

# **DAMS SECTOR PROFILE**



The Dams Sector delivers critical water retention and control services in the United States, including hydroelectric power generation, municipal and industrial water supplies, agricultural irrigation, sediment and flood control, river navigation for inland bulk shipping, industrial waste management, and recreation. Its key services support multiple critical infrastructure sectors and industries. Dams Sector assets provide enough water for 130 million people, irrigation for 4 percent of cropland, and generate renewable hydroelectric power for 10 million homes.

Sector assets include dam projects (dams), navigation locks, levees, hydropower projects, dikes, hurricane barriers, tailings dams, and other industrial waste impoundments.



# **Critical Sector Dependencies and Interdependencies**

### **Emergency Services**

_	_	
П	c)	
<u>ا</u> ل	تر	เอ
<u> </u>		~

Law enforcement is among the **first responders during Dams Sector asset failure or disruption**, and their response capabilities can determine the extent of event consequences.

#### **Transportation**



The Nation's **12,000-mile inland marine network relies on navigation locks** to move valuable products throughout the United States.

#### Energy



More than **20 percent of coal** used to produce U.S. electricity is shipped via inland waterways that **rely on navigation locks**.

### Food & Agriculture



About 60 percent of the country's farm exports travel through inland waterways for export overseas. Four percent of U.S. cropland is irrigated by dams.

#### Communications

# ((O)) Unint

Uninterrupted Internet and telecommunication networks are essential for employee

communications and remote monitoring and control.

#### Nuclear



Dams may **store water for cooling operations** near nuclear facilities.

#### **Information Technology**



Information technology systems control critical processes, manage day-to-

day operations, and store sensitive information for the Dams Sector.

#### Water



### About **70 percent of all** freshwater used in the United

**States** comes from surfacewater sources, including **reservoirs created by dams**.

#### **Chemical**



Chemicals and fertilizers are
 major commodities shipped
 via inland waterways.

## **Dams Sector Facts**





### The Dams Sector: Integral to Everyday Life

**Dams Sector assets provide a wide range of economic, environmental, and social benefits,** including hydroelectric power; river navigation; water supply for municipal, industrial, and agricultural uses; flood control; efficient water resource management in drought- and flood-prone regions; waste management; recreation; and wildlife habitat protection. Assets range from large hydroelectric dams and river/coastal levee systems that support and protect whole U.S. regions to small, locally owned dams and levees that support and protect individual agricultural communities.



# DAMS

The purpose of a dam is to store water, wastewater, or liquid-borne materials for any of several reasons, such as flood control, human water supply, irrigation, livestock water supply, energy generation, containment of mine tailings, recreation, or pollution control. Many dams fulfill a combination of the above functions. While there are more than 91,000 dams in the National Inventory of Dams database, there are more than 100,000 dams across the U.S. and Puerto Rico.

### Water storage and irrigation

Dams create reservoirs that supply water for many industrial, municipal, agricultural, and recreational uses.

#### Sediment and flood control

Some dams control sedimentation for environmental protection or regulate and contain water flow to reduce or prevent flooding.

#### Recreation

More than 262 million people visit at least one U.S. Army Corps of Engineers (USACE) facility each year.

### Tailings

Tailings, or waste from mining, electric, and manufacturing industries, are collected and suspended in water, then settle out in an impoundment, or tailings dam. Tailings are often used as part of the structure itself.

### **Electricity** generation

4

Hydropower dams produce 8-12 percent of the Nation's power needs accounting for 52 percent of U.S. renewable energy. The United States is one of the largest producers of hydropower in the world.

#### Renewable, clean energy

Without hydropower, the U.S. would have to burn an additional 121 million tons of coal, 27 million barrels of oil, and 741 billion cubic feet of natural gas combined.

#### **Peaking power**



Hydroelectric projects can ramp up to meet peak demand.

#### **Blackstart capabilities**



Hydropower projects can quickly and efficiently start generating electricity to jumpstart restoration for other non-hydro generators after system-wide blackouts.

**Masonry or concrete dams** may be categorized according to the designs used to resist the stress due to reservoir water pressure. Three common types of concrete dams are:

#### Gravity

**High hazard dams** 

The most common form of concrete dam. The mass weight of concrete and friction resists the reservoir water pressure.

# ma

Buttress

Mass of concrete is reduced, and the forces are diverted to the dam foundation through vertical or sloping buttresses.

#### Arch

Typically thin in cross-section. The reservoir water forces acting on an arch dam are carried laterally into the abutments.

Rockfill: compacted or dumped rock Tailings dam: industrial waste material

Embankment dams are the most

common type of dam in use today.

Materials used for embankment

dams include natural soil or rock.

or waste materials obtained from

Earthfill:

compacted earth

mining or milling operations.



High hazard dams are those where failure or mis-operation will likely cause loss of human life. Hazard classification refers to the potential consequences of a dam's failure, **not the condition of the dam**.









# **NAVIGATION LOCKS**

Navigation locks make inland waterways viable transportation corridors by allowing commercial and recreational traffic to move safely between river pools and harbors. The USACE oversees locks as part of a larger marine highway network that stretches across the country. Navigation locks enable companies to ship large volumes of bulk commodities over long distances far more efficiently than via truck or rail, reducing shipping costs and greenhouse gas emissions.





Inland Ports

# LEVEES

Levee systems, or "levees", are man-made structures that reduce risk by diverting the flow of water from floods and storm surges. Levees usually consist of earthen embankments or floodwalls in combination with other features, such as closure structures, pumping stations, and interior drainage works. Levees help with flood control efforts on floodplains for millions of people and trillions of dollars in property across the Nation.





Levees help reduce flooding of infrastructure critical to everyday life, including roads, hospit

**critical to everyday life**, including roads, hospitals, and police departments, as well as other resources critical to flood response, evacuation, and recovery.

### These infrastructure include:

 Agriculture farms and property

Chemical plants

services

Emergency medical

- Law enforcement Schools and
- universities
- Water supply
   Water treatment
- Entertainment venues
- Water treatment plants

Though levees do not eliminate all flood risk, they are an important flood risk management tool that can **help reduce the frequency of flooding and provide valuable time for evacuations**.



This diagram represents levees that are currently populated in the National Levee Database. Efforts are underway to inventory and assess the condition of all levees in the United States

The current inventory of nearly 30,000 miles of levees in the National Levee Database, helps defend communities, critical infrastructure, and valuable property from flooding.



# **ROLE OF GOVERNMENT IN DAM SAFETY AND SECURITY**

### Dams

Today, every state except Alabama has a dam safety regulatory program. Select states also oversee dam security.

State governments have **regulatory responsibility for 69 percent of the more than 91,000 dams** within the National Inventory of Dams (NID). These programs vary in authority, but typically the program activities include:

- · Safety evaluations of existing dams
- · Review of plans and specifications for dam construction and major repair work
- · Periodic inspections of construction work on new and existing dams
- · Review and approval of emergency action plans



Tailings dams, or impoundments, are primarily owned and operated by private industries and can be subject to federal and/or state regulations depending on type and size.

# **Federal Agencies**

#### Federal agencies involved with dam safety or security:

U.S. Department of Agriculture	<b>Natural Resources Conservation Service</b> Provides technical and financial assistance for almost 27,000 NID dams and financial assistance for another 11,000 NID dams designed for agricultural water storage, sediment retention, and flood protection.	
U.S. Department of Defense	<b>U.S. Army Corps of Engineers</b> Oversees 716 dams, 239 locks, 75 hydropower projects, and 2,220 levee systems and provides technical assistance to flood-risk communities and the military.	
U.S. Department of Energy	<ul> <li>U.S. Department of Energy</li> <li>Owns and operates 15 dams at three sites.</li> <li>Federal Energy Regulatory Commission</li> <li>Regulates 2,600 non-federal hydropower dams.</li> </ul>	
U.S. Department of Homeland Security	Cybersecurity and Infrastructure Security Agency Serves as the Dams Sector Risk Management Agency and collaboratively develops guidance, resources, and training for the Dams Sector. Federal Emergency Management Agency Leads the National Dam Safety Review Board and the Interagency Committee on Dam Safety and is the head of the National Dam Safety Program.	
U.S. Department of the Interior	Bureau of ReclamationMaintains 475 dams and 348 reservoirs bringing water to more than 31 million people and operates58 hydroelectric power plants producing enough electricity to serve 3.5 million homes.Other U.S. Department of the Interior agencies involved with dam safety and security include: Bureau of IndianAffairs • Bureau of Land Management • Fish & Wildlife Service • National Park Service • Office of SurfaceMining Reclamation and Enforcement	
U.S. Department of Labor	Mine Safety and Health Administration Regulates the safety of the 1,640 mining industry dams in its inventory.	
U.S. Department of State	International Boundary & Water Commission Owns and operates dams and maintains more than 500 miles of levees and associated floodways along the lower portion of the Rio Grande River.	

The NID lists **more than 91,000 dams in the U.S.**, of which the private sector owns 63 percent and state or local entities own 27 percent. About **14 percent** of dams in the United States are owned or regulated by federal agencies.

The Federal Government owns and self-regulates only **5 percent of dams**, yet 80 percent of these dams are the largest in the U.S.

# **APPENDIX**

#### Page 1: Dams Sector Profile

**U.S. Army Corps of Engineers. (2020).** U.S. Army Corps of Engineers and Bureau of Reclamation: A Joint Commitment to the Nation's Water Infrastructure. Retrieved November 2021. Available from <a href="https://www.usace.army.mil/Media/News-Releases/News-Release-Article-View/Article/2072666/us-army-corps-of-engineers-and-bureau-of-reclamation-a-joint-commitment-to-the/">https://www.usace.army.mil/Media/News-Releases/News-Releases/News-Release-Article-View/Article/2072666/us-army-corps-of-engineers-and-bureau-of-reclamation-a-joint-commitment-to-the/</a>.

**U.S. Department of Agriculture. (2021).** Farm Service Agency Crop Acreage Data 2021 Crop Year. Retrieved November 2021. Available from <a href="https://www.fsa.usda.gov/news-room/efoia/electronic-reading-room/frequently-requested-information/crop-acreage-data/index">https://www.fsa.usda.gov/news-room/efoia/electronic-reading-room/frequently-requested-information/crop-acreage-data/index</a>.

**U.S. Army Corps of Engineers. (2021).** 2021 Inland Waterways Infrastructure Report Card. Retrieved November 2021. Available from <a href="https://infrastructurereportcard.org/cat-item/inland-waterways/">https://infrastructurereportcard.org/cat-item/inland-waterways/</a>.

Waterways Council. (2021). Waterways System. Retrieved November 2021. Available from https://www.waterwayscouncil.org/waterways-system.

**U.S. Geological Survey. (2015).** Surface Water Use in the United States. Retrieved November 2021. Available from https://www.usgs.gov/special-topic/water-science-school/science/surface-water-use-united-states.

#### Page 2: Dams Sector Facts

**Metalphoto of Cincinnati. (2021).** The Six Biggest Hydroelectric Plants in America. Retrieved November 2021. Available from <a href="https://www.mpofcinci.com/blog/the-six-biggest-hydroelectric-plants-in-america/">https://www.mpofcinci.com/blog/the-six-biggest-hydroelectric-plants-in-america/</a>.

**U.S. Bureau of Reclamation. (2021).** *Grand Coulee Dam Statistics and Facts.* Retrieved November 2021. Available from <a href="https://www.usbr.gov/pn/grandcoulee/pubs/factsheet.pdf">https://www.usbr.gov/pn/grandcoulee/pubs/factsheet.pdf</a>.

**Dominion Energy. (2021).** Facts About Bath County Pumped Storage Station. Retrieved November 2021. Available from <a href="https://www.dominionenergy.com/projects-and-facilities/hydroelectric-power-facilities-and-projects/bath-county-pumped-storage-station">https://www.dominionenergy.com/projects-and-facilities/hydroelectric-power-facilities-and-projects/bath-county-pumped-storage-station</a>.

Hydro Review. (2019). Hydro Review: Chief Joseph Rehabilitation Highlights Ongoing Potential of Hydropower. Retrieved November 2021. Available from <a href="https://www.hydroreview.com/world-regions/hydro-review-chief-joseph-rehabilitation-highlights-ongoing-potential-of-hydropower/#gref">https://www.hydroreview.com/world-regions/hydro-review-chief-joseph-rehabilitation-highlights-ongoing-potential-of-hydropower/#gref</a>.

Hydro Review. (2020). NYPA announces first milestone of 15-year life extension program for Niagara Power Project. Retrieved November 2021. Available from <a href="https://www.nwd.usace.army.mil/CRSO/Project-Locations/Chief-Joseph/">https://www.nwd.usace.army.mil/CRSO/Project-Locations/Chief-Joseph/</a>.

Andritz. (2021). Hydropower plant John Day Lock and Dam, USA. Retrieved November 2021. Available from <a href="https://www.andritz.com/hydro-en/hydronews/hy-hydro-news-30/hy-news-30-05-john-day-lock-dam-usa-hydro">https://www.andritz.com/hydro-en/hydronews/hy-hydro-news-30/hy-news-30-05-john-day-lock-dam-usa-hydro</a>.

**U.S. Bureau of Reclamation. (2021).** Hoover Dam Frequently Asked Questions and Answers. Retrieved November 2021. Available from <a href="https://www.usbr.gov/lc/hooverdam/faqs/powerfaq.html">https://www.usbr.gov/lc/hooverdam/faqs/powerfaq.html</a>.

U.S. Army Corps of Engineers. (2018). National Inventory of Dams. Retrieved November 2021. Available from https://nid.usace.army.mil/.

#### Page 3: Dams

U.S. Army Corps of Engineers. (2018) National Inventory of Dams. Retrieved November 2021. Available from https://nid.sec.usace.army.mil.

**U.S. Army Corps of Engineers. (2019).** Value to the Nation Fast Facts. Retrieved November 2021. Available from <a href="https://usace.contentdm.oclc.org/utils/getfile/collection/p16021coll2/id/6691">https://usace.contentdm.oclc.org/utils/getfile/collection/p16021coll2/id/6691</a>.

Association of State Dam Officials. (2021). Dams 101. Retrieved November 2021. Available from https://www.damsafety.org/dams101.

#### **Page 4: Navigation Locks**

U.S. Department of Agriculture. (2019). Importance of Inland Waterways to U.S. Agriculture. Retrieved November 2021. Available from <a href="https://www.ams.usda.gov/services/transportation-analysis/inland-waterways-report">https://www.ams.usda.gov/services/transportation-analysis/inland-waterways-report</a>.

Harvest Public Media. (2021). Barge Industry May Be a Mixed Bag For The Green Energy Movement. Retrieved November 2021. Available from <a href="https://www.harvestpublicmedia.org/post/barge-industry-may-be-mixed-bag-green-energy-movement">https://www.harvestpublicmedia.org/post/barge-industry-may-be-mixed-bag-green-energy-movement</a>.

Waterways Council. (2020). Waterways System. Retrieved November 2021. Available from https://www.waterwayscouncil.org/waterways-system.

American Society of Civil Engineers. (2017). Infrastructure Report Card, Inland Waterways. Retrieved November 2021. Available from https://www.infrastructurereportcard.org/wp-content/uploads/2017/01/Inland-Waterways-Final.pdf.

#### Page 5: Levees

American Society of Civil Engineers. (2021). Infrastructure Report Card, Levees. Retrieved November 2021. Available from https://infrastructurereportcard.org/wp-content/uploads/2017/01/Levees-2021.pdf

U.S. Army Corps of Engineers. (2019). National Levee Database. Retrieved November 2021. Available from https://levees.sec.usace.army.mil.

#### Page 6: Role of Government in Dam Safety and Security

American Society of Civil Engineers. (2021). Infrastructure Report Card, Dams. Retrieved November 2021. Available from https://infrastructurereportcard.org/wp-content/uploads/2020/12/Dams-2021.pdf.

U.S. Army Corps of Engineers. (2019). State of the Infrastructure. Retrieved November 2021. Available from https://www.publications.usace.army.mil/Portals/76/Users/182/86/2486/EP%2025-1-117.pdf?ver=2020-02-03-104720-787.

**National Park Service. (2021).** *Mission of the Bureau of Reclamation*. Retrieved November 2021. Available from <a href="https://www.nps.gov/articles/3-mission-of-the-bureau-of-reclamation.htm">https://www.nps.gov/articles/3-mission-of-the-bureau-of-reclamation.htm</a>.

**Mine Safety and Health Administration. (2021).** Safety Topic: Impoundments and Dams. Retrieved November 2021. Available from <a href="https://www.msha.gov/training-education/safety-and-health-materials/safety-topic-impoundments-and-dams">https://www.msha.gov/training-education/safety-and-health-materials/safety-topic-impoundments-and-dams</a>.

International Boundary and Water Commission. (2020). Strategic Plan Fiscal Years 2021-2025. Retrieved November 2021. Available from <a href="https://www.ibwc.gov/Files/USIBWC\_Strategic\_Plan\_2021-2025.pdf">https://www.ibwc.gov/Files/USIBWC\_Strategic\_Plan\_2021-2025.pdf</a>.

Association of State Dam Safety Officials. (2021). Dams 101. Retrieved November 2021. Available from https://damsafety.org/dams101.

U.S. Army Corps of Engineers. (2018) National Inventory of Dams. Retrieved November 2021. Available from https://nid.sec.usace.army.mil.