

# NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

## **Schedule Number: N1-266-96-002**

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 11/3/2023

### **ACTIVE ITEMS**

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

All items except those listed below are active

### **SUPERSEDED AND OBSOLETE ITEMS**

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 4 is superseded by DAA-GRS-2013-0006-0003

<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b> (See Instructions on reverse)		<b>LEAVE BLANK (NARA use only)</b>	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		JOB NUMBER	NI-266-96-2
1 FROM (Agency or establishment) Securities and Exchange Commission		DATE RECEIVED	3-11-96
2 MAJOR SUBDIVISION Office of Filings & Information Services		NOTIFICATION TO AGENCY	
3 MINOR SUBDIVISION		In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
4 NAME OF PERSON WITH WHOM TO CONFER	5 TELEPHONE	DATE	ARCHIVIST OF THE UNITED STATES
Suzanne L. McHugh	202 942-8970 X-5000	8-28-96	<i>John W. Cal</i>

6 AGENCY CERTIFICATION  
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 1 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required;  is attached, or  has been requested.

DATE	SIGNATURE OF AGENCY REPRESENTATIVE	TITLE
3/7/96	<i>Suzanne P. McHugh</i>	Records Officer

7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	The Securities and Exchange Commission's (SEC) Evaluation, Data Gathering, Analysis, Evaluation and Retrieval (EDGAR) system is the information system consisting of records created and filed electronically by private corporate entities which exchange or offer securities under Federal securities law.  See attached.		

1. Diskettes and/or tapes, to include transmittal form for electronic format documents (Form ET), submitted by Filers for transfer into the EDGAR System.

TEMPORARY. Keep for 72 hours after uploading. Then destroy.

2. Certification of transfer of diskette and/or tape information which was uploaded into the EDGAR System.

TEMPORARY. Keep two weeks after transfer. Then destroy.

3. EDGAR Data consisting of records created by private corporate entities which exchange or offer securities as submitted to the SEC under Federal legislation.

a. EDGAR Public Data

DISPOSITION: PERMANENT. Cutoff every 4 months. Systematically combine partial files of ~~enduring value~~ and transfer immediately in conformance with 36 CFR 1228.188. First file on media will be forms index as to contents of records transferred.

b. EDGAR Non-Public Data.

DISPOSITION: TEMPORARY. Keep on line for 3 years. Move to near storage. Destroy when 30 years old.

4. Form ID. The uniform application for identification numbers and passwords for access to the EDGAR System.

DISPOSITION: TEMPORARY. Destroy after 3 years old.

\*Per agreement, Sue McHugh, SEC R/O 3/28/96  
Wolfe.