The fund was instituted as a pilot in 2012 with an initial \$20,000. An additional \$34,000 has been added to the fund since then. | September, 2012 | | Current CU-Boulder faculty, staff, and students may request funding up to \$2,000 per year to pay for article-processing or publishing fees for full open access journals. | <p>Any journal that provides free, immediate, online access to the full text of all research articles and follows accepted best practices for open access publishing is eligible. This includes, but is not limited to, journals listed in the Directory of Open Access Journals. At a minimum, journals must be compliant with the Open Access Scholarly Publishers Association's Code of Conduct.</p> <p>"Hybrid" open access journals that provide free online access for only some articles will not be eligible for funding.</p> | <p>This fund supports open access to research articles where publication fees are not covered by grants or other funding sources. Authors are encouraged to include publication fees in grant requests whenever possible.</p> <p>Funding is limited</p> | <p># Articles Approved: 136 # Articles Reimbursed: 136 # Unique Submitting Authors: 114 # Unique Departments: 30 # Unique Journals: 62 # Unique Publishers: 34</p> <p>NOTES: Through June 30, 2018</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|-----------|------------------|---|--|---|--|--|
| | | | | | | to \$2,000 per article regardless of the number of authors. | |
| University of Florida Provost's Office | \$120,000 | July 1, 2010 | Funds were exhausted in January, 2013. Replenishment is presently under discussion. | All University of Florida faculty, post-doctoral researchers, staff members, and students. | <p>Publication fees in open-access journals that provide free, immediate, online access to the full text of research articles without restrictions.</p> <p>Eligible journals include those listed in the Directory of Open Access Journals, or publishers that hold membership in the Open Access Scholarly Publishers Association, and/or are compliant with the Association's Code of Conduct.</p> <p>A smaller stipend will be paid for selecting a paid open access option from a hybrid publisher.</p> | <p>* Up to \$3,000 per article will be provided to pay publication fees in open-access journals which provide free, immediate, online access to the full text of research articles without restrictions.</p> <p>* Up to \$1,500 per article will be provided to pay for selecting a paid open access option from a hybrid publisher.</p> <p>* UFOAP funds are intended to support open access to research articles where publication fees are not covered by grants or other funding sources. In order to encourage inclusion of</p> | <p># Articles Approved: 198 # Articles Reimbursed: 198 # Unique Submitting Authors: 158 # Unique Departments: 62 # Unique Journals: 105 # Unique Publishers: 40</p> <p>NOTES: Through January 15, 2013; Fund is longer active</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|------------------------------------|-----------|------------------|--------------------|------------------|---|--|--------------------------|
| | | | | | | <p>publication fees in future grant applications, articles resulting from awarded grants that allow for budgeting of article processing fees are excluded unless the grant application was submitted prior to the establishment of the UFOAP, July 1, 2010.</p> <p>* Funding for multi-authored articles will be prorated. If the processing fee is \$3,000 and there are four UF authors, each may apply for \$750. For fees exceeding the \$3,000 cap per article, each UF author may apply only for his/her prorated portion not to exceed the \$3,000 yearly cap for each researcher, or \$1500 per article for paid-access.</p> | |
| University of Iowa | \$75,000, | April, 2013 | | - Current UI | - The publications must be peer-reviewed, | Up to \$3,000 for a | # Articles Approved: 189 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|---|------------------|--------------------|--|--|---|---|
| Libraries | with support from the Office of the Provost | | | <p>faculty, post-doc, resident, or staff member who has had an article, book chapter, or book accepted for publication.</p> <p>- Currently UI-enrolled student or graduate student who has had an article, book chapter, or book accepted for publication.</p> | <p>and allow free and immediate access to all the content upon publication.</p> <p>- Journals or books with a hybrid model (some content by fee and some content open access) or delayed open access are not eligible for this fund. Articles and books must be fully available open access at the time of publication.</p> <p>- Authors must have exhausted all available grant funds that may be used for article publishing charges.</p> <p>- Preference is given to authors who have not been previously funded.</p> <p>- University of Iowa Libraries will submit your open access publication to Iowa Research Online.</p> | journal article or books chapter, up to \$5,000 for a monograph. | <p># Articles Reimbursed: 158 # Unique Submitting Authors: 153 # Unique Departments: ~63 # Unique Journals: 125 # Unique Publishers: 41</p> <p>NOTES: Through June 30, 2017</p> |
| University of Kansas Libraries | \$50,000, with support from the University of Kansas Provost, The University of Kansas Medical Center Executive Vice Chancellor, and the Offices of Research at The | October, 2012 | Two year pilot | Faculty, graduate students, post-docs, and staff on the Lawrence and Kansas City campuses during year one. | <p>Submitted-for-publication or accepted-for-publication peer-reviewed articles in open access journals. An "open access journal" is a journal listed in the Directory of Open Access Journals and published by a publisher who holds membership in the Open Access Scholarly Publisher's Association.</p> <p>Subscription-based journals that charge additional fees to provide open access to articles (hybrid journals) will not be considered for funding in year one.</p> | <p>Funding covers costs of article processing fees only (no page or color charges), up to \$2000 per article.</p> <p>Funds will not be encumbered for articles that are still being written. Already-published articles are ineligible.</p> | <p># Articles Approved: 172 # Articles Reimbursed: 112 # Unique Submitting Authors: 223 # Unique Departments: 70 # Unique Journals: 171 # Unique Publishers: 66</p> <p>NOTES: Through June 30, 2018</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---|--|------------------|--------------------|--|--|--|--|
| | University of Kansas and University of Kansas Medical Center | | | | | | |
| University of Maryland Libraries | \$25,000 | September, 2013 | | Any UMD faculty member, post-doctoral researcher, or currently enrolled graduate or undergraduate student whose article has been accepted may apply for funding. | Funds are available for open access journals, which are journals that do not charge a fee for institutions, libraries or readers for access to the content, and do not have an embargo period for access. This includes: - All journals in the Directory of Open Access Journals that allow authors to retain distribution rights - Members of the Open Access Scholarly Publishers Association (OASPA) or demonstrate its adherence to the Code of Conduct - Journals that have publicly available a standard article fee schedule - Journals that have a policy to substantially waive fees in case of economic hardship | Article cap – maximum funding per article is \$3000; Author cap – one funded article per fiscal year | # Articles Approved: 124 # Articles Reimbursed: 121 # Unique Submitting Authors: 108 # Unique Departments: 43 # Unique Journals: 69 # Unique Publishers: 30 NOTES: Through June 30, 2018 |
| University of Massachusetts Amherst | \$25,000 | July, 2014 | | Any current UMA faculty member, post-doctoral researcher, staff member, or graduate student author who has no other source of | Eligible publications and publishing venues must be fully and immediately open access. Publications in “hybrid open-access” (which also charge subscription fees) or “delayed open-access” journals and monographs are not eligible. Journals or monograph publishers must be members of the Open Access Scholarly Publishers Association, OR demonstrably | Funding is available annually for any one author up to \$3000. Funding is available for any one paper up to a maximum of \$3000. Support for non-UMA | # Articles Approved: 46 # Articles Reimbursed: 43 # Unique Submitting Authors: 47 # Unique Departments: 23 # Unique Journals: 29 # Unique Publishers: 18 NOTES: Through June 30, 2018 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|----------|------------------|--|--|---|---|---|
| | | | | <p>funding for open access publication charges. Authors whose grants include funding for publication charges, or who have support from other venues, must apply those funds first.</p> | <p>adhere to the OASPA Code of Conduct.</p> <p>Journals or monograph publishers must have a standard article fee schedule, publicly accessible, and a policy to waive fees in cases of economic hardship or economic equity.</p> | <p>collaboratively authored papers will be available on a prorated basis. All works will be deposited on UMA Amherst ScholarWorks.</p> | |
| University of Michigan Library and the Office of the Provost | \$30,000 | September, 2010 | The Fund stopped accepting new applications in May, 2012. It is no longer operating. | <p>All University of Michigan faculty, post-doctoral researchers, graduate and professional students, staff members, and students.</p> | <p>Peer-reviewed scholarly articles that are published in open-access journals are eligible. Anyone may apply, but the Library prefers to support work:</p> <ul style="list-style-type: none"> *where the author retains copyright. *which will appear in fully open journals, and is accessible immediately upon publication. *for which grant funding could not be applied to publishing costs. *that the author commits to depositing in open access repositories (e.g. Deep Blue). *for which the open access publication fee is less than \$1500. | <p>Up to \$3000 per submission. However, if some requirements are not met, then a smaller portion will be provided based on the following criteria:</p> <ul style="list-style-type: none"> *Retaining Rights: If you will not retain copyright or the right to distribute the work we will fund the lesser of \$1000 or 50% of the publisher's open access fee. *Publish in fully open journals: An | <p># Articles Approved: 68 # Articles Reimbursed: 44 # Unique Submitting Authors: 60 # Unique Departments: 17 # Unique Journals: 58 # Unique Publishers: 30</p> <p>NOTES: Through September 15, 2012; Fund is no longer active</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---------|-------|------------------|--------------------|------------------|-------------------|---|--------------------------|
| | | | | | | <p>open journal is one for which all peer-reviewed content is available freely to readers. If the work will appear in a “hybrid” journal — one for which some of the peer-reviewed work is only available to paid subscribers — we will fund the lesser of \$1000 or 50% of the publisher’s fee.</p> <p>*Assure access is immediate upon publication: If access to the published article is not immediate we will fund the lesser of \$1500 or 50% of the publisher’s fee. If the publisher will delay access longer than 12 months, we will not provide any funding.</p> <p>* Use grant funding wherever possible: If your grant funding could have been</p> | |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---|--|------------------|--------------------|--|---|--|---|
| | | | | | | applied to publishing charges, we will fund the lesser of \$1500 or 50% of the publisher's fee. We will only do this once for an author/group of authors, since such fees should be included in future grant applications. | |
| University of Minnesota Libraries | \$40,000 annually, with funds from the budget of the University Libraries and the Office of the Vice President of Research | Spring, 2012 | | Any University of Minnesota faculty, researchers, post-docs, graduate students, or staff | <ul style="list-style-type: none"> - Funds are available for peer-reviewed journal articles, scholarly monographs, conference proceedings, and data sets. - Funds may be used only to cover open access publication and submission fees. - Author fees for open access journals (as determined by listing in the Directory of Open Access Journals, membership in the Open Access Scholarly Publishers Association, or adherence to Open Access Scholarly Publishers Association Code of Conduct) will be covered in full. Author fees for "hybrid" journals will be covered up to 50%. - Funds may not be used for publications that do not make works fully openly available immediately upon publication. <p>Authors receiving funds must deposit a copy of the publication in an approved open access repository, such as the University Digital Conservancy (the University of Minnesota's institutional repository) or a recognized discipline repository (e.g., arXiv,</p> | No limit for full OA articles. "Hybrid" journals will be covered up to 50%. | <p># Articles Approved: 128 # Articles Reimbursed: 128 # Unique Submitting Authors: 116 # Unique Departments: 50 # Unique Journals: 84 # Unique Publishers: 24</p> <p>NOTES: Through June 30, 2018</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|--|------------------|--|--|--|--|--|
| | | | | | AgEcon, PubMedCentral, etc.) | | |
| University of North Carolina-Chapel Hill (Sponsored by the Office of the Vice Chancellor for Research and Economic Development until fall 2009; subsequently continued via library funding) | \$20,000 From the Vice Chancellor for Research; \$8,000 From Health Sciences Library \$8,000 from University Library | March 2005 | July, 2013 | UNC-CH faculty, post-doctoral researchers, and graduate or professional students without grant funds to cover publication fees | Publishing charges related to journals offering free immediate open access to articles. Journals offering “open choice” or “hybrid” options are eligible provided the articles are immediately accessible. | - Award maximum is \$1000 per article. - Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund. | # Articles Approved: 82 # Articles Reimbursed: 71 # Unique Submitting Authors: 63 # Unique Departments: 12* # Unique Journals: 47 # Unique Publishers: 19 NOTES: Data through July, 2013; Fund is no longer active |
| University Libraries University of North Carolina at Greensboro | \$11,500 | Fall 2011 | The Fund is a pilot project by the University Libraries and the Office of Research & Economic Development. | Full-time faculty, full-time EPA employees, and graduate students are eligible to apply | - The article must be published in a peer-reviewed open-access journal. | - Reimbursement will not exceed \$1,000 per article. - Reimbursement will be limited to one award per fiscal-year per author. - Authors are expected to exhaust all other grant or contract funding sources available to them before applying for support from the Open Access fund. | # Articles Approved: 47 # Articles Reimbursed: 47 # Unique Submitting Authors: 40 # Unique Departments: 15 # Unique Journals: 34 # Unique Publishers: not tracked NOTES: Through June 30, 2018 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---|-------------------|------------------|--------------------|--|---|--|--|
| University of Oklahoma - Norman | \$20,000 annually | Late 2013 | | Any current University of Oklahoma-Norman regular faculty member, post-doctoral researcher, staff member or student author. Preference is given to authors who have not been previously funded. | Article-processing fees for scholarly, peer reviewed articles in true open access journals, listed in the Directory of Open Access Journals. Publisher must be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Articles with a hybrid or delayed OA model are not eligible through this fund.* The journal must have a publicly available standard article fee schedule published and should have a policy to waive fees in case of economic hardship. | Authors may receive funding up to \$1,500 per fiscal year for publishing and processing fees, including open access pages charges; unused amounts will not roll over to future years. Authors agree to retain their copyright and to post a copy of their work in the University's institutional repository, SHAREOK. | # Articles Approved: 82 # Articles Reimbursed: 73 # Unique Submitting Authors: 75 # Unique Departments: 21 # Unique Journals: 35 # Unique Publishers: 18 NOTES: Data through June 30, 2018 |
| University of Oregon Libraries, Office of the Provost | Up to \$50,000 | Spring 2009 | June, 2014 | - Applicant must be a UO tenure-related faculty member, non-tenure-related career faculty member (including ranked officers of instruction, research, or administration), post-doc, or currently enrolled graduate | Reimbursement will cover only direct costs for open access publication (not the cost of reprints, color illustration fees, non-OA page charges, web hosting for self-archiving, etc.). Manuscript must be a peer-reviewed scholarly article or monograph (including original research, review articles, etc.). Funding is available for publication in open access peer reviewed scholarly journals that charge a publication fee. Funding is also available for peer reviewed scholarly monographs. Articles must be made freely available on the web at the time of initial publication (no embargo periods). At this time funding is not available for articles published using an "open choice" option in | - Up to 100% of author fee for publishing a peer-reviewed manuscript in an open access journal. Maximum reimbursement will be \$1,000 per article. - Maximum reimbursement will be \$3,000 per person over the | # Articles Approved: 45 # Articles Reimbursed: 41 # Unique Submitting Authors: 31 # Unique Departments: 9 # Unique Journals: 21 # Unique Publishers: 12 NOTES: The Fund has been retired as of June, 2014. |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---------|-------|------------------|--------------------|--|---|--|--------------------------|
| | | | | <p>student ready to submit a completed article for publication.</p> <p>- Applicant must be listed as one of the authors, and article must indicate UO affiliation.</p> | <p>journals that are primarily subscription based rather than open access.</p> <p>Journals listed in the Directory of Open Access Journals (http://www.doaj.org/doaj) are assumed to qualify. For publication in a journal not listed there, additional information to allow assessment of whether the journal meets our criteria will be required as part of the application process.</p> | <p>period of this pilot project.</p> <p>Reimbursement will cover only direct costs for open access publication (not the cost of reprints, color illustration fees, non-OA page charges, web hosting for self-archiving, etc.)</p> <p>- Reimbursement will be available only in cases where the authors do not have adequate grant or contract funding specifically designated to cover author fees. Authors with funding designated to cover publication costs such as author fees from grants, contracts, or other institutional funds are asked to use those funds first and to allow others to share in the</p> | |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
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| | | | | | | benefit of open publications. | |
| University of Ottawa | \$100,000 (CAD), with financial support from the University, the Library and most Faculties. | January, 2010 | The Author Fund working group (whose members represent the Office of the Vice-President, Research, the Library and all contributing faculties) will evaluate this initiative periodically, and recommend any changes to the Fund's criteria and administration procedures, when appropriate. | The Fund is open to all full-time and part-time faculty members, staff, currently registered graduate students, postdoctoral fellows and adjunct professors affiliated with the University of Ottawa. Researchers working for University of Ottawa Research Centres and Institutes, and Affiliated Research Institutes are also eligible. | Eligible open access journals must be listed in the Directory of Open Access Journals or meet the DOAJ's selection criteria. Eligible hybrid and traditional journals must make articles available immediately and allow self-archiving of the publisher PDF immediately upon publication (no embargo period imposed). The fee schedule must be publicly available online. The Fund also covers open access books. | <p>The Fund covers only the costs and fees associated with open access publishing. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable. Researchers are entitled to apply for two (2) reimbursements per fiscal year.</p> <p>The Fund will reimburse open access book fees up to a maximum of \$2,500 per publication.</p> <p>Applicants must first use funds received through other research grants that are intended to cover publication or</p> | <p># Articles Approved: 622 # Articles Reimbursed: 512 # Unique Submitting Authors: 389 # Unique Departments: 85 # Unique Journals: 341 # Unique Publishers: 83</p> <p>NOTES: Through June 30, 2016</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---|----------|------------------|-------------------------------------|--|--|---|--|
| | | | | | | submission fees. Should the grants not allocate sufficient funds to cover such costs, an application can be submitted to this Fund. | |
| University of Pennsylvania Libraries | \$40,000 | May 1, 2016 | The Fund will be evaluated annually | Standing Faculty and Associated Faculty (with the exception of Visiting Faculty) of the University of Pennsylvania. | Scholarly articles that are published in open-access journals. The journals must be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct; provide unfettered access to all peer-reviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship (some exceptions may be made to this last requirement). Publication formats other than journal articles (e.g., monographs or conference proceedings) are eligible according to the same guidelines. Publications funded by the libraries' Open Access Fund must be submitted to Penn's institutional repository, | An author may request up to \$3,000 per article (or alternative publication format) and no more than \$3,000 in any academic year. | # Articles Approved: 27 # Articles Reimbursed: 17 # Unique Submitting Authors: 27 # Unique Departments: 18 # Unique Journals: 25 # Unique Publishers: Not tracked NOTES: Through June 30, 2017 |
| University of Pittsburgh Library System | No limit | July 1, 2012 | None | Funds are available for faculty, staff, postdoctoral associates, and students served by the ULS and the BARCO Law Library. This includes those at all of the University of | The venue of publication must be an established open-access journal, that is, a journal that does not charge readers or their institutions for unfettered access to the peer-reviewed articles that it publishes. To be eligible, a journal must meet these additional requirements: • Be listed in the Directory of Open Access Journals (unless the journal is too new for DOAJ eligibility) OR | No limit on per-article reimbursement fees. Reimbursable article processing fees may include publication fees (charges levied on articles accepted for publication, including page charges). Eligible | # Articles Approved: 218 # Articles Reimbursed: 218 # Unique Submitting Authors: 173 # Unique Departments: 68 # Unique Journals: 137 # Unique Publishers: 29 NOTES: Through June 30, 2018 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
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| | | | | <p>Pittsburgh schools except the six schools in the health sciences: Dental Medicine, Health and Rehabilitation Sciences, Nursing, Medicine, Pharmacy, and Public Health.</p> | <ul style="list-style-type: none"> • Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct, • Have publicly available a standard article fee schedule. <p>The ULS is an institutional member of Hindawi Publishing Corporation, which publishes over 300 Open Access journals in a variety of disciplines. The ULS' membership allows for all authors served by the ULS who publish in Hindawi journals to do so free of author charges. There is no need for authors to apply to the ULS for publication funds.</p> <p>Journals with a hybrid open-access model or delayed open-access model are not eligible.</p> | <p>fees must be based on a publication's standard fee schedule that is independent of the author's institution. Reprint fees are not eligible.</p> <p>Articles for which alternative funding is available are not eligible for reimbursement. This includes articles reporting research funded by a gift or a grant from a granting agency, foundation, or other institution (including the University of Pittsburgh itself) that allows granted funds to be used for article processing fees (whether or not the particular grant had budgeted for such fees and whether or not sufficient grant funds remain) and</p> | |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|--|------------------|---|--|--|--|--|
| | | | | | | articles funded by an institution that itself pays article processing fees on behalf of the author (such as NIH). | |
| University of Rhode Island | \$15,000, split between the Libraries and the Vice President for Research and Economic Development | January, 2014 | The Fund will be evaluated after the 2014-2015 academic year. | The URI OA Fund is available to full-time faculty of the University of Rhode Island. | Eligible journals must: -- Be listed in the Directory of Open Access Journals. -- Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. -- Provide unrestricted access to all peer-reviewed articles in the journal. (Subscription-based journals that charge a fee, sometimes called an "author's choice" or "open choice" fee, to make single articles available open access are not eligible, nor are subscription journals that make articles available open access after a time delay or "embargo.") -- Have publicly available a standard article fee schedule. -- Have a policy to waive fees in cases of economic hardship. | The maximum dollar amount that the URI OA Fund will reimburse for a single article is \$3,000. The URI OA Fund is a limited resource intended to support open access publishing across the university. We expect researchers to request funding for open access publication from their funding agency if they can do so. These funds are intended to be a funding source of last resort and to support open access to articles whose research was not grant-funded. | # Articles Approved: 14 # Articles Reimbursed: 14 # Unique Submitting Authors: 11 # Unique Departments: 9 # Unique Journals: 9 # Unique Publishers: 8 NOTES: Through June 30, 2018 |
| University of | Initial | October, | Extends until | Any UTK faculty | - Article processing fees levied by peer- | Funds are awarded | # Articles Approved: 212 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|--|------------------|--|---|--|--|---|
| Tennessee, Knoxville Office of Research and the University Libraries | allocation of \$20,000, augmented by regular contributions from the Office of Research and Engagement and the University Libraries | 2008 | funding is exhausted and will be evaluated | member, post-doctoral associate, or currently enrolled graduate student. | <p>reviewed open access publishers such as the Public Library of Science and BioMedCentral.</p> <p>- Any open access publication will be considered, provided that the journal is freely available at the time of initial publication with no embargo periods.</p> <p>- Hybrid journals that make only selected articles open access are not included in the pilot project.</p> | <p>on a first-come, first-served basis to a maximum of \$3,000 per article.</p> <p>- Authors are requested to negotiate lower publishing fees when possible.</p> <p>-Authors with grant support to cover open access publishing are requested not to apply.</p> | <p># Articles Approved: 251 # Articles Reimbursed: 251 # Unique Submitting Authors: 133 #Unique Departments: 42 # Unique Journals: 119 # Unique Publishers: 46</p> <p>NOTES: Through June 30, 2018</p> |
| University of Toronto Libraries | \$45,000 initially, with an additional \$10,000 committed after launch | October, 2012 | January, 2016 | The Fund is open to all full-time and part-time faculty members and librarians, continuing staff, currently registered undergraduate and graduate students, and postdoctoral fellows affiliated with the University of Toronto. | <p>To be eligible for reimbursement, the article, chapter or monograph must be published in a fully Open Access format. At this time, traditional subscription based or 'hybrid' journals that offer an open access option for a fee are not eligible.</p> <p>Eligible Open Access journals will either be listed in the Directory of Open Access Journals, be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Journals must provide unfettered access to all peer-reviewed articles and make publicly available a standard article fee schedule.</p> <p>The library reserves the right to refuse a request if the publisher appears to be a "predatory open access publisher".</p> | <p>The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund.</p> <p>Researchers who have received a research grant that explicitly includes publication or submission support are required to use those funds before applying to the Open Access Author Fund.</p> | <p># Articles Approved: 100 # Articles Reimbursed: 100 # Unique Submitting Authors: 78 #Unique Departments: 45 # Unique Journals: 59 # Unique Publishers: 30</p> <p>NOTES: Through June 30, 2016, at which time the Fund was discontinued</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|----------|------------------|--------------------------|--|--|---|---|
| | | | | | | <p>Additionally, those faculty and librarians who have access to departmental or PERA funds should make use of these funds prior to making a request for reimbursement through the Open Access Author Fund.</p> <p>Fund recipients must provide a copy of their article/book chapter for submission to T-Space, the University's Research Repository.</p> | |
| University of Utah Library | \$83,994 | May, 2011 | The Fund closed in 2014. | University of Utah faculty, staff members, postdoc, or currently enrolled graduate or undergraduate students on main (excluding law) campus. | <p>a) Journals which are fully Open Access. Content of the entire journal is freely available online immediately upon payment of the article processing fee. Fully Open Access journals from publishers who have few such journals are also eligible.</p> <p>b) Hybrid journals which are not fully Open Access but which allow individual articles to be made freely available online immediately upon payment of the submission fee. To be eligible for funding in this category, the publisher must plan to make (in the next</p> | <p>The maximum dollar amount that the OAP Fund will reimburse for a single article during the trial period is \$3,000. Researchers are expected to request funding for open access publication from their funding</p> | <p># Articles Approved: 50 # Articles Reimbursed: 37 # Unique Submitting Authors: 47 # Unique Departments: 16 # Unique Journals: 33 # Unique Publishers: 26</p> <p>NOTES: Through February, 2014. The Fund closed in 2014.</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---|--------------------------|------------------|--------------------|---|---|---|--|
| | | | | | subscription year) reductions to the institutional subscription prices based on the number of Open Access articles in those journals. | agency before applying to the OAP Fund. | |
| University of Virginia Library | \$15,000 per fiscal year | July, 2013 | July, 2017 | Eligible authors include all faculty, researchers, staff and students served by the U.Va. Library system (this excludes those served by the Health Sciences, Law and Business libraries). | <ul style="list-style-type: none"> - Journal must be peer-reviewed and listed in the Directory of Open Access Journals. - Journal should generally adhere to the Open Access Scholarly Publishers Association (OASPA) 'Code of Conduct'. - Hybrid journals will not be eligible for funding at this time. - Article must be available immediately upon publication, with no embargo. - Other content types such as books are eligible, if they are peer-reviewed. - Author must agree to submit a copy of the post-review publication to UVA's institutional repository, Libra. - Only publishing fees will be covered. - Authors must have exhausted all available grant funds that may be eligible to be used for the article processing fee. | Eligible individuals may receive up to \$2000 per article, and \$3000 total per year. Funds will be allocated on a first-come, first-served basis. | <ul style="list-style-type: none"> # Articles Approved: 36 # Articles Reimbursed: 26 # Unique Submitting Authors: 58 (includes co-authors) # Unique Departments: 8 # Unique Journals: 25 # Unique Publishers: 18 <p>NOTES: Through June 30, 2017. The Fund closed June 30, 2017.</p> |
| University of Western Ontario Libraries | \$120,000 CAD | February, 2014 | May, 2017 | At the time of application, an eligible applicant must be: <ul style="list-style-type: none"> - a current faculty (full-time or part-time) member at Western; or - a current postdoctoral fellow at | <p>Peer-reviewed journal articles accepted for publication into open access journals that are listed in the Directory of Open Access Journals, or meet the DOAJ's selection criteria. In particular, "hybrid" journals, which normally require a subscription to read, but provide an "open access option" for a supplementary author fee, are not eligible for funding.</p> <p>Books, or book chapters (no textbooks), which are published by a publisher that is a member of the Open Access Scholarly Publishers Association or meet their Code of Conduct.</p> | <p>The Fund will provide up to \$3,000 (Canadian) per article, book, or book chapter.</p> <p>In the case of an article with multiple authors, if both the first and corresponding authors are Western researchers, either one of them but</p> | <ul style="list-style-type: none"> # Articles Approved: 115 # Articles Reimbursed: 84 # Unique Submitting Authors: 118 # Unique Departments: 44 # Unique Journals: 59 # Unique Publishers: 19 <p>NOTES: Through June 30, 2017</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---|---|------------------|--------------------|--|---|---|---|
| | | | | <p>Western; or</p> <ul style="list-style-type: none"> - a current graduate student at Western; or - a current librarian or archivist at Western <p>The applicant must be either the first author or the corresponding author of the article.</p> | <p>Funded articles, books, or book chapters will be freely available online immediately upon publication.</p> <p>The journal does not require that the author(s) to transfer copyright to the publisher, and the author(s) retain control over the future use of the work.</p> <p>Optionally, but preferably, the eligible work is published with a license that enables the widest distribution and reuse. The Creative Commons "CC BY" Attribution license (see Creative Commons Canada for more information about the Creative Commons licenses) is preferred.</p> | <p>not both can apply for the funding support.</p> <p>If the applicant has received a grant from a research funding agency, s/he must declare that the publication fee for the article has not already been covered by the grant.</p> <p>Applicants are eligible for up to two grants per year.</p> | |
| University of Wisconsin – Madison Libraries | \$50,000, supported by unrestricted gift funds to library | July 2005 | January 31, 2014 | <ul style="list-style-type: none"> - Any University of Wisconsin-Madison faculty and researchers - Reimbursement will be limited to one award per fiscal year per author. | <ul style="list-style-type: none"> - Up to 50% for publishing in a fully open access journal as defined by inclusion in DOAJ; up to 30% for publishing in journals that allow an open choice option. - Reimbursement will not cover the cost of reprints, color illustration fees, page charges, etc. | <ul style="list-style-type: none"> - Up to 50% for publishing in a fully open access journal (as defined by inclusion in the Directory of Open Access Journals). - Up to 30% for publishing in a journal that provides authors with an open access option. - Reimbursement will not exceed | <p># Articles Approved: 37 # Articles Reimbursed: 37 # Unique Submitting Authors: 30 # Unique Departments: 21 # Unique Journals: 22 # Unique Publishers: 19</p> <p>NOTES: Data above cover date range from 2/8/08 – 6/30/13. Fund is no longer active.</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---|-------------|------------------|--------------------|-------------------------------------|--|---|--|
| | | | | | | <p>\$1,500 per article.</p> <p>- Authors must cover the balance of the open access charges through grants or other funds.</p> | |
| University of Wisconsin–Milwaukee Libraries | Undisclosed | 2012 | | All UWM authors, including students | The UOAP Fund will cover the cost of author submission fees in fully open access peer-reviewed journals as defined by inclusion in the Directory of Open Access Journals and subscription journals that have paid options for open access (“hybrids”). | <p>Funding support will cover</p> <p>- In the full amount for one article with an article processing fee under \$1000, and then additional 50%, up to \$1,500 per article, for publishing in a fully open access journal.</p> <p>- 30%, up to \$1,500 per article, for publishing in a hybrid open-access model that provides paid options for open access.</p> <p>- In the case of an article with multiple authors, the article is treated as a whole</p> | <p># Articles Approved: 89 # Articles Reimbursed: 89 # Unique Submitting Authors: 61 # Unique Departments: 25 # Unique Journals: 64 # Unique Publishers: 32</p> <p>NOTES: Through November 15, 2018</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|---|--|------------------|--|--|--|--|--|
| | | | | | | regardless of how many authors are from UWM. | |
| Utah State University Libraries | \$30,000 | October, 2011 | All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis. | Faculty and graduate students | Peer reviewed journal articles. Fully OA journals are preferred, but consideration will be given to hybrid options on a case by case basis. Preference will also be given to those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Authors must also deposit a copy of the funded article in the USU DigitalCommons. | There is no limit on the per-article reimbursement fee amount. Funds will be available on a first-come-first-served basis during the pilot phase. Funding Preference will be given to: - Those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Without a match, full funding is not guaranteed. - Those who publish in fully open access journals | # Articles Approved:116 # Articles Reimbursed: 98 # Unique Submitting Authors: 101 # Unique Departments: 22 # Unique Journals: 76 # Unique Publishers: 42 NOTES: Through June 30, 2018 |
| Virginia Tech Libraries | \$50,000 for FY 2014-15, in partnership with the | August, 2012 | | All faculty, staff, graduate, and undergraduate students are eligible. | Funds are available to underwrite article processing fees for scholarly peer-reviewed articles accepted for publication in an open access or hybrid OA journal. | Support is limited to \$1500.00 per article and \$3000.00 per author per year. | # Articles Approved: 351 # Articles Reimbursed: 341 # Unique Submitting Authors: 662 # Unique Departments: 77 |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|---|------------------|--|--|---|--|--|
| | Office of Senior Vice President and Provost and the Office of the Vice President for Research | | | | <p>A journal's publisher must be a member of the Open Access Scholarly Publishers Association (OASPA) or comply with OASPA's Code of Conduct.</p> <p>For fully OA journals, the journal must be listed in the Directory of Open Access Journals.</p> <p>For hybrid OA journals, the publishers must have reduced institutional subscription prices, or plan to reduce prices this next year, based on the number of open access publications in the journals.</p> | <p>For papers with multiple Virginia Tech co-authors, the program would still subsidize the same total amount of up to \$1,500 per article but it would be prorated among each of the authors.</p> <p>Authors must have no other sources of funding available.</p> | <p># Unique Journals: 155 # Unique Publishers: 57</p> <p>NOTES: Data through June 30, 2018</p> |
| Wake Forest University Z. Smith Reynolds Library | \$13,000 (library contribution is half of total fund) | Early 2008 | None, although program is regularly assessed and evaluated | <p>Available to WFU Reynolda campus faculty.</p> <p>Authors who receive external funding support that could be used for publishing costs (e.g., NIH grants or contract awards) are still eligible for WFU funds, but must use all available external funds before seeking WFU funds.</p> | <p>Eligible publications include peer-reviewed journal articles and book chapters.</p> <p>Articles and book chapters must be publicly available immediately upon publication.</p> <p>Articles and book chapters may be published in either Open Access or hybrid journals (traditional journals that offer a per-article Open Access option for an additional fee).</p> <p>Full OA journal publishers must either be listed in the DOAJ: Directory of Open Access Journals (http://www.doaj.org) or be members of the Open Access Scholarly Publishers Association (http://oaspa.org).</p> <p>Copies of the final published version of funded publications must be archived and made publicly available through WFU's institutional digital archive,</p> | <p>- No limit on size of request faculty can make.</p> | <p># Articles Approved: 60 # Articles Reimbursed: 58 # Unique Submitting Authors: 42 # Unique Departments: 15 # Unique Journals: 50 # Unique Publishers: 24</p> <p>NOTES: Data through June 30, 2018</p> |

| Sponsor | Value | Date Established | Date Limit, If Any | Who is Eligible? | What is Eligible? | Reimbursement Levels | Progress/Success To Date |
|--|----------|------------------|--------------------|---|---|---|--|
| | | | | | WakeSpace. | | |
| Wayne State University Libraries | \$10,000 | March, 2016 | | Funds are available to current full-time Wayne State University Faculty. One award per author per funding year. | Peer-reviewed journal articles and scholarly monographs, and/or their related or supporting data sets, are eligible for support from the fund. Venues for publication must either be members of the Open Access Scholarly Publishers Association (OASPA, http://oaspa.org/), or meet the membership requirements of OASPA, as delineated under OASPA's Membership Criteria (http://oaspa.org/membership/membership-criteria/) and Code of Conduct (http://oaspa.org/membership/code-of-conduct/). Hybrid open access arrangements ("paid open access" or "open choice") may be considered on a case-by-case basis, with the understanding that publishers offering open choice may not meet 100% of the above criteria. The publication must be free of any embargo period which might limit access for a specific time after publication. | The fund seeks to support at least ten projects a year. Applicants to the fund may request amounts up to 1/10th of the total fund [request cap is currently \$1,000]; fees less than that amount are eligible for full funding. | # Articles Approved: 38 # Articles Reimbursed: 25 # Unique Submitting Authors: 43 # Unique Departments: 25 # Unique Journals: 34 # Unique Publishers: 26 NOTES: Data through June 30, 2018 |