

#### **GRADE:**

# 15-valent and 20-valent Pneumococcal Conjugate Vaccine use in adults

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#### **Policy Options for Cost-Effectiveness Analysis**

After reviewing the results of the cost-effectiveness analysis and estimated public health impact from each policy option, the Work Group focused on **the following 4 options** for GRADE and EtR.

|            | Age 19-64 years with underlying conditions | All aged ≥65 years |
|------------|--|--------------------|
| Strategy a | PCV15                                      | PCV15              |
| Strategy b | PCV20                                      | PCV20              |
| Strategy c | PCV15+PPSV23                               | PCV15+PPSV23       |
| Strategy d | PCV20+PPSV23                               | PCV20+PPSV23       |
|            | Age 19-49 years with underlying conditions | All aged ≥50 years |
| Strategy a | PCV15                                      | PCV15              |
| Strategy b | PCV20                                      | PCV20              |
| Strategy c | PCV15+PPSV23                               | PCV15+PPSV23       |
| Strategy d | PCV20+PPSV23                               | PCV20+PPSV23       |

# Methods

#### **Outcomes**

| Outcome (Benefits)                       | Importance* | Description   |
|--|-------------|---|
| Vaccine-type (VT) IPD                    | Critical    | Studies on PCV15 or PCV20 - assessing these clinical outcomes |
| VT non-bacteremic pneumococcal pneumonia | Critical    | are currently not available  → PCV15/PCV20 immunogenicity     |
| VT death                                 | Critical    | studies   |

| Outcome                | Importance* | Description                                    |
|------------------------|-------------|--|
| Serious adverse events | Critical    | Safety data for PCV15 and PCV20 are available. |

<sup>\*</sup>Rated on a 1 to 9 scale, where 7–9 are critical, 4–6 are important, 1–3 are of limited importance

| 급            | and older?  | and older in series with PPSV23?   | adults ≥65 years and older?  |  |  |  |  |  |
|--------------|---|--|--|--|--|--|--|--|
| Population   |   | US adults aged ≥65 year  | US adults aged ≥50 years   |  |  |  |  |  |
| Intervention | One dose of PCV15 One dose of PCV15 followed by PPSV23 One dose of PCV20  |  |  |  |  |  |  |  |
| Comparison   | PPSV23 (immunoc     *immunocompromised adults include ad syndrome, immunodeficiency, iatrogenic Hodgkin disease, leukemia, lymphoma, cell disease, or other hemoglobinopathic these conditions. | PSV28n(munocompromised competent or healthy adulated the second to the second | ts aged >65 years)**  (chronic renal failure, nephrotic lancy, human immunodeficiency virus, s, congenital or acquired asplenia, sickle locompetent adults are those without | <ul> <li>2. PPSV23 only (adults 50–64 years with chronic medical conditions***, immunocompetent adults aged ≥65 years **)</li> <li>3. No vaccination (adults 50–64 years without indications)</li> </ul> |  |  |  |  |
| Jutcome      | Vaccine-type invasive pneumococcal disease, vaccine-type non-bacteremic pneumococcal pneumonia, deaths, serious adverse events  |  |  |  |  |  |  |  |

Should PCV20 be

routinely

Should PCV20 be routinely recommended

to US adults ≥50 years and older?

Should PCV15 be

routinely recommended

Should PCV15 be

to US adults ≥65 years to US adults ≥65 years recommended to US

routinely recommended

#### **GRADE Evidence Type**

- Type 1 (high certainty): We are very confident that the true effect lies close to that of the estimate of the effect.
- Type 2 (moderate certainty): We are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different.
- Type 3 (low certainty): Our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect.
- Type 4 (very low certainty): We have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect.

Note: Evidence type is not measuring the quality of individual studies, but how much certainty we have in the estimates of effect across each outcome.

#### **GRADE Criteria**

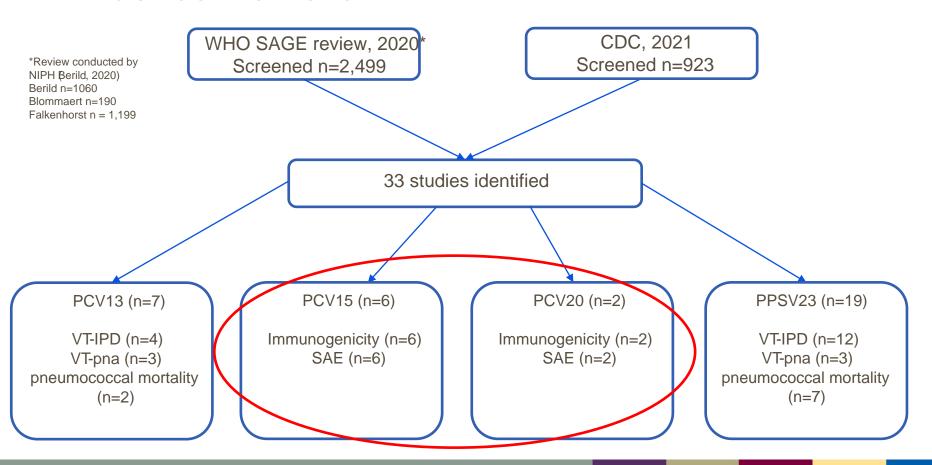
- Initial evidence type (certainty level) determined by study design
  - Initial evidence type 1 (high certainty): A body of evidence from randomized controlled trials
  - Initial evidence type 2 (low certainty): A body of evidence from observational studies
- Risk of bias: Can include failure to conceal allocation, failure to blind, loss to follow-up. Risk of bias may vary across outcomes.
- Inconsistency: Criteria for evaluating include similarity of point estimates, extent of overlap of confidence intervals, and statistical criteria including tests of heterogeneity and I<sup>2</sup>
- Indirectness: Considers the generalizability of the evidence to the original PICO components\*
- **Imprecision**: Considers the fragility of the relative and absolute effect measures based on the interpretation of the 95% CIs and the optimal information size.
- Other considerations: Includes publication bias or indications of dose-response gradient, large or very large magnitude of effect, and opposing residual confounding.

<sup>\*</sup>Patients, Intervention, Comparison, or Outcomes differ from those of interest.
Guyatt GH, Oxman AD, Kunz R et al. GRADE guidelines: 8. Rating the quality of evidence —indirectness. J Clin Epidemiol. 2011.

### **Evidence Retrieval (PCV13, PCV15, PCV20)**

- Leveraged systematic review presented to WHO/SAGE in 2020
  - Searched literature up to March 2019
- Additional search of literature published during April 2019–Feb 2021
  - Databases: Pubmed, Medline, Embase, CINAHL, Web of Science, Scopus,
     Epistemonikos and Cochrane library databases
  - Inclusion for PCV13: data on 1)human subjects, 2) adults, 3) relevant to vaccine efficacy or effectiveness against vaccine-type invasive pneumococcal disease, vaccine-type pneumonia, or death
  - Inclusion for PCV15, PCV20: data on 1) human subjects, 2) formulation considered for licensure, 3) adults aged ≥50 years or adults with underlying conditions
- Contacted manufacturers for unpublished and other relevant data
- Title and abstracts were screened independently by two separate reviewers

#### **Evidence Retrieval**



#### **Review of evidence**

- Review of evidence on clinical outcomes
  - PCV13 data against VT-IPD, VT-pneumonia, VT-mortality
  - PPSV23 data against VT-IPD, VT-pneumonia, VT-mortality
- Evidence for PCV15 (immunogenicity and SAE data)
- Evidence for PCV20 (immunogenicity and SAE data)



Background

#### PCV13 VE against VT-IPD

| Study                                 | Population   | Method   | VE  | (95%CI)              |
|---------------------------------------|--|--|-----|----------------------|
| Bonten*<br>Dutch adults ≥65 years old |  | Community Acquired Pneumonia Immunization Trial in Adults (CAPiTA) RCT (PCV13 vs placebo) (n=84,496); per protocol                                     | 75% | (41, 91)             |
|                                       |  | CAPiTA RCT (PCV13 vs placebo) (n=84,496)+  | 76% | (48, 89)+            |
| Pilishvili                            | US adults ≥65 years old  | Case-control; Active Bacterial Core Surveillance (ABCs) IPD cases and age- and zip code matched population-based controls (n=1,530)                    | 59% | (11, 81)¶            |
| Pilishvili                            | US adults ≥65 years old  | Case-control; ABCs IPD cases enrolled in Medicare part B matched to controls on age group, census tract, and length of enrollment in part B (n=10,851) | 47% | (4, 71) <sup>¶</sup> |
| Lewis*                                | Kaiser Permanente<br>Northern California<br>members, ≥65 years | Cohort study; KPNC members with no record of prior receipt of PPSV23, 2014 – 2018  | 68% | (28, 84)             |

<sup>\*</sup>All episodes of PCV13-type IPD using modified intent-to-treat (mITT); ¶VE estimate for PCV13+6C types

<sup>\*</sup>Pfizer funded studies

#### PCV13 against VT-pneumonia

| Study       | Population                      | Method   | VE  | (95%CI)                  |
|-------------|---------------------------------|--|-----|--------------------------|
| Bonten*     | Dutch adults ≥65<br>years old   | CAPiTA RCT, non-bacteremic pneumonia, per-protocol (PCV13 vs placebo) (n=84,496)   | 45% | (14, 65)                 |
| McLaughlin* | U.S. adults ≥65 years<br>old    | Louisville cohort study nested test negative design case-<br>control; any non-PCV13-type non-bacteremic pneumonia as<br>controls (n=2,014) | 71% | (-6, 90) <sup>i</sup>    |
| Prato*      | Italian adults ≥65<br>years old | Test-negative design case-control; any non-PCV13-type pneumonia as controls (n=186)  | 38% | (-131, 89) <sup>ii</sup> |

<sup>&</sup>lt;sup>i</sup>In the primary analysis, reported here, the controls were defined as all non-PCV13-type pneumonia. In a sensitivity analysis, where controls were defined as non-PCV13-type pneumococcal pneumonia, the VE was 69% (-47, 94).

is. pneumoniae confirmed in nasopharyngeal, sputum, bronchoalveolar-lavage, or sterile site on polymerase chain reaction (PCR) or culture. The controls were defined as all non-PCV13-type pneumonia.

# **PCV13** against VT-disease deaths

| Study                 | Population                        | Method  | Outcome                           | VE          | (95%CI)     |
|-----------------------|-----------------------------------|---|-----------------------------------|-------------|-------------|
| Bonten*               | Dutch adults ≥65<br>years old     | RCT (PCV13 vs<br>placebo) (n=84,496)              | PCV13-type<br>disease mortality   | 0%          | (-1280, 93) |
| Vila-Corcoles<br>2020 | Spanish (Catalonia),<br>≥50 years | Population-based cohort (EPIVAC study), 2015-2016 | Death from pneumococcal pneumonia | adjHR= 1.67 | (0.61–4.60) |

# **PPSV23** effectiveness data

#### PPSV23 against VTIPD: pooled VE estimate of observational studies

#### PPSV23 vs VT-IPD, Observational Studies

| Model  | Subgroup within study | Study name               | Outcome          |               | Statist | ica for ea     | ach study |         |      | 0              | dds ratio and 95% CI |     |     |
|--------|-----------------------|--------------------------|------------------|---------------|---------|----------------|-----------|---------|------|----------------|----------------------|-----|-----|
|        |                       |                          |                  | Odda<br>ratio | Lower   | Upper<br>limit | Z-Value   | p-Value |      |                |                      |     |     |
|        | >=65 years, all       | Andrews 2012             | VT IPD           | 0.760         | 0.641   | 0.901          | -3.155    | 0.002   | 1    | 1              |                      | - 1 | - 1 |
|        | >=65 years, all       | Djennad 2018             | VT IPD           | 0.730         | 0.641   | 0.831          | -4.746    | 0.000   |      |                |                      |     |     |
|        | >=65 years            | Dominguez 2005           | VT IPD           | 0.360         | 0.184   | 0.705          | -2.980    | 0.003   |      | -              | <b></b>              |     |     |
|        | >=60 years            | Gutierrez-Rodriguez 2014 | VT IPD           | 0.555         | 0.404   | 0.762          | -3.637    | 0.000   |      |                | <b>-≣</b> -          |     |     |
|        | >=65 years            | Kim 2019                 | VT IPD           | 0.581         | 0.332   | 1.016          | -1.905    | 0.057   |      |                | ╼                    |     |     |
|        | >=65 years            | Rudnick 2013             | VT IPD           | 0.611         | 0.469   | 0.798          | -3.651    | 0.000   |      |                | <b>-</b> ■           |     |     |
|        | >=65 years            | Shimbashi 2020           | VT IPD           | 0.606         | 0.347   | 1.060          | -1.757    | 0.079   |      |                | ╼═┷                  |     |     |
|        | >=75 years            | Su 2021                  | VT IPD           | 0.610         | 0.441   | 0.844          | -2.980    | 0.003   |      |                |                      |     |     |
|        | >=65 years            | Vila-Corcoles 2006       | VT IPD           | 0.610         | 0.132   | 2.811          | -0.634    | 0.526   |      | I —            | -■-                  |     |     |
|        | >=50 years            | Vila-Corcoles 2009       | VT Bacteremic PP | 0.240         | 0.089   | 0.650          | -2.808    | 0.005   |      | +              |                      |     |     |
|        | >=60 years            | Vila-Corcoles 2010       | VT IPD           | 0.230         | 0.089   | 0.594          | -3.037    | 0.002   |      | <del>  ■</del> | <b>—</b>             |     |     |
|        | >=65 years            | Wright 2013              | VT IPD           | 0.715         | 0.435   | 1.175          | -1.325    | 0.185   |      |                | <b>≣</b> +           |     |     |
| Fixed  | ı                     |                          |                  | 0.676         | 0.621   | 0.735          | -9.176    | 0.000   |      |                | <b>▼</b> I           |     |     |
| Random | 1                     |                          |                  | 0.624         | 0.543   | 0.716          | -6.711    | 0.000   | I    |                | <b>∳</b> I           | ı   |     |
|        |                       |                          |                  |               |         |                |           |         | 0.01 | 0.1            | 1                    | 10  | 100 |
|        |                       |                          | _                | _             |         |                |           |         |      |                |                      |     |     |

Pooled VE: 38% (28, 46)

#### Favours PPSV23 Favours no vaccine

| Model           |                   | Effect siz        | e and 95% i    | interval       | Test of nu       | ıll (2-Tail)   |         | Hetero | geneity |           |                | Tau-sq            | juared   |       |
|-----------------|-------------------|-------------------|----------------|----------------|------------------|----------------|---------|--------|---------|-----------|----------------|-------------------|----------|-------|
| Model           | Number<br>Studies | Point<br>estimate | Lower<br>limit | Upper<br>limit | Z-value          | P-value        | Q-value | df (Q) | P-value | I-squared | Tau<br>Squared | Standard<br>Error | Variance | Tau   |
| Fixed<br>Random | 12<br>12          |                   | 0.621<br>0.543 | 0.735<br>0.716 | -9.176<br>-6.711 | 0.000<br>0.000 | 18.573  | 11     | 0.069   | 40.774    | 0.019          | 0.022             | 0.000    | 0.136 |

#### PPSV23 against VT-Pneumonia

| Study         | Population  | Method   | VE  | (95%CI)               |
|---------------|---|--|-----|-----------------------|
| Kim 2019      | South Korean<br>hospitalized adults,<br>≥65 years | Case-control, hospital-based; cases: non-bacteremic pneumococcal pneumonia   | -2% | (-40, 26)             |
| Lawrence 2020 | British hospitalized<br>adults, ≥65 years         | Test-negative design case-control; non-PPV23 serotype pneumococcal pneumonia or nonpneumococcal pneumonia as control (n=993) | 20% | (-5, 40) <sup>i</sup> |
| Suzuki 2017   | Japanese adults, ≥65<br>years                     | Test-negative design case-control; patients who tested negative for pneumococcal infection as controls (n=1617)              | 34% | (6, 53)               |

<sup>i</sup>Secondary analysis from a prospective cohort study of adults (aged ≥16 years) with CAP hospitalized in Nottingham, England, from September 2013 to August 2018

### **PPSV23** against pneumococcal mortality

| Study              | Population                           | Method   | Outcome                                | Measure                                      | (95%CI)       |
|--------------------|--------------------------------------|--|--|--|---------------|
| Maruyama 2010      | Japanese adults,<br>≥55 years        | RCT, nursing home residents  | death from pneumococcal pneumonia      | Rate: 35.1%<br>(placebo) vs.<br>0% (vaccine) | P<0.01        |
| Vila-Corcoles 2020 | Spanish (Catalonia),<br>≥50 years    | Population-based cohort (EPIVAC study), 2015-2016  | death from pneumococcal pneumonia      | adjHR=1.47                                   | (0.96–2.26)   |
| Vila-Corcoles 2006 | Spanish<br>(Tarragona), ≥65<br>years | Prospective cohort (1999 – 2001  | death due to pneumococcal infection    | adjHR=0.50                                   | (0.13–2.02)   |
| Su 2021            | Taiwanese adults,<br>≥75 years       | Screening method   | death from any pneumococcal infection  | VE = 32.5%                                   | (17.5, 44.7)  |
| Christenson 2004   | Swedish adults, ≥65<br>years         | Prospective cohort (1998 – 2000)   | in-hospital mortality due to pneumonia | VE = 7%                                      | (-19, 28)     |
| Rose 2020          | German adults, ≥60<br>years          | Retrospective cohort among those insured in a large statutory health insurance (2008 – 2014) | 30-day mortality due to pneumonia      | VE = 29.6%                                   | (-60.9, 69.2) |
| Song 2018          | South Korean<br>adults, ≥65 years    | Multicenter prospective cohort study (2014 – 2017)   | 30-day mortality among ILI patients    | VE = -29%                                    | (-136, 29)    |

## **Evidence for PCV15**

Immunogenicity and safety

### **Summary of Phase 2/3 Immunogenicity Study Results**

#### Outcomes summarized:

- Ratio of opsonophagocytic activity (OPA) geometric mean titer (GMT)
- % Seroresponders<sup>1</sup>
- Point estimates used for descriptive comparison

#### Statistical interpretation:

- Statistical non-inferiority<sup>2</sup> reported whenever assessed
- If non-inferiority not assessed, "statistical significance" was defined as:
  - 95% CI of GMT ratio did not cross 1
  - 95% CI of % ≥4-fold rise in OPA GMT in the PCV15/20 vs comparator group did not overlap
- 1. Defined as subjects with ≥4-fold rise in OPA GMT titer postaccination compared to pre-vaccination
- 2. Noninferiority declared if the lower bound of the 2sided 95% CI for the GMT ratio for that serotype was >0.5

# Immunogenicity in healthy adults who received PCV15 only

|                  |   | N<br>(PCV15) | N<br>(Comparison) | Comparison                            | GMT ratios <sup>1</sup>   | % Seroresponders <sup>2</sup>  |
|------------------|---|--------------|-------------------|---------------------------------------|---|--|
| Ermlich 20       | 018   | 230          | 230               | PCV13                                 | <ul> <li>PCV15&gt;PCV13 in 7/13 serotypes</li> <li>Significantly higher for 5/13 serotypes</li> </ul>   | <ul> <li>PCV15&gt;PCV13 in 9/13 serotypes</li> <li>Non-significant for all serotypes (9/9)</li> </ul>                |
| Phase 2 R        | CT, adults ≥50 years                          | 230          | 231               | PPSV23                                | <ul> <li>PCV15&gt;PPSV23 in 12/13 serotypes</li> <li>Non-inferior<sup>3</sup> for all 13 serotypes</li> </ul>   | <ul> <li>PCV15&gt;PPSV23 in 10/13 serotypes</li> <li>Significantly higher for 3/10 serotypes (3, 6B, 23F)</li> </ul> |
| V114-019         | Phase 3 RCT (Pivotal Trial), adults ≥50 years | 596-598      | 597-598           | PCV13                                 | <ul> <li>PCV15&gt;PCV13 in 5/13 serotypes</li> <li>Non-inferior<sup>4</sup> for the 13 serotypes</li> <li>Superiority<sup>5</sup> criteria met for ST3</li> </ul> | <ul> <li>PCV15&gt;PCV13 in 5/13 shared serotypes</li> <li>Significantly higher for 1/5 serotypes (ST3)</li> </ul>    |
| Peterson<br>2019 | Phase 2 RCT, adults ≥65 years, h/o PPSV23     | 127          | 126               | PCV13 (in those with previous PPSV23) | <ul> <li>PCV15&gt;PCV13 in 7/13 serotypes</li> </ul>  | <ul> <li>PCV15&gt;PCV13 in 8/13 shared serotypes</li> <li>Non-significant for all serotypes (8/8)</li> </ul>         |

<sup>1.</sup> Ratio calculated as [GMT (PCV15)]/[GMT (comparator vaccine)].

<sup>2.</sup> Seroresponse: subjects with >=4-fold rise in OPA GMT titer post-vaccination compared to pre-vaccination.

<sup>3.</sup> Non-inferiority was declared if lower bound of twosided 95% CI of betweergroup ratio (PCV15/PPV23) of OPA GMTs for each shared type was >0.33 (3old non-inferiority margin). GMC/GMT ratio estimation

<sup>4.</sup> The statistical criterion for noninferiority requires the lower bound of the 2-sided 95% confidence interval (CI) of the OPA GMT ratio (V114/ Prevnar 13™) to be greater than 0.5

<sup>5.</sup> The statistical criterion for superiority requires the lower bound of the 2-sided 95% CI of the OPA GMT ratio [V114/ Prevnar 13™] to be greater than 2.0.

# Immunogenicity in adults with underlying conditions, PCV15 only

|          |  | N         | N            |            |   |  |
|----------|--|-----------|--------------|------------|---|--|
|          |  | (PCV15)   | (Comparison) | Comparison | GMT ratios <sup>1</sup>                               | % Seroresponders <sup>2</sup>  |
|          | Immunocompetent adults<br>18-49 years of age at risk of<br>pneumococcal disease, |           |              |            |   | <ul> <li>PCV15&gt;PCV13 in 6/13 shared serotypes</li> <li>Significantly higher in 1/6</li> </ul> |
| V114-017 | Phase 3  | 1004-1019 | 320-343      | PCV13      | <ul> <li>PCV15&gt;PCV13 in 6/13 serotypes</li> </ul>  | serotype (ST18C)   |
| V114-018 | Adults ≥18 years of age<br>with HIV, Phase 3                                     | 126-131   | 116-131      | PCV13      | <ul> <li>PCV15&gt;PCV13 in 10/13 serotypes</li> </ul> | <ul> <li>PCV15&gt;PCV13 in 9/13</li> <li>serotypes</li> </ul>                                    |

<sup>1.</sup> Ratio calculated as [GMT (PCV15)]/[GMT (comparator vaccine)].

<sup>2.</sup> Seroresponse: subjects with >=4-fold rise in OPA GMT titer post-vaccination compared to pre-vaccination.

#### Immunogenicity in adults, PCV15-PPSV23 series

|          |                     | N       | N            |                    |   |   |                               |
|----------|---------------------|---------|--------------|--------------------|---|---|-------------------------------|
|          |                     | (PCV15) | (Comparison) | Comparison         | GMT ratios <sup>1</sup>                           |   | % Seroresponders <sup>2</sup> |
|          | Immunocompetent     |         |              |                    |   |   |                               |
|          | adults 18-49 years  |         |              |                    |   |   |                               |
|          | of age at risk of   |         |              |                    |   | • | PCV15+PPSV23>PCV13+PPSV23 in  |
|          | pneumococcal        | 830-    |              | PCV13 +PPSV23      | • PCV15+PPSV23>PCV13+PPSV23 in                    |   | 5/13 serotypes                |
| V114-017 | disease, Phase 3    | 844     | 276-283      | (6 month interval) | 9/13 serotypes                                    | • | Non-significant for all 5/5   |
|          |                     |         |              |                    |   |   |                               |
|          | Adults ≥18 years of |         |              |                    |   |   |                               |
|          | age with HIV,       | 118-    |              | PCV13 +PPSV23      | <ul><li>PCV15+PPSV23&gt;PCV13+PPSV23 in</li></ul> | • | PCV15+PPSV23>PCV13+PPSV23 in  |
| V114-018 | Phase3              | 123     | 113-117      | (8 week interval)  | 11/13 serotypes                                   |   | 10/13 shared serotypes        |
|          |                     |         |              |                    | • PCV15+PPSV23>PCV13+PPSV23 in                    | • | PCV15+PPSV23>PCV13+PPSV23 in  |
|          |                     |         |              | PCV13+PPSV23       | 13/13 serotypes                                   |   | 11/13 shared serotypes        |
|          | Adults ≥50 years of | 320-    |              | (12 month          | <ul> <li>Significantly higher for 3/13</li> </ul> | • | Non-significant for all 11/11 |
| V114-016 | age, Phase 3        | 321     | 322-323      | interval)          | serotypes (ST1, 14, 23F)                          |   |                               |

- 1. Ratio calculated as [GMT (PCV15)]/[GMT (comparator vaccine)].
- 2. Seroresponse: subjects with >=4-fold rise in OPA GMT titer post-vaccination compared to pre-vaccination.

### SAE in healthy adults who received PCV15 only

|                          |   | N   | N            |                  | Observation |            | %SAE Comparator | Absolute % | N related to |
|--------------------------|---|-----|--------------|------------------|-------------|------------|-----------------|------------|--------------|
|                          |   |     | (Comparison) | Comparison       | period      | %SAE PCV15 | group           | difference | vaccine      |
| Ermlich 20<br>Phase 2 Ro | <b>018</b><br>CT, adults ≥50                  | 229 | 230          | PCV13            | 6 months    | 1.7        | 2.2             | -0.5       | 0            |
| years                    |   |     |              |                  |             |            |                 |            |              |
|                          |   | 229 | 230          | PPSV23           | 6 months    | 1.7        | 3               | -1.3       | 0            |
| Peterson                 | Phase 2 RCT,<br>adults ≥65<br>years, h/o      |     |              | PCV13 (in those  |             |            |                 |            |              |
| 2019                     | PPSV23  | 127 | 126          | with h/o PPSV23) | 30 days     | 0          | 1.6             | -1.6       | 0            |
|                          | Phase 3 RCT<br>(Pivotal Trial),<br>adults ≥50 |     |              |                  |             |            |                 |            |              |
| V114-019                 | years   | 602 | 600          | PCV13            | 6 months    | 1.5        | 2.2             | -0.7       | 0            |

### SAE in adults with underlying conditions, PCV15 only

|              |  | N<br>(PCV15)(Cd | N<br>omparison) | Comparison | Observation period | %SAE PCV15 | %SAE Comparator group | Absolute % diference | N related to vaccine |
|--------------|--|-----------------|-----------------|------------|--------------------|------------|-----------------------|----------------------|----------------------|
| V114-<br>017 | Immunoco<br>mpetent<br>adults 18-<br>49 years at<br>risk of<br>pneumoco<br>ccal<br>disease |                 | 378             | PCV13      | 6 months           | s 4.3      |                       | 1.1                  | 0                    |
| V114-<br>018 | Adults ≥18<br>years with<br>HIV  | 152             | 150             | PCV13      | 6 months           | s 2        | 2 0                   | 2                    | 0                    |

## SAE in adults, PCV15-PPSV23 series

|                              | N       | N            |              | Observation      |            | %SAE Comparator | Absolute % | N related to |
|------------------------------|---------|--------------|--------------|------------------|------------|-----------------|------------|--------------|
|                              | (PCV15) | (Comparison) | Comparison   | period           | %SAE PCV15 | group           | difference | vaccine      |
|                              |         |              |              | 1 month post-    |            |                 |            |              |
|                              |         |              |              | PPSV23           |            |                 |            |              |
| Adults ≥50                   |         |              |              | (13 months       |            |                 |            |              |
| <b>V114-016</b> years of age | 298     | 302          | PCV13+PPSV23 | post-first dose) | 0.3        | 0.7             | -0.4       | 0            |
| Immunocomp                   |         |              |              |                  |            |                 |            |              |
| etent adults                 |         |              |              |                  |            |                 |            |              |
| 18-49 years at               |         |              |              | 1 month post-    |            |                 |            |              |
| risk of                      |         |              |              | PPSV23 (7        |            |                 |            |              |
| pneumococcal                 |         |              |              | months post-     |            |                 |            |              |
| <b>V114-017</b> disease      | 1036    | 345          | PCV13+PPSV23 | first dose)      | 0.3        | 0.9             | -0.6       | 0            |
| Adults ≥18                   |         |              |              | 6 months post    |            |                 |            |              |
| V114-018 years with HIV      | 150     | 148          | PCV13+PPSV23 | first dose       | 1.3        | 4.1             | -2.8       | 0            |

# **Evidence for PCV20**

Immunogenicity and safety

#### Immunogenicity in healthy adults aged ≥50 years, PCV20 only

|                                 | N<br>(PCV20) | N<br>(Comparison) | Comparison                             | GMT ratios <sup>1</sup>  | % Seroresponders <sup>2</sup>  |
|---------------------------------|--------------|-------------------|--|--|--|
| B7471007                        | 1435         | 1420              | PCV13                                  | <ul> <li>PCV20<pcv13 12="" 13="" in="" li="" serotypes<=""> <li>Noninferiority criteria<sup>3</sup> met for all</li> <li>13/13 serotypes</li> </pcv13></li></ul> | PCV20 <pcv13 12="" 13="" in="" serotypes<br="">Significantly lower for 1/12 (ST3)</pcv13>              |
| Phase 3 RCT, adults ≥60 years   |              |                   |  |  |  |
|                                 | 1433         | 1383              | PPSV23 (7 common st)                   | <ul> <li>PCV20&gt;PPSV23 in 6/7 serotypes</li> <li>Noninferiority criteria<sup>3</sup> met for<br/>6/7 serotypes (not met for ST8)</li> </ul>                    | PCV20>PPSV23 in 6/7 serotypes (all significant) PCV20 <ppsv23 (significant)<="" st8="" td=""></ppsv23> |
|                                 |              |                   | (, , , , , , , , , , , , , , , , , , , | <i>σ</i> , <i>τ</i> σσ. στ, μου (σσστ. στ. στ. στ. στ. στ. στ. στ  | (0.8   |
| Hurley 2020                     | 195-210      | 194-208           | PCV13                                  | <ul> <li>PCV20<pcv13 13="" in="" li="" serotypes<=""> <li>CI did not overlap in 4/13</li> </pcv13></li></ul>   | PCV20 <pcv13 (all="" 12="" 13="" in="" non-significant)<="" serotypes="" shared="" td=""></pcv13>      |
| Phase 2 RCT, adults 60-64 years |              |                   | •                                      | <ul> <li>PCV20&gt;PPSV23 in 6/7 shared<br/>serotypes (CI did not overlap in<br/>3/6)</li> </ul>  | PCV20>PPSV23 in 6/7 shared serotypes (significantly higher in 2/6)                                     |
|                                 | 185-207      | 181-204           | PPSV23 (7 common st)                   | <ul><li>PSV20<ppsv23 (ci="" did="" for="" li="" note="" overlap)<="" st8=""></ppsv23></li></ul>  | PCV20 <ppsv23 (non-significant)<="" for="" st8="" td=""></ppsv23>                                      |

<sup>1.</sup> Ratio calculated as [GMT (PCV20)]/[GMT (comparator vaccine)]. Range of GMT ratios for the common serotypes is shown.

<sup>2.</sup> Seroresponse: subjects with >=4-fold rise in OPA GMT titer post-vaccination compared to pre-vaccination. Absolute difference calculated as [% seroresponders (PCV20)]-[%seroresponders (comparator vaccine)]; positive results favor PCV20. Range of absolute differences for common serotypes is shown.

<sup>3.</sup> Noninferiority for a serotype was declared if the lower bound of the 2-sided 95% CI for the geometric mean ratio (GMR) for that serotype was greater than 0.5 (2-fold criterion).

# Immunogenicity in healthy adults aged 50-59 years vs older adults

|          |   | N       | N            |            |   |  |
|----------|---|---------|--------------|------------|---|--|
|          |   | (PCV20) | (Comparison) | Comparison | GMT ratios <sup>1</sup>   | % Seroresponders <sup>2</sup>  |
|          | DI 2007 III 5050                                      |         |              |            | • 50-59>60-64 years in 15/20 serotypes  |  |
| B7471007 | Phase 3 RCT, adults 50-59 years vs <b>60-64 years</b> | 321     | 946          | PCV20      | <ul> <li>Non-inferiority criteria<sup>3</sup> met for<br/>all 20 serotypes</li> </ul> |  |
|          | Phase 3 RCT, adults 50-59 years vs <b>≥60 years</b>   | 321     | 1435         | PCV20      |   | <ul> <li>50-59&gt;60+ years group in 18/20<br/>serotypes (significantly higher in<br/>1/18)</li> </ul> |

- 1. Ratio calculated as [GMT (PCV20)]/[GMT (comparator vaccine)]. Range of GMT ratios for the common serotypes is shown.
- 2. Seroresponse: subjects with >=4-fold rise in OPA GMT titer post-vaccination compared to pre-vaccination.
- 3. Noninferiority for a serotype was declared if the lower bound of the 2-sided 95% CI for the geometric mean ratio (GMR) for that serotype was greater than 0.5 (2-fold criterion).

### SAE in healthy adults in healthy adults aged ≥50 years

|                                    | N<br>(DC)(20) | N<br>(Composions) | Communicati              | Observation  | 0/CAE DC\/20 | %SAE Comparator | Absolute %            | N related to |
|------------------------------------|---------------|-------------------|--------------------------|--|--------------|-----------------|-----------------------|--------------|
|                                    | (PCV2U)       | (Comparison)      | Comparison               | period   | %SAE PCV20   | group           | diference             | vaccine      |
|                                    |               |                   | PCV13 or<br>PCV13+PPSV23 |  |              |                 | 0.5                   |              |
| B7471007                           | 1461          | 1445              | (60 years or older)      | within 6 months                                    | 2.4          | 1.9             | (CI overlaps)         | 0            |
| Phase 3 RCT, adult                 |               |                   |                          |  |              |                 | , , ,                 |              |
|                                    | 334           | 111               | PCV13 (50-59 years       | )within 6 months                                   | 0.3          | 0.9             | -0.6<br>(CI overlaps) | 0            |
| Hurley 2020                        | 221           | 222               | PCV13                    | within 1 month<br>following PCV20<br>or PCV13      | 0            | 0.5             | -0.5<br>(CI overlaps) | 0            |
| Phase 2 RCT, adults<br>60-64 years |               |                   |                          | Throughout the 12-mo study period, PCV20+saline vs | 0            | 0.3             | -0.9                  |              |
|                                    | 213           | 214               | PCV13+PPSV23             | PCV13+PPSV23)                                      | 4.1          | 5               | (CI overlaps)         | 0            |

# **Summary GRADE tables**

# Should PCV15 be routinely recommended to US adults ≥65 years and older? Should PCV15 be routinely recommended to US adults ≥65 years and older in series with PPSV23?

| Туре     | Outcome                | Importance | Included in evidence profile | Certainty of evidence |
|----------|------------------------|------------|------------------------------|-----------------------|
|          | VT- IPD                | Critical   | Yes                          | 2                     |
| Benefits | VT-pneumonia           | Critical   | Yes                          | 2                     |
|          | VT- mortality          | Critical   | Yes                          | 2                     |
| Harms    | Serious adverse events | Critical   | Yes                          | 2                     |

# Should PCV20 be routinely recommended to US adults ≥50 years and older? Should PCV20 be routinely recommended to US adults ≥65 years and older?

| Туре     | Outcome                | Importance | Included in evidence profile | Certainty for healthy individuals |
|----------|------------------------|------------|------------------------------|-----------------------------------|
|          | VT- IPD                | Critical   | Yes                          | 2                                 |
| Benefits | VT-pneumonia           | Critical   | Yes                          | 2                                 |
|          | VT- mortality          | Critical   | Yes                          | 2                                 |
| Harms    | Serious adverse events | Critical   | Yes                          | 2                                 |

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