# Infectious Diseases Society of America Guidelines on the Treatment and Management of Patients with COVID-19

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# **Abstract**

**Background:** There are many pharmacologic therapies that are being used or considered for treatment of coronavirus disease 2019 (COVID-19). There is a need for frequently updated practice guidelines on their use, based on critical evaluation of rapidly emerging literature.

**Objective:** There are many pharmacologic therapies that are being used or considered for treatment of COVID-19. There is a need for frequently updated practice guidelines on their use, based on critical evaluation of rapidly emerging literature.

**Methods:** In March 2020, the Infectious Diseases Society of America (IDSA) formed a multidisciplinary guideline panel of infectious disease clinicians, pharmacists, and methodologists with varied areas of expertise. The process followed a rapid recommendation checklist. The panel prioritized questions and outcomes. Then a systematic review of the peer-reviewed and grey literature was conducted. The Grading of Recommendations Assessment, Development and Evaluation (GRADE) approach was used to assess the certainty of evidence and make recommendations.

**Results:** On April 11, 2020, <u>IDSA released online</u> initial treatment recommendations and narrative summaries of other treatments under evaluation. Since that time, the guideline panel and methodologists have continued to monitor the literature and issue updates and addendums to these guidelines in response to evolving research.

**Conclusions:** Since the inception of its work, the panel has expressed the overarching goal that patients be recruited into ongoing trials, which would provide much needed evidence on the efficacy and safety of various therapies for COVID-19, given that we could not make a determination whether the benefits outweigh harms for most treatments.

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# **Executive Summary**

Coronavirus disease 2019 (COVID-19) is a pandemic with a rapidly increasing incidence of infections and deaths. Many pharmacologic therapies are being used or considered for treatment. Given the rapidity of emerging literature, the Infectious Diseases Society of America (IDSA) identified the need to develop living, frequently updated evidence-based guidelines to support patients, clinicians and other health-care professionals in their decisions about treatment and management of patients with COVID-19.

Summarized below are the recommendations with comments related to the clinical practice guideline for the treatment and management of COVID-19. A detailed description of background, methods, evidence summary and rationale that support each recommendation, and research needs can be found online in the full text. In brief, per Grading of Recommendations Assessment, Development and Evaluation (GRADE) methodology, recommendations are labeled as "strong" or "conditional". The word "recommend" indicates strong recommendations and "suggest" indicates conditional recommendations. In situations where promising interventions were judged to have insufficient evidence of benefit to support their use and with potential appreciable harms or costs, the expert panel recommended their use in the context of a clinical trial. These recommendations acknowledge the current "knowledge gap" and aim at avoiding premature favorable recommendations for potentially ineffective or harmful interventions.

**Recommendation 1:** Among patients with COVID-19, the IDSA guideline panel recommends against hydroxychloroquine. (Strong recommendation, Moderate certainty of evidence)

Remark: Chloroquine is considered to be class equivalent to hydroxychloroquine.

**Recommendation 2:** Among hospitalized patients with COVID-19, the IDSA guideline panel recommends against hydroxychloroquine plus azithromycin. (Strong recommendation, Low certainty of evidence)

• Remark: Chloroquine is considered to be class equivalent to hydroxychloroquine.

**Recommendation 3:** Among hospitalized patients with COVID-19, the IDSA guideline panel recommends against the use of the combination lopinavir/ritonavir. (Strong recommendation, Moderate certainty of evidence)

**Recommendation 4**: Among hospitalized critically ill patients\* with COVID-19, the IDSA guideline panel recommends dexamethasone rather than no dexamethasone. (Strong recommendation, Moderate certainty of evidence)

Remark: If dexamethasone is unavailable, equivalent total daily doses of alternative glucocorticoids may be used. Dexamethasone 6 mg IV or PO for 10 days (or until discharge) or equivalent glucocorticoid dose may be substituted if dexamethasone unavailable. Equivalent total daily doses of alternative glucocorticoids to dexamethasone 6 mg daily are methylprednisolone 32 mg and prednisone 40 mg.

\*Critical illness is defined as patients on mechanical ventilation and extracorporeal mechanical oxygenation (ECMO). Critical illness includes end organ dysfunction as is seen in sepsis/septic shock. In COVID-19, the most commonly reported form of end organ dysfunction is ARDS

**Recommendation 5:** Among hospitalized patients with severe\*\*, but non-critical, COVID-19, the IDSA guideline panel suggests dexamethasone rather than no dexamethasone. (Conditional recommendation, Moderate certainty of evidence)

Remark: Dexamethasone 6 mg IV or PO for 10 days (or until discharge) or equivalent
glucocorticoid dose may be substituted if dexamethasone unavailable. Equivalent total
daily doses of alternative glucocorticoids to dexamethasone 6 mg daily are
methylprednisolone 32 mg and prednisone 40 mg.

\*\*Severe illness is defined as patients with SpO<sub>2</sub> ≤94% on room air, including patients on supplemental oxygen.

**Recommendation 6:** Among hospitalized patients with non-severe\*\*\* COVID-19 without hypoxemia requiring supplemental oxygen, the IDSA guideline panel suggests against the use of glucocorticoids. (Conditional recommendation, Low certainty of evidence)

\*\*\*Non-severe illness is defined as patient with a  $SpO_2 > 94\%$  not requiring supplemental oxygen.

**Recommendation 7:** Among hospitalized adults with progressive severe\* or critical\*\* COVID-19 who have elevated markers of systemic inflammation, the IDSA guideline panel suggests tocilizumab in addition to standard of care (i.e., steroids) rather than standard of care alone. (Conditional recommendation, Low certainty of evidence)

#### Remarks:

- Patients, particularly those who respond to steroids alone, who put a high value on avoiding possible adverse events of tocilizumab and a low value on the uncertain mortality reduction, would reasonably decline tocilizumab.
- In the largest trial on the treatment of tocilizumab, criterion for systemic inflammation was defined as CRP ≥75 mg/L.

## **Severity definitions:**

\*Severe illness is defined as patients with SpO<sub>2</sub> ≤94% on room air, including patients on supplemental oxygen.

\*\*Critical illness is defined as patients on mechanical ventilation and ECMO. Critical illness includes end organ dysfunction as is seen in sepsis/septic shock. In COVID-19, the most commonly reported form of end organ dysfunction is ARDS.

**Recommendation 8:** Among patients hospitalized with COVID-19, the IDSA guideline panel suggests against COVID-19 convalescent plasma. (Conditional recommendation, Low certainty of evidence)

**Recommendation 9:** Among ambulatory patients with mild-to-moderate COVID-19, the IDSA guideline panel recommends COVID-19 convalescent plasma only in the context of a clinical trial. (Knowledge gap)

**Recommendation 10:** In hospitalized patients with severe\* COVID-19, the IDSA panel suggests remdesivir over no antiviral treatment. (Conditional recommendation, Moderate certainty of evidence)

\*Severe illness is defined as patients with SpO<sub>2</sub> ≤94% on room air, and those who require supplemental oxygen, mechanical ventilation, or ECMO.

**Recommendation 11**: In patients on supplemental oxygen but not on mechanical ventilation or ECMO, the IDSA panel suggests treatment with five days of remdesivir rather than 10 days of remdesivir. (Conditional recommendation, Low certainty of evidence)

**Recommendation 12:** In patients with COVID-19 admitted to the hospital without the need for supplemental oxygen and oxygen saturation >94% on room air, the IDSA panel suggests against the routine use of remdesivir. (Conditional recommendation, Very low certainty of evidence)

**Recommendation 13**: Among hospitalized patients with severe COVID-19, the IDSA panel suggests against famotidine use for the sole purpose of treating COVID-19 outside of the context of a clinical trial. (Conditional recommendation, Very low certainty of evidence)

**Recommendation 14:** Among ambulatory patients with mild to moderate COVID-19 at high risk for progression to severe disease, the IDSA guideline panel suggests bamlanivimab/etesevimab or casirivimab/imdevimab rather than no neutralizing antibodies. (Conditional recommendation, low certainty of evidence)

#### • Remarks:

- Patients with mild to moderate COVID-19 who are at high risk of progression to severe disease admitted to the hospital for reasons other than COVID-19 may also receive bamlanivimab/etesevimab or casirivimab/imdevimab.
- Local variant susceptibility may be considered in the choice of the most appropriate neutralizing antibody therapy.
- There are limited data on efficacy of bamlanivimab/etesevimab or casirivimab/imdevimab in high-risk patients between 12 and 18 years of age.

**Recommendation 15:** Among hospitalized patients with severe COVID-19, the IDSA guideline panel recommends against bamlanivimab monotherapy. (Strong recommendation, Moderate certainty of evidence)

**Recommendation 16:** Among hospitalized patients with severe\* COVID-19 who cannot receive corticosteroids because of a contraindication, the IDSA guideline panel suggests use of baricitinib with remdesivir rather than remdesivir alone. (Conditional recommendation, Low certainty of evidence)

 Remark: Baricitinib 4 mg daily dose for 14 days (or until hospital discharge). The benefits of baricitinib plus remdesivir for persons on mechanical ventilation are uncertain. See the remdesivir section for dose and duration.

\*Severe illness is defined as patients with  $SpO_2 \le 94\%$  on room air, including patients on supplemental oxygen, oxygen through a high-flow device, or non-invasive ventilation.

**Recommendation 17:** Among hospitalized patients with COVID-19, the IDSA guideline panel recommends treatment with baricitinib plus remdesivir plus corticosteroids only in the context of a clinical trial. (Knowledge gap)

**Recommendation 18:** In hospitalized patients with severe COVID-19, the IDSA panel suggests against ivermectin use outside of the context of a clinical trial. (Conditional recommendation, very low certainty of evidence)

**Recommendation 19:** In outpatients with COVID-19, the IDSA panel suggests against ivermectin use outside of the context of a clinical trial. (Conditional recommendation, very low certainty of evidence)

Since the inception of its work, the panel has expressed the overarching goal that patients be recruited into ongoing trials, which would provide much needed evidence on the efficacy and safety of various therapies for COVID-19. The panel has determined that when an explicit trade-off between highly uncertain benefits and known putative harms of these therapeutic agents were considered, a net positive benefit was not reached and could possibly be negative (risk of excess harm). The panel acknowledges that enrolling patients in randomized controlled trials (RCTs) might not be feasible for many frontline providers due to limited access and infrastructure. Should lack of access to clinical trials exist, we encourage setting up local or collaborative registries to systematically evaluate the efficacy and safety of

drugs to contribute to the knowledge base. Each clinician can play a role in advancing our understanding of this disease through a local registry or other data collection efforts.

# Background

The first cases of COVID-19 were reported from Wuhan, China in early December 2019 [1], now known to be caused by a novel beta-coronavirus, named as Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2). Within a span of months, COVID-19 has become pandemic due to its transmissibility, spreading across continents with the number of cases and deaths rising daily [2]. Although most infected individuals exhibit a mild illness (80%+), 14% have serious and 5% have critical illness. Approximately 10% will require hospital admission due to COVID-19 pneumonia, of which approximately 10% will require ICU care, including invasive ventilation due to acute respiratory distress syndrome (ARDS) [3]. While mortality appears to be more common in older individuals and those with comorbidities, such as chronic lung disease, cardiovascular disease, hypertension and diabetes, young people with no comorbidities also appear to be at risk for critical illness including multi-organ failure and death.

There has been an expanding number of studies rapidly published online and in academic journals; however, some of these may be of limited quality and are pre-published without sufficient peer-review. Critical appraisal of the existing studies is needed to determine if the existing evidence is sufficient to support currently proposed management strategies.

Given the rapid global spread of SARS-CoV-2 and the difficulty for the overburdened front-line providers and policymakers to stay up to date on emerging literature, IDSA has recognized the necessity of developing a rapid guideline for the treatment of COVID-19. The guideline panel is using a methodologically rigorous process for evaluating the best available evidence and providing treatment recommendations. Two additional guidelines on diagnostic testing and infection prevention also have been developed. These guidelines will be frequently updated as substantive literature becomes available and are accessible on an easy to navigate web and device interface at <a href="http://www.idsociety.org/covid19guidelines">http://www.idsociety.org/covid19guidelines</a>.

There continue to be several ongoing trials evaluating therapeutic agents for the treatment of COVID-19. As data becomes available from these trials and if there is a preponderance of evidence to suggest the use of a therapeutic agent even in the context of clinical trials is no longer warranted it will be removed from future updates of the guideline (and the removal will be noted in the updated guidelines). If there is emerging evidence on the efficacy or safety of a therapeutic agent not mentioned in the current version of the guideline it will be included in future updates of the guideline.

These recommendations are intended to inform patients, clinicians, and other health professionals by providing the latest available evidence.

# **Methods**

This guideline was developed using the GRADE approach for evidence assessment. In addition, given the need for an urgent response to a major public health crisis, the methodological approach was modified according to the Guidelines International Network/McMaster checklist for the development of rapid recommendations [4].

# Panel composition

The initial guideline panel assembled in March 2020 was composed of nine members including infectious diseases specialists as well as experts in public health as well as other front-line clinicians, specializing in pharmacology, pediatrics, medical microbiology, preventive care, critical care, hepatology, nephrology and gastroenterology. Organizational representatives were included from the Society for Healthcare Epidemiology of America (SHEA), and the Pediatric Infectious Diseases Society (PIDS). In May 2020, an additional panel member was included as a representative from the Society of Infectious Diseases Pharmacists (SIDP). The Evidence Foundation provided technical support and guideline methodologists for the development of this guideline.

# Disclosure and Management of Potential Conflicts of Interest

The conflict of interest (COI) review group for this guideline includes two representatives from IDSA who are responsible for reviewing, evaluating and approving all disclosures. All members of the expert panel have complied with the COI process for reviewing and managing conflicts of interest, which requires disclosure of any financial, intellectual, or other interest that might be construed as constituting an actual, potential, or apparent conflict, regardless of relevancy to the guideline topic. The assessment of disclosed relationships for possible COI is based on the relative weight of the financial relationship (i.e., monetary amount) and the relevance of the relationship (i.e., the degree to which an association might reasonably be interpreted by an independent observer as related to the topic or recommendation of consideration). The COI review group has ensured that the majority of the panel and chair is without potential relevant (related to the topic) conflicts for the duration of their term on the panel. The chair and all members of the technical team have been determined to be unconflicted.

# Question generation

Clinical questions included in this guideline were developed into a PICO format (Population, Intervention, Comparison, Outcomes) [5] and prioritized according to available evidence that met the minimum acceptable criteria (i.e., the body of evidence reported on at least a case-series design, case reports were excluded). Panel members prioritized patient-important outcomes such as mortality, development of ARDS (need for non-invasive or invasive ventilation) and clinical improvement (such as disease-oriented outcomes inferred by radiological findings or virologic cure), and severe adverse events (SAE) leading to treatment discontinuation. Serious adverse events are death, life threatening reactions, those that require hospitalization, result in disability or permanent damage or require an intervention to prevent permanent impairment [6]. Additional drug specific harms were evaluated when clinically relevant, including possible drug-drug reactions, if applicable.

# Search strategy

The National Institute for Health and Care Excellence (NICE) highly-sensitive search was reviewed by the methodologist in consultation with the technical team information specialist and was determined to have high sensitivity [7]. An additional term, COVID, was added to the search strategy used in addition to the treatment terms identified in the PICO questions (**Table s1**). Ovid Medline and Embase were searched from 2019 through September 18, 2020. Horizon scans have been performed regularly during the evidence assessment and recommendation process to locate additional grey literature and manuscript pre-prints. Reference lists and literature suggested by panelists were reviewed for inclusion. No restrictions were placed on language or study type.

# Screening and study selection

Two reviewers independently screened titles and abstracts, as well as eligible full-text studies. When acceptable RCTs of effectiveness were found, no additional non-randomized studies or non-comparative evidence (i.e., single-arm case series) were sought. Evidence from single arm studies reporting on non-comparative rates of outcomes of interest were included if a historical control event rate could be estimated from the literature. Reviewers extracted relevant information into a standardized data extraction form.

For several interventions, no direct evidence was available other than case reports or mechanistic considerations. The panel either decided to include plausible indirect evidence and make a recommendation (e.g., from studies of SARS-CoV) or to provide a short narrative discussion of the intervention.

# Data collection and analysis

Data extracted from the available evidence included: mortality, clinical progression or improvement as reported in the studies, virologic clearance, and adverse events. Where applicable, data were pooled using random effects model (fixed effects model for two or fewer trials or pooling of rates) using RevMan [8].

## Risk of bias and certainty of evidence

Risk of bias was assessed using the Cochrane Risk of Bias Tool for RCTs and the Risk of Bias Instrument for Non-randomized Studies — of Interventions (ROBINS-I) [9, 10]. The certainty of evidence was assessed using the GRADE approach [11]. Within GRADE, the body of evidence across each outcome is assessed for domains that may reduce or increase one's certainty in the evidence. Factors that may reduce one's certainty include risk of bias (study limitations), inconsistency (unexplained heterogeneity across study findings), indirectness (applicability or generalizability to the research question), imprecision (the confidence in the estimate of an effect to support a particular decision) or publication bias (selective publication of studies). One's certainty in the evidence may be strengthened if the following considerations are present: large or very large magnitude of effect, evidence of a dose-response gradient, or opposing residual confounding. GRADE summary of findings tables were developed in GRADEpro Guideline Development Tool [12].

As higher quality direct evidence for clinical outcomes becomes available, outcomes previously deemed critical by the panel became less important for decision-making. For example, at the time of the first guideline, clinical improvement outcomes (e.g., need for mechanical ventilation) were not reported, only the results of radiographic findings. However, with the recent publication of RCTs and non-randomized studies reporting on direct measures of clinical improvement, results of radiographic studies were deemed to be less critical for decision making.

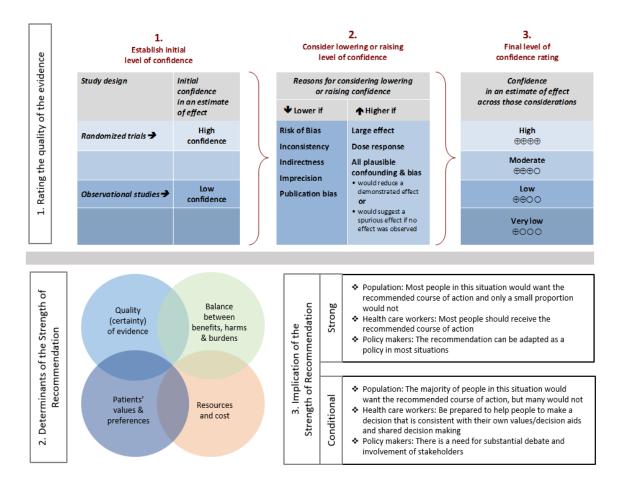
## Evidence to recommendations

The panel considered core elements of the GRADE evidence in the decision process, including Certainty of evidence and balance between desirable and undesirable effects.

Additional domains were acknowledged where applicable (feasibility, resource use, acceptability). For all recommendations, the expert panelists reached consensus. Voting rules were agreed on prior to the panel meetings for situations when consensus could not be reached.

As per GRADE methodology, recommendations are labeled as "strong" or "conditional". The words "we recommend" indicate strong recommendations and "we suggest" indicate conditional recommendations. Figure 1 provides the suggested interpretation of strong and weak recommendations for patients, clinicians, and healthcare policymakers. For recommendations where the comparators are not formally stated, the comparison of interest is implicitly referred to as "not using the intervention". These recommendations acknowledge the current "knowledge gap" and aim at avoiding premature favorable recommendations for their use and to avoid encouraging the rapid diffusion of potentially ineffective or harmful interventions. Detailed suggestions about the specific research questions that should be addressed are found in the table (see Table s2).

**Figure 1**. Approach and implications to rating the quality of evidence and strength of recommendations using the GRADE methodology (unrestricted use of the figure granted by the U.S. GRADE Network)



# Review process

This guideline has been rapidly reviewed and approved by the IDSA Board of Directors Executive Committee external to the guideline development panel. SHEA has reviewed and provided endorsement of its contents.

# Updating process and terminology

Regular, frequent screening of the literature will take place to determine the need for revisions based on the likelihood that any new data will have an impact on the recommendations. When necessary, the entire expert panel is reconvened to discuss potential changes.

Changes to these guidelines will fall into one of two categories: update or amendment. An update involves a search for new studies, and if any new studies are found, they will be critically appraisal and the pertinent section will be removed and replaced with the updated section. An amendment involves a change or correction to the document, without any search for new studies and their appraisal. It will also involve changes made to clarify or explain a section based on "living" feedback from the readers.

Guideline revisions may result in major, minor, or "patch" version changes, defined as follows:

- Major version (e.g., 1.0.0): Synonymous with a newly published version in the journal. This
  is usually called a "breaking version", i.e., prior recommendations may not be valid
  anymore.
- Minor version (e.g., 1.1.0): Includes new information, maybe even added PICOs, but not a
  breaking version, i.e., existing recommendations are still valid, although new
  recommendations may be available.
- Patch version (e.g., 1.0.1): Small changes, i.e., typos, adding words, removing words, but there are no material changes to the document or changes in recommendations.

# Results

Systematic review and horizon scan of the literature identified 2030 references of which 48 informed the evidence base for these recommendations (**Figure s1**). Characteristics of the included studies can be found in the **supplementary materials**.

Hydroxychloroquine/Chloroquine; Hydroxychloroquine/Chloroquine plus Azithromycin

Section last reviewed and updated 12/23/2020

Last literature search conducted 12/14/2020

Recommendation 1: Among hospitalized patients with COVID-19, the IDSA guideline panel recommends against hydroxychloroquine\*. (Strong recommendation, Moderate certainty of evidence)

• Remark: Chloroquine is considered to be class equivalent to hydroxychloroquine.

Recommendation 2: Among hospitalized patients with COVID-19, the IDSA guideline panel recommends against hydroxychloroquine\* plus azithromycin. (Strong recommendation, Low certainty of evidence)

• Remark: Chloroquine is considered to be class equivalent to hydroxychloroquine.

Why are hydroxychloroquine and hydroxychloroquine plus azithromycin considered for treatment?

Hydroxychloroquine (HCQ) and chloroquine are 4-aminoquinoline drugs developed in the mid-20<sup>th</sup> century for the treatment of malaria [13]. Hydroxychloroquine differs from chloroquine only in the addition of a hydroxyl group and is associated with a lower incidence of adverse effects with chronic use [13]. Both drugs have been used in the treatment of

autoimmune diseases because of their immunomodulatory effects on several cytokines, including interleukin-1 (IL-1) and IL-6 [13]. There is some evidence that these drugs also have antiviral properties against many different viruses, including the coronaviruses [14, 15]. They have demonstrated *in vitro* activity against SARS-CoV-2, which range considerably between studies, but are generally within the range of predicted achievable tissue concentrations [14, 16-18]. The *in vitro* activity, the extensive use for other conditions, and widespread availability of generic versions of the drug made it an attractive option for treatment of COVID-19. Interest in combinations of HCQ with azithromycin (AZ) began when investigators in a small, uncontrolled study of hydroxychloroquine use for COVID-19 noticed a higher frequency of patients achieving virologic response in the six subjects who received AZ to prevent bacterial infection [19]. Azithromycin, widely utilized as an antibacterial agent, has also been shown to have *in vitro* antiviral activity against a variety of ribonucleic acid viruses [20-22]. While the exact mechanism of antiviral activity is unknown, possibilities include inhibiting endocytosis and limiting viral replication [23] and the induction of interferon [22, 24]. Macrolides have also been shown to have anti-inflammatory activity [25, 26].

## Summary of the evidence

Our search identified eight RCTs and seven comparative cohort studies of hospitalized patients with confirmed COVID-19 treated with HCQ with reported mortality, clinical progression or clinical improvement, and adverse events outcomes [27-41] (**Table s3a**) (**Table 1**).

In addition, we identified two RCTs, four comparative cohort studies, one case-control study, and three single-arm studies reporting adjusted analyses of hospitalized patients with confirmed COVID-19 treated with HCQ plus AZ with reported mortality, failure of virologic clearance (assessed with polymerase chain reaction [PCR] test), clinical improvement, and adverse events (i.e., significant QT prolongation leading to treatment discontinuation) [19, 27, 28, 37, 39, 41-45] (Table s3b) (Table 2).

## Benefits

## Hydroxychloroquine

Five RCTs showed a trend toward mortality among patients with COVID-19 treated with HCQ compared to those who were not (relative risk [RR]: 1.08; 95% confidence interval [CI]: 0.99, 1.19, Moderate certainty in the evidence) (Table 1) [28, 29, 33].

## Hydroxychloroquine + Azithromycin

One RCT could not exclude the risk of in-hospital mortality among patients treated with HCQ+AZ compared to those not receiving HCQ or HCQ+AZ (hazard ratio [HR]: 0.64; 95% CI: 0.18, 2.21; Low certainty of evidence [CoE]) [28]. Three non-randomized studies failed to identify an association between treatment with HCQ+AZ and mortality: Ip reported an adjusted HR of 0.98 (95% CI: 0.75, 1.28); Magagnoli reported an adjusted HR in a subset after propensity score adjustment of 0.89 (95% CI: 0.45, 1.77); Rosenberg 2020 reported an adjusted HR of 1.35 (95% CI: 0.79, 2.40) [37, 39, 41]. As stated in the HCQ section, one non-randomized study reported a reduction in mortality among patients receiving HCQ+AZ (HR: 0.29; 95% CI: 0.22, 0.40); however, it failed to adjust for the critical confounder of disease severity and imbalances in steroid use [27]. As described in the HCQ section, similar methodologic concerns exist among patients allocated to HCQ+AZ in the Arshad study, leading to several sources of bias in interpreting their favorable results.

### Harms

### Hydroxychloroquine

One RCT reported that persons treated with HCQ experienced a longer time until hospital discharge (median 16 days compared with 13 days) and lower probability of being discharged alive within the 28-day study period (rate ratio: 0.92; 95% CI: 0.85, 0.99) [29]. In addition, persons treated with HCQ who were not on mechanical ventilation at baseline were more likely to be placed on mechanical ventilation during follow up (rate ratio: 1.10; 95% CI: 0.92, 1.31; Low CoE) [29, 32]. Across the body of evidence from four RCTs, treatment with HCQ may increase the risk of experiencing adverse events (RR: 2.36; 95% CI: 1.49, 3.75; Low CoE) and severe adverse events (adjusted odds ratio: 1.26; 95% CI: 0.56, 2.84; Low CoE) [28, 30, 31,

35]. One RCT and two non-randomized studies suggest increased risk of QT prolongation among patients treated with HCQ compared to those not receiving HCQ (RR: 8.47; 95% CI: 1.14, 63.03; Low CoE and RR: 2.89; 95% CI: 1.62, 5.16; Very low CoE, respectively) [28, 38, 39]. In addition, Rosenberg 2020 reported 16% of patients in the HCQ arm experienced arrhythmias compared with 10% in the non-HCQ arm (RR: 1.56; 95% CI: 0.97, 2.50; Very low CoE).

Gastrointestinal side effects occurred in 7% of patients in a prospective cohort study in 224 COVID-19 uninfected patients with systemic lupus erythematosus (SLE) who received either chloroquine or hydroxychloroquine for routine care [46].

While the 4-aminoquinolines, chloroquine and HCQ, have not been demonstrated to cause hemolysis in people with glucose-6-phosphate dehydrogenase (G6PD) deficiency [47, 48], case reports of hemolysis have emerged when these agents have been used for the treatment of COVID-19 [49-51]. It is possible that infection with SARS-CoV-2 may trigger hemolysis in G6PD deficient individuals in the absence of a 4-aminoquinolone. Caution should be exercised in administering these agents to G6PD deficient individuals with COVID-19, particularly if used for extended durations.

Renal clearance accounts for 15-25% of total clearance of HCQ; however, dose adjustments are not recommended with kidney dysfunction. Chloroquine and HCQ are metabolized by cytochrome P450 isoenzymes 2C8, 2D6, and 3A4 [52]. Therefore, inhibitors and inducers of these enzymes may result in altered pharmacokinetics of these agents.

## <u>Hydroxychloroquine + Azithromycin</u>

One RCT suggests increased risk of QT prolongation among patients treated with HCQ+AZ compared to those not receiving HCQ (RR: 8.50; 95% CI: 1.16, 62.31; Low CoE) [28]. Two studies described significant QT prolongation in 10 of 95 patients treated with HCQ+AZ, illustrating the high risk for clinically relevant arrhythmias with this treatment [43, 45]. In addition, several case reports of QT prolongation related to HCQ have also been published [53-56]. A case-control study of persons with COVID-19 treated with HCQ+AZ compared to healthy, untreated controls reported higher values of minimum (415 vs. 376 ms), mean (453 vs. 407 ms)

and maximum QTc-interval (533 vs. 452 ms) among COVID-19 cases (n=22) compared to controls (n=34) [42].

Additional case reports have cited the risk of a prolonged QT prolongation, torsades de pointes, and ventricular tachycardia in patients without COVID-19 receiving AZ alone. In a large cohort study, patients taking a five-day course of AZ had an increased risk of sudden cardiac death with a HR of 2.71 (1.58-4.64) vs. 0.85 (0.45-1.60), compared to patients receiving either no antibiotic or amoxicillin, respectively [57]. Given the cumulative effect on cardiac conduction seen with HCQ and AZ, if this combination was used, baseline and follow-up electrocardiogram (ECG) monitoring would be indicated, as well as careful surveillance for other concomitant medications known to prolong the QT interval.

Azithromycin has a low risk for cytochrome P450 interactions [58]; however, additional pharmacologic adverse events including gastrointestinal effects and QT prolongation need to be carefully considered, particularly in the outpatient setting where frequent ECG monitoring is not feasible.

Providers are encouraged to visit resources such as <a href="https://www.covid19-druginteractions.org/">https://www.covid19-druginteractions.org/</a> to aid in the evaluation and management of drug interactions with current and emerging investigational agents for COVID-19.

#### Other considerations

The panel agreed that the overall certainty of evidence against treatment with HCQ was moderate due to concerns with imprecision around the risk for a trend towards harms from increased mortality. When considering the addition of AZ, the overall certainty of the evidence was low; however, the panel recognized even greater concern with the toxicity. In addition, based on the moderate certainty of increased QT prolongation, the panel determined that this demonstrated certain harm with uncertain benefit; therefore, the panel made a strong recommendation against HCQ+AZ.

Conclusions and research needs for this recommendation

The guideline panel recommends against the use of either HCQ alone or in combination with AZ in the hospital setting as higher certainty benefits (e.g., mortality reduction) are now highly unlikely even if additional high quality RCTs would become available.

This recommendation does not address the use of azithromycin for secondary bacterial pneumonia in patients with COVID-19 (**Table s2**).

Table 1. GRADE evidence profile, Recommendation 1

Question: Hydroxychloroquine compared to no hydroxychloroquine for hospitalized patients with COVID-19

Last reviewed and updated 12/23/2020

Certainty assessment							Nº of patients	S	E	ffect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	Hydroxychloroquine	no HCQ	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality	(RCTs) (follow	up: range	e 22 days to 49 da	ıys)								
5 1,2,3,4,5	randomized trials	not serious a	not serious	not serious <sup>b</sup>	serious <sup>c</sup>	none	561/2976 (18.9%)	908/4532 (20.0%)	<b>RR 1.08</b> (0.99 to 1.19)	16 more per 1,000 (from 2 fewer to 38 more)	⊕⊕⊕⊖ MODERATE	CRITICAL
Clinical st	tatus (assesse	d with: 7-	point scale; highe	er signifies wors	sening severity	)						
12	randomized trials	serious d	not serious	not serious	serious <sup>e</sup>	none	159	173	-	median 1.21 higher (0.69 higher to 2.11 higher)	$\bigoplus_{i=1}^{N} \bigcup_{j=1}^{N} \bigcup_{i=1}^{N} \bigcup_{j=1}^{N} \bigcup_{j=1}^{N} \bigcup_{i=1}^{N} \bigcup_{j=1}^{N} \bigcup_{j=1}^{N} \bigcup_{j=1}^{N} \bigcup_{i=1}^{N} \bigcup_{j=1}^{N} \bigcup_{j$	CRITICAL
Progressi	on to invasive	mechani	cal ventilation					•				
2 1,3	randomized trials	serious f	not serious	not serious	serious <sup>c</sup>	none	193/2162 (8.9%)	281/3447 (8.2%)	RR 1.10 (0.92 to 1.31)	8 more per 1,000 (from 7 fewer to 25 more)	ФФСО	CRITICAL
Arrhythm	ias				ı			<u> </u>				
1 <sup>6</sup>	observational studies	very serious g	not serious	not serious	very serious <sub>e,h</sub>	none	44/271 (16.2%)	23/221 (10.4%)	<b>RR 1.56</b> (0.97 to 2.50)	58 more per 1,000 (from 3 fewer to 156 more)	⊕⊖⊖⊖ VERY LOW	CRITICAL

Adverse events, any

## Last updated April 14, 2021 and posted online at www.idsociety.org/COVID19guidelines. Please check website for most updated version of these guidelines.

			Certainty as	sessment		№ of patients	<b>№</b> of patients Effect						
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	Hydroxychloroquine	no HCQ	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance	
4 2,7,8,9	randomized trials	serious <sup>i</sup>	not serious	not serious	serious <sup>e</sup>	none	94/315 (29.8%) <sup>j</sup>	18/176 (10.2%) <sup>k</sup>	<b>RR 2.36</b> (1.49 to 3.75)	139 more per 1,000 (from 50 more to 281 more)	ФФОО LOW	IMPORTANT	
Severe Al	Severe AEs (assessed with: untoward medical event leading to death, a life-threatening experience, prolongation of hospitalization, or persistent or significant disability or incapacity)												
14	randomized trials	not serious	not serious	not serious	very serious <sup>e</sup>	none	14/242 (5.8%)	11/237 (4.6%)	OR 1.26 (0.56 to 2.84) <sup>1</sup>	11 more per 1,000 (from 20 fewer to 75 more)	ФФОО LOW	CRITICAL	
QT prolor	gation (RCTs)												
1 2	randomized trials	not serious	not serious	not serious	very serious <sup>h</sup>	none	13/89 (14.6%)	1/58 (1.7%)	RR 8.47 (1.14 to 63.03)	129 more per 1,000 (from 2 more to 1,000 more)	ФФОО	IMPORTANT	
QT prolor	ngation (NRS)											<u>'</u>	
2 6,10	observational studies	very serious g,m	not serious	not serious	serious <sup>h</sup>	none	46/355 (13.0%)	13/311 (4.2%)	<b>RR 2.89</b> (1.62 to 5.16)	79 more per 1,000 (from 26 more to 174 more)	⊕⊖⊖⊖ VERY LOW	IMPORTANT	

### GRADE Working Group grades of evidence

High certainty: We are very confident that the true effect lies close to that of the estimate of the effect

Moderate certainty: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

Low certainty: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect Very low certainty: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

Risk of bias: Study limitations

Inconsistency: Unexplained heterogeneity across study findings Indirectness: Applicability or generalizability to the research question

Imprecision: The confidence in the estimate of an effect to support a particular decision

Publication bias: Selective publication of studies

CI: Confidence interval; RR: Risk ratio; OR: Odds ratio

#### **Explanations**

- a. Co-interventions were provided to patients in both studies but balanced across arms.
- b. Cavalcanti 2020 excludes persons receiving supplemental oxygen at a rate of more than 4 liters per minute.
- c. The 95% CI cannot exclude the potential for no benefit or harm.
- d. Cavalcanti was an open-label trial.
- e. The 95% CI includes the potential for both benefit and harm. Few events suggest the potential for fragility in the estimate.
- f. Few events suggest the potential for fragility in the estimate.
- g. Concerns with unmeasured and residual confounding. Multiple co-interventions received across arms.
- h. Few events reported do not meet the optimal information size and suggest fragility in the estimate.
- i. Did not report on blinding (including outcome adjudication committee), sequence generation or allocation concealment; Chen J 2020: all patients received nebulized alpha-interferon, 80% vs. 67.7% of subjects received Abidiol in the hydroxychloroquine vs. placebo arm, respectively. Two subjects in the control arm received lopinavir/ritonavir.
- j. Chen J 2020: 4 AEs include diarrhea, fatigue and transient AST elevation. Chen Z 2020: 1 rash, 1 headache. Tang 2020: 21 AEs include disease progression (1%), URI (1%), diarrhea (10%), vomiting (3%).
- k. 3 AEs reported in 2 patients include: AST elevation, creatinine elevation and anemia
- I. aOR: age, sex, baseline COVID Outcome Scale category, baseline Sequential Organ Failure Assessment score, and duration of acute respiratory infection symptoms prior to randomization
- m. Mahevas 2020 does not report on AEs in the comparator arm.

#### References

- 1. RECOVERY Collaborative Group, Horby P, Mafham M, et al. Effect of Hydroxychloroquine in Hospitalized Patients with Covid-19. N Engl J Med 2020; 383(21): 2030-40.
- 2. Cavalcanti AB, Zampieri FG, Rosa RG, et al. Hydroxychloroquine with or without Azithromycin in Mild-to-Moderate Covid-19. N Engl J Med 2020; 383: 2041-52.
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- 9. Tang W, Cao Z, Han M, et al. Hydroxychloroquine in patients with mainly mild to moderate coronavirus disease 2019: open label, randomised controlled trial. bmj 2020; 369: m1849.
- 10. Mahevas M, Tran V-T, Roumier M, et al. No evidence of clinical efficacy of hydroxychloroquine in patients hospitalized for COVID-19 infection with oxygen requirement: results of a study using routinely collected data to emulate a target trial. medRxiv 2020; Available at: <a href="https://doi.org/10.1101/2020.04.10.20060699">https://doi.org/10.1101/2020.04.10.20060699</a> [Preprint 14 April 2020].

## Last updated April 14, 2021 and posted online at www.idsociety.org/COVID19guidelines. Please check website for most updated version of these guidelines.

**Table 2.** GRADE evidence profile, Recommendation 2 **Question**: Hydroxychloroquine and azithromycin compared to no hydroxychloroquine/azithromycin for hospitalized patients with COVID-19 **Last updated 8/20/2020; last reviewed 12/23/2020** 

Certainty assessment							<b>№</b> of pa	tients	Effect			
№ of studie s	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	Hydroxychloroquine and azithromycin	no HCQ/azithromycin	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality (	(RCTs) (follow	up: rang	e 22 days to 49 da	ays)								
1 1	randomized trials	not serious a	not serious	not serious <sup>b</sup>	very serious c,d	none	5/172 (2.9%)	6/173 (3.5%)	HR 0.64 (0.18 to 2.21)	12 fewer per 1,000 (from 28 fewer to 40 more)	ФФОО LOW	CRITICAL
Mortality (	(NRS)	L										
3 2,3,4	observational studies	very serious e	not serious	not serious	serious <sup>d</sup>	none	Three non-randomized streams treated with HCC HR of 0.98 (95% CI: 0.75, subset after propensity so Rosenberg 2020 reported 0.79, 2.40)(Ip, Magagnoli	⊕⊖⊖⊖ VERY LOW	CRITICAL			
Clinical st	atus (assesse	d with: 7-	-point scale, high	er values repres	sent worse clini	cal outcomes)						
11	randomized trials	serious f	not serious	not serious <sup>b</sup>	serious <sup>d,g</sup>	none	172	173	-	MD 0.99 higher (0.57 higher to 1.73 higher)	⊕⊕⊖⊖ Low	CRITICAL

Virologic Failure	(follow up: rang	e 5 days to 6 days	s; assessed with: PCR Test)

2 5,6,7	observational studies	very serious h	serious <sup>i</sup>	serious <sup>j</sup>	serious <sup>c</sup>	none	29/71 (40.8%) <sup>k</sup>	12/12 (100.0%) 1	not estimable		⊕⊖⊖⊖ VERY LOW	IMPORTAN'
	ngation (RCTs)	1										
11	randomized trials	not serious	not serious	serious <sup>m,n</sup>	serious <sup>c</sup>	none	17/116 (14.7%)	1/58 (1.7%)	<b>RR 8.50</b> (1.16 to 62.31)	129 more per 1,000 (from 3 more to 1,000 more)	⊕⊕⊖⊖ Low	IMPORTANT
T prolor	ngation (NRS)											
2 7,8	observational studies	very serious h	not serious	serious <sup>n</sup>	serious <sup>c</sup>	none	10/95 (10.5%) <sup>n</sup>	-	-	-	⊕⊖⊖⊖ VERY LOW	IMPORTANT
Serious a	dverse events				<u> </u>				<u>I</u>			
1 1	randomized trials	serious f	not serious	not serious °	serious <sup>c,d</sup>	none	5/239 (2.1%)	0/50 (0.0%)	RR 2.34 (0.13 to 41.61)	0 fewer per 1,000 (from 0 fewer to 0 fewer)	ФФОО	CRITICAL

High certainty: We are very confident that the true effect lies close to that of the estimate of the effect

Moderate certainty: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

Low certainty: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

Risk of bias: Study limitations

**Inconsistency:** Unexplained heterogeneity across study findings **Indirectness:** Applicability or generalizability to the research question

Imprecision: The confidence in the estimate of an effect to support a particular decision

Publication bias: Selective publication of studies

CI: Confidence interval; HR: Hazard Ratio; RR: Risk ratio

#### **Explanations**

- a. Co-interventions were provided to patients but balanced across arms. Cavalcanti 2020 was open label; however, likely did not influence the outcome of mortality.
- b. Cavalcanti 2020 excludes persons receiving supplemental oxygen at a rate of more than 4 liters per minute.
- c. A very small number of events. Optimal information size not met.
- d. The 95% CI includes the potential for both benefit and harm.
- e. Concerns with unmeasured and residual confounding. Multiple co-interventions received across arms.
- f. Cavalcanti was an open-label trial.
- g. Optimal information size not met.
- h. No contemporaneous control groups; no adjustment for baseline severity, resulting in high risk for residual confounding
- 2 case series from France showed divergent results
- j. Surrogate marker for mortality or resolution of COVID-19.
- k. Goutret reported 21/61 patients as positive at day 6 (estimate from supplied graph); Molina reported 8/10 patients positive at day 5 or 6. Pooled rates of virologic failure using fixed effects inverse variance method resulted in a 43% failure rate (95% CI, 32% to 54%)
- I. Gautret reported on a historical viral clearance rate in symptomatic patients from a separate hospital. Criteria for selection of patients remains unclear, as presumably a sizable number of untreated patients could have been available with data on viral clearance.
- m. Indirect measure of arrhythmia-specific mortality.
- n. Azithromycin and hydroxychloroquine can independently cause QT prolongation. Used together there can be an additive effect. Caution should be exercised with other agents known to prolong the QT interval.
- Molina 2020: 1/11 leading to treatment discontinuation; Chorin 2020: 9/84 with significant QTc prolongation of more than 500 ms.
- p. Cavalcanti 2020 serious adverse events included pulmonary embolism, Qtc prolongation, myocardial infarction, abdominal-wall hemorrhage.

#### References

- 1. Cavalcanti AB, Zampieri FG, Rosa RG, et al. Hydroxychloroquine with or without Azithromycin in Mild-to-Moderate Covid-19. N Engl J Med 2020; 383: 2041-52.
- 2. Rosenberg ES, Dufort EM, Udo T, et al. Association of treatment with hydroxychloroquine or azithromycin with in-hospital mortality in patients with COVID-19 in New York state. JAMA **2020**; 323(4): 2493:502.
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# Lopinavir/Ritonavir

Section last reviewed and updated 11/22/2020

Last literature search conducted 11/18/2020

Recommendation 3: Among hospitalized patients with COVID-19, the IDSA guideline panel recommends against the use of the combination lopinavir/ritonavir. (Strong recommendation, Moderate certainty of evidence)

Why is lopinavir plus ritonavir considered for treatment?

Lopinavir/ritonavir is a protease inhibitor that was U.S. Food and Drug Administration (FDA)-approved for the treatment of HIV in September 2000. Ritonavir is added to the combination as a pharmacokinetic enhancer due to its strong inhibition of cytochrome P450 3A4, a metabolic pathway for lopinavir metabolism. Lopinavir/ritonavir demonstrated in vitro inhibition of SARS CoV-1 and MERS-CoV replication [59-61]. A trial of lopinavir/ritonavir and ribavirin vs historical controls in SARS CoV-1 patients, showed a reduced rate of ARDS and mortality in those receiving lopinavir/ritonavir. This study had limitations including a control group from early in the outbreak when management strategies likely differed significantly [62]. During the MERS outbreak, case reports cited efficacy of lopinavir/ritonavir with interferon in the management of MERS patients [63, 64]. During the early phase of COVID-19, triple combination of interferon beta-1b, lopinavir-ritonavir, and ribavirin shortened the duration of viral shedding and hospital stay in patients with mild to moderate COVID-19 in an open-label, randomized, phase II trial [65].

## Summary of the evidence

Three RCTs reported on treatment with combination lopinavir/ritonavir or placebo for hospitalized patients with COVID-19 [32, 66, 67] (<u>Table 3</u>). The trials reported on the following outcomes: mortality, failure of clinical improvement (measured using a 7-point scale or hospital discharge), need for mechanical ventilation, and adverse events leading to treatment discontinuation.

## Benefits

Among hospitalized patients with COVID-19, treatment with lopinavir/ritonavir failed to show or exclude a beneficial effect on mortality or need for invasive mechanical ventilation (RR: 1.00; 95% CI: 0.89, 1.13; moderate certainty of evidence and RR: 1.12; 95% CI: 0.93, 1.34; low CoE). Similarly, lopinavir/ritonavir may reduce failure of clinical improvement at 14 days, but it is uncertain (RR: 0.78; 95% CI: 0.63, 0.97; very low CoE).

#### Harms

RECOVERY reported 1/1588 serious adverse event due to treatment with lopinavir-ritonavir [67]; however, nearly 14% of lopinavir/ritonavir recipients in Cao 2020 were unable to complete the full 14-day course of administration. This was due primarily to gastrointestinal adverse events, including anorexia, nausea, abdominal discomfort, or diarrhea, as well as two serious adverse events, both acute gastritis. Two recipients had self-limited skin eruptions. Such side effects, including the risks of hepatic injury, pancreatitis, more severe cutaneous eruptions, and QT prolongation, and the potential for multiple drug interactions due to CYP3A inhibition, are well documented with this drug combination. The side-effect profile observed in these trials raise concerns about the use of higher or more prolonged lopinavir–ritonavir dose regimens in efforts to improve outcomes.

#### Other considerations

The panel determined the certainty of evidence to be moderate due to concerns with imprecision. The guideline panel made a strong recommendation against treatment with the combination of lopinavir/ritonavir for hospitalized patients with COVID-19.

Conclusions and research needs for this recommendation

The guideline panel recommends against treatment with lopinavir/ritonavir in hospitalized patients with COVID-19.

**Table 3.** GRADE evidence profile, Recommendation 3

Question: Lopinavir-ritonavir compared to no Lopinavir-ritonavir for hospitalized patients with severe COVID-19

## Last reviewed and updated 11/22/2020

Certainty assessment							Nº of patier	nts	Effe	ect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	Lopinavir/Ritonavir	Placebo	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality	(follow up: 2	28 days)										
3 1,2,3	randomized trials	not serious <sup>a</sup>	not serious	not serious	serious <sup>b</sup>	none	538/3111 (17.3%) °	938/4896 (19.2%)	RR 1.00 (0.89 to 1.13)	0 fewer per 1,000 (from 21 fewer to 25 more)	⊕⊕⊕⊖ MODERATE	CRITICAL
Invasive	mechanical	ventilation	(follow up: 28 da	ays)								
2 1,3	randomized trials	serious <sup>a,d</sup>	not serious	not serious	serious <sup>b</sup>	none	166/1655 (10.0%)	297/3380 (8.8%)	RR 1.12 (0.93 to 1.34)	11 more per 1,000 (from 6 fewer to 30 more)	ФФОО	CRITICAL
AEs lead	ing to treatn	nent discor	ntinuation									
11	randomized trials	serious <sup>a</sup>	not serious	not serious	very serious <sup>e</sup>	none	Nearly 14% of lopinavi complete the full 14-da due primarily to gastro anorexia, nausea, abd well as two serious ad Two recipients had sel effects, including the rimore severe cutaneou and the potential for m CYP3A inhibition, are combination. The side trial arouses concern a prolonged lopinavir—rit improve outcomes.	⊕⊖⊖⊖ VERY LOW	IMPORTANT			
Failure o	f clinical imp	provement	at 14 days (follo	w up: 14 days)	Ī	1		T	T	ı	T	
11	randomized trials	serious <sup>a</sup>	not serious	not serious	very serious f	none	54/99 (54.5%)	70/100 (70.0%)	RR 0.78 (0.62 to 0.97)	154 fewer per 1,000 (from 266 fewer to 21 fewer)	⊕⊖⊖⊖ VERY LOW	CRITICAL

#### **GRADE Working Group grades of evidence**

High certainty: We are very confident that the true effect lies close to that of the estimate of the effect

Moderate certainty: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

Low certainty: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate. The true effect is likely to be substantially different from the estimate of effect

Risk of bias: Study limitations

Inconsistency: Unexplained heterogeneity across study findings Indirectness: Applicability or generalizability to the research question

Imprecision: The confidence in the estimate of an effect to support a particular decision

Publication bias: Selective publication of studies

CI: Confidence interval; RR: Risk ratio

#### **Explanations**

- a. Unblinded studies which can affect outcomes that require judgment, such a how investigators judge clinical improvement or decide to stop the treatment in patients with side effects.
- b. 95% CI may not include a meaningful difference.
- c. Modified intention to treat data from Cao 2020 used for this outcome; some deaths were excluded when drug was not given.
- d. One patient randomized to the lopinavir-ritonavir arm in Cao 2020 was mechanically ventilated at baseline.
- e. Small number of events making estimates highly uncertain
- f. The upper boundary of the 95% confidence interval crosses the threshold of meaningful improvement as the worst case estimate is a 3% RRR.

#### References

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- 3. RECOVERY Collaborative Group, Horby PW, Mafham M, et al. Lopinavir—ritonavir in patients admitted to hospital with COVID-19 (RECOVERY): a randomised, controlled, open-label, platform trial. The Lancet **2020**; 396(10259): 1345-52.

Glucocorticoids

Section last reviewed and updated 9/25/2020

Last literature search conducted 9/4/2020

Recommendation 4: Among hospitalized critically ill patients\* with COVID-19, the IDSA guideline panel recommends dexamethasone rather than no dexamethasone. (Strong recommendation, Moderate certainty of evidence)

Remark: If dexamethasone is unavailable, equivalent total daily doses of alternative
glucocorticoids may be used. Dexamethasone 6 mg IV or PO for 10 days (or until discharge) or
equivalent glucocorticoid dose may be substituted if dexamethasone unavailable. Equivalent
total daily doses of alternative glucocorticoids to dexamethasone 6 mg daily are
methylprednisolone 32 mg and prednisone 40 mg.

Recommendation 5: Among hospitalized patients with severe\*\*, but non-critical, COVID-19 the IDSA guideline panel suggests dexamethasone rather than no dexamethasone. (Conditional recommendation, Moderate certainty of evidence)

 Remark: Dexamethasone 6 mg IV or PO for 10 days (or until discharge) or equivalent glucocorticoid dose may be substituted if dexamethasone unavailable. Equivalent total daily doses of alternative glucocorticoids to dexamethasone 6 mg daily are methylprednisolone 32 mg and prednisone 40 mg.

Recommendation 6: Among hospitalized patients with non-severe\*\*\* COVID-19 without hypoxemia requiring supplemental oxygen, the IDSA guideline panel suggests against the use of glucocorticoids. (Conditional recommendation, Low certainty of evidence)

Severity definitions:

- \*Critical illness is defined as patients on mechanical ventilation and ECMO. Critical illness includes end organ dysfunction as is seen in sepsis/septic shock. In COVID-19, the most commonly reported form of end organ dysfunction is ARDS
- \*\*Severe illness is defined as patients with SpO<sub>2</sub> ≤94% on room air, including patients on supplemental oxygen.
- \*\*\*Non-severe illness is defined as patient with a  $SpO_2 > 94\%$  not requiring supplemental oxygen.

The last literature search was conducted on September 4, 2020 and we identified eight RCTs and seven comparative non-randomized studies.

Why are corticosteroids considered for treatment?

In the early days of the SARS-CoV-2 pandemic, based on experience in both SARS and MERS, recommendations [68] cautioned against the use of systemic corticosteroids due to risk of worsening clinical status, delayed viral clearance, and adverse events [69-71]. Given the hyper-inflammatory state in COVID-19, immunomodulatory approaches, including steroids, continue to be evaluated to address both ARDS and systemic inflammation. ARDS stemming from dysregulated systemic inflammation may translate into prolonged ventilatory requirements and in-hospital mortality. In non-viral ARDS settings there is increasing support for the role of steroids in the management of ARD [72]. A recent multicenter RCT in patients with moderate to severe ARDS demonstrated a reduced number of ventilatory days and reduction in mortality with use of a 10-day regimen of dexamethasone [73].

Summary of the evidence

## Critical illness

Our search identified one systematic review that analyzed eight RCTs reporting on treatment with glucocorticoids among 1,844 critically ill patients with COVID-19 [74]. Three RCTs reported on patients treated with low- and high-dose dexamethasone [73, 75, 76]; three RCTs reported on patients treated with low-dose hydrocortisone [77-79]; and two RCTs reported on patients treated with high-

dose methylprednisolone [74, 80]. The definition of critically ill varied across trials; however, the majority of patients had ARDS.

## Severe and non-severe illness

Our search identified one RCT, one "partially" randomized trial, one prospective cohort, and five retrospective cohort studies [75, 81-87]. The RCT provided the best available evidence on treatment with corticosteroids for persons with COVID-19 [75] (Tables 4-6). Corral-Gudino et al. reported on a study that randomized patients to receive methylprednisolone or standard of care; however, patients expressing a preference for methylprednisolone were assigned to the same treatment arm [81]. Corral-Gudino et al. did not report the disaggregated results from the randomized trial; therefore, succumbing to the same potential for bias as reported subsequently for the non-randomized studies. The non-randomized studies had significant limitations with controlling for multiple co-interventions and disease severity at baseline [82-87]. All non-randomized studies had concerns with risk of bias due to lack of adjustment for critical confounders or potential for residual confounding. Timing of receipt, dose and duration of corticosteroids varied across studies.

The RECOVERY trial is a randomized trial among hospitalized patients in the United Kingdom [75]. In that study, 2,104 participants were randomized to receive dexamethasone (6 mg daily for up to 10 days) and 4,321 were randomized to usual care. The RECOVERY trial reported on the outcomes of mortality and hospital discharge. Participants and study staff were not blinded to the treatment arms.

## Benefits

### Critical illness

Among hospitalized, critically ill patients, the odds of mortality at 28 days was 34% less among patients treated with glucocorticoids than among patients not treated with glucocorticoids (OR: 0.66; 95% CI: 0.54; 0.82; High CoE). In addition, at 28 days, patients receiving dexamethasone were more likely to be discharged from the hospital (RR: 1.11; 95% CI: 1.04, 1.19; Moderate CoE).

### Severe illness

Among hospitalized patients, 28-day mortality was 17% lower in the group that received dexamethasone than in the group that did not receive dexamethasone (RR 0.83; 0.74-0.92; Moderate CoE). In addition, at 28 days, patients receiving dexamethasone were more likely to be discharged from the hospital (RR: 1.11; 95% CI: 1.04, 1.19; Moderate CoE).

#### Non-severe illness

In a sub-group analyses of patients without hypoxia not receiving supplemental oxygen, there was no evidence for benefit and a trend toward harm with dexamethasone in participants who were not on supplemental oxygen (RR 1.22; 0.86, 1.75; Low CoE).

#### Harms

A systematic review of six studies did not report a difference in the events of serious adverse events experienced by patients randomized to receive treatment with glucocorticoids or no treatment with glucocorticoids (64/354 among those receiving glucocorticoids vs. 80/342 among those not receiving glucocorticoids).

Patients receiving a short course of steroids may experience hyperglycemia, neurological side effects (e.g., agitation/confusion), adrenal suppression, and risk of bacterial and fungal infection [82, 88, 89].

Other considerations

#### Critical illness

The panel agreed that the overall certainty of the evidence for treatment with glucocorticoids for patients with critical COVID-19 was moderate due to concerns with indirectness and imprecision.

#### Severe illness

The panel agreed the overall certainty of evidence for treatment with glucocorticoids for patients with severe COVID-19 as moderate due to concerns with indirectness since the evidence was from dexamethasone.

### Non-severe illness

The panel agreed that the overall certainty of evidence for patients without hypoxemia requiring supplemental oxygen as low due to concerns with risk of bias (post hoc analysis) and imprecision.

The panel agreed the overall certainty of evidence for treatment with glucocorticoids for patients with severe COVID-19 as moderate due to concerns with indirectness since the evidence was from dexamethasone. The panel agreed that the overall certainty of evidence for patients without hypoxemia requiring supplemental oxygen as low due to concerns with risk of bias (post hoc analysis) and imprecision.

Conclusions and research needs for this recommendation

The guideline panel recommends dexamethasone for patients with critical COVID-19. The guideline panel suggests dexamethasone for patients with severe COVID-19. If dexamethasone is not available, then alternative glucocorticoids may be used (see details above). The guideline panel suggests against glucocorticoids for patients with COVID-19 without hypoxemia requiring supplemental oxygen.

Additional research is needed to inform the generalizability of treatment with different glucocorticoids for patients with COVID-19 (**Table s2**).

Table 4. GRADE evidence profile, Recommendation 4

Question: Glucocorticoids compared to no glucocorticoids for critically ill patients with COVID-19

## Last reviewed and updated 9/25/2020

			Certainty as	sessment			<b>№</b> of p	atients	Eff	ect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	corticosteroids	no corticosteroids	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality	(follow up: 2	28 days)										
8 1	randomized trials	not serious	not serious	not serious	not serious	none	280/749 (37.4%)	485/1095 (44.3%)	<b>OR 0.66</b> (0.54 to 0.82)	99 fewer per 1,000 (from 143 fewer to 48 fewer)	⊕⊕⊕ ніGH	CRITICAL
Hospital	discharge (f	ollow up: 2	28 days)									
1 2	randomized trials	not serious <sup>a</sup>	not serious	serious <sup>b</sup>	not serious	none	1360/2104 (64.6%)	2639/4321 (61.1%)	RR 1.11 (1.04 to 1.19)	67 more per 1,000 (from 24 more to 116 more)	⊕⊕⊕ MODERATE	IMPORTANT
Serious a	adverse ever	nts										
6 1	randomized trials	not serious	not serious	not serious	serious <sup>c</sup>	none	to corticosteroids	4 events among 35 and 80 events amo ndard care (Stern 2		⊕⊕⊕ MODERATE	CRITICAL	

CI: Confidence interval; OR: Odds ratio; RR: Risk ratio

#### **Explanations**

- a. Analysis adjusted for baseline age.
- b. Indirectness due to different health care system (allocation of intensive care resources in an unblinded study). Indirectness to other corticosteroids.
- c. The 95% CI includes the potential for both harm as well as benefit. Few events reported do not meet the optimal information size and suggest fragility in the estimate.

#### References

- 1. WHO Rapid Evidence Appraisal for COVID-19 Therapies Working Group, Sterne JAC, Murthy S, et al. Association Between Administration of Systemic Corticosteroids and Mortality Among Critically III Patients With COVID-19: A Meta-analysis. JAMA **2020**.
- 2. RECOVERY Collaborative Group, Horby P, Lim WS, et al. Dexamethasone in Hospitalized Patients with Covid-19. N Engl J Med 2021; 384: 693-704.

Table 5. GRADE evidence profile, Recommendation 5

Question: Glucocorticoids compared to no glucocorticoids for hospitalized patients with severe but not critical COVID-19

Last reviewed and updated 9/25/2020

			Certainty as	sessment			<b>№</b> of p	atients	Eff	ect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	glucocorticoids	no glucocorticoids	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality	(follow up: 2	28 days)										
11	randomized trials	not serious <sup>a</sup>	not serious	serious <sup>b</sup>	not serious	none	454/2104 (21.6%)	1065/4321 (24.6%)	<b>RR 0.83</b> (0.74 to 0.92)	42 fewer per 1,000 (from 64 fewer to 20 fewer)	⊕⊕⊕⊖ MODERATE	CRITICAL
Hospital	discharge (f	ollow up: 2	28 days)									
1 <sup>1</sup>	randomized trials	not serious <sup>a</sup>	not serious	serious <sup>b</sup>	not serious	none	1360/2104 (64.6%)	2639/4321 (61.1%)	RR 1.11 (1.04 to 1.19)	67 more per 1,000 (from 24 more to 116 more)	⊕⊕⊕ MODERATE	IMPORTANT
Adverse	events											
							hyperglycemia, ne agitation/confusion	a short course of st urological side effec n), adrenal suppress zen 2000; Siemieni	cts (e.g., sion, and risk		-	CRITICAL
High certa Moderate Low certa Very low of Risk of bi- Inconsiste Indirectne Imprecision	certainty: We inty: Our conficertainty: We has: Study limitaency: Unexplaiess: Applicabilities	ery confider are moderated dence in the nave very litt tions ned heterogy y or general ence in the e	It that the true effect tely confident in the effect estimate is lin le confidence in the eneity across study izability to the resea stimate of an effect	effect estimate: Ti nited: The true eff effect estimate: T findings rch question	he true effect is li fect may be subs he true effect is l	kely to be close to the tantially different from	e estimate of the effect the estimate of the e lly different from the e		oility that it is s	ubstantially di	ifferent	

CI: Confidence interval; RR: Risk ratio

#### **Explanations**

- a. Analysis adjusted for baseline age.
- b. Indirectness due to different health care system (allocation of intensive care resources in an unblinded study). Indirectness to other corticosteroids.

#### Reference

1. RECOVERY Collaborative Group, Horby P, Lim WS, et al. Dexamethasone in Hospitalized Patients with Covid-19. N Engl J Med 2021; 384: 693-704.

Table 6. GRADE evidence profile, Recommendation 6

Question: Glucocorticoids compared to no glucocorticoids for hospitalized patients with COVID-19 not receiving supplemental oxygen

# Last reviewed and updated 9/25/2020

			Certainty as	sessment			<b>№</b> of p	atients	Eff	ect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	glucocorticoids	no glucocorticoids	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality	(follow up: 2	28 days)										
11	randomized trials	serious <sup>a</sup>	not serious	not serious	serious <sup>b</sup>	none	85/501 (17.0%)	137/1034 (13.2%)	<b>RR 1.22</b> (0.93 to 1.61)	29 more per 1,000 (from 9 fewer to 81 more)	ФФСО	CRITICAL
Hospital	discharge (f	ollow up: 2	28 days)									
11	randomized trials	serious <sup>a</sup>	not serious	not serious	serious <sup>c</sup>	none	366/501 (73.1%)	791/1034 (76.5%)	<b>RR 0.99</b> (0.87 to 1.12)	8 fewer per 1,000 (from 99 fewer to 92 more)	ФФОО	IMPORTANT
Adverse	events		l		l		I			.1	l	
							hyperglycemia, ne agitation/confusior	a short course of st urological side effec n), adrenal suppress zen 2000; Siemieni	cts (e.g., sion, and risk	•	-	CRITICAL
High certa Moderate Low certa Very low of Risk of bia Inconsiste Indirectne Imprecision	certainty: We inty: Our conficertainty: We has: Study limitaency: Unexplaiess: Applicabilities	ery confiden are moderat dence in the nave very litt tions ned heterogo y or general ence in the e	at that the true effect tely confident in the effect estimate is lin le confidence in the eneity across study izability to the resea stimate of an effect	effect estimate: T nited: The true eff effect estimate: T findings rch question	he true effect is li fect may be subs he true effect is l	kely to be close to the tantially different from	e estimate of the effec the estimate of the e lly different from the e		bility that it is s	ubstantially di	fferent	

CI: Confidence interval; RR: Risk ratio

### **Explanations**

- a. RoB due to post-hoc subgroup effect among persons not receiving supplemental oxygen.
- b. The 95% CI includes the potential for appreciable harm and cannot exclude the potential for benefit. Few events reported do not meet the optimal information size and suggest fragility in the estimate.
- c. The 95% CI cannot exclude the potential for either appreciable harm or benefit.

# Reference

1. RECOVERY Collaborative Group, Horby P, Lim WS, et al. Dexamethasone in Hospitalized Patients with Covid-19. N Engl J Med 2021; 384: 693-704.

# Interleukin-6 Inhibitors

Section last reviewed and updated on 2/17/2021

Last literature search conducted 2/11/2021

Recommendation 7: Among hospitalized adults with progressive severe\* or critical\*\* COVID-19 who have elevated markers of systemic inflammation, the IDSA guideline panel suggests tocilizumab in addition to standard of care (i.e., steroids) rather than standard of care alone. (Conditional recommendation, Low certainty of evidence)

#### Remarks:

- Patients, particularly those who respond to steroids alone, who put a high value on avoiding possible adverse events of tocilizumab and a low value on the uncertain mortality reduction, would reasonably decline tocilizumab.
- In the largest trial on the treatment of tocilizumab, criterion for systemic inflammation was defined as CRP ≥75 mg/L.

# Severity definitions:

\*Severe illness is defined as patients with SpO<sub>2</sub> ≤94% on room air, including patients on supplemental oxygen.

\*\*Critical illness is defined as patients on mechanical ventilation and ECMO. Critical illness includes end organ dysfunction as is seen in sepsis/septic shock. In COVID-19, the most commonly reported form of end organ dysfunction is ARDS.

Why is tocilizumab considered for treatment?

Some patients with COVID-19 develop a hyperinflammatory syndrome that is characterized by elevations in proinflammatory cytokines and multiorgan dysfunction also known as the immunopathology of SARS-CoV-2 infection. The significance of these findings is unclear, however

early descriptions found that those with elevated IL-6 levels and evidence of hyperinflammation had increased rates of more severe disease [90, 91]. Tocilizumab, a monoclonal anti-IL-6-receptor blocking antibody, has been proposed as a therapeutic agent to mitigate hyperinflammation associated with COVID-19. Tocilizumab is FDA-approved for various rheumatologic conditions as well as cytokine release syndrome associated with CAR-T cell therapy.

Sarilumab, another IL-6 receptor antagonist, is currently FDA-approved for rheumatoid arthritis. Sarilumab has been used in open-label cohort studies for the management of severe COVID-19 and has undergone evaluation in unpublished RCTs for moderate and severe COVID-19 [92-96].

# Summary of the evidence

Our search identified eight RCTs (including pre-prints) that reported on patients with severe COVID-19 randomized to treatment with tocilizumab (8 mg/kg) or placebo/usual care [97-104]. Gordon 2020, Horby 2021, Rosas 2020, and Veiga 2021 allowed for patients to be on mechanical ventilation at randomization, whereas the other trials included patients with a lower disease severity (e.g., allowed supplemental oxygen but excluded those on higher levels of oxygen support) or included patients with severe COVID with an inflammatory phenotype.

One trial, RECOVERY, contributed the majority of the weight in the analysis [99]. RECOVERY trial participants must have demonstrated clinical evidence of progressive COVID-19, which was defined as <92% oxygen saturation on room air or receiving oxygen and CRP ≥75 mg/L. Use of steroids was balanced across both the participants receiving tocilizumab or not receiving tocilizumab. Following recommendations for treatment with glucocorticoids, 82% of participants in both arms received dexamethasone. While RECOVERY did not blind participants or healthcare personnel to the treatment arm randomized to, this likely would not introduce bias in the objective measurement of the outcome of mortality; however, was considered as a risk of bias consideration for the more subjectively measured outcomes, clinical deterioration, along with the total body of evidence contributing to those outcomes (Table 7). There is limited safety data in the preliminary report.

Both RECOVERY and REMAP CAP (the two tocilizumab trials that reported a benefit) initiated treatment early (randomization at median of two days of hospitalization in RECOVERY; <24 hours in the ICU for REMAP-CAP), suggesting tocilizumab may be more beneficial in people with early rapidly progressive disease.

### Benefits

Among hospitalized patients, tocilizumab showed a trend toward reduced mortality at 28 days compared to no tocilizumab treatment (RR: 0.91; 95% CI: 0.79, 1.04); moderate CoE). Tocilizumab demonstrated a lower relative risk of clinical deterioration, defined as death, need for mechanical ventilation, ECMO, or ICU admission, compared to placebo/usual care, RR: 0.83 (0.77, 0.89; moderate CoE). Four studies were not blinded, while healthcare personnel and outcome assessors were blinded in the remaining three trials. The panel noted that tocilizumab causes reduction in CRP levels, which would reveal the treatment arm designations of the patients, therefore introducing bias for the more subjectively measured outcomes of clinical deterioration and serious adverse events.

#### Harms

Serious adverse events among patients receiving tocilizumab did not differ from those not receiving tocilizumab (RR: 0.89; 95% CI: 0.74, 1.07; low CoE). An additional trial attributed treatment with tocilizumab to three serious adverse events; however, did not report events among patients not receiving tocilizumab [99]. Previously, tocilizumab has been associated with gastrointestinal perforations in non-COVID-19 settings, and case reports of bowel perforations have recently emerged with the use of tocilizumab for COVID-19 [105-108]. Increased infection risks have been noted in uncontrolled studies, and it is possible that this risk may be compounded by the combination of glucocorticoids and tocilizumab. [109, 110].

### Other considerations

While the overall certainty of evidence for the trend toward a reduction in mortality was moderate, the panel believes that differences in mortality rates across the trials may be the result of the differences in baseline severity of study participants and timing of tocilizumab receipt in the

disease course. In REMAP-CAP, tocilizumab was administered within 24 hours of participants' initiating organ support in an intensive care unit, raising the possibility that this may be the optimal time to administer the drug. In RECOVERY, tocilizumab was administered to participants with oxygen saturation <92% on room air or receiving oxygen therapy, and CRP ≥75 mg/L. Given the reduction in clinical deterioration and trend toward mortality reduction, the guideline panel made a conditional recommendation for treatment of adults with tocilizumab.

The use of tocilizumab, as with other therapeutic agents that can suppress the immune system, presents additional considerations and potential concerns when used in immunocompromised hosts. The panel did not conduct an analysis of available data to assess differences in efficacy and/or adverse effects of tocilizumab among oncology or other immunocompromised patients at this time.

Conclusions and research needs for this recommendation

The guideline panel suggests tocilizumab for hospitalized adults with COVID-19.

Additional research is needed to understand the efficacy of tocilizumab when taken at different times during the course of disease. For example, there are no data to guide recommendations in patient <18 years old at this time. In addition, future studies are needed to inform the generalizability of tocilizumab with different IL-6 receptor inhibitors for patients with COVID-19 (**Table s2**). At the time of update, preliminary data from a trial of treatment with sarilumab has been shared as a pre-print [97]; however, number of patients who received sarilumab is limited (n=45) and the published manuscript was not available for analysis or inclusion to inform this recommendation. Other studies of sarilumab have not been made available.

**Table 7.** GRADE evidence profile, Recommendation 7

Question: Tocilizumab compared to no tocilizumab for hospitalized patients with COVID-19

### Last reviewed and updated 2/17/2021

			Certainty as	sessment			<b>№</b> of p	atients	Effe	ect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	tocilizumab	no tocilizumab	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality	(follow up: ra	ange 28 day	s to 30 days)									
<b>8</b> 1,2,3,4,5,6,7,8	randomized trials	not serious a	not serious	not serious	serious <sup>b</sup>	none	810/3280 (24.7%)	893/3054 (29.2%)	<b>RR 0.91</b> (0.79 to 1.04)	26 fewer per 1,000 (from 61 fewer to 12 more)	⊕⊕⊕⊜ MODERATE	CRITICAL
Clinical d	eterioration	(follow up: r	ange 14 days to	30 days)						•		
7 1,2,3,4,5,6,8	randomized trials	serious <sup>c</sup>	not serious	not serious d	not serious	none	799/2712 (29.5%)	939/2503 (37.5%)	<b>RR 0.83</b> (0.77 to 0.89)	64 fewer per 1,000 (from 86 fewer to 41 fewer)	⊕⊕⊕○ MODERATE	CRITICAL
Serious A	dverse Ever	nts					I	<u> </u>				
<b>7</b> 1,2,3,4,5,6,7,e	randomized trials	serious <sup>c</sup>	not serious	not serious	serious <sup>f</sup>	none	210/1249 (16.8%)	141/946 (14.9%)	RR 0.89 (0.74 to 1.07)	16 fewer per 1,000 (from 39 fewer to 10 more)	ФФОО LOW	CRITICAL

**High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect

**Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different **Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

Risk of bias: Study limitations

**Inconsistency:** Unexplained heterogeneity across study findings **Indirectness:** Applicability or generalizability to the research question

Imprecision: The confidence in the estimate of an effect to support a particular decision

Publication bias: Selective publication of studies

CI: Confidence interval; RR: Risk ratio

**Explanations** 

- a. Although some studies did not blind participants or investigators, this is unlikely to affect the mortality outcome.
- b. 95% CI includes benefits as well as harms.
- c. Some studies lacked blinding and due to the mechanism of tocilizumab (reduction in inflammatory marker), unblinding likely occurred in the blinded studies.
- d. Definition of clinical deterioration varied, with all studies including need for ventilation and death, but other studies included need for ICU admission (2 studies) or PaO2/FiO2 ratio of less than 150 mmHg (1 study).
- e. The 95% CI includes both potential for harm as well as benefit; Few events reported do not meet the optimal information size and suggest fragility in the estimate.

#### References

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- 8. Horby PW, Pessoa-Amorim G, Peto L, et al. Tocilizumab in patients admitted to hospital with COVID-19 (RECOVERY): preliminary results of a randomised, controlled, open-label, platform trial. medRxiv **2021**: Available at: https://doi.org/10.1101/2021.02.11.21249258 [Preprint 11 February 2021].

# Convalescent Plasma

Section last reviewed and updated 4/7/2021

Last literature search conducted 3/31/2021

Recommendation 8: Among patients hospitalized with COVID-19, the IDSA guideline panel suggests against COVID-19 convalescent plasma. (Conditional recommendation, Low certainty of evidence)

Recommendation 9: Among ambulatory patients with mild-to-moderate COVID-19, the IDSA guideline panel recommends COVID-19 convalescent plasma only in the context of a clinical trial. (Knowledge gap)

Why is convalescent plasma considered for treatment?

Convalescent plasma has been used as passive immunotherapy for prevention and treatment of infections for over 100 years [111, 112]. The predominant proposed protective mechanism is thought to be pathogen neutralization, although antibody dependent cellular cytotoxicity and enhanced phagocytosis may also play a role. With the advent of effective antimicrobial therapy (i.e., "the antibiotic era") convalescent plasma fell out of favor. In recent years, interest in this approach has been revived as a means of addressing viral epidemics such as Ebola, SARS -1 and MERS. Studies of convalescent plasma derived from people who had recovered from those specific infections showed encouraging results, but were typically small, non-randomized, and largely descriptive [113-115]. In the current pandemic, convalescent plasma obtained from individuals who recovered from COVID-19 has been used in over 75,000 patients with moderate to severe infection as part of an expanded access program [116]. When measurement of neutralizing antibody titers is available, the FDA recommends neutralizing antibody titers of at least 1:160. Assays to measure neutralizing antibody titers were not widely available early in the pandemic so it is unclear if the plasma used in the context of the expanded access program had adequate titers of neutralizing antibodies meeting the FDA targets. Multiple prospective clinical trials are in progress utilizing plasma with an IgG enzyme-linked immunosorbent assay (ELISA) titer cutoff of ≥1:320. Titers at that level are seen in about 80% of donors [117]. The

probability of obtaining a neutralizing antibody titer of ≥1:160 is highest (80% or greater) when the ELISA IgG titer is ≥1:1,350 [118]. In an analysis of the convalescent plasma expanded access program, higher levels of antibodies were associated with significant improvements in mortality compared to those receiving convalescent plasma with lower concentrations of neutralizing antibodies [116]. Regarding timing of treatment: Based on historical experience and emerging data, efficacy appears best when convalescent plasma is given at earlier stages of the disease and particularly prior to when patients become critically ill [119, 120]. The analysis of the convalescent plasma expanded access program suggests the most benefit is seen when convalescent plasma is given in the first three days from diagnosis [116]. In August 2020, the FDA issued an emergency use authorization (EUA) for investigational convalescent plasma for the treatment of COVID-19 in hospitalized patients [121]. In early February 2021, the FDA issued a revision to the EUA to limit the authorization to the use of high-titer COVID-19 convalescent plasma for the treatment of hospitalized patients early in the disease course [122].

### Summary of the evidence

Our search identified and was informed by evidence from eleven RCTs and a large (n=20,000), single-arm registry study [111-115, 117-120, 123-125], as they provided the best available evidence for the outcomes of mortality, need for mechanical ventilation, serious adverse events, and adverse events. Ten of those RCTs reported on convalescent plasma transfusions for patients hospitalized with COVID-19 (Table 8) [111-114, 117-120, 123, 124] and one RCT reported on receipt of convalescent plasma by ambulatory persons with mild COVID-19 disease (Table 9) [115].

Ten trials randomized 13,026 patients hospitalized with COVID-19 to receive a transfusion with COVID-19 convalescent plasma [111-114, 117-120, 123, 124]. Several trials were open-label and/or had concerns with risk of bias due to lack of adjustment for critical confounders or potential for residual confounding (**Table s12a**). Timing of receipt of COVID-19 convalescent plasma during the clinical course of the patients' illness varied across studies (**Table s11**). One trial reported on 160 persons who received high-titer convalescent plasma less than 72 hours after the onset of symptoms of COVID-19 (mean age: 77.2 years; standard deviation: ±8.6 years) [115]. In addition, Joyner 2020 reported on

safety outcomes of over 20,000 patients enrolled in the same FDA Expanded Access Program for COVID-19 convalescent plasma study.

Benefits

### **Hospitalized patients**

Convalescent plasma transfusion failed to show or to exclude a beneficial or detrimental effect on mortality based on the body of evidence from RCTs (RR: 0.86; 95% CI: 0.69, 1.06; moderate CoE). Receipt of COVID-19 convalescent plasma may not reduce the need for mechanical ventilation (RR: 1.11; 95% CI: 0.95, 1.30; low CoE); however, the evidence is uncertain because of concerns with risk of bias and fragility of the estimate due to small number of events reported.

### <u>Ambulatory persons</u>

Receipt of COVID-19 convalescent plasma may reduce progression to severe respiratory disease (RR: 0.52; 95% CI: 0.29, 0.94; low CoE); however, the evidence is uncertain, as oxygenation and respiration rates are surrogate measures of need for ventilation, morbidity, and death, and because of the fragility of the estimate due to small number of events reported. Convalescent plasma transfusion may reduce mortality and clinical deterioration based on the body of evidence from an RCT (RR: 0.50; 95% CI: 0.09, 2.65; low CoE and RR: 0.58; 95% CI: 0.24, 1.40; very low CoE, respectively); however, the evidence is uncertain due to concerns with fragility of the estimate due to small number of events reported and the wide confidence interval failing to exclude a beneficial or detrimental effect.

Harms

In the largest safety study (n=20,000), within four hours of completion of convalescent plasma transfusion authors reported 146 serious adverse events classified as transfusion reactions (<1% of all transfusions) [125]. Of these, 63 deaths were reported (0.3%), 13 judged as possibly or probably related to the transfusion. The non-mortality serious adverse events include 37 reports of transfusion-associated circulatory overload, 20 cases of transfusion-related acute lung injury, and 26 cases of severe allergic transfusion reactions.

Within seven days of transfusion, 1,711 deaths were reported (mortality rate: 8.56%; 95% CI: 8.18, 8.95). In addition, 1,136 serious adverse events were reported: 643 cardiac events (569 judged as unrelated to the transfusion), 406 sustained hypotensive events requiring intravenous pressor support, and 87 thromboembolic or thrombotic events (55 judged as unrelated to the transfusion).

Four trials among patients hospitalized for COVID-19 could not exclude an increase in mild-to-severe adverse events among patients receiving convalescent plasma (RR: 1.02; 95% CI: 0.64, 1.62; low CoE) [113, 114, 118, 120]; however, the evidence was uncertain due to concerns with lack of blinding. In addition, included studies lacked a standard definition for what met the definition of an adverse event. One trial conducted among ambulatory persons receiving early, high-titer convalescent plasma did not report any serious adverse events [115].

Other considerations

# **Hospitalized patients**

The panel agreed that the overall certainty of evidence is low due to concerns with risk of bias and imprecision. The guideline panel recognized the inability to exclude a meaningful beneficial or detrimental effect of convalescent plasma transfusion on mortality from the existing large body of evidence.

#### Ambulatory persons

The panel agreed that the overall certainty of evidence is low due to concerns with risk of bias and imprecision, which recognized the limited events and concerns with fragility. The guideline panel recognized the uncertainty of potential benefit when high titer convalescent plasma is given early in the course of COVID-19 disease.

Conclusions and research needs for this recommendation

The guideline panel suggests against COVID-19 convalescent plasma for persons hospitalized with COVID-19. The guideline panel recommends COVID-19 convalescent plasma for ambulatory persons only in the context of a clinical trial. Additional clinical trials are needed to determine whether there is a benefit of treatment with COVID-19 convalescent plasma and at what dose (neutralizing

antibody titers), especially for patients early in the disease course of COVID-19 (**Table s2**). Existing data suggests that if a benefit exists, convalescent plasma is most useful when given early and with a high titer of neutralizing antibodies; future trials should attempt to compare outcomes of convalescent plasma given in this optimal setting to the standard of care. Additional research is needed to determine if different treatment effects are reported based on the severity of disease, and timing in the disease course. In addition, it is important to identify its efficacy in unique sub-populations like patients with diseases or therapies that cause immunoglobulin deficiencies.

Table 8. GRADE evidence profile, Recommendation 8

Question: Convalescent plasma compared to no convalescent plasma for hospitalized patients with COVID-19

# Last reviewed and updated 4/7/2021

			Certainty asse	ssment			<b>№</b> of p	atients	Eff	ect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	convalescent plasma	no convalescent plasma	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality (RO	CT) (follow up:	range 15	days to 60 days)									
10 1,2,3,4,5,6,7,8,9,10	randomized trials	not serious a,b	not serious	not serious	serious <sup>c</sup>	none	1508/6709 (22.5%)	1518/6477 (23.4%)	<b>RR 0.86</b> (0.69 to 1.06)	33 fewer per 1,000 (from 73 fewer to 14 more)	⊕⊕⊕○ MODERATE	CRITICAL
Need for me	chanical venti	lation										
3 3,6,9	randomized trials	serious d	not serious	not serious	serious e	none	181/550 (32.9%)	161/440 (36.6%)	RR 1.11 (0.95 to 1.30)	40 more per 1,000 (from 18 fewer to 110 more)	⊕⊕○○ LOW	CRITICAL
SAEs (transf	fusion-associa	ated circul	atory overload, t	ransfusion-rela	ated acute lun	g injury, severe al	lergic transfusi	on reaction) (fol	low up: 4 ho	ours)		
1 11	observational studies	extremely serious <sup>f</sup>	not serious	not serious	not serious	none	hours, of the SA transfusions) an possibly or prob 19 convalescen SAEs reported, circulatory overl related acute lui	00 transfused pa AEs, 63 deaths we ad 13 of those dea bably related to the t plasma. There we with 37 reports o load (TACO), 20 ng injury (TRALI) transfusion reacti	(0.3% of all dged as n of COVID-death eassociated ansfusion-	⊕○○○ VERY LOW	CRITICAL	

SAEs (mortality, cardiac, thrombotic, sustained hypotensive events requiring intervention) (follow up: 7 days)

			Certainty asse	ssment			№ of p	atients	Eff	ect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	convalescent plasma	no convalescent plasma	Relative (95% CI)	Absolute (95% CI)		Importance
1 11	observational studies	extremely serious <sup>f</sup>	not serious	not serious	not serious		transfusion, 1,7' adverse events SAEs included: unrelated to the events requiring	or thrombotic ev	and 1,136 ported. Non-rats (569 judge sustained hassor support;	serious nortality ed as ypotensive and 87	⊕○○○ VERY LOW	CRITICAL

#### Any adverse events (RCTs)

4 3,4,6,8	randomized trials	serious d	not serious	not serious <sup>g</sup>	serious <sup>h</sup>	none	102/433 (23.6%)	52/240 (21.7%)	1.62)	4 more per 1,000 (from 78 fewer to 134 more)	⊕⊕○○ LOW	IMPORTANT
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#### **GRADE Working Group grades of evidence**

High certainty: We are very confident that the true effect lies close to that of the estimate of the effect

Moderate certainty: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

Low certainty: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

Risk of bias: Study limitations

Inconsistency: Unexplained heterogeneity across study findings Indirectness: Applicability or generalizability to the research question

**Imprecision:** The confidence in the estimate of an effect to support a particular decision

Publication bias: Selective publication of studies

CI: Confidence interval; RR: Risk ratio; HR: Hazard Ratio; OR: Odds ratio

#### **Explanations**

- a. Li 2020 time between symptom onset and randomization was over 14 days for >90% (median 30 days), no adjustment for co-interventions, allocation concealment methods not reported and participants and healthcare professionals not blinded.
- b. Many trials had concerns due to open-label trial, allocation concealment not reported, and no adjustments for co-interventions.
- c. The 95% CI includes the potential for appreciable benefit; however, cannot exclude the potential for no effect.
- d. Concerns include open-label trial design and assessment of outcome.
- e. The 95% CI may not include a clinically meaningful reduction in need for mechanical ventilation.
- f. No comparative effects available. Some subjectivity in classification of outcomes as transfusion related.
- g. Lack standard definition for adverse events. Studies report on mild to severe events.

h. The 95% CI includes the potential for both increased harms, as well as no increased harms. Few events suggests fragility of the estimate.

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**Table 9.** GRADE evidence profile, Recommendation 9

**Question**: Early convalescent plasma with high titers compared to no early convalescent plasma for persons not hospitalized for COVID-19 compared to no convalescent plasma for hospitalized patients with COVID-19

# New evidence profile developed 4/7/2021

K					atients		fect		
	Indirectness	Imprecision	Other considerations	early convalescent plasma with high titers	no early convalescent plasma	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
s)									
not serious us	not serious	very serious <sup>b</sup>	none	2/80 (2.5%)	4/80 (5.0%)	RR 0.50 (0.09 to 2.65)	25 fewer per 1,000 (from 46 fewer to 83 more)	⊕⊕○○ LOW	CRITICAL
ratory disease (follow	v up: 15 days;	assessed with:	defined as a resp	iratory rate of ≥	30 breaths per m	inute, Sa02	2 < 93% on R	A, or both)	
not serious us	serious <sup>c</sup>	serious <sup>d</sup>	none	13/80 (16.3%)	25/80 (31.3%)	<b>RR 0.52</b> (0.29 to 0.94)	150 fewer per 1,000 (from 222 fewer to 19 fewer)	⊕⊕○○ LOW	CRITICAL
up: 25 days; assess	ed with: life-th	reatening respi	iratory disease, cr	itical systemic il	lness, or death,	alone or in	combination	)	
not serious us	serious <sup>c</sup>	very serious <sup>b</sup>	none	7/80 (8.8%)	12/80 (15.0%)	<b>RR 0.58</b> (0.24 to 1.40)	63 fewer per 1,000 (from 114 fewer to 60 more)	⊕○○○ VERY LOW	CRITICAL
low up: 25 days)									
not serious	not serious	very serious d	none	0/79 (0.0%)	0/80 (0.0%)	not estimable		⊕⊕⊜⊝ LOW	CRITICAL
r us s of evic	not serious  lence nat the true effect	not serious not serious  dence nat the true effect lies close to that	not serious not serious very serious dence nat the true effect lies close to that of the estimate of	not serious not serious very serious dominate none none none not serious dence not the true effect lies close to that of the estimate of the effect	not serious not serious very serious dence nat the true effect lies close to that of the estimate of the effect	not serious not serious very serious dence nat the true effect lies close to that of the estimate of the effect	not serious not serious very serious dence not the estimate of the effect not serious not serious not serious dence not the true effect lies close to that of the estimate of the effect	: 25 days)  not serious	: 25 days)  not serious  not serious  very serious d  none  0/79 (0.0%)  0/80 (0.0%)  not  estimable  LOW

			Certainty a	ssessment			<b>№</b> of p	atients	Ef	fect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	early convalescent plasma with high titers	no early convalescent plasma		Absolute (95% CI)	Certainty	Importance

Low certainty: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate. The true effect is likely to be substantially different from the estimate of effect

Risk of bias: Study limitations

Inconsistency: Unexplained heterogeneity across study findings Indirectness: Applicability or generalizability to the research question

Imprecision: The confidence in the estimate of an effect to support a particular decision

Publication bias: Selective publication of studies

CI: Confidence interval; RR: Risk ratio; HR: Hazard Ratio; OR: Odds ratio

#### **Explanations**

a. Trial was terminated early due to futility.

- b. 95% CI includes both the potential for both appreciable benefit as well as the potential for harm; Few events reported do not meet the optimal information size and suggest fragility of the estimate.
- c. Oxygenation and respiration rates are surrogate measures of need for ventilation, morbidity and death.
- d. Few events reported do not meet the optimal information size and suggest fragility of the estimate.

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Remdesivir

Section last reviewed and updated 4/8/2021

Last literature search conducted 11/3/2020

Recommendation 10: In hospitalized patients with severe\* COVID-19, the IDSA panel suggests remdesivir over no antiviral treatment. (Conditional recommendation, Moderate certainty of evidence)

\*Severe illness is defined as patients with SpO<sub>2</sub> ≤94% on room air, and those who require supplemental oxygen, mechanical ventilation, or ECMO.

Recommendation 11: In patients on supplemental oxygen but not on mechanical ventilation or ECMO, the IDSA panel suggests treatment with five days of remdesivir rather than 10 days of remdesivir. (Conditional recommendation, Low certainty of evidence)

Recommendation 12: In patients with COVID-19 admitted to the hospital without the need for supplemental oxygen and oxygen saturation >94% on room air, the IDSA panel suggests against the routine use of remdesivir. (Conditional recommendation, Very low certainty of evidence)

The last literature search was conducted on November 3, 2020 and we identified four RCTs and two non-randomized studies.

Why is remdesivir considered for treatment?

Remdesivir (GS-5734) is an antiviral drug with potent in vitro activity against a range of RNA viruses including MERS-CoV, SARS-CoV 1 & 2 [126-128]. Remdesivir acts by causing premature termination of viral RNA transcription [128]. Its use improved disease outcomes and reduced viral loads in SARS-CoV-1 infected mice [127]. In rhesus macaques therapeutic treatment with remdesivir showed reduction in SARS-CoV-2 loads, pathologic changes and progression of clinical disease [129]. In this same animal model, remdesivir treatment initiated 12 hours post-inoculation reduced clinical signs, virus replication in the lungs, and decreased the presence and severity of lung lesions.

Summary of the evidence

# Hospitalized patients with oxygen saturation >94% without supplemental oxygen

Three RCTs compared treatment with five days of remdesivir (200 mg day one, 100 mg daily days 2-5), 10 days of remdesivir (200 mg day one, 100 mg daily days 2-10), or no remdesivir for patients hospitalized with oxygen saturation >94% on room air [32, 130, 131] (Table 10). The outcomes assessed were mortality, clinical improvement, and serious adverse events. ACTT-1 and SOLIDARITY provided post-hoc analyses among patients with mild-to-moderate disease [32, 130]. Randomization and lack of blinding failed to control for or balance receipt of co-interventions (e.g., treatment with dexamethasone, tocilizumab, hydroxychloroquine, and lopinavir/ritonavir) equally across arms in Spinner et al (2020) [131]. In addition, the Spinner et al did not adjust for severity of disease.

Hospitalized patients with  $SpO_2 \le 94\%$  on room air, including patients on supplemental oxygen, on mechanical ventilation, and ECMO

Three RCTs comparing treatment with remdesivir (200 mg day one, 100 mg daily days 2-10) against no remdesivir treatment [32, 130, 132], and one RCT comparing five days of treatment (200 mg day one, 100 mg daily days 2-5) against 10 days (200 mg day one, 100 mg daily days 2-10) of treatment [133] served as the best available evidence among hospitalized persons with severe COVID-19 (Tables 10-12). The outcomes assessed were mortality, time to clinical improvement, need for mechanical ventilation, serious adverse events, and adverse events leading to treatment discontinuation.

All trials used different definitions of severe disease for participants. Adaptive Covid-19 Treatment Trial (ACTT-1) participants were considered to have severe disease if they required mechanical ventilation, supplemental oxygen, if  $SpO_2$  was 94% or lower while breathing ambient air, or if they had tachypnea (respiratory rate  $\geq$ 24 breaths per minute) [130]. Within the SOLIDARITY trial (available only as a pre-print at this time), participants with severe disease were receiving mechanical ventilation [32]. In Wang 2020, severe participants had a  $SpO_2 \leq$ 94% while breathing room air or a ratio of arterial oxygen partial pressure to fractional inspired  $O_2$  of  $\leq$ 300 mm Hg and radiologically confirmed pneumonia.

Updated analyses include the final analysis from the ACTT-1 and the interim analysis of the SOLIDARITY trial [32, 130]. SOLIDARITY reported mortality among persons remaining in hospital up to the duration of the study; however, among patients discharged before the end of the study, mortality may not have been collected completely. The study by Wang et al (2020) was stopped early due to lack of recruitment into the trial due to decreased incidence in China.

Randomization performed in Goldman 2020 failed to establish prognostic balance between baseline clinical status among the 397 patients randomized into the treatment arms, with patients in the 10-day arm more severely ill at study entry. Even with the adjusted analysis, residual confounding is possible. In addition, participants, healthcare workers, and outcome assessors were not blinded to the treatment arms.

#### Benefits

# Hospitalized patients with oxygen saturation >94% without supplemental oxygen

Treatment with a five- or ten-day course of remdesivir failed to show or to exclude a reduction in mortality when compared with no remdesivir (RR: 0.69; 95% CI: 0.36, 1.34; Very low CoE). A five-day course of remdesivir may increase clinical improvement over no remdesivir (RR: 1.16; 95% CI: 1.00, 1.34; Very low CoE) but a 10-day course of remdesivir was not associated with improved clinical status as compared with no remdesivir. Patients with mild-to-moderate disease receiving treatment with remdesivir had similar median time to recovery (median 5 vs. 5 days; Rate ratio: 1.22; 95% CI: 0.82, 1.81; Very low CoE).

# Hospitalized patients with $SpO_2 \le 94\%$ on room air, including patients on supplemental oxygen, on mechanical ventilation and ECMO

The pooled analysis failed to show a mortality benefit at 28 days (RR: 0.92; 95% CI: 0.77, 1.10; Low CoE) [32, 130, 132]. Patients receiving treatment with remdesivir trend toward greater clinical improvement at 28 days than patients not receiving remdesivir (RR: 1.13; 95% CI: 0.91, 1.41; Low CoE) [132]. In addition, based on a post-hoc analysis of patients with severe COVID-19 receiving treatment with remdesivir had a shorter median time to recovery (median 11 vs 18 days; Rate ratio: 1.31; 95% CI:

1.12, 1.52; Low CoE) and decreased need for mechanical ventilation (RR: 0.57; 95% CI: 0.42, 0.79; Moderate CoE) [130].

In the study by Goldman et al that compared five and ten days of treatment, the shorter course of remdesivir showed a trend toward decreased mortality (RR: 0.75; 95% CI: 0.51, 1.12; Low CoE) and increased clinical improvement at 14 days (RR: 1.19; 95% CI: 1.01, 1.40; Low CoE); however, the evidence is uncertain because the persons in the 10-day group had more severe disease at baseline and there is the possibility of residual confounding despite the adjusted analysis [133].

Harms

### Hospitalized patients with oxygen saturation >94% without supplemental oxygen

Patients treated with five days of remdesivir do not appear to experience greater serious adverse events than those not receiving remdesivir (RR: 0.64; 95% CI: 0.31, 1.31; Very low CoE).

# Hospitalized patients with $SpO_2 \le 94\%$ on room air, including patients on supplemental oxygen, on mechanical ventilation and ECMO

Patients treated with remdesivir do not appear to experience greater SAEs (grade 3/4) than those not receiving remdesivir (RR: 0.87; 95% CI: 0.59, 1.28; Moderate CoE) [130, 132].

Patients receiving five days of remdesivir may experience fewer SAEs and AEs leading to treatment discontinuation than patients receiving 10 days of remdesivir (RR: 0.61; 0.44, 0.85; Low CoE and RR: 0.44; 95% CI: 0.21, 0.95; Low CoE, respectively); however, this evidence is uncertain because of the increased severity of disease among patients in the 10-day arm [133].

Other considerations

### Hospitalized patients with oxygen saturation >94% without supplemental oxygen

The panel agreed that the overall certainty of the evidence for treatment of patients with an oxygen saturation >94% with remdesivir compared to no remdesivir was very low due to concerns with study limitations and imprecision. Because of the study limitations and the relatively small effect of remdesivir in patients with moderate COVID-19, the panel suggests remdesivir not be used routinely in

these patients. There is a need for more rigorous trials to assess the benefits and harms of remdesivir in patients with moderate COVID-19.

Hospitalized patients with SpO<sub>2</sub> ≤94% on room air, including patients on supplemental oxygen, on mechanical ventilation and ECMO

The panel agreed that the overall certainty of the evidence for treatment of persons with severe disease with remdesivir compared to no remdesivir treatment was moderate due to concerns with imprecision. Given the inconsistent definition used in the evidence to describe baseline severity, the panel recognized a knowledge gap when assessing whether greater benefit could be attained for patients with oxygen saturation >94% and no supplemental oxygen; however, they agreed that the reported data supported the prioritization of remdesivir among persons with severe but not critical COVID-19.

The panel agreed on the overall certainty of the evidence for treatment with a five-day course compared to a 10-day course of treatment as low due to concerns with risk of bias and imprecision. The panel recognized the benefit of a shorter course of treatment, if providing similar or greater efficacy, on the availability of remdesivir. However, in a subgroup analysis of mechanically ventilated patients, the duration of treatment was 10 days in ACCT-1 trial; therefore, the panel recognized that a longer course of treatment could be desirable in this population.

# Pediatric use

There are no published data on the use of remdesivir for treatment of hospitalized pediatric patients with COVID-19. An ongoing study of remdesivir in this population [134] is using 5 mg/kg on day one (maximum dose 200 mg) followed by 2.5 mg/kg daily in patients over 14 days of age, gestational age more than 37 weeks, and weight greater than or equal to 2.5 kg. The FDA EUA applies to patients weighing over 3.5 kg and applies to the lyophilized powder formulation only.

Conclusions and research needs for this recommendation

The guideline panel suggests against remdesivir for routine treatment of patients with oxygen saturation >94% and no supplemental oxygen; however, strongly urges continued study through recruitment into RCTs.

The guideline panel suggests remdesivir rather than no remdesivir for treatment of severe COVID-19 in hospitalized patients with  $SpO_2 \le 94\%$  on room air, including patients on supplemental oxygen, on mechanical ventilation and ECMO. Additional clinical trials are needed to provide increased certainty about the potential for both benefit and harms of treatment with remdesivir, as well as understand the benefit of treatment based on disease severity.

 Table 10. GRADE evidence profile, Recommendation 10

**Question**: Remdesivir compared to no antiviral treatment for hospitalized patients with COVID-19 and oxygen saturation >94% without supplemental oxygen *Last reviewed and updated 4/5/2021* 

			Certainty as	ssessment			№ of p	atients	Effe	ct		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	remdesivir	no remdesivir	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality	(follow up: ı	range 11 day	/s to 29 days)									
3 1,2,3	randomized trials	very serious <sup>a,b,c</sup>	not serious	not serious	serious <sup>d</sup>	none	15/1100 (1.4%)	20/914 (2.2%)	RR 0.69 (0.36 to 1.34)	7 fewer per 1,000 (from 14 fewer to 7 more)	⊕○○○ VERY LOW	CRITICAL
Time to r	ecovery (fol	low up: 29 d	ays)									
1 2	randomized trials	serious <sup>c</sup>	not serious	not serious	very serious <sup>d</sup>	none	54/55 (98.2%)	46/50 (92.0%)	Rate ratio 1.22 (0.82 to 1.81)	34 more per 1,000 (from 46 fewer to 70 more)	⊕○○○ VERY LOW	CRITICAL
Clinical i	mprovement	t at day 11 (a	ssessed with: >	=2-pt improver	ment on 7-pt so	cale; higher = bette	r)					
1 <sup>1</sup>	randomized trials	very serious <sup>a,b</sup>	not serious	not serious	serious °	none	134/191 (70.2%)	121/200 (60.5%)	RR 1.16 (1.00 to 1.34)	97 more per 1,000 (from 0 fewer to 206 more)	⊕○○○ VERY LOW	CRITICAL
Serious a	adverse ever	nts		•								
2 1,2	randomized trials	very serious <sup>a,b,c</sup>	not serious	not serious	serious <sup>d</sup>	none	11/246 (4.5%)	18/249 (7.2%)	<b>RR 0.64</b> (0.31 to 1.31)	26 fewer per 1,000 (from 50 fewer to 22 more)	⊕○○○ VERY LOW	CRITICAL

**High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect

Moderate certainty: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different Low certainty: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate. The true effect is likely to be substantially different from the estimate of effect

Risk of bias: Study limitations

**Inconsistency:** Unexplained heterogeneity across study findings **Indirectness:** Applicability or generalizability to the research question

Imprecision: The confidence in the estimate of an effect to support a particular decision

**Publication bias:** Selective publication of studies

CI: Confidence interval; RR: Risk ratio

#### **Explanations**

- a. Spinner et al. co-treatments were not balanced between arms: 45% of patients randomized to control arm received HCQ or CQ compared to 11% in 10-day arm or 8% in 5-day arm; lopinavir/ritonavir was 22% in control arm, 6% in 10-day arm, and 5% in 5-day arm.
- b. Open-label trial design may have led to different clinical practices (co-interventions and time of hospital discharge).
- c. Post-hoc analysis of patients with mild-moderate disease from ACTT-1 (Beigel 2020) and SOLIDARITY (Pan 2020) may introduce bias.
- d. The 95% CI includes the potential for both appreciable benefit as well as the potential for harm. Few events reported do not meet the optimal information size and suggest fragility in the estimate.
- e. The 95% CI may not include a clinically meaningful benefit.
- f. Spinner 2020 reported an odds ratio of 1.65 (95% CI: 1.09, 2.48); however, compared to relative risks, odds ratios tend to overestimate the effect with baseline risk is high.

#### References

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**Table 11.** GRADE evidence profile, Recommendation 11

Question: Remdesivir compared to no antiviral treatment for hospitalized patients with severe COVID-19

# Last reviewed and updated 11/22/2020

			Certainty as	sessment			Nº of p	atients	Effe	ct		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	remdesivir	no remdesivir	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality	(follow up:	range 28 day	ys to 29 days)									
3 1,2,3	randomized trials	serious <sup>a,b,c</sup>	not serious	not serious	serious <sup>d</sup>	none	369/2726 (13.5%)	374/2593 (14.4%)	<b>RR 0.92</b> (0.77 to 1.10)	12 fewer per 1,000 (from 33 fewer to 14 more)	ФФСО	CRITICAL
Time to r	ecovery (fol	low up: 29 d	ays)									
12	randomized trials	serious <sup>c</sup>	not serious	not serious	not serious	none	345/486 (71.0%)	306/471 (65.0%)	Rate ratio 1.31 (1.12 to 1.52)	97 more per 1,000 (from 41 more to 147 more)	⊕⊕⊕ MODERATE	CRITICAL
Clinical i	mprovemen	t (follow up:	28 days)									
1 1	randomized trials	not serious a,b	not serious	not serious	very serious <sup>d</sup>	none	103/158 (65.2%)	45/78 (57.7%)	<b>RR 1.13</b> (0.91 to 1.41)	75 more per 1,000 (from 52 fewer to 237 more)	ФФСО	CRITICAL
Need for	mechanical	ventilation (	follow up: 29 da	ys)								
1 2	randomized trials	not serious	not serious	not serious	serious <sup>e</sup>	none	52/402 (12.9%)	82/364 (22.5%)	<b>RR 0.57</b> (0.42 to 0.79)	97 fewer per 1,000 (from 131 fewer to 47 fewer)	⊕⊕⊕⊖ MODERATE	CRITICAL

Serious adverse events (grade 3/4)

			Certainty as	sessment			Nº of p	atients	Effe	ct		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	remdesivir	no remdesivir	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
2 1,2	randomized trials	not serious	not serious	not serious	serious <sup>f</sup>	none	44/632 (7.0%)	53/545 (8.9%)	<b>RR 0.88</b> (0.74 to 1.06)	11 fewer per 1,000 (from 25 fewer to 6 more)	⊕⊕⊕⊖ MODERATE	CRITICAL
Hospitali	zation											
11	randomized trials	not serious a,b	not serious	not serious	very serious <sup>d</sup>	none	158	78	-	MD 1 days higher (0.12 higher to 1.88 higher)	ФФСС	IMPORTANT
Duration	of mechanic	cal ventilation	on									
11	randomized trials	not serious a,b	not serious	not serious	serious <sup>d</sup>	none	158	78	-	MD 8.5 days lower (9.14 lower to 7.86 lower)	⊕⊕⊕⊖ MODERATE	IMPORTANT
High certa Moderate Low certa	certainty: We inty: Our confi	ery confident to are moderately dence in the el	that the true effect li y confident in the ef ffect estimate is limi	fect estimate: The ted: The true effec	true effect is like ct may be substar	ne effect ly to be close to the esi ntially different from the ly to be substantially d	estimate of the	effect		is substantial	ly different	
	as: Study limita		neity across study fir	ndinas								

**Inconsistency:** Unexplained heterogeneity across study findings **Indirectness:** Applicability or generalizability to the research question

**Imprecision:** The confidence in the estimate of an effect to support a particular decision

Publication bias: Selective publication of studies

CI: Confidence interval; HR: Hazard Ratio; RR: Risk ratio; OR: Odds ratio; MD: Mean difference

### **Explanations**

a. Co-interventions received in Wang 2020 include: interferon alpha-2b, lopinavir/ritonavir, vasopressors, antibiotics, corticosteroid therapy and were balanced between arms.

- b. Wang 2020 stopped early due to lack of recruitment. Trial initiated after reduction in new patient presentation (most patients enrolled later in the disease).
- c. Post-hoc analysis of patients with severe disease from Pan 2020 and Beigel 2020 may introduce bias.
- d. The 95% CI may not include a clinically meaningful effect.
- e. Few events do not meet the optimal information size and suggest fragility in the estimate.
- f. The 95% CI cannot exclude the potential for benefit or harm. Also, few events do not meet the optimal information size.

#### References

- 1. Wang Y, Zhang D, Du G, et al. Remdesivir in adults with severe COVID-19: a randomised, double-blind, placebo-controlled, multicentre trial. Lancet **2020**; 395(10236): 1569-78.
- 2. Beigel JH, Tomashek KM, Dodd LE, et al. Remdesivir for the Treatment of Covid-19 Final Report. N Engl J Med 2020; 383(19): 1813-26.
- 3. Pan H, Peto R, Karim QA, et al. Repurposed antiviral drugs for COVID-19; interim WHO SOLIDARITY trial results. MedRxiv **2020**. Available at: <a href="https://doi.org/10.1101/2020.10.15.20209817">https://doi.org/10.1101/2020.10.15.20209817</a> [Preprint 15 October 2020].

**Table 12.** GRADE evidence profile, Recommendation 12

Question: Remdesivir 5 days compared to remdesivir 10 days for hospitalized patients with severe (not critically ill) COVID-19

Last updated 9/10/2020; last reviewed 11/22/2020

Certainty assessment							№ of patients		Effect			
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	remdesivir 5 days	remdesivir 10 days	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality												
11	randomized trials	serious <sup>b</sup>	not serious	not serious	serious <sup>a</sup>	none	16/200 (8.0%)	21/197 (10.7%)	HR 0.75 (0.40 to 1.39)	27 fewer per 1,000 (from 64 fewer to 42 more)	ФФСО	CRITICAL
Clinical improvement at 14 days												
11	randomized trials	serious <sup>b</sup>	not serious	not serious	serious <sup>c</sup>	none	129/200 (64.5%)	107/197 (54.3%)	RR 1.19 (1.01 to 1.40)	103 more per 1,000 (from 5 more to 217 more)	ФФСО	CRITICAL
SAEs	SAEs											
11	randomized trials	serious <sup>b</sup>	not serious	not serious	serious °	none	42/200 (21.0%)	68/197 (34.5%)	RR 0.61 (0.44 to 0.85)	135 fewer per 1,000 (from 193 fewer to 52 fewer)	ФФСО	CRITICAL
AEs leading to treatment discontinuation												
11	randomized trials		not serious	not serious	serious <sup>c</sup>	none	9/200 (4.5%)	20/197 (10.2%)	<b>RR 0.44</b> (0.21 to 0.95)	57 fewer per 1,000 (from 80 fewer to 5 fewer)	ФФСО	CRITICAL

CI: Confidence interval; RR: Risk ratio

### **Explanations**

a. The 95% CI includes the potential for both appreciable benefit, as well as appreciable harm. Few events reported do not meet the optimal information size and suggest fragility in the estimate.

- b. Goldman 2020 did not blind participants, healthcare workers or outcome assessors. After randomization, disease severity was greater in the 10-day arm; while the analysis adjusted for baseline characteristics including disease severity, there is still the potential for residual confounding.
- c. The lower boundary of the 95% CI may not include a clinically meaningful effect. Few events reported do not meet the optimal information size and suggest fragility in the estimate.
- d. Goldman stratified adverse events by days 1-5, 6-10. AEs leading to treatment discontinuation during days 1-5 were 9 (4%) in the 5-day arm and 14 (7%) in the 10-day arm.

#### Reference

1. Goldman JD, Lye DCB, Hui DS, et al. Remdesivir for 5 or 10 Days in Patients with Severe Covid-19. N Engl J Med 2020; 383: 1827-37.

# Famotidine

Section last reviewed and updated 6/22/2020

Last literature search conducted 6/18/2020

Recommendation 13: Among hospitalized patients with severe COVID-19, the IDSA panel suggests against famotidine use for the sole purpose of treating COVID-19 outside of the context of a clinical trial. (Conditional recommendation, very low certainty of evidence)

The last literature search was conducted on June 18, 2020 and we identified one non-randomized study in OVID. There were no new non-indexed RCTs available.

Why is famotidine considered for treatment?

Anecdotal reports from China suggest that patients infected with coronavirus who were receiving famotidine, a H2 receptor antagonist to treat conditions such as acid reflux and peptic ulcer disease, had improved survival vs. those receiving proton pump inhibitors (PPIs) [135]. This post hoc finding summarized below has led to interest in the drug, though no predominant theory describing a mechanism for its efficacy yet exists. One theory is that famotidine, like many other compounds, binds and therefore inhibits the coronavirus main protease, 3C-like main protease (3CLpro) [136].

### Summary of the evidence

Our search identified one cohort study that compared 84 patients treated with famotidine against 1,536 patients not receiving treatment with famotidine [137] (<u>Table 13</u>). Fifteen percent of patients in the famotidine group (13/84) started famotidine at home before presenting to the hospital. In addition, a subset of 420 patients not treated with famotidine were matched on baseline characteristics to the treated patients.

# Benefits

Famotidine may decrease the composite outcome of death or intubation (HR: 0.42; 95% CI: 0.21, 0.85; Very low CoE); however, the evidence is very uncertain (**Table 13**).

#### Harms

Famotidine is well tolerated. Common adverse events include diarrhea or constipation but occur in less than 5% of people. Severe adverse events occur in less than 1% of persons taking famotidine.

#### Other considerations

The panel determined that the certainty of evidence to be very low due to concerns with risk of bias, imprecision, and possible publication bias. The panel agreed that critically ill patients (i.e., mechanically ventilated) may have been more likely to receive PPIs than famotidine, thus potentially allocating more prognostically favorable patients to the famotidine group; however, the study did not report a protective effect associated with the use of PPIs.

Conclusions and research needs for this recommendation

The guideline panel suggests against famotidine for the sole purpose of treating COVID-19, unless in the context of a clinical trial. Additional clinical trials are needed to inform research for treatment with famotidine for patients with COVID-19 (**Table s2**).

Table 13. GRADE evidence profile, Recommendation 13

Question: Famotidine compared to no famotidine for hospitalized patients with severe COVID-19

### Last reviewed and updated 6/22/2020

			Certainty ass	sessment			<b>№</b> of p	atients	Effe	ect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	famotidine	no famotidine	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Death or	intubation (fo	llow up: 30	days)									
11	observational studies	serious <sup>a</sup>	not serious	not serious	serious <sup>b</sup>	publication bias strongly suspected c	8/84 (9.5%)	332/1536 (21.6%)	HR 0.42 (0.21 to 0.85)	119 fewer per 1,000 (from 166 fewer to 29 fewer)	⊕⊖⊖⊖ VERY LOW	CRITICAL
SAEs			1	1	<u> </u>	1				Ц		
O observational studies  Post-marketing and registrational reported common adverse events include constipation (1.2%-1.4%), diarrhea (1.7%), dizziness (1.3%) and headache (1%-4.7%), but overall famotidine is well tolerated. Rare but serious adverse events (<1%) include Stevens-Johnson syndrome, toxic epidermal necrolysis, necrotizing enterocolitis, anaphylaxis, angioedema, rhabdomyolysis, seizure, hospital-acquired pneumonia, interstitial pneumonia. (Micromedex)  GRADE Working Group grades of evidence												

CI: Confidence interval; HR: Hazard Ratio

#### **Explanations**

- a. Freedberg analysis adjusted for baseline characteristics of age, sex, race/ethnicity, BMI, comorbidities, and initial oxygen requirement (room air, nasal cannula, non-rebreather); however, 27% in the control arm were missing information on BMI. Potential residual confounding due to provision of famotidine being used in less sick/severe cases and PPIs in severe cases. Co-interventions/treatments were not reported (HCQ provided but not disaggregated across arms) and could modify the effect of the intervention. Approximately 15% of patients started famotidine at home, prior to hospitalization, which may lead to earlier co-interventions.
- b. Number of events is less than the optimal information size, which may suggest fragility in the estimate of effect.

c. Concerns about selective reporting due to unavailability of disaggregated data for outcomes of mortality or intubation, missing supplemental files, and raw data for primary outcome from propensity-matched control group.

#### Reference

1. Freedberg DE, Conigliaro J, Wang TC, et al. Famotidine use is associated with improved clinical outcomes in hospitalized COVID-19 patients: A propensity score matched retrospective cohort study. Gastroenterology **2020**; 159(3): 1129-31.

## **Neutralizing Antibodies**

Section last reviewed and updated 4/11/2021

Last literature search conducted 3/31/2021

Recommendation 14: Among ambulatory patients with mild to moderate COVID-19 at high risk for progression to severe disease, the IDSA guideline panel suggests bamlanivimab/etesevimab or casirivimab/imdevimab rather than no neutralizing antibodies. (Conditional recommendation, low certainty of evidence)

#### Remarks:

- Patients with mild to moderate COVID-19 who are at high risk of progression to severe disease admitted to the hospital for reasons other than COVID-19 may also receive bamlanivimab/etesevimab or casirivimab/imdevimab.
- Local variant susceptibility may be considered in the choice of the most appropriate neutralizing antibody therapy.
- There are limited data on efficacy of bamlanivimab/etesevimab or casirivimab/imdevimab in high-risk patients between 12 and 18 years of age.

Recommendation 15: Among hospitalized patients with severe COVID-19, the IDSA guideline panel recommends against bamlanivimab monotherapy. (Strong recommendation, Moderate certainty of evidence)

**Figure 2.** FDA EUA criteria for the use of casirivimab/imdevimab and bamlanivimab/etesevimab 1, 2,a

This EUA is for the use of the unapproved products bamlanivimab, casirivimab and imdevimab, and/or bamlanivimab and etesevimab for the treatment of mild to moderate COVID-19 in adults and pediatric patients (12 years of age and older weighing at least 40 kg) with positive results of direct SARS-CoV-2 viral testing, and who are at high risk for progressing to severe COVID-19 and/or hospitalization.

High risk is defined as patients who meet at least one of the following criteria:

- Have a body mass index (BMI) ≥35
- Have chronic kidney disease
- Have diabetes
- Have immunosuppressive disease
- Are currently receiving immunosuppressive treatment
- Are ≥65 years of age
- Are ≥55 years of age AND have
  - o cardiovascular disease, OR
  - o hypertension, OR
  - o chronic obstructive pulmonary disease/other chronic respiratory disease.
- Are 12 17 years of age AND have
  - BMI ≥85th percentile for their age and gender based on <u>CDC growth charts</u>, OR
  - o sickle cell disease, OR
  - congenital or acquired heart disease, OR
  - o neurodevelopmental disorders, for example, cerebral palsy, OR
  - a medical-related technological dependence, for example, tracheostomy, gastrostomy, or positive pressure ventilation (not related to COVID-19), OR
  - o asthma, reactive airway or other chronic respiratory disease that requires daily medication for control.
- a. These criteria refer to Recommendation 14.

#### Reference

- U.S. Food and Drug Administration. Fact Sheet for Health Care Providers: Emergency Use Authorization (EUA) of Bamlanivimab and Etesevimab. Available at: https://www.fda.gov/media/145802/download. Accessed 9 April 2021.
- 2. U.S. Food and Drug Administration. Fact Sheet for Health Care Providers: Emergency Use Authorization (EUA) of Casirivimab and Imdevimab. Available at: <a href="https://www.fda.gov/media/143892/download">https://www.fda.gov/media/143892/download</a>. Accessed 9 April 2021.

Why are neutralizing antibodies considered for treatment?

Neutralizing antibodies directed at the receptor-binding domain of SARS-CoV-2 spike protein have been evaluated as prophylactic and therapeutic agents for COVID-19. In animal models, there is evidence that antibody therapy may more rapidly reduce viral load in the upper and lower airways of infected animals resulting in reduced viral-induced pathology [138]. Additionally, antibody mediated enhancement of disease has not been detected in animal models [138] but this potential phenomenon should be closely monitored in the future studies.

Potential advantages of neutralizing antibodies include the ability to standardize the amount of neutralizing activity and the possibility of conferring protection more rapidly than with vaccine-induced immune responses (which generally take several weeks).

Antibody treatments have been and continue to be evaluated in both hospitalized and ambulatory patients. For outpatients, logistical challenges exist since the infrastructure for administration of intravenous (IV) infusions does not exist in most ambulatory care settings. There may also be concerns about spread of contagion when administering IV infusions in clinics. However, these challenges are being addressed in a number of outpatient infusion centers.

As of the end of February 2021, the FDA has released three emergency use authorizations for neutralizing monoclonal antibody therapies. On November 9, 2020, the FDA released an emergency use authorization for bamlanivimab [139], on November 21, 2020 for the combination of casirivimab and imdevimab [140], and on February 9, 2021 for bamlanivimab and etesevimab [141].

## Summary of the evidence

Our search identified four RCTs reporting on treatment with neutralizing antibodies (bamlanivimab or combination of casirivimab/imdevimab or bamlanivimab/etesevimab) for patients with COVID-19 [142-146] (<u>Tables 14-16</u>). Due to clinical heterogeneity of the outcome measures across studies, meta-analyses combining the different neutralizing antibodies was not considered appropriate. One RCT, stopped early for futility, reported on hospitalized patients

with COVID-19 randomized to treatment with either a single infusion of bamlanivimab (7000 mg) or placebo (ACTIV-3/TICO) [145, 146]. One Phase II/III RCT reported on non-hospitalized patients (adults as well as children age 12 and up) considered at high risk for progression to severe disease who were within three days of their first positive test for SARS-CoV-2 who were randomized to a single infusion of bamlanivimab 2,800 mg/etesevimab 2,800 mg or placebo [145]. One Phase II RCT reported on non-hospitalized patients with recently diagnosed mild or moderate COVID-19 randomized to treatment with either a single infusion of neutralizing antibody bamlanivimab in one of three doses (700 mg, 2800 mg, or 7000 mg) or placebo [144, 145].

A press release on the phase III trial assessing casirivimab/imdevimab in non-hospitalized patients reported that the independent data monitoring committee found both the 1200 mg and 2,400 mg dose had "clear clinical efficacy on reducing the rate of hospitalizations and death." Data was provided from the company providing evidence on mortality, hospitalization, and serious adverse events. Data used to generate the evidence profile was from the modified full analysis set (mFAS), which included all randomized patients with a positive SARS-CoV-2 RT-qPCR from nasopharyngeal swabs at randomization and ≥1 risk factor for severe COVID-19 [147].

Benefits

### Bamlanivimab/etesevimab

In ambulatory patients at high risk for severe COVID-19, bamlanivimab/etesevimab demonstrated an absolute mortality reduction of 1.9% (95% CI includes a minimum of 0.7% reduction in mortality) as no deaths were seen by day 29 in the 518 patients treated with bamlanivimab/etesevimab compared to 10 deaths in the 517 patients who received placebo. However, due to the small number of events (10, of which nine were believed to the result of COVID-19), the certainty of evidence was low due to imprecision. Bamlanivimab/etesevimab demonstrated a lower relative risk of COVID-19 related hospitalizations (defined as ≤24 hours of acute care) through day 29 compared to no bamlanivimab/etesevimab (RR: 0.30; 95% CI: 0.16, 0.59; low CoE). Ambulatory patients who received bamlanivimab/etesevimab had a lower

relative risk of persistently high viral load at day seven compared to no bamlanivimab/etesevimab (RR: 0.34; 95% CI: 0.25-0.46; low CoE).

### Casirivimab/imdevimab

Among ambulatory patients with at least one risk factor for severe disease, casirivimab/imdevimab demonstrated a lower relative risk of mortality compared to no casirivimab/imdevimab (RR: 0.28; 95% CI: 0.05, 1.40; moderate CoE). In ambulatory patients with at least one risk factor for severe disease, there was a lower relative risk of hospitalization in patients treated with casirivimab/imdevimab compared to no casirivimab/imdevimab (RR:0.45; CI: 0.30, 0.67; low CoE). Hospitalization was defined as a medically attended visit and included telemedicine visits, in-person visits, urgent care or emergent department visits, and hospitalization. The low certainty of evidence was due to indirectness, as hospitalization is a surrogate for mortality, and imprecision, due to few events recorded.

## Bamlanivimab monotherapy

Among ambulatory patients, bamlanivimab demonstrated a lower relative risk of hospitalization, including visits to the emergency room, compared to no bamlanivimab (RR: 0.26; 95% CI: 0.09, 0.75; very low CoE). The very low certainty of evidence was due to indirectness, as the treatment may not have been provided to enough patients at risk of developing severe disease to be representative of the general population, and imprecision, due to few events recorded. Bamlanivimab may increase viral clearance at three days (mean difference: -0.49; 95% CI: -0.87, -0.11; low CoE); however, there may not be a meaningful difference at 11 days as measured by change from baseline SARS-CoV-2 viral load (mean difference: -0.22; 0.95: -0.60, 0.15; low CoE).

Among patients hospitalized for COVID-19, treatment with bamlanivimab compared to placebo failed to show or exclude a beneficial effect on mortality (HR: 2.00; 95% CI: 0.67, 5.99; moderate CoE). Clinical improvement, as defined as a decrease in a pulmonary ordinal scale, may not be meaningfully different among patients hospitalized for COVID-19 who received treatment with bamlanivimab or placebo (OR: 0.85; 0.56, 1.29; moderate CoE).

Harms

## Bamlanivimab/etesevimab

Patients receiving bamlanivimab/etesevimab experienced more serious adverse events. However, this may not be meaningfully different from those receiving placebo (RR: 1.40; 95% CI: 0.45, 4.37; moderate CoE).

### Casirivimab/imdevimab

Serious adverse events were less frequent among patients receiving casirivimab/imdevimab compared to those receiving placebo (RR: 0.31; 95% CI: 0.20, 0.46; low CoE).

### Bamlanivimab monotherapy

Serious adverse events among ambulatory patients receiving bamlanivimab monotherapy may not be meaningfully different from those receiving placebo (RR: 0.15; 95% CI: 0.01, 3.78; low CoE). Patients receiving bamlanivimab did experience more infusion-related adverse events, including pruritus, flushing, rash, and facial swelling (RR: 1.62; 95% CI: 0.34, 7.70; low CoE).

Similarly, serious adverse events at five and 28 days among patients hospitalized for COVID-19 receiving bamlanivimab may not be meaningfully different from those receiving placebo (RR: 1.85; 95% CI: 0.34, 9.97; moderate CoE and RR: 0.93, 95% CI: 0.27, 3.14; moderate CoE, respectively). Similarly, infusion-related adverse events may not be meaningfully different between patients hospitalized for COVID-19 receiving bamlanivimab or placebo (OR: 1.64, 95% CI: 0.79, 3.44; moderate CoE).

Other considerations

### Bamlanivimab/etesevimab

The panel agreed that the overall certainty of evidence for the treatment with bamlanivimab/etesevimab in ambulatory patients with COVID-19 was low due to imprecision

and indirectness of outcomes (i.e., different dose recommended in the EUA when compared to the dose used in the trial; viral loads or hospitalizations are indirect markers of severity of disease and death). The guideline panel made a conditional recommendation for using bamlanivimab/etesevimab in mild to moderate COVID-19 at high risk for developing severe disease as the expected benefits likely outweigh any potential harms.

The FDA EUA for bamlanivimab/etesevimab was issued for the dosage of 700 mg instead of 2,800 mg of bamlanivimab, and 1,400 mg instead of 2,800 mg for etesevimab. Summary rationale for the lower dose is provided in the bamlanivimab/etesevimab FDA EUA FAQ stating "based on analysis of the available nonclinical, clinical, and virologic data, as well as supportive data from pharmacokinetic/pharmacodynamics modeling, the authorized dosage of 700 mg bamlanivimab and 1,400 mg of etesevimab is expected to have similar clinical effects to a dosage of 2,800 mg bamlanivimab and 2,800 mg of etesevimab used in the clinical study." The lower dose from the EUA was not studied in the phase III trial BLAZE-1. The extrapolation that the lower dose has the same efficacy as the higher dose, based on surrogate outcomes from other study lowers certainty of evidence due to indirectness.

### Casirivimab/imdevimab

The panel agreed that the overall certainty of evidence for the currently available data on the treatment with casirivimab/imdevimab for ambulatory patients with COVID-19 is low due to concerns with indirectness and imprecision due to sparseness of events.

## Bamlanivimab monotherapy

The panel agreed that the overall certainty of evidence for treatment with bamlanivimab for ambulatory patients with COVID-19 is very low due to concerns with indirectness and imprecision. Though the treatment with combination bamlanivimab/etesevimab is preferred compared to bamlanivimab monotherapy due to the more robust data supporting it, the panel recognized that short-term supply constraints for bamlanivimab/etesevimab may necessitate the use of bamlanivimab monotherapy in some situations.

The panel agreed that the overall certainty of evidence for treatment with bamlanivimab for patients hospitalized for COVID-19 is moderate due to concerns with fragility in the estimate from the small number of events reported. The guideline panel made a strong recommendation against treatment with bamlanivimab for patients hospitalized for COVID-19. The panel was moderately certain that any relevant benefit (reduction in mortality or clinical improvement) could be excluded.

Conclusions and research needs for this recommendation

The guideline panel suggests using bamlanivimab/etesevimab or casirivimab/imdevimab in mild to moderate COVID-19 ambulatory patients at high risk for developing severe disease as the expected benefits likely outweigh any potential harms (<u>Tables 14 to 16</u>).

The guideline panel recommends against use of bamlanivimab for patients hospitalized for COVID-19 (Table 17).

The guideline panel recognized the need for continued research and accrual of evidence, particularly trials on patient important outcomes, existing and new neutralizing antibodies, and neutralizing antibodies for prophylaxis (**Table s2**).

The emergence and circulation of new SARS-CoV-2 genetic variants has been reported from the United States and other counties. The B.1.1.7 (United Kingdom [UK] origin), B.1.351 (South Africa origin), P.1 (Brazil origin), B.1.427, and B.1.429 (California origin) variants circulating in the United States are classified as variants of concern as they may have potential clinical and public health implications. The B.1.525, B1.526 (New York origin) and P.2 variants are classified as variants of interest [148].

In vitro neutralizing assays using SARS-CoV-2 or vesicular stomatitis virus-based pseudovirus showed that some of the variants had reduced susceptibility to the currently available neutralizing antibodies under the EUA, either individually or in combination.

Bamlanivimab alone and the combination of bamlanivimab and etesevimab together had activity against pseudovirus expressing del69-70 + N501Y found in the B.1.1.7 variant (UK

origin). Pseudovirus expressing spike protein from the B.1.351 lineage (South Africa origin) or substitutions K417N + E484K + N501Y found in this lineage had reduced susceptibility to bamlanivimab and etesevimab together of >45-fold, and pseudovirus expressing K417T + E484K + N501Y found in the P.1 lineage (Brazil origin) had reduced susceptibility to bamlanivimab and etesevimab together of >511-fold. Pseudovirus expressing spike protein from the B.1.427/B.1.429 lineages (California origin), or the L452R substitution found in this lineage, had reduced susceptibility to bamlanivimab and etesevimab together of 7.7-fold or 7.4-fold, respectively [141].

Casirivimab and imdevimab individually and together had neutralization activity against pseudovirus expressing all spike protein substitutions found in the B.1.1.7 lineage (UK origin) and against pseudovirus expressing only N501Y found in B.1.1.7 and other circulating lineages. Casivirimab and imdevimab together had neutralization activity against pseudovirus expressing all spike protein substitutions, or individual substitutions K417N, E484K or N501Y, found in the B.1.1351 lineage (South Africa origin), and against K417T+E484K, found in the P.1 lineage (Brazil origin), although casirivimab alone, but not imdevimab, had reduced activity against pseudovirus expressing K417N or E484K, as indicated above. The E484K substitution is also found in the B.1.526 lineage (New York origin). Casivirimab and imdevimab, individually and together, retained neutralization activity against the L452R substitution found in the B.1.427/B.1.429 lineages (California origin) [149].

It is not known how *in vitro* neutralization activity of monoclonal antibodies against psudovirus expressing spike protein substitutions or even *in vitro* neutralization activity against the SARS-CoV-2 variants correlate with clinical efficacy. Genotypic and phenotypic testing for variants and their correlation with patient important outcomes is being studied in clinical trials evaluating neutralizing antibodies. We still need further studies and surveillance data to understand the implications of SARS-CoV-2 variants on clinical efficacy of COVID-19 therapies.

Table 14. GRADE evidence profile, Recommendation 14

Question: Bamlanivimab/etesevimab compared to no bamlanivimab/etesevimab for ambulatory patients with mild to moderate COVID-19 at high risk for progression to severe disease

Last updated 3/2/2021; last reviewed 4/11/2021

			Certainty as	sessment			Nº of pa	atients	E	ffect		
№ of studie s	Study design	Risk of bias	Inconsisten cy	Indirectn ess	Imprecisio n	Other considerations	bamlanivimab/ etesevimab	no bamlanivimab/ etesevimab	Relative (95% CI)	Absolute (95% CI)	Certainty	Importanc e
Mortalit	y (follow up	: 29 days)										
1 1	randomize d trials	not serious	not serious	not serious	very serious b	none	0/518 (0.0%)	10/517 (1.9%)	not estimable	19 fewer per 1,000 (from 31 fewer to 7 fewer) °	⊕⊕○○ LOW	CRITICAL
Hospita	lization (>/=	24 hours	of acute care) v	with COVID-	19 (follow up	: 29 days)						
11	randomize d trials	not serious	not serious	serious <sup>a,d</sup>	serious <sup>b</sup>	none	11/518 (2.1%)	36/517 (7.0%)	<b>RR 0.30</b> (0.16 to 0.59)	49 fewer per 1,000 (from 58 fewer to 29 fewer)	⊕⊕○○ LOW	CRITICAL
Persiste	ently high vi	ral load (F	PHVL) at day 7	(follow up: 7	7 days; asses	sed with: RT-PCF	R)					
11	randomize d trials	not serious	not serious	serious <sup>a,e</sup>	serious <sup>b</sup>	none	50/508 (9.8%)	145/499 (29.1%)	<b>RR 0.34</b> (0.25 to 0.46)	192 fewer per 1,000 (from 218 fewer to 157 fewer)	⊕⊕○○ LOW	IMPORTAN T
Serious	adverse ev	ents	<u> </u>		<u> </u>		<u> </u>		<u> </u>	<u> </u>	<u> </u>	
11	randomize d trials	not serious	not serious	not serious	serious <sup>b</sup>	none	7/518 (1.4%)	5/517 (1.0%)	<b>RR 1.40</b> (0.45 to 4.37)	4 more per 1,000 (from 5 fewer to 33 more)	⊕⊕⊕ ○ MODERATE	CRITICAL

CI: Confidence interval; RR: Risk ratio

### **Explanations**

- a. Estimate reflects the use of a higher dose than treatment dose approved by the FDA.
- b. Fragility present, low number of events
- c. Zero events preventing RR estimate
- d. Hospital admission is an intermediary outcome for morbidity, ICU admission, and need for ventilation
- e. Measure of viral clearance is a surrogate outcome for hospital admission, need for intensive care, intubation and death.

#### References

1. Dougan M, Nirula A, Azizad M, et al. The Impact of Bamlanivimab + Etesevimab Neutralizing Antibody Combination Treatment on Hospitalization Rates and Deaths Among High-Risk Patients Presenting With Mild-to-Moderate COVID-19 Illness. **2021**: [Under review].

**Table 15.** GRADE evidence profile, Recommendation 14

Question: Casirivimab/imdevimab compared to no casirivimab/imdevimab for ambulatory patients with mild to moderate COVID-19 at high risk of progression to severe disease

New evidence profile developed 4/11/2021

			Certainty ass	essment			Nº of p	patients	Effe	ect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	casirivimab/ imdevimab	no casirivimab/ imdevimab	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Hospital	ization (medio	cally attend	ed visits; 1,200 r	ng + 2,400 mg;	mITT/mFAS) (	follow up: 29 day	s)					
1	randomized trials	not serious <sup>a</sup>	not serious	serious <sup>b</sup>	serious <sup>c</sup>	none	40/2091 (1.9%)	57/1341 (4.3%)	<b>RR 0.45</b> (0.30 to 0.67)	23 fewer per 1,000 (from 30 fewer to 14 fewer)	⊕⊕○○ LOW	CRITICAL
Vortality	/ (1,200 mg +	2,400 mg; n	nITT/mFAS) (folio	ow up: 29 days	)							
1	randomized trials	not serious <sup>a</sup>	not serious	not serious	serious <sup>c</sup>	none	2/2091 (0.1%)	5/1341 (0.4%)	<b>RR 0.28</b> (0.05 to 1.40)	3 fewer per 1,000 (from 4 fewer to 1 more)	⊕⊕⊕○ MODERATE	CRITICAL
Serious	adverse even	ts (1,200 m	g + 2,400 mg) (fo	llow up: 29 day	/s)					•		
1	randomized trials	not serious <sup>a</sup>	not serious	not serious	serious <sup>c</sup>	none	33/2676 (1.2%)	74/1843 (4.0%)	RR 0.31 (0.20 to 0.46)	28 fewer per 1,000 (from 32 fewer to 22 fewer)	⊕⊕⊕○ MODERATE	CRITICAL

Low certainty: Our confidence in the effect estimate is limited. The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

Risk of bias: Study limitations

Inconsistency: Unexplained heterogeneity across study findings Indirectness: Applicability or generalizability to the research question

Imprecision: The confidence in the estimate of an effect to support a particular decision

Publication bias: Selective publication of studies

CI: Confidence interval; RR: Risk ratio

#### **Explanations**

- a. Group distribution of randomization exclusions in the mITT/mFAS unknown. The rating is based on the assumption that post-randomization exclusions where equally distributed and no other methodological limitations are found when the full report becomes available.
- b. "Medically attended visits" is a surrogate for ICU admission, mechanical ventilation and death.
- c. Small number of events; fragility present

#### References

1. Regeneron Pharmaceuticals, Inc. Phase 3 Trial Shows Regen-CoV<sup>™</sup> (Casirivimab with Imdevimab) Antibody Cocktail Reduced Hospitalization or Death by 70% in Non-Hospitalized COVID-19 Patients. Available at: <a href="https://investor.regeneron.com/news-releases/news-release-details/phase-3-trial-shows-regen-covtm-casirivimab-imdevimab-antibody">https://investor.regeneron.com/news-releases/news-releases/news-release-details/phase-3-trial-shows-regen-covtm-casirivimab-imdevimab-antibody</a>. Accessed 9 April 2021.

Table 16. GRADE evidence profile

Question: Bamlanivimab compared to no bamlanivimab for non-hospitalized patients with COVID-19

Last updated 1/29/2021; last reviewed 4/11/2021

			Certainty as	sessment			№ of p	atients	Eff	ect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	bamlanivimab	no bamlanivimab	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Hospitaliz	zation (includ	ing ED visi	ts) with COVID-19	9 (follow up: 29	days)							
11	randomized trials	not serious	not serious	serious <sup>a</sup>	very serious <sup>b</sup>	none	5/309 (1.6%)	9/143 (6.3%)	<b>RR 0.26</b> (0.09 to 0.75)	47 fewer per 1,000 (from 57 fewer to 16 fewer)	⊕○○○ VERY LOW	CRITICAL
Viral clear	rance (follow	up: 3 days	; assessed with:	change from ba	aseline in SARS	S-CoV-2 viral load)						
11	randomized trials	not serious	not serious	serious <sup>a,c</sup>	serious <sup>b</sup>	none	309	143	-	MD <b>0.49</b> lower (0.87 lower to 0.11 lower)	⊕⊕○○ LOW	IMPORTANT
Viral clear	rance (follow	up: 11 day	s; assessed with	: change from I	baseline in SAF	RS-CoV-2 viral load	)					
11	randomized trials	not serious	not serious	serious <sup>a,c</sup>	serious <sup>d</sup>	none	309	143	-	MD 0.22 lower (0.6 lower to 0.15 higher)	⊕⊕○○ LOW	IMPORTANT
Serious a	dverse event	s (upper al	odominal pain)									
11	randomized trials	not serious	not serious	not serious	very serious <sup>d</sup>	none	0/309 (0.0%)	1/143 (0.7%)	RR 0.15 (0.01 to 3.78)	6 fewer per 1,000 (from 7 fewer to 19 more)	⊕⊕○○ LOW	CRITICAL

Infusion-related adverse events

			Certainty as	sessment			Nº of p	atients	Effe	ect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	bamlanivimab	no bamlanivimab	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
11	randomized trials	not serious	not serious	not serious	very serious <sup>d</sup>	none	7/309 (2.3%)	2/143 (1.4%)	<b>RR 1.62</b> (0.34 to 7.70)	9 more per 1,000 (from 9 fewer to 94 more)	⊕⊕○○ LOW	CRITICAL

#### GRADE Working Group grades of evidence

High certainty: We are very confident that the true effect lies close to that of the estimate of the effect

Moderate certainty: We are moderately confident in the effect estimate. The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

Low certainty: Our confidence in the effect estimate is limited. The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate. The true effect is likely to be substantially different from the estimate of effect

Risk of bias: Study limitations

**Inconsistency:** Unexplained heterogeneity across study findings **Indirectness:** Applicability or generalizability to the research question

Imprecision: The confidence in the estimate of an effect to support a particular decision

Publication bias: Selective publication of studies

CI: Confidence interval; RR: Risk ratio; MD: Mean difference

#### **Explanations**

- a. Uncertain that the treatment was provided in enough patients at risk of developing severe disease to be representative of the general population.
- b. The 95% CI may not include a meaningful difference. Few events reported suggests fragility of the estimate.
- c. Measure of viral clearance is a surrogate outcome for hospital admission, need for intensive care, intubation and death.
- d. The 95% CI includes values that suggest either an increase or decrease in harm. Few events reported suggests fragility of the estimate.

#### References

1. Chen P, Nirula A, Heller B, et al. SARS-CoV-2 Neutralizing Antibody LY-CoV555 in Outpatients with Covid-19. N Engl J Med 2021; 384(3): 229-37.

**Table 17.** GRADE evidence profile, Recommendation 15

Question: Bamlanivimab compared to no bamlanivimab for patients hospitalized for COVID-19

Last updated 1/29/2021; last reviewed 4/11/2021

			Certainty as	sessment			Nº of p	atients	Ef	fect		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	bamlanivimab	no bamlanivimab	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality												
11	randomized trials	not serious	not serious	not serious	serious <sup>a</sup>	none	9/163 (5.5%)	5/151 (3.3%)	HR 2.00 (0.67 to 5.99)	32 more per 1,000 (from 11 fewer to 150 more)	⊕⊕⊕○ MODERATE	CRITICAL
Clinical impr	rovement at o	day 5 (as	sessed with: pulr	nonary ordinal	outcome [scale	1-7; 1 = least seve	ere])					
11	randomized trials	not serious	not serious	not serious	serious <sup>a</sup>	none	161	150	OR 0.85 (0.56 to 1.29) b	-	⊕⊕⊕○ MODERATE	CRITICAL
Serious adve	erse events (	follow up	o: 5 days)									
11	randomized trials	not serious	not serious	not serious	serious <sup>a</sup>	none	4/163 (2.5%)	2/151 (1.3%)	<b>RR 1.85</b> (0.34 to 9.97)	11 more per 1,000 (from 9 fewer to 119 more)	⊕⊕⊕○ MODERATE	CRITICAL
Serious adve	erse events (	follow up	o: 28 days)		1		1	•	•	•		
11	randomized trials	not serious	not serious	not serious	serious <sup>a</sup>	none	5/163 (3.1%)	5/151 (3.3%)	RR 0.93 (0.27 to 3.14)	2 fewer per 1,000 (from 24 fewer to 71 more)	⊕⊕⊕○ MODERATE	IMPORTANT
Infusion-rela	ted adverse	event				÷	•	÷	•	÷	<del>;</del>	
11	randomized trials	not serious	not serious	not serious	serious <sup>a</sup>	none	23/163 (14.1%)	21/151 (13.9%)	OR 1.64 (0.79 to 3.44) °	70 more per 1,000 (from 26 fewer to 218 more)	⊕⊕⊕○ MODERATE	IMPORTANT

#### **GRADE Working Group grades of evidence**

High certainty: We are very confident that the true effect lies close to that of the estimate of the effect

Moderate certainty: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

Low certainty: Our confidence in the effect estimate is limited. The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate. The true effect is likely to be substantially different from the estimate of effect

Risk of bias: Study limitations

**Inconsistency:** Unexplained heterogeneity across study findings **Indirectness:** Applicability or generalizability to the research question

**Imprecision:** The confidence in the estimate of an effect to support a particular decision

Publication bias: Selective publication of studies

CI: Confidence interval; HR: Hazard Ratio; OR: Odds ratio; RR: Risk ratio

#### **Explanations**

- a. The 95% CI includes the potential for both appreciable benefit as well as the potential for harm. Few events reported do not meet the optimal information size and suggest fragility of the estimate
- b. Study-provided odds ratio adjusted for baseline ordinal category and trial pharmacy.
- c. Study-provided odds ratio adjusted for the trial pharmacy.

#### References

1. ACTIV-3/TICO LY-CoV555 Study Group, Grund B, Barkauskas CE, et al. A Neutralizing Monoclonal Antibody for Hospitalized Patients with Covid-19. N Engl J Med 2020: [Epub ahead of print 22 December 2020].

## Baricitinib

New section developed 12/23/2020

Last literature search conducted 12/14/2020

Recommendation 16: Among hospitalized patients with severe\* COVID-19 who cannot receive corticosteroids because of a contraindication, the IDSA guideline panel suggests use of baricitinib with remdesivir rather than remdesivir alone. (Conditional recommendation, Low certainty of evidence)

• **Remark:** Baricitinib 4 mg daily dose for 14 days (or until hospital discharge). The benefits of baricitinib plus remdesivir for persons on mechanical ventilation are uncertain. See remdesivir section for dose and duration.

\*Severe illness is defined as patients with SpO<sub>2</sub> ≤94% on room air, including patients on supplemental oxygen, oxygen through a high-flow device, or non-invasive ventilation.

Recommendation 17: Among hospitalized patients with COVID-19, the IDSA guideline panel recommends treatment with baricitinib plus remdesivir plus corticosteroids only in the context of a clinical trial. (Knowledge gap)

The literature search was conducted December 14, 2020 and we identified one RCT.

Why is baricitinib considered for treatment?

Baricitinib, a Janus kinase inhibitor currently FDA-approved for the treatment of rheumatoid arthritis (RA), is being investigated in multiple studies for treatment of COVID-19. The proposed benefits of baricitinib in the management of COVID-19 may be two-fold as it has both anti-inflammatory and potential antiviral activity. Janus kinase mediates cytokine signaling, which contributes to inflammation; Janus kinase inhibitors, therefore, may decrease cytokine-mediated inflammation. Baricitinib inhibits host intracellular membrane proteins AP2-associated protein kinase 1 (AAK1) and also binds cyclin G-associated kinase (GAK), both thought to play a role in receptor mediated endocytosis of many viruses including Ebola,

dengue, Hepatitis C, and SARS CoV-2 [150-152]. Baricitinib has been evaluated in people with COVID-19 in non-randomized studies [153-156].

### Summary of the evidence

Our literature search identified one randomized controlled trial RCT that reported on the use of baricitinib (4 mg daily dose) plus remdesivir in hospitalized patients with moderate and severe COVID-19. This trial was conducted as the second stage of the Adaptive COVID-19 Treatment Trial (ACTT-2), where subjects were randomized to receive combination therapy with baricitinib and remdesivir or remdesivir alone [157] (Table 18). Randomization was stratified by disease severity classified by an ordinal scale of clinical status (4+5 vs 6+7). In this trial, severe disease was defined as patients with an ordinal scale of 6 (high flow oxygen and non-invasive ventilation) or 7 (mechanical ventilation or ECMO). Mild-moderate disease was defined as patients with an ordinal scale of 4 (hospitalized, but not requiring supplemental oxygen) or 5 (requiring supplemental oxygen).

## Benefits

In ACTT-2, the combination of baricitinib and remdesivir showed a trend towards lower mortality (4.7% vs. 7.1%; rate ratio: 0.65; 95% CI 0.39, 1.09; Moderate CoE). In patients stratified within the severe COVID-19 pneumonia group, defined as 6 or 7 on the ordinal scale, subjects who received baricitinib and remdesivir were more likely to experience clinical recovery (defined as a value of <4 on the ordinal scale) at day 28 (69.3% vs. 59.7%; rate ratio 1.29; 95% CI 1.00, 1.66; Moderate CoE). The original stratification was altered as 40 subjects were misclassified at baseline; however, re-analysis of the original stratified data produced a similar result. Patients in the baricitinib arm were less likely to require initiation of mechanical ventilation or ECMO through day 29 (10% vs. 15.2%; RR: 0.66; 95% CI 0.46, 0.93; Low CoE). In summary, it appeared that patients requiring supplemental oxygen or non-invasive ventilation at baseline benefitted most from baricitinib; the benefit was less clear in patients already on mechanical ventilation. As glucocorticoids were not commonly used at the time of the trial, it remains uncertain whether baricitinib would provide additional benefits beyond standard glucocorticoid use.

Harms

In ACTT-2, patients receiving baricitinib and remdesivir had a lower risk of developing any serious adverse events through day 28 (16% vs. 21%; RR 0.76; 95% CI 0.59,0.99; Moderate CoE) whether or not thought to be related to the study drug. In this trial, the overall rate of new infections was lower in the baricitinib plus remdesivir group compared with remdesivir alone (30 patients [5.9%] versus 57 patients [11.2%]) [157]. However, patients who received concomitant glucocorticoids had a higher incidence of serious or non-serious infection as compared with those who did not: 25.1% and 5.5% respectively. It was not specified what proportion of these patients were in the baricitinib combination group versus the control group in the study.

Based on experience in clinical trials for RA, baricitinib has been found to be associated with an increased risk of adverse effects including infections (especially upper respiratory tract infections), thrombosis, lymphopenia, anemia, increases in lipids, elevations in liver enzymes, and elevations in creatinine phosphokinase [158]. Many of these side effects are thought to be dose related, with increased incidence in patients taking baricitinib 4 mg compared with 2 mg. Patients in ACTT-2 received baricitinib 4 mg daily for two weeks or until discharge, a shorter duration than those taking the drug for RA. In clinical trials for RA, baricitinib was associated with a numerically higher risk of upper respiratory tract infections and herpes simplex and herpes zoster infections compared with placebo [159]. Opportunistic infections have been reported in patients taking baricitinib.

Patients with COVID-19 have been found to have abnormalities in coagulation parameters and might have an elevated risk of thrombosis [160]. Baricitinib has been found to increase incidence of thrombosis compared with placebo in clinical trials for its FDA approval for rheumatoid arthritis, especially at a higher dose of 4 mg daily [158]. During the 16-week treatment period in RA trials, VTE occurred in five patients treated with baricitinib 4 mg daily, compared with zero in the 2 mg daily and placebo groups. Arterial thrombosis occurred in two patients treated with baricitinib 4 mg, two patients treated with baricitinib 2 mg, and one patient on placebo. In ACTT-2, the percentage of patients reported to have VTE was numerically higher in the combination group (21 patients [4.1%] vs 16 patients [3.1%]) although it was

similar overall (absolute difference 1%, 95% CI -1.3 to 3.3) [157]. Of note, all patients in the trial were recommended to receive VTE prophylaxis if they had no contraindication.

#### Other considerations

The panel agreed on the overall certainty of evidence as low due to concerns with risk of bias, driven by the use of data from post-hoc analyses, and imprecision, which recognized the limited events and concerns with fragility in the group who likely benefited most (those requiring supplemental oxygen or non-invasive ventilation). The guideline panel recognized the uncertainty of potential harms of baricitinib with remdesivir given in combination with corticosteroids (dexamethasone is recommended for patients with severe or critical disease); however, noted the importance of suggesting baricitinib plus remdesivir as an option for persons unable to receive corticosteroids.

### Conclusions and research needs for this recommendation

The guideline panel suggests baricitinib with remdesivir for persons who cannot receive corticosteroids. Baricitinib plus remdesivir should be reserved for patients who cannot take corticosteroids because dexamethasone has been proven to reduce mortality in patients hospitalized with COVID-19 who require supplemental oxygen or mechanical ventilation and, for this reason, dexamethasone is recommended by the panel for this group. It is uncertain whether baricitinib plus remdesivir will have the same benefit as dexamethasone, and there are insufficient data to recommend the use of dexamethasone along with baricitinib plus remdesivir. The guideline panel recommends baricitinib with remdesivir in combination with corticosteroids only in the context of a clinical trial. Additional clinical trials are needed to better understand the potential harms when baricitinib is given with corticosteroids and whether there is a benefit of treatment for patients with COVID-19 (**Table s2**).

Table 18. GRADE evidence profile, Recommendation 16

Question: Baricitinib with remdesivir compared to remdesivir for hospitalized patients with COVID-19

New evidence profile developed 12/23/2020

			Certainty as	sessment			Nº of p	atients	Effe	ct		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	baricitinib + RDV	RDV	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality	(follow up: 2	28 days)										
11	randomized trials	not serious	not serious	not serious	serious <sup>a</sup>	none	24/515 (4.7%)	37/518 (7.1%)	HR 0.65 (0.39 to 1.09)	24 fewer per 1,000 (from 43 fewer to 6 more)	⊕⊕⊕ MODERATE	CRITICAL
Clinical r	ecovery - ho	spitalized re	equiring supplen	nental O2/recei	ving noninvas	ive ventilation or h	igh-flow O2 (o	rdinal 5+6) (a	ssessed with:	Ordinal sca	le <4)	
11	randomized trials	serious <sup>b</sup>	not serious	not serious	serious <sup>c</sup>	none	344/391 (88.0%)	316/389 (81.2%)	RR 1.08 (1.02 to 1.15)	65 more per 1,000 (from 16 more to 122 more)	ФФСО	CRITICAL
Clinical r	ecovery - red	ceiving noni	nvasive ventilati	on or high-flov	v O2, invasive	mechanical ventila	tion or ECMO	(ordinal 6+7;	stratified) (ass	essed with	: Ordinal scale <4)	
11	randomized trials	not serious	not serious	not serious	serious <sup>e</sup>	none	122/176 (69.3%)	114/191 (59.7%)	HR 1.29 (1.00 to 1.66)	93 more per 1,000 (from 0 fewer to 182 more)	⊕⊕⊕⊖ MODERATE	CRITICAL
New use	of mechanic	al ventilatio	n or ECMO (follo	ow up: 29 days	)							
11	randomized trials	serious <sup>f</sup>	not serious	not serious	serious <sup>g</sup>	none	46/461 (10.0%)	70/461 (15.2%)	<b>RR 0.66</b> (0.46 to 0.93)	52 fewer per 1,000 (from 82 fewer to 11 fewer)	ФФСО	CRITICAL

Serious adverse events (follow up: 28 days)

			Certainty as	sessment			Nº of p	atients	Effe	ct		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	baricitinib + RDV	RDV	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
1 1	randomized trials	not serious	not serious	not serious	serious <sup>g</sup>	none	81/507 (16.0%)	107/509 (21.0%)	RR 0.76 (0.59 to 0.99)	50 fewer per 1,000 (from 86 fewer to 2 fewer)	⊕⊕⊕○ MODERATE	CRITICAL

#### **GRADE Working Group grades of evidence**

High certainty: We are very confident that the true effect lies close to that of the estimate of the effect

Moderate certainty: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

Low certainty: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

Risk of bias: Study limitations

**Inconsistency:** Unexplained heterogeneity across study findings **Indirectness:** Applicability or generalizability to the research question

**Imprecision:** The confidence in the estimate of an effect to support a particular decision

Publication bias: Selective publication of studies

CI: Confidence interval; RR: Risk ratio; HR: Hazard Ratio; OR: Odds ratio; RDV: Remdesivir

#### **Explanations**

- a. 95% CI includes substantial benefits as well as substantial harms
- b. Non-stratified subgroup post-hoc analysis.
- c. Lower boundary of the 95% CI crosses our threshold for a meaningful difference.
- d. Data from table S6. Although described as "analysis as randomized" in this stratum of severe COVID-19 patients, the analysis included moving patient from a baseline of "moderate" to "severe" post hoc (19 in the baricitinib group vs 21 in the placebo group), thus altering the original stratification. However, re-analysis using to original strata data (ordinal scale 6 and 7 from table 2) and 28-day cutoff (as a binary, non-time to event analysis) produce a similar result (RR 1.2, 95% CI 1.005 to 1.43). Not rated down for post-hoc analysis concerns.
- e. 95% CI includes substantial benefits as well as no effect
- f. Not a predefined stratum. Secondary analysis.
- g. Less than 300 events; concern for fragility
- h. SAEs in 5 or more participants in any preferred term by treatment group. 6/507 were thought related to study drug in the baricitinib group; 5/509 were thought to be related to the study drug in the placebo group.

#### Reference

1. Kalil AC, Patterson TF, Mehta AK, et al. Baricitinib plus Remdesivir for Hospitalized Adults with Covid-19. N Engl J Med 2021; 384: 795-807.

**Ivermectin** 

Section last reviewed and updated 2/13/2021

Last literature search conducted 2/8/2021

Recommendation 18: In hospitalized patients with severe COVID-19, the IDSA panel suggests against ivermectin use outside of the context of a clinical trial. (Conditional recommendation, very low certainty of evidence)

Recommendation 19: In outpatients with COVID-19, the IDSA panel suggests against ivermectin use outside of the context of a clinical trial. (Conditional recommendation, very low certainty of evidence)

Why is ivermectin considered for treatment?

Ivermectin is an anti-parasitic agent that is FDA-approved for onchocerciasis and strongyloidiasis and is used off-label for the treatment of many parasitic infections. Although it has in vitro activity against some viruses, it has no proven therapeutic utility. Ivermectin does have some in vitro activity against SARS-CoV-2 [161], but concentrations needed to obtain the in vitro IC50 are considerably higher than those achieved in human plasma and lung tissue [162]. Since Ivermectin is generally well-tolerated, it was empirically evaluated in uncontrolled studies for COVID-19, alone and in combination with other off-label medications.

Summary of the evidence

Our search identified nine studies in patients with COVID-19 with ages ranging between 8 and 86 years that reported on the outcomes of mortality, symptom resolution, viral clearance, and adverse events, and informed the evidence review for inpatients and outpatients [163-171]. Eligible studies compared treatment with ivermectin against a placebo or standard of care. Studies comparing ivermectin to a non-placebo, active comparison (i.e., a different agent considered a possible treatment for COVID-19 infection by clinicians) or that did

not provide a comparison arm were not included in these analyses. Several studies did not meet eligibility for inclusion in this review. Four trials compared ivermectin to hydroxychloroquine (active comparison) [172-175]; two trials examined ivermectin as prophylactic treatment [176, 177]; and three trials did not provide study data in a peer-reviewed, published or pre-print manuscript [175, 178, 179].

The studies that informed the recommendations for hospitalized patients included five RCTs [163-165, 167, 168] and two non-randomized studies [166, 169]. Four RCTs [165, 167, 170, 171] informed the recommendation for outpatients. Each of them compared an active treatment arm of ivermectin to an inactive comparison (e.g., standard of care with or without placebo). Studies that compared ivermectin to other therapy (e.g., HCQ) were excluded, as the presence of a non-placebo, active comparator may bias the effectiveness of ivermectin.

Among the seven RCTs, the risk of bias was high in two trials because of unsuccessful randomization into treatment and control groups. Hashim et al., 2020 inadequately randomized participants by allocating them to respective treatment arms on odd and even days, as well as assigning all critically ill patients to the ivermectin arm, and Podder et al., 2020 allocated participants based on odd or even registration numbers. In addition, across all the RCTs, there were concerns due to lack of blinding of study personnel, which may lead to over- or underestimates of treatment effects, particularly for subjective outcomes (e.g., symptom resolution, adverse events).

Benefits

### Inpatients

The evidence is very uncertain, but studies suggested that ivermectin may decrease mortality among persons with COVID-19 (RR: 0.57; 95% CI: 0.36, 0.90; very low CoE). Persons receiving treatment with ivermectin rather than no ivermectin may trend toward increased symptom resolution and viral clearance (RR: 1.07; 95% CI: 0.69, 1.65; very low CoE and RR: 1.33; 95% CI: 1.00, 1.78; very low CoE, respectively).

### Outpatients

The evidence is very uncertain, but one study suggested that ivermectin may reduce the time to recovery among outpatients with COVID-19 (mean difference: 7.32 days fewer; 95% CI: 9.25 to 5.39 days fewer; very low CoE). Similarly, the evidence is very uncertain; however, there may be a trend toward mortality reduction, and viral clearance at day 7 (RR: 0.12; 95% CI: 0.01, 2.09; very low CoE and RR: 0.93; 95% CI: 0.63, 1.37; very low CoE, respectively).

#### Harms

In doses typically used for the treatment of parasitic infections, ivermectin is well-tolerated. We are unable to exclude the potential for adverse events among hospitalized and non-hospitalized persons with COVID-19 treated with ivermectin rather than no ivermectin, which was informed by the same body of evidence (RR: 1.00; 95% CI: 0.39, 2.58; very low CoE).

### Other considerations

The panel determined the certainty of evidence of treatment of ivermectin for hospitalized and non-hospitalized patients to be very low due to concerns with risk of bias and imprecision. In addition, there were concerns about publication bias, as the available evidence consisted mostly of positive trials of smaller size. The guideline panel made a conditional recommendation against treatment of COVID-19 with ivermectin outside of the context of a clinical trial for both patients with COVID-19 hospitalized or in the outpatient setting.

Conclusions and research needs for this recommendation

The guideline panel suggests against ivermectin for the treatment of hospitalized patients with COVID-19, unless in the context of a clinical trial. The guideline panel suggests against ivermectin for the treatment of outpatients with COVID-19, unless in the context of a clinical trial. Well-designed, adequately powered, and well-executed clinical trials are needed to inform decisions on treating COVID-19 with ivermectin (**Table s2**).

Table 19. GRADE evidence profile, Recommendation 18

Question: Ivermectin compared to no ivermectin for patients with COVID-19

### Last reviewed and updated 2/13/2021

rious b none	28/271 (10.3%) 16/25 (64.0%)	no ivermectin  35/260 (13.5%)  15/25 (60.0%)	RR 0.57 (0.36 to 0.90) RR 1.07 (0.69 to 1.65)	Absolute (95% CI)  58 fewer per 1,000 (from 86 fewer to 13 fewer)  42 more per 1,000 (from 186 fewer to 390 more)	⊕OOO VERY LOW	CRITICAL CRITICAL
rious <sup>b</sup> none	(10.3%) 16/25 (64.0%)	(13.5%) 15/25 (60.0%)	(0.36 to 0.90)  RR 1.07 (0.69 to 1.65)	per 1,000 (from 86 fewer to 13 fewer) 42 more per 1,000 (from 186 fewer to 390 more)	VERY LOW  VERY LOW	CRITICAL
rious <sup>b</sup> none	(10.3%) 16/25 (64.0%)	(13.5%) 15/25 (60.0%)	(0.36 to 0.90)  RR 1.07 (0.69 to 1.65)	per 1,000 (from 86 fewer to 13 fewer) 42 more per 1,000 (from 186 fewer to 390 more)	VERY LOW  VERY LOW	CRITICAL
	(64.0%)	(60.0%)	(0.69 to 1.65)	per 1,000 (from 186 fewer to 390 more)	VERY LOW	
	(64.0%)	(60.0%)	(0.69 to 1.65)	per 1,000 (from 186 fewer to 390 more)	VERY LOW	
rious <sup>b</sup> none		22/55		ı	1	
rious <sup>b</sup> none		22/55				
	(33.7 %)	(40.0%)	RR 1.33 (1.00 to 1.78)	132 more per 1,000 (from 0 fewer to 312 more)	VERY LOW	IMPORTANT
I .				·	· I	
rious <sup>b</sup> none	7/12 (58.3%)	8/12 (66.7%)	<b>RR 0.88</b> (0.47 to 1.63)	80 fewer per 1,000 (from 353 fewer to 420 more)	rom	IMPORTANT
á	ate of the effect	ate of the effect	ate of the effect	ate of the effect ct is likely to be close to the estimate of the effect, but there is a possibility that it	ate of the effect ct is likely to be close to the estimate of the effect, but there is a possibility that it is substantial	(0.47 to per 1,000 (from 353 fewer to 420 more)

Risk of bias: Study limitations

Inconsistency: Unexplained heterogeneity across study findings Indirectness: Applicability or generalizability to the research question

**Imprecision:** The confidence in the estimate of an effect to support a particular decision

Publication bias: Selective publication of studies

CI: Confidence interval; RR: Risk ratio

#### **Explanations**

- a. Concerns with unmeasured and residual confounding. Gorial 2020 single arm with historical control. Hashim 2020 used even vs. odd days to place subjects into treatment groups with critical patients not included in the placebo group. In Rajter, corticosteroids were used in 19.6% of usual care patients vs. 39.8% of ivermectin patients.
- b. The 95% CI includes the potential for both appreciable benefit as well as the potential for harm. Few events reported do not meet the optimal information size and suggest fragility in the estimate
- c. Open label trial may lead to bias with measurement of subjective outcomes.
- d. Podder 2020 assigns participants based on odd or even registration numbers. Also, 20 patients were excluded following randomization without sensitivity analysis to explore imbalance across treatment arms.
- e. Viral clearance is a surrogate for clinical improvement, such as hospitalization, need for ICU care and mechanical ventilation.

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**Table 20.** GRADE evidence profile, Recommendation 19

Question: Ivermectin compared to no ivermectin for patients not admitted to the hospital for management of COVID-19

## Last reviewed and updated 2/13/2021

			Certainty as	sessment			Nº of p	atients	Effe	ct		
№ of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	ivermectin	no ivermectin	Relative (95% CI)	Absolute (95% CI)	Certainty	Importance
Mortality	(NRS)											
4 1,2,3,4	randomized trials	serious <sup>a</sup>	not serious	not serious	very serious <sup>b</sup>	none	0/165 (0.0%)	4/167 (2.4%)	<b>RR 0.12</b> (0.01 to 2.09)	21 fewer per 1,000 (from 24 fewer to 26 more)	⊕⊖⊖⊖ VERY LOW	CRITICAL
Progress	ion to severe	e disease (a	ssessed with: ne	ed for invasiv	e ventilation)							
3 1,2,4	randomized trials	serious <sup>c</sup>	not serious	not serious	very serious <sup>b</sup>	none	1/115 (0.9%)	5/117 (4.3%)	<b>RR 0.21</b> (0.03 to 1.72)	34 fewer per 1,000 (from 41 fewer to 31 more)	⊕⊖⊖⊖ VERY LOW	CRITICAL
Viral clea	rance at day	7 (RCT) (fo	llow up: range 6	days to 29 day	ys)							
3 2,3,4	randomized trials	serious <sup>c</sup>	not serious	serious <sup>d,e</sup>	very serious <sup>b</sup>	none	33/117 (28.2%)	36/119 (30.3%)	RR 0.93 (0.63 to 1.37)	21 fewer per 1,000 (from 112 fewer to 112 more)	⊕⊖⊖⊖ VERY LOW	IMPORTANT
Time to r	ecovery (ass	essed with	: days)									
11	randomised trials	serious <sup>c</sup>	not serious	not serious <sup>f</sup>	very serious <sup>g</sup>	none	48	48	-	MD 7.32 days fewer (9.25 fewer to 5.39 fewer)	⊕⊖⊖⊖ VERY LOW	IMPORTANT

Adverse events (follow up: 28 days)

Ī	2 2,3	randomised	serious c	not serious	not serious	very serious b	none	5/53 (9.4%)	5/57 (8.8%)	RR 1.00	0 fewer	ФООО	IMPORTANT
		trials								(0.39 to 2.58)	per 1,000	VERY LOW	
											(from 54	VERTLOW	
											fewer to		
											139 more)		

#### **GRADE Working Group grades of evidence**

High certainty: We are very confident that the true effect lies close to that of the estimate of the effect

Moderate certainty: We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

Low certainty: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

Very low certainty: We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

Risk of bias: Study limitations

**Inconsistency:** Unexplained heterogeneity across study findings **Indirectness:** Applicability or generalizability to the research question

**Imprecision:** The confidence in the estimate of an effect to support a particular decision

**Publication bias:** Selective publication of studies

CI: Confidence interval; RR: Risk ratio

#### **Explanations**

- a. Concerns with unmeasured and residual confounding. Gorial 2020 single arm with historical control. Hashim 2020 used even vs. odd days to place subjects into treatment groups with critical patients not included in the placebo group. In Raital, corticosteroids were used in 19.6% of usual care patients vs. 39.8% of ivermectin patients.
- b. The 95% CI includes the potential for both appreciable benefit as well as the potential for harm. Few events reported do not meet the optimal information size and suggest fragility of the estimate
- c. Open label trial may lead to bias with measurement of subjective outcomes.
- d. Viral clearance is a surrogate for clinical improvement, such as hospitalization, need for ICU care and mechanical ventilation.
- e. Roy 2021 reported viral clearance at day 6.
- f. Ivermectin was combined with doxycycline.
- g. Number of events is less than the optimal information size, which may suggest fragility in the estimate of effect.

#### References

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## Narrative summaries of treatments undergoing evaluation

In addition to the clinical questions addressed above, the panel identified several treatments currently undergoing evaluation for which additional data are needed to rate recommendations. Narrative summaries for these treatments are provided below.

HIV antivirals

### Last reviewed 4/11/2020; no updates made since 4/11/2020

In vitro antiviral activity of darunavir against SARS-CoV-2 showed no activity at clinically relevant concentrations. Three randomized, open-label clinical trials are currently listed on evaluating darunavir/cobicistat as a potential therapeutic option for COVID-19. Janssen, the manufacturer of darunavir/cobicistat has reported that one of these trials [180] has concluded that darunavir/cobicistat plus conventional treatments was not effective in achieving viral clearance at day seven post randomization, compared to conventional treatments alone. Clinical outcomes of this trial including rate of critical illness and mortality 14 days after randomization, have not been reported to date.

Lopinavir-ritonavir combined with interferon beta or other antivirals

### Last reviewed and updated 9/4/2020

Lopinavir-ritonavir is a combination of protease inhibitors for the treatment of HIV infection. Lopinavir-ritonavir has been shown to have *in vitro* antiviral activity against beta-coronaviruses such as SARS-CoV, and MERS-CoV [59, 61, 62, 181]. Since lopinavir-ritonavir is not specifically designed for treatment of coronavirus, lopinavir-ritonavir alone may not demonstrate a difference from placebo in reducing viral load when treatment was initiated at a median of 13 days after symptoms onset [62]. In an open-label treatment trial, lopinavir-ritonavir with ribavirin reduced the mortality and requirement of intensive care support of hospitalized SARS patients compared with historical control [62]. Many interferons, especially

interferon beta have been shown to have modest in-vitro antiviral activity against SARS-CoV and MERS-CoV [59, 181]. Lopinavir-ritonavir or interferon beta-1b has been shown to reduce viral load of MERS-CoV and improve lung pathology in a nonhuman primate model of common marmoset [61].

An RCT on the triple combination of lopinavir-ritonavir, ribavirin, and interferon beta-1b, compared with single agent lopinavir-ritonavir for 14 days was conducted in the treatment of 127 adult patients admitted to hospital with COVID-19 [65]. Patients who had NEWS2 of least one, and with symptom duration of 14 days or less were recruited and randomly assigned to either triple combination or control group in a ratio of 2:1. Treatment with triple combination was well tolerated, and had a significantly shorter median time to suppress the viral load in nasopharyngeal specimen, and a significantly shorter time to alleviate symptoms, and resulted in shorter hospital stay. Since the median number of days from symptom onset to the start of study treatment was five days, only one patient in the control group received ventilator support and no patient died during the study. It is not possible to generalize the effectiveness of triple therapy in critically ill patients.

Lopinavir-ritonavir was further investigated in two retrospective cohort studies using HCQ [182] and arbidol [183], an indole-derivative licensed for decades in Russia and China against influenza, for comparison. Lopinavir-ritonavir was associated with more rapid viral clearance (median, 21 days vs. 28 days) than HCQ in 65 mild to moderate COVID-19 patients in South Korea, but there was no difference in time to clinical improvement [182]. Lopinavir-ritonavir was found to be inferior to arbidol in terms of viral clearance on day 14 after admission. But the number of patients was small (n=50) and all patients received atomized inhalation of recombinant human interferon- $\alpha$ 2b injection. The efficacy of arbidol monotherapy remains uncertain [183].

Subcutaneous injection of interferon  $\beta$ -1a was used for the treatment of 42 severe COVID-19 adult patients in an open-label randomized clinical trial in Iran. Although there was no significant improvement in time to clinical response in the interferon-treated group, the overall mortality at 28 days was reduced in the interferon-treated then the control group (19% vs. 43.6%, p= 0.015) [184].

COVID-19 convalescent plasma for prophylaxis

## Last reviewed and updated 9/4/2020

Studies of convalescent plasma for treatment of hospitalized patients with COVID-19 were discussed in a previous section. Use of convalescent plasma as prophylaxis in individuals with high-risk exposure to SARS-CoV-2 is under study, with at least five clinical trials in clinicaltrials.gov as of August 6, 2020 that include arms in which individuals exposed to SARS-CoV-2 but without disease may receive convalescent plasma [185-189]. Issues associated with regulatory concerns, safety, workflow, and trial design were recently reviewed [190]. Distinct from the polyclonal antibodies present in convalescent plasma, monoclonal antibodies specific for respiratory viruses have also been used in certain populations for protection against disease in specific high-risk populations [191, 192], and animal models have suggested utility in prophylaxis against SARS coronavirus infection [193]. There are multiple trials listed in clinicaltrials.gov of different SARS-CoV-2 monoclonal antibodies for treatment or prophylaxis, with other potential monoclonal antibodies in earlier stages of development. No data on safety or efficacy are yet reported.

Ribavirin

## Last reviewed 4/11/2020; no updates made since 4/11/2020

There are only *in vitro* data available on the activity of ribavirin on SARS-CoV-2 currently. The EC<sub>50</sub> (half maximal effective concentrations) was significantly higher than for chloroquine and remdesivir, so it appears less potent *in vitro* compared to these agents [16]. There are limited clinical studies in SARS-CoV-1 and MERS-CoV infections. In a systematic review of ribavirin treatment in patients infected with SARS-CoV-1, 26 studies were classified as inconclusive, and four showed possible harm [194]. In a retrospective observational study in patients with MERS-CoV infection, the combination of ribavirin and interferon, compared to no antiviral treatment, was not associated with improvement in the 90-day mortality or more rapid MERS-CoV RNA clearance [195].

Oseltamivir

### Last reviewed 4/11/2020; no updates made since 4/11/2020

Oseltamivir is a neuraminidase inhibitor used for prophylaxis and treatment of influenza. Given its specificity for an enzyme not found on coronaviruses, it is unclear what the mechanism of action would be against COVID-19. However, this has been used in combinations of antiviral therapy in Wuhan [196] and continues to be explored as a therapeutic option as part of combination regimens. Two trials evaluating combination regimens are underway in Wuhan [197, 198] as well as a trial in Thailand proposing different combinations [199]. None of the trials or case reports have examined oseltamivir as monotherapy.

Intravenous immunoglobulin

#### Last reviewed and updated 9/4/2020

Intravenous immunoglobulin (IVIg) has been used as an adjuvant to treat a variety of pathogens either as a pooled product or in a concentrated more pathogen focused (hyperimmune) form. As the community from which a given batch of IVIg is derived from includes increasing numbers of individuals who have recovered from SARS-CoV-2, the possibility of protective antibodies being present in the pooled product is increased. However, the potential utility of IVIg for the treatment of SARS-CoV-2 is unknown at this time. Its use has been reported in a few patients with COVID-19 [200], but studies are needed to determine if there may be a role for IVIg in the treatment of SARS-CoV-2.

One open-label trial randomized patients with COVID-19 (SpO<sub>2</sub>  $\leq$ 96% on  $\geq$ 4 liters O<sub>2</sub> by nasal cannula but not on mechanical ventilation) to either three days of IVIg (n=16) or no IVIg (n=17) [201]. During the study period (30 days or hospital discharge), two patients in the IVIg arm and seven in the standard of care arm required mechanical ventilation, one patient in the IVIg arm and three patients in the standard of care arm died. No adverse events were reported in the IVIg arm. Co-treatments with remdesivir, convalescent plasma, and corticosteroids were balanced across arms at baseline; however, methylprednisolone was provided with each IVIg

dose in the treatment arm, and co-interventions provided during the treatment period were unbalanced. One retrospective cohort reported on 58 patients who received IVIg; however, the study did not identify a standard of care group and multiple co-treatments were provided [202]. Two case series reported on eight patients [200, 203] with severe COVID-19 who received IVIg for five consecutive days. All patients were discharged from the hospital.

Should NSAIDS be stopped in patients with COVID-19?

#### Last reviewed and updated 9/4/2020

The role of nonsteroidal anti-inflammatory drugs (NSAIDs) in the management of SARS-CoV-2 was debated widely in the first few months of the COVID-19 pandemic. The discussion was prompted by warnings from European health officials regarding the possibility of increased risk of infection or severity of disease in those taking NSAIDS. These concerns were based on early unconfirmed reports in four patients and supported by theoretical mechanistic concerns about the role NSAIDs play in SARS-CoV-2 pathogenesis. Human coronaviruses, including SARS-CoV-2, use ACE2 to bind to human targets and gain entry into target cells [204]. It has been theorized that NSAIDs, due to upregulation in ACE2 in human target cells, may lead to an increased risk of infection or a more severe course of COVID-19 in those taking NSAIDs. In addition, there are well known risks of non-steroidal anti-inflammatory agents including cardiovascular, gastrointestinal and renal adverse events [205, 206]. In the setting of bacterial pneumonia, NSAIDs may impair recruitment of polymorphonuclear cells, resulting in a delayed inflammatory response and resolution of infection, however a causal relationship has not been established [207, 208].

A case-control study from Italy published in May 2020 did not demonstrate an increased risk of SARS-CoV-2 infection in those taking NSAIDs chronically (adjusted OR: 1.06; 95% CI 0.98, 1.15) [209]. In April 2020, the WHO produced a scientific brief detailing a systematic review that included 73 studies in patients with acute respiratory infections. While no direct studies for patients with MERS, SARS or SARS-CoV-2 were available for analysis, there was no evidence of adverse events [210]. In a large registry trial that included data from five hospitals in

Massachusetts, there was a lower risk of hospitalization in those with SARS-CoV-2 prescribed naproxen or ibuprofen, however it is difficult to determine if these patients were actively taking these medications at the time of COVID-19 diagnosis [211]. Randomized controlled trials are currently underway to better understand the safety of NSAIDs in the management of patients with COVID-19 [212, 213].

Should ACE inhibitors and ARBs for hypertension be stopped in patients with COVID-19?

#### Last reviewed and updated 9/4/2020

Angiotensin converting enzyme 2 (ACE2) is the entry receptor for SARS-CoV-2 on human cells. Animal experiments have shown mixed findings on the effect of angiotensin-converting enzyme inhibitors (ACEI) and angiotensin receptor blockers (ARBs) on ACE2 levels and activity, leading to two contrasting hypotheses in COVID-19 [214-216]. The harmful hypothesis is that ACEIs and ARBs may increase the risk of infection and severity of COVID-19 via increased ACE2 expression. On the contrary, infection with other coronaviruses have been shown to decrease ACE2 levels *in vitro* [217], which may lead to increased angiotensin II activity resulting in pulmonary, cardiovascular and other end organ damage in patients with COVID-19 [214, 218]. This has led to speculation about a beneficial hypothesis that ACEI and ARBs may have a therapeutic role in COVID-19, by inhibiting the renin-angiotensin-aldosterone axis.

There have been several recent observational studies on the effects of ACEIs and ARBs in patients tested for and diagnosed with COVID1-19. A multi-center retrospective study [219] evaluated 1,128 patents admitted to nine hospitals in Hubei province, China with COVID-19 including 188 (17%), who were on an ACEI or ARB. The risk of 28-day all-cause mortality was lower in ACEI/ARB group vs non-ACEI/ARB group (IRD: -0.24; 95% CI: -0.43, -0.05). After adjusting the all-cause mortality was still lower in the ACEI/ARB group compared to the non-ACEI/ARB group (HR: 0.42; 95% CI 0.15, 0.89). Another single center retrospective study [220] among 1178 hospitalized patients with COVID-19, had 362 patients with hypertension and 115 were on ACEI/ARBs. There was no difference between those with severe vs non-severe illness in

use of ACEIs (9.2% vs 10.1%; P = .80), and ARBs (24.9% vs 21.2%; P = 0.40). There was also no difference between non-survivors and survivors in use of ACEIs (9.1% vs 9.8%; P = 0.85) and ARBs (19.5% vs 23.9%; P = 0.42).

Another study [221] among 1200 COVID-19 patients hospitalized in two hospitals in London, UK observed that chronic ACEI/ARB use was not associated with an increase in severity of COVID-19. Within their cohort of 1200 patients, 399 (33.3%) were on an ACEI/ARB and while unadjusted odds of critical care admission or death within 21 days were not significantly different between patients on ACEI/ARB vs not (OR 0.83; 95% CI 0.64, 1.07), adjustment for age, sex and co-morbidities presented an OR of 0.63 (95% CI 0.47, 0.84, p<0.01) for the composite outcomes in patients on ACEI/ARB. An observational study from Italy [222] evaluated multiple predictors of in-hospital mortality in 311 patients with hypertension and COVID-19. The patients in this study were significantly older, with a higher BMI, comorbidities, and severity of disease. In a multivariate Cox regression analysis chronic use of ACEI and ARBs (aHR, 0.97; 95% CI: 0.68, 1.39; P = .88) were not associated with an increase in in-hospital mortality. A population-based case-control study [209] from Lombardy, Italy compared 6272 COVID-19 patients with 30,759 controls matched on sex, age, and municipality of residence. In a logisticregression multivariate analysis, use of ARBs or ACEI did not show an association with COVID-19 among cases (aOR, 0.95, 95% CI 0.86 to 1.05 for ARBs and 0.96, 95% CI, 0.87 to 1.07 for ACEI). It also did not show an association with severe or fatal disease (for ARBs, aOR 0.83; 95% CI 0.63, 1.10; for ACEI, aOR 0.91; 95% CI 0.69, 1.21). Reynolds et al [223] analyzed data available for patients tested for COVID-19, available in the electronic medical records for New York University Langone Health system. In the study, 12,594 patients were tested, 5,894 (46.8%) were positive and 1,002 of these patients (17.0%) had severe illness. They performed propensity score matching and a Bayesian analysis to assess the relationship between various classes of antihypertensives including ACEI and ARBs and the likelihood of a positive COVID-19 test and severe disease. The study did not show a positive association for ACEI and ARBs with having a positive test for SARS-CoV-2 or developing severe infection. A retrospective cohort study using data from Danish national administrative registries, had an unadjusted 30-day mortality of 18.1% in the group with ACEI/ARB use compared to the 7.3% in the nonuser group,

but the association was not significant after adjustment for age, sex and medical history (aHR 0.83; 95% CI: 0.67, 1.03). In that study, ACEI/ARB use compared with other antihypertensive agents was not significantly associated with higher incidence of COVID-19 (a HR 1.05 95% CI 0.80–1.36) [224]. One retrospective cohort study done in severe COVID-19 patient's showed ACEI/ARB use, after adjusting for other variables, to be independently associated with elevated creatinine >10.1 mg/L (OR 3.22; 95% CI: 2.28, 4.54). Consistent ACEI/ARB use was independently associated with AKI stage >1 (ALT ratio 3.28; 95% CI: 2.17, 4.94) [225].

Data from these observational studies suggest that ACEI and ARBs do not increase the risk of acquiring COVID-19, developing severe disease or death. One study showed possible increase risk of renal dysfunction in severe COVID-19. There are limitations though inherent to retrospective observational studies, especially differences in unmeasured prognostic factors between the compared groups that might be responsible for the difference in outcomes and not treatment with ACEI or ARBs. Most professional scientific and medical societies have recommended that ACEI or ARBs be continued in people who have an indication for these medications [226-228].

Antibacterials and antifungals

#### Last reviewed and updated 9/4/2020

Patients with COVID-19 often present to hospitals with viral pneumonia with accompanying febrile illness and respiratory symptoms. Differential diagnoses may include bacterial pneumonia, for which antibiotics are prescribed. Concerns for bacterial superinfections also exist. Studies performed early in the COVID-19 pandemic reported high percentages of antibiotic use in China (58-95%) [1, 196, 229], Spain (74%) [230], and New York (65%) [231]. These studies are not granular and do not report if they describe co-infection at presentation or the development of superinfection, limiting the ability to ascertain the reasons for antibiotic use.

Data reporting co-infection in patients presenting with COVID-19 for care is sparse. Rawson and colleagues reviewed 18 studies of human coronavirus infections reporting coinfections, of which nine were COVID-19 [232]. These cumulatively reported a bacterial and fungal co-infection rate of 8% (62/806). The studies evaluated were heterogeneous. One brief report of 393 patients in New York reported a bacteremia rate of 5.6%, which varied significantly between patients receiving invasive mechanical ventilation (15/126 [11.9%]) and those who were not (4/222 [1.8%]) [233]. Another study looked at 88,201 blood cultures performed during March 2020 in New York, comparing order volume, positivity, and etiologies between patients with COVID-19 and others during the time period [234]. The study found a significantly lower rate of bacteremia in COVID-19 patients (3.8%) than either COVID-19 negative (8%) or untested (7.1%) (p<0.001). When commensal skin organisms were excluded, the positivity rate in COVID-19 patients was 1.6% [234]. A study in Texas reviewed the use of antibiotics and incidence of coinfections in 147 PCR-positive COVID-19 patients [235]. Eighty-seven (59%) patients received empiric antibiotics, though none of the 47 (32%) patients with respiratory cultures had positive results. 112 patients (76%) had blood cultures collected also, and while nine were positive, eight of those were considered contaminants [235].

The apparent discordance between bacterial and fungal co-infection in patients with COVID-19 at presentation and the use of antibacterial therapy has potential negative effects, namely in antimicrobial resistance. Publications report on patients with severe and critical COVID-19 patients treated with immunomodulatory therapies, including corticosteroids, IL-6 antagonists, IL-1 antagonists, and others [236]. In one preprint examining outcomes of in a cohort of 154 patients receiving invasive mechanically ventilation, mortality was reduced in patients treated with tocilizumab (IPTW-adjusted model, HR 0.55; 95% CI 0.33, 0.90); however, superinfections were more commonly reported (54% vs 26%, p<0.001), primarily due to ventilator-associated pneumonia [110]. Initiating and continuing empiric antibiotics at the time of admission may lead to superinfections that are antibiotic resistant [237].

#### Favipiravir

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Favipiravir is a purine analogue that inhibits the RNA dependent RNA polymerase of influenza and other RNA viruses [238]. The drug is approved in Japan for treatment of influenza.

However, because of its teratogenicity risk, favipiravir should not be given during pregnancy and there are substantial concerns about its use in women in child-bearing potential.

In terms of its potential role in COVID-19, favipiravir has *in vitro* activity against SARS-CoV-2 [16]. However, it is uncertain whether adequate drug levels can be achieved in vivo to inhibit SARS-CoV-2. There have been small clinical trials with this drug in people with COVID-19. In a non-randomized, open-label study in China [239], oral favipiravir was associated with shorter time to viral clearance and greater improvement in chest imaging than lopinavir/ritonavir (in both groups, the oral antiviral was given with aerosolized alphainterferon). However, because the study was small and not randomized, it was not possible to conclude that favipiravir is effective in treating COVID-19. A randomized, open-label trial compared favipiravir to umifenovir, an antiviral approved in Russia and China, in people with COVID-19 [240]. The clinical recovery rate at day seven was not significantly different between the two groups. There appeared to be an impact of favipiravir in the sub-group of people who did not have critical illness, but more data are needed. An exploratory clinical trial, also conducted in China, randomized 30 hospitalized adults with COVID-19 into a baloxavir marboxil, favipiravir or control group. There was no apparent effect of favipiravir (or baloxivir) on viral clearance [241]. There are ongoing clinical trials assessing favipiravir for treatment of COVID-19.

## Immunomodulatory agents

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Some patients with COVID-19 develop a hyperinflammatory state that may incorporate elements of cytokine release syndrome seen in conditions such as secondary hemophagocytic lymphohistiocytosis (sHLH). The etiology is unclear, but patients who develop significantly elevated CRP, ferritin, and D-dimer levels with the syndrome have an increased risk of mortality, associated with respiratory failure, multiorgan dysfunction, and hypercoagulability. Numerous immunomodulatory agents are under investigation to address this immunologic complication.

**IL-1 inhibitors**: Anakinra is an FDA approved IL-1-beta inhibitor that is currently FDA-approved for rheumatoid arthritis and Neonatal-Onset Multisystem Inflammatory Disease. High- and low-dose anakinra was investigated in a recent retrospective cohort study in Italian patients with COVID-19, moderate to severe ARDS, and hyperinflammation. Patients receiving anakinra were compared to a historical control group with COVID-19 who fulfilled eligibility criteria for anakinra. The low-dose anakinra group was stopped early due to lack of effect. In the high-dose anakinra group, 3/29 (10%) patients died vs. 7/16 (44%) in the historical control group, however there was no difference in the rates of mechanical ventilation-free survival [242]. Anakinra is being investigated in numerous trials including this randomized placebo-controlled trial [243]. Canakinumab is another IL-1-beta antagonist with limited human data for COVID-19 that is being studied in a phase III clinical trial [244, 245].

Janus kinase inhibitors: Baricitinib, a Janus kinase inhibitor (anti-JAK) currently FDA-approved for the treatment of rheumatoid arthritis, is being investigated in multiple studies for COVID-19. The proposed benefits of baricitinib in the management of COVID-19 are two-fold as it has both anti-inflammatory and likely antiviral activity. Janus kinase mediates cytokine signaling which contributes to inflammation, which may reduce risk of the associated hyperinflammatory syndrome and ARDS. Baricitinib inhibits AP2-associated protein kinase 1 (AAK1) and also binds G-associated kinase (GAK), both thought to play a role in receptor mediated endocytosis of many viruses including SARS-CoV-2 [152]. In an open-label non-randomized study from Italy, baricitinib with lopinavir/ritonavir (n=12) were compared to lopinavir/ritonavir (n=12) alone at one institution over two consecutive time periods. After two weeks in the baricitinib group, no patients required ICU transfer and 7/12 (58%) were discharged. In the lopinavir/ritonavir group, 4/12 (33%) required ICU transfer and only 1/12 patients were discharged by day 14. No serious adverse events or infections occurred in the baricitinib group [153]. In the ACTT-2 trial, baricitinib is being compared to remdesivir and numerous other RCTs are currently underway to better understand the role of baricitinib in the management of COVID-19 [246-250].

**GM-CSF inhibitors**: Monoclonal antibodies that bind to GM-CSF are under investigation for the treatment of hyperinflammation associated with COVID-19. GM-CSF inhibitors are postulated

to disrupt the downstream signaling of pro-inflammatory cytokines. One agent, mavrilimumab was studied in a single center non-randomized cohort study in non-ventilated patients in Italy. Trial participants had SARS-CoV-2 infection with a PaO<sub>2</sub>:  $FiO_2$  ratio < 300 mm Hg, pulmonary infiltrates, and evidence of hyperinflammation (CRP > 100 mg/L or ferritin > 900  $\mu$ g/L and any increase in LDH). Patients in the treatment group received a single dose of mavrilimumab 6 mg/kg (n=13). A similar cohort managed by the same medical team received no mavrilimumab due to lack of consent and lack of access to mavrilimumab (n=26). Mortality rates were 0/13 in the mavrilimumab group and 7/26 (27%) died in the control group. Median days to clinical improvement (defined as a reduction of two or more points on the seven-point ordinal scale) was 8 (IQR: 5-11) vs. 19 (IQR: 11- > 28), in the mavrilimumab vs. control groups, respectively. Mavrilimumab was well tolerated in all patients [251]. Randomized controlled trials are underway to investigate the role of GM-CSF inhibitors in the management of COVID-19 [252-254].

**Complement inhibitors**: In mouse models of both SARS-CoV and MERS-CoV, complement activation has been shown to play a role in the pathogenesis of ARDS. Eculizumab, is a complement inhibitor that is already approved by the FDA for other conditions including myasthenia gravis and paroxysmal nocturnal hemoglobinuria, is currently being studied for the treatment of COVID-19 [255]. Ravulizumab, another complement inhibitor, is also being investigated in randomized trials for COVID-19 [247].

SARS-CoV-2 in children and treatment of multisystem inflammatory syndrome in children (MIS-C)

#### Last reviewed and updated 9/4/2020

#### **Treatment**

Compared with adults, children generally have milder illness from SARS-CoV-2 infection [256, 257]. However, severe illness does occur in children, even those with no predisposing factors [257, 258]. Among children admitted to the hospital for COVID-19, one-third are

admitted to intensive care [257]. Despite this, clinical trials of therapeutic interventions for COVID-19 have almost exclusively focused on adult patients. For example, in the first of two recent studies of the antiviral remdesivir [259, 260], patients younger than 18 years were excluded [261], and the number of children between 12 and 18 years included in the analysis for the second paper was not reported [133]. These studies led to FDA EUA of remdesivir for both adults and children [262], with no published data available on either safety or efficacy in children under 12 years. A phase II/III open label study in this population has started (the "CARAVAN" trial [134]). Future studies of both therapeutics and vaccines will need to include children to assure their safety and efficacy in this population.

#### Multisystem inflammatory syndrome in children

Multisystem inflammatory syndrome in children (MIS-C) or Pediatric Multisystem Inflammatory Syndrome (PMIS) is a rare acute inflammatory syndrome with some similarities to Kawasaki disease that has recently been reported in children. Reports from Europe and the United States generally describe critically ill children with fever, rash, conjunctivitis, abdominal complaints, shock, and significant cardiac dysfunction [263-275]. Case definitions have been developed to better characterize these patients (Table 21) [276, 277].

Patients with Kawasaki disease also present with fever and symptoms including rash, conjunctivitis, peripheral extremity changes, lymphadenopathy, and oral mucosal changes such as red, cracked lips and "strawberry tongue." However, while Kawasaki disease and MIS-C share some similarities, there are also key differences [278]. Both are hyperinflammatory syndromes, both have findings of medium vessel vasculitis and both can present with the signs/symptoms described for Kawasaki disease. MIS-C is more likely to affect older children (average age 8-11 years vs. younger than five years in Kawasaki disease), cause more severe disease (more patients presenting with shock), present frequently with gastrointestinal symptoms, includes some neurologic involvement, and more commonly causes cardiac myocarditis and ventricular dysfunction leading to hypotension or arrhythmias. In contrast, Kawasaki disease more commonly causes coronary artery dilatation. A small study of cytokine profiles in children

distinguished MIS-C from severe COVID-19 based on a higher level of the combination of TNF- $\alpha$  and IL-10 in MIS-C patients [279].

Empiric treatment of MIS-C has generally involved immunomodulatory agents such as high-dose intravenous immunoglobulin (2 g/kg), corticosteroids, aspirin and rarely more targeted anti-inflammatory medications such as anakinra [263-266, 272, 273, 275]. Most of the children with MIS-C have had a history of prior SARS-CoV-2 infection several weeks earlier confirmed by viral detection of antibody testing or have had documented prior exposure to COVID-19, suggesting that this condition is a post-infectious immunologic phenomenon.

Future research should focus on how and why the immune system responds to SARS-CoV-2 causing a spectrum of illness in children, identifying genetic or environmental risk factors for MIS-C, and discovering optimum treatment for children with MIS-C. Multidisciplinary, collaborative approaches to data registries and clinical trials that promote evidence-based care for these children are needed.

**Table 21.** Case definitions for Multisystem Inflammatory Syndrome in Children (MIS-C) and Paediatric multisystem inflammatory syndrome (PMIS)

	MIS-C (CDC 2020) <sup>1</sup>	PMIS (Royal College of Paediatrics and Child Health 2020) <sup>2</sup>
Includes	<ul> <li>Age &lt;21 years presenting with:</li> <li>Fever (&gt;38.0°C for ≥24 hours, or report of subjective fever lasting ≥24 hours)</li> <li>Laboratory evidence of inflammation (including, but not limited to, one or more of the following: an elevated C-reactive protein, erythrocyte sedimentation rate, fibrinogen, procalcitonin, d-dimer, ferritin, lactic acid dehydrogenase, or interleukin 6, elevated neutrophils, reduced lymphocytes and low albumin),</li> <li>Evidence of clinically severe illness requiring hospitalization, with multisystem (&gt;2) organ involvement (cardiac, renal, respiratory, hematologic, gastrointestinal, dermatologic or neurological)</li> </ul>	<ul> <li>A child presenting with:         <ul> <li>Persistent fever &gt;38.5°C</li> </ul> </li> <li>Laboratory evidence of inflammation (neutrophilia, elevated CRP and lymphopenia)</li> <li>Evidence of single or multi-organ dysfunction (shock, cardiac, respiratory, renal, gastrointestinal or neurological disorder) with additional features (listed in Appendix of reference)</li> </ul>
Excludes	Patients with alternative plausible diagnoses	Patients with any other microbial cause, including bacterial sepsis, staphylococcal or streptococcal shock syndromes, infections associated with myocarditis such as enterovirus
Other criteria	Positive for current or recent SARS-CoV-2 infection by RT-PCR, serology, or antigen test; OR COVID-19 exposure within the 4 weeks prior to the onset of symptoms	SARS-CoV-2 PCR testing may be positive or negative

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# Discussion

During epidemics like the current COVID-19 pandemic, when there are no clinically proven treatments, the tendency is to use drugs based on *in vitro* antiviral activity, or on anti-inflammatory effects or based on limited observational studies. It is commendable that observational studies are done during an epidemic, but often they do not have concurrent controls, have a significant risk of bias, and use surrogate outcomes like viral clearance rather than patient-important outcomes. Medications that were thought to be effective based on *in vitro* studies and observational studies for other diseases were later proven to be ineffective in clinical trials [280].

Due to the understandable urgency in producing, synthesizing and disseminating data during the current pandemic, there has been a noticeable increase in fast track publication of studies. In addition to well-established concerns that may decrease our certainty in the available evidence, there may be additional issues that will ultimately influence the trustworthiness of that evidence, including: 1) Circumvention of usual research steps (delay of IRB approval [281], inclusion of same patients in several studies); 2) Limited peer-review process (the usual due diligence from editors and reviewers is side-stepped, potentially leading to unnoticed errors in data and calculations, incomplete reporting of methods and results, as well as underestimation of study limitations); 3) Increased potential for publication bias (in the interest of showing promising data and in the race to achieve recognition, there may be added inclination to publish positive results and disregard negative ones). The extent and impact of these considerations remain currently uncertain but were acknowledged in the development of this guideline.

Despite these limitations, the recommendations in this guideline are based on evidence from the best available clinical studies with patient-important endpoints. The panel determined that when an explicit trade-off between the highly uncertain benefits (e.g., the panel was unable to confirm that HCQ increases viral cure or reduces mortality) and the known putative harms (QT prolongation and drug-drug interactions) were considered, a net positive benefit was not reached and could possibly be negative (risk of excess harm). The safety of drugs used

for the treatment of COVID-19, especially in patients with cardiovascular disease, immunosuppressive conditions, or those who are critically ill with multi-organ failure has also not been studied. Drugs like AZ and HCQ can cause QT prolongation and potentially life-threatening arrhythmias. Steroids and IL-6 inhibitors can be immunosuppressive and potentially increase risk of secondary infections. Steroids may produce long term side effect such as osteonecrosis [282]. In instances where the panel could not make a determination whether the benefits outweigh harms, it is be ethical and prudent to enroll patients with COVID-19 in clinical trials, rather than use clinically unproven therapies [283]. There are multiple ongoing trials, some with adaptive designs, which potentially can quickly answer pressing questions on efficacy and safety of drugs in the treatment of patients with COVID-19.

We acknowledge that enrolling patients in RCTs might not be feasible for many frontline providers due to limited access and infrastructure. Should lack of access to clinical trials exist, we encourage setting up local or collaborative registries to systematically evaluate the efficacy and safety of drugs to contribute to the knowledge base. Without such evaluations we often attribute success to drugs and failure to disease (COVID-19) [280]. During such a pandemic, barriers to conducting studies and enrolling patients in trials for already overburdened front line providers should be minimized while ensuring the rights and safety of patients [284].

For clinical trials and observational studies, it is critical to determine *a priori* standardized and practical definitions of patient populations, clinical syndromes, disease severity and outcomes. Observational and non-experimental studies can sometimes answer questions not addressed by trials, but there is still a need for standardized definitions. For clinical syndromes clearly distinguishing between asymptomatic carrier state, upper respiratory tract infection and lower respiratory tract infection is important. Illness severity should be reasonably defined using readily available clinical criteria of end organ failure, like the degree of respiratory failure using SpO<sub>2</sub> (percentage of oxyhemoglobin saturation) or PaO2:FiO<sub>2</sub> ratios (partial pressure of oxygen in arterial blood: fractional percentage of inspired oxygen) for lower respiratory tract infection, as opposed to location-based severity determinations such as ICU admission, which can lead to bias based on resource limitations (i.e., bed availability) or regional/institutional practice patterns [285]. For outcomes of prophylaxis trials, the primary

endpoint should be prevention of infection and for therapeutic trials patient centered outcomes like reduction of mortality (both short term and long term) [286]. Trials should also study treatments in high risk populations or special populations like immunosuppressed patients, people with HIV, patients with cardiovascular comorbidities and pregnant women. The panel expressed the overarching goal that patients be recruited into ongoing trials, which would provide much needed evidence on the efficacy and safety of various therapies for COVID-19.

This is a living guideline that will be frequently updated as new data emerges. Updates and changes to the guideline will be posted to the IDSA website.

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**COI Summary:** The following list is a reflection of what has been reported to the IDSA. To provide thorough transparency, the IDSA requires full disclosure of all relationships, regardless of relevancy to the guideline topic. Evaluation of such relationships as potential conflicts of interest is determined by a review process which includes assessment by the Board of Directors liaison to the Standards and Practice Guideline Committee and, if necessary, the Conflicts of Interest (COI) and Ethics Committee. The assessment of disclosed relationships for possible COI is based on the relative weight of the financial relationship (i.e., monetary amount) and the relevance of the relationship (i.e., the degree to which an association might reasonably be interpreted by an independent observer as related to the topic or recommendation of consideration). The reader of these guidelines should be mindful of this when the list of disclosures is reviewed. L.B. receives research funding from the National Institutes of Health/National Institute of Allergy and Infectious Diseases, Bill and Melinda Gates Foundation, and Wellcome Trust, and serves as chair of the Antimicrobial Drug Advisory Committee of the Food and Drug Administration. V.C. receives research funding from the Health and Medical Research Fund. K. E. serves as a scientific advisor for Merck, Bionet, IBM, Sanofi, X4 Pharmaceuticals, Inc., Segirus, Inc., Moderna, Inc. and Pfizer, and receives research funding from the Centers for Disease Control and Prevention and the National Institutes of Health. R. G. has served on a scientific advisory board for Gilead Sciences, Inc., serves on a scientific advisory board for Merck, and receives research funding from the NIH. J.G. serves in an advisory role for Qpex and Shionogi; receives research funding from Merk; previously served in an advisory role for Acceletrate, Achaogen, Astellas, Melinta, Nabriva, Paratek, scPharmaceutic, Spero and Tetraphase; and previously served on the speakers bureau for Astellas, Melinta, Merck and Shiongi. M.H.M receives research funding from the Agency for Healthcare Research and Quality, the Endocrine Society, the Society for Vascular Surgery and The American Society of Hematology and is a Board member for the Evidence Foundation. W.J.M. serves in an advisory role for Segirus, Inc. and receives research funding from Ansun Biopharma, Astellas Pharma, AstraZeneca, Abbott Laboratories, Enanta Pharmaceuticals, Gilead Sciences, Janssen Pharmaceuticals, Karius, Merck, Melinta Therapeutics, Nabriva Therapeutics, Roche and Tetraphase Pharmaceuticals. S.S. serves as an advisory board member for Amplyx Pharmaceuticals, Inc.; as an advisor/consultant to ReViral Ltd.; receives research funding from Ansun BioPharma, F2G, Shire (now Takeda), University of Nebraska, Cidara Therapeutics; and has served as an advisor for Janssen Pharmaceutica and Acidophil. A.H.S. receives research funding from the U.S. Department of Veterans Affairs. Y.F.Y. receives honoraria for evidence reviews and teaching from the Evidence Foundation, honoraria for evidence reviews for the American Gastroenterological Association, and serves as a Director for the Evidence Foundation and for the U.S. GRADE Network. All other authors: no disclosures reported. All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest, Conflicts that the editors consider relevant to the content of the manuscript have been disclosed. All other authors: no disclosures reported.

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