COUNTER Code of Practice for e-Resources

Release 4

Appendix H April 2015

Optional Additional Usage Reports

Below are listed examples of usage reports that are not mandatory for compliance with COUNTER Release 4, but which vendors are welcome to provide should their customers request more detailed usage information. These reports fall into the three following categories:

- Journals: the optional additional journal usage reports are:
 - Journal Report 1a: Number of Successful Full-Text Requests from an Archive by <u>Month and Journal</u> This usage report was included in Release 3 of the Code of Practice, but is now an optional usage report, as a capability to provide Journal Report 5 is now required for all vendors that sell separately purchasable journal archives.
 - Journal Report 1b: Number of Successful Full-Text Requests by Month, Journal and Platform. This usage report is designed to allow the reporting of journal usage on different platforms.
 - Journal Report 3: Number of Successful Item Requests by Month, Journal and Page <u>Type</u> This report is carried forward from Release 3 and allows more granular reporting of journal usage.
 - Journal Report 3 Mobile: Number of Successful Item Requests by Month, Journal and Page Type for usage on a Mobile Device This usage report has the same format as Journal Report 3 and is designed to allow the separate reporting of usage of journal content on mobile devices
 - Journal Report 4: Total Searches Run by Month and Collection This usage report is carried forward from Release 3
- Titles: this is a new category of usage reports, which enable the reporting of the usage of journals, books and reference works on the same platform
 - <u>Title Report 1: Number of successful requests for Journal Full-text Articles and Book Sections by Month and Title.</u> This report is essentially the same as the Release 3 Journal/Book Report 1, and enables the reporting of usage of full-text items in journals, books and reference works that are published on the same platform in a single COUNTER report.

- Title Report 1 Mobile: Number of Successful Requests for Journal Full-text Articles and Book Sections by Month and Ttle (formatted for normal browsers/delivered to mobile devices AND formatted for mobile devices/delivered to mobile devices)
 This usage report has the same format as Title Report 1 and is designed to allow the separate reporting of usage of full-text items on mobile devices
- <u>Title Report 2: Access Denied to Full-text Items by Month, Title and Category</u> This report is equivalent to Journal Report 2 and allows the reporting of accesses denied to full-text items in journals, books and reference works that are published on the same platform.
- <u>Title Report 3: Number of Successful Requests by Month, Title and Page Type</u>
 This report is equivalent to Journal Report 3, and allows the more granular reporting of usage for journals, books and reference works that are published on the same platform

<u>Title Report 3 Mobile: Number of Successful Requests by Month, Title and Page Type (formatted for normal browsers/delivered to mobile devices AND formatted for mobile devices/delivered to mobile devices)</u> This usage report has the same format as Title Report 3 and is designed to allow the separate reporting of usage of full-text items on mobile devices

Multimedia content

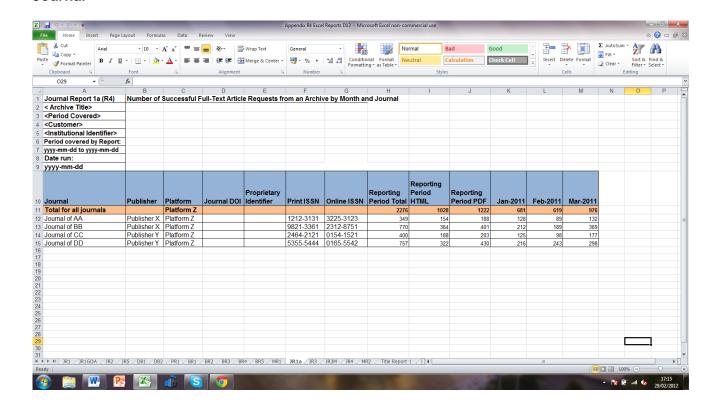
 Multimedia Report 2 (R4): Number of Successful Full Multimedia Content Unit Requests by Month, Collection and Item Type This report is equivalent to Journal Report 3, and allows the more granular reporting of usage of multimedia content.

1. Journal Usage Reports

a) Usage Report for vendors providing separately purchasable journal archives

Journal Report 1a below is not an alternative to <u>Journal Report 5: Number of Successful Full-text Article Requests by Year-of Publication (YOP) and Journal</u>, which all vendors selling separately purchasable journal archives must have the capability to provide. It is a report which vendors may provide to specific customers who request it.

Journal Report 1a: Number of Successful Full-Text Requests from an Archive by Month and Journal

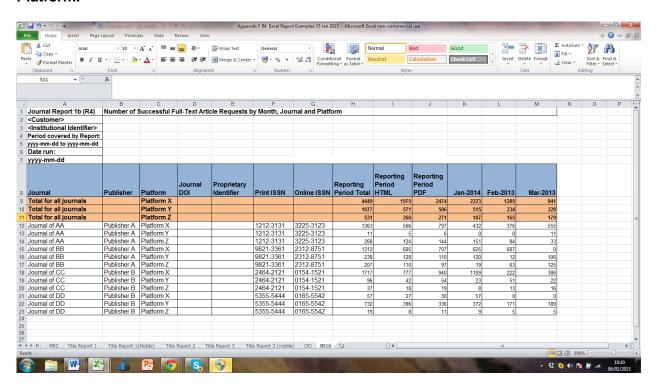


- 1. Neither books nor book series may be included in Journal Report 1a.
- 2. For 'Customer' specify, for example, the organizational level to which the usage reports refer: e.g. 'NorthEast Research Libraries Consortium', 'Yale University'
- 3. 'Institutional Identifier' is an optional field until the standard for this identifier being developed by the NISO Institutional Identifiers Working Group is available for implementation.
- 4. A Journal DOI is required for each journal listed. This should be provided simply as an identifier value. (If a Journal DOI is not available the cell must be left blank).
- 5. The Proprietary Identifier column must be included, but cells may be left blank where the vendor has no Proprietary Identifier for a journal.
- 6. The hyphen within the Print and Online ISSNs must be included, as indicated in the example above.
- 7. Vendors providing Journal Report 1a must continue to report all usage for journals listed in Journal Report 1, notwithstanding their inclusion in Journal Report 1a.
- 8. The Reporting Period Total will not necessarily be the sum of the Reporting Period HTML and Reporting Period PDF columns, as full-text articles may be available in formats other than PDF and HTML.
- 9. For guidance on Data Display Rules, see Journal Report 1

XML Schema: http://www.niso.org/schemas/sushi/#counter

b) Usage Report providing information on usage of journals on different platforms

Journal Report 1b: Number of Successful Full-Text Requests by Month, Journal and Platform.



Note

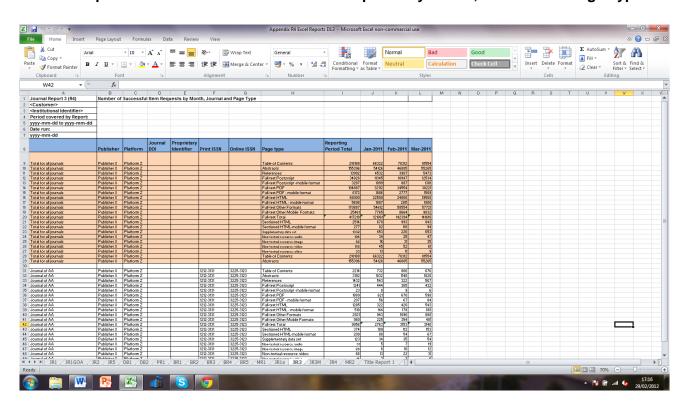
- Only COUNTER-compliant Platforms may be included in Journal Report 1b
- 2. Neither books nor book series may be included in Journal Report 1b.
- 3. For 'Customer' specify, for example, the organizational level to which the usage reports refer: e.g. 'NorthEast Research Libraries Consortium', 'Yale University'
- 'Institutional Identifier' is an optional field until the standard for this identifier being developed by the NISO Institutional Identifiers Working Group is available for implementation.
- 5. A Journal DOI is required for each journal listed. This should be provided simply as an identifier value. (If a Journal DOI is not available the cell must be left blank).
- 6. The Proprietary Identifier column must be included, but cells may be left blank where the vendor has no Proprietary Identifier for a journal.
- 7. The hyphen within the Print and Online ISSNs must be included, as indicated in the example above.
- 8. Vendors providing Journal Report 1b must continue to report all usage for journals listed in Journal Report 1, notwithstanding their inclusion in Journal Report 1b.
- 9. The Reporting Period Total will not necessarily be the sum of the Reporting Period HTML and Reporting Period PDF columns, as full-text articles may be available in formats other than PDF and HTML.

XML Schema: http://www.niso.org/schemas/sushi/#counter

c) Journal Reports providing more granular information on usage, including usage of content on mobile devices

Below are two optional additional reports that provide more granular information on journal usage. <u>Journal Report 3: Number of Successful Item Requests and Accesses Denied by Month, Journal and Page-type</u> enables vendors to report usage of, for example, non-textual resources, such as video clips, audio clips or images, which are becoming an increasingly important feature of online journals.

Journal Report 3: Number of Successful Item Requests by Month, Journal and Page Type

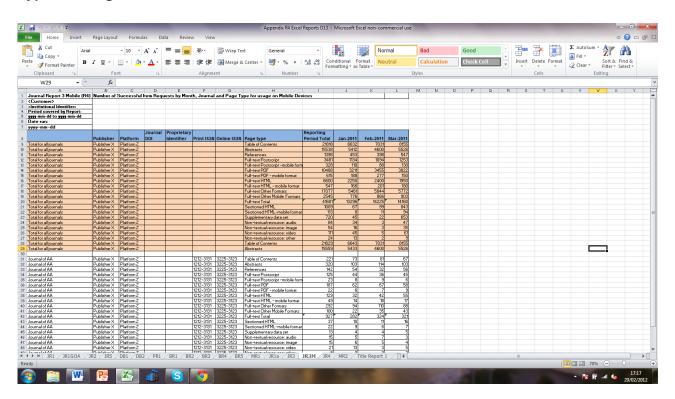


- 1. Neither books nor book series may be included in Journal Report 3.
- 2. For 'Customer' specify, for example, the organizational level to which the usage reports refer: e.g. 'NorthEast Research Libraries Consortium', 'Yale University'
- 3. the 'Total for all journals' line is provided at the top of the Table to allow it to be stripped out without disrupting the rest of the Table, as the number of journals included may vary from one month to another.
- 'Institutional Identifier' is an optional field until the standard for this identifier being developed by the NISO Institutional Identifiers Working Group is available for implementation.
- 5. A Journal DOI is required for each journal listed. This should be provided simply as an identifier value. (If a Journal DOI is not available the cell must be left blank).

- 6. The Proprietary Identifier column must be included, but cells may be left blank where the vendor has no Proprietary Identifier for a journal.
- 7. The hyphen within the Print and Online ISSNs must be included, as indicated in the example above.
- 8. The Reporting Period Total will not necessarily be the sum of the Reporting Period HTML and Reporting Period PDF columns, as full-text articles may be available in formats other than PDF and HTML.
- 9. Vendors that provide online journals and books on the same platform may report usage of both categories of product in a single optional additional COUNTER report: Title Report 1: Number of successful full-text item requests by month and title.

XML Schema: http://www.niso.org/schemas/sushi/#counter

Journal Report 3 Mobile: Number of Successful Item Requests by Month, Journal and Page Type for usage on a Mobile Device

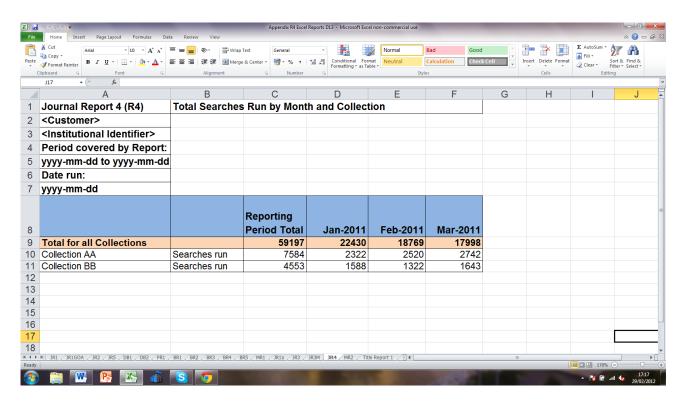


- 1. Neither books nor book series may be included in Journal Report 3 Mobile.
- 2. For 'Customer' specify, for example, the organizational level to which the usage reports refer: e.g. 'NorthEast Research Libraries Consortium', 'Yale University'
- 3. the 'Total for all journals' line is provided at the top of the Table to allow it to be stripped out without disrupting the rest of the Table, as the number of journals included may vary from one month to another.
- 'Institutional Identifier' is an optional field until the standard for this identifier being developed by the NISO Institutional Identifiers Working Group is available for implementation.
- 5. A Journal DOI is required for each journal listed. This should be provided simply as an identifier value. (If a Journal DOI is not available the cell must be left blank).

- 6. The Proprietary Identifier column must be included, but cells may be left blank where the vendor has no Proprietary Identifier for a journal.
- 7. The hyphen within the Print and Online ISSNs must be included, as indicated in the example above.
- 8. The Reporting Period Total will not necessarily be the sum of the Reporting Period HTML and Reporting Period PDF columns, as full-text articles may be available in formats other than PDF and HTML.
- 9. Vendors that provide online journals and books on the same platform may report usage of both categories of product in a single optional additional COUNTER report: Title Report 1: Number of successful full-text item requests by month and title.

XML Schema: http://www.niso.org/schemas/sushi/#counter

Journal Report 4: Total Searches Run by Month and Collection



Note:

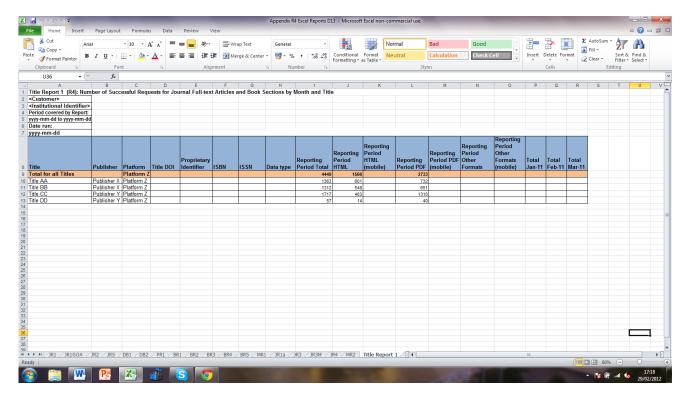
- 1. For 'Customer' specify, for example, the organizational level to which the usage reports refer: e.g. 'NorthEast Research Libraries Consortium', 'Yale University'
- 2. the 'Total for all Collections' line is provided at the top of the Table to allow it to be stripped out without disrupting the rest of the Table, as the number of journals included may vary from one month to another.
- 'Institutional Identifier' is an optional field until the standard for this identifier being developed by the NISO Institutional Identifiers Working Group is available for implementation.

This report complies with the COUNTER Code of Practice for collection and reporting of usage data. For definitions of the terms used, see Appendix A.

d) <u>Title Usage Reports</u>: for vendors providing online journals and online books on the same platform

The advent of the SUSHI protocol (http://www.niso.org/workrooms/sushi/) has greatly facilitated the handling of large volumes of usage data, which is a particular advantage for reporting the usage of large numbers of titles – both book and journal. For this reason, COUNTER has developed a set of new reports – the Title Reports- to cover usage of online journals and books that are provided on the same platform. These reports, in view of their potentially very large size, are specified only in XML format, but Excel examples are provided below, for visualisation purposes.

Title Report 1: Number of Successful Requests for Journal Full-text Articles and Book Sections by Month and Title

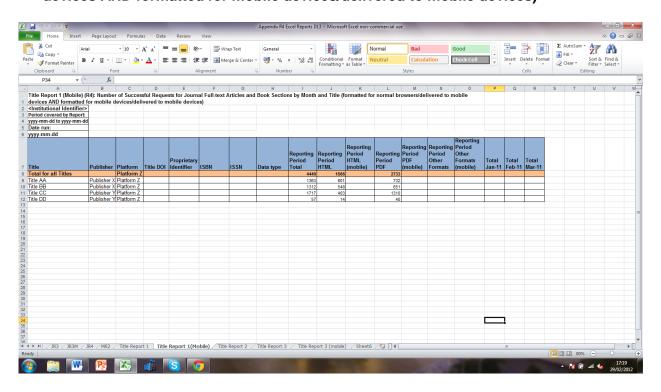


- 1. For 'Customer' specify, for example, the organizational level to which the usage reports refer: e.g. 'NorthEast Research Libraries Consortium', 'Yale University'
- 2. the 'Total for all titles' line is provided at the top of the Table to allow it to be stripped out without disrupting the rest of the Table, as the number of journals included may vary from one month to another.
- 3. 'Institutional Identifier' is an optional field until the standard for this identifier being developed by the NISO Institutional Identifiers Working Group is available for implementation.
- 4. A Title DOI is required for each book or journal listed. This should be provided simply as an identifier value. (If a Title DOI is not available the cell must be left blank).

- 5. The Proprietary Identifier column must be included, but cells may be left blank if the vendor has no Proprietary Identifier for a title.
- 6. The hyphen within the Print and Online ISSNs must be included, as indicated in the example above.
- 7. Journals for which the number of full-text article requests is zero in every month should be included in Title Report 1, except where an aggregator or gateway is responsible for recording and reporting the usage (see Section 7 below). Books for which the number of successful Section Requests is zero should **not** be reported.

XML Schema: http://www.niso.org/schemas/sushi/#counter

Title Report 1 Mobile: Number of Successful Requests for Journal Full-text Articles and Book Sections by Month and Ttle (formatted for norla browsers/delivered to mobile devices AND formatted for mobile devices/delivered to mobile devices)

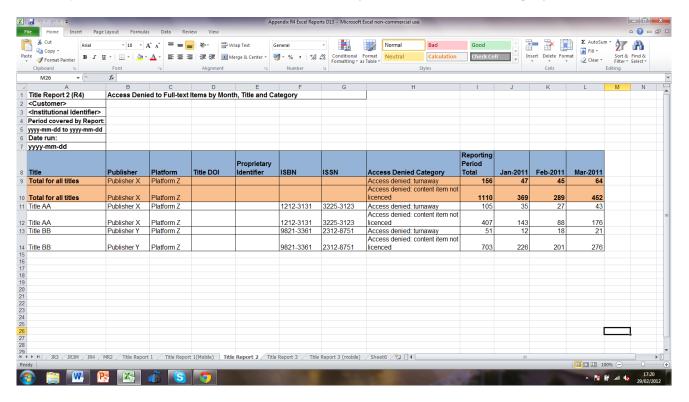


- 1. For 'Customer' specify, for example, the organizational level to which the usage reports refer: e.g. 'NorthEast Research Libraries Consortium', 'Yale University'
- 2. the 'Total for all titles' line is provided at the top of the Table to allow it to be stripped out without disrupting the rest of the Table, as the number of journals included may vary from one month to another.
- 'Institutional Identifier' is an optional field until the standard for this identifier being developed by the NISO Institutional Identifiers Working Group is available for implementation.
- 4. A Title DOI is required for each book or journal listed. This should be provided simply as an identifier value. (If a Title DOI is not available the cell must be left blank).
- 5. The Proprietary Identifier column must be included, but cells may be left blank where the vendor has no Proprietary Identifier for a title.

- 6. The hyphen within the Print and Online ISSNs must be included, as indicated in the example above.
- 7. Journals for which the number of full-text article requests is zero in every month should be included in Title Report 1, except where an aggregator or gateway is responsible for recording and reporting the usage (see Section 7 below). Books for which the number of successful Section Requests is zero should **not** be reported.

XML Schema: http://www.niso.org/schemas/sushi/#counter

Title Report 2: Access Denied to Full-text Items by Month, Title and Category



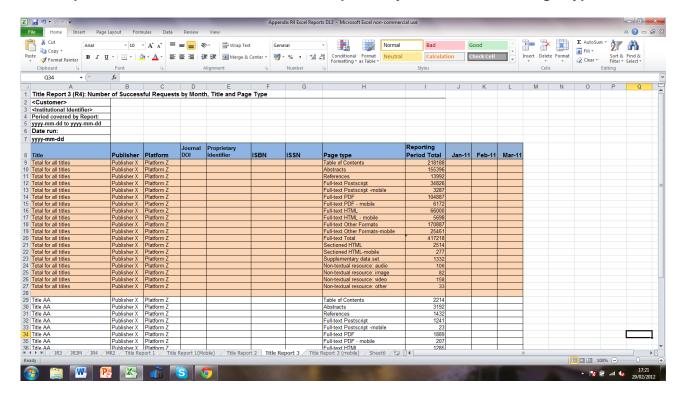
- 1. For 'Customer' specify, for example, the organizational level to which the usage reports refer: e.g. 'NorthEast Research Libraries Consortium', 'Yale University'
- 2. the 'Total for all titles' line is provided at the top of the Table to allow it to be stripped out without disrupting the rest of the Table, as the number of journals included may vary from one month to another.
- 'Institutional Identifier' is an optional field until the standard for this identifier being developed by the NISO Institutional Identifiers Working Group is available for implementation.
- 4. A Title DOI is required for each book or journal listed. This should be provided simply as an identifier value. (If a Title DOI is not available the cell must be left blank).
- 8. The Proprietary Identifier column must be included, but cells may be left blank where the vendor has no Proprietary Identifier for a title.
- 9. The hyphen within the Print and Online ISSNs must be included, as indicated in the example above.
- 5. Journals for which the number of full-text article requests is zero in every month should be included in Title Report 1, except where an aggregator or gateway is responsible for

recording and reporting the usage (see Section 7 below). Books for which the number of successful Section Requests is zero should **not** be reported.

This report complies with the COUNTER Code of Practice for collection and reporting of usage data. For definitions of the terms used, see Appendix A.

XML Schema: http://www.niso.org/schemas/sushi/#counter

Title Report 3: Number of Successful Item Requests by Month, Title and Page Type



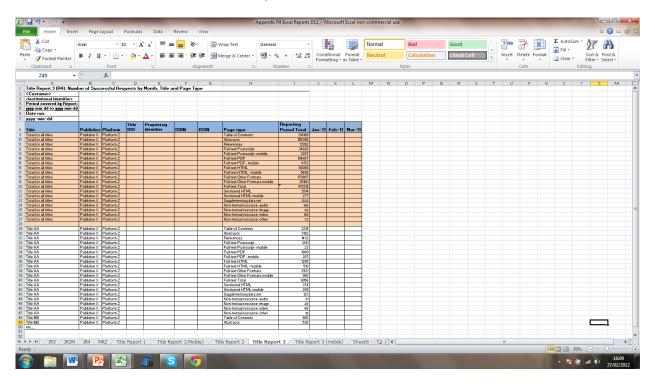
Note:

- 1. For 'Customer' specify, for example, the organizational level to which the usage reports refer: e.g. 'NorthEast Research Libraries Consortium', 'Yale University'
- 2. the 'Total for all titles' line is provided at the top of the Table to allow it to be stripped out without disrupting the rest of the Table, as the number of journals included may vary from one month to another.
- 'Institutional Identifier' is an optional field until the standard for this identifier being developed by the NISO Institutional Identifiers Working Group is available for implementation.
- 4. A Title DOI is required for each book or journal listed. This should be provided simply as an identifier value. (If a Title DOI is not available the cell must be left blank).
- 5. The Proprietary Identifier column must be included, but cells may be left blank if the vendor has no Proprietary Identifier for title.
- 6. The hyphen within the Print and Online ISSNs must be included, as indicated in the example above.
- 7. Journals for which the number of full-text article requests is zero in every month should be included in Title Report 1, except where an aggregator or gateway is responsible for recording and reporting the usage (see Section 7 below). Books for which the number of successful Section Requests is zero should **not** be reported.

This report complies with the COUNTER Code of Practice for collection and reporting of usage data. For definitions of the terms used, see Appendix A.

XML Schema: http://www.niso.org/schemas/sushi/#counter

Title Report 3 Mobile: Number of Successful Item Requests by Month, Title and Page Type (formatted for normal browsers/delivered to mobile devices AND formatted for mobile devices/delivered to mobile devices)



Note:

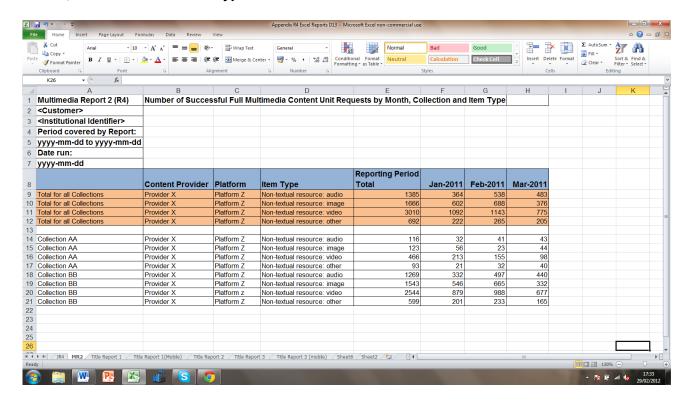
- 1. For 'Customer' specify, for example, the organizational level to which the usage reports refer: e.g. 'NorthEast Research Libraries Consortium', 'Yale University'
- 2. the 'Total for all titles' line is provided at the top of the Table to allow it to be stripped out without disrupting the rest of the Table, as the number of journals included may vary from one month to another.
- 'Institutional Identifier' is an optional field until the standard for this identifier being developed by the NISO Institutional Identifiers Working Group is available for implementation.
- 4. A Title DOI is required for each book or journal listed. This should be provided simply as an identifier value. (If a Title DOI is not available the cell must be left blank).
- 5. The Proprietary Identifier field is column must be included, but cells may be left blank if the vendor has no Proprietary Identifier for a title.
- 6. The hyphen within the Print and Online ISSNs must be included, as indicated in the example above.
- 7. Journals for which the number of full-text article requests is zero in every month should be included in Title Report 1, except where an aggregator or gateway is responsible for recording and reporting the usage (see Section 7 below). Books for which the number of successful Section Requests is zero should **not** be reported.

This report complies with the COUNTER Code of Practice for collection and reporting of usage data. For definitions of the terms used, see Appendix A.

XML Schema: http://www.niso.org/schemas/sushi/#counter

2. Multimedia Reports

Multimedia Report 2: Number of Successful Full Multimedia Content Unit Requests by Month, Collection and Item Type



Note:

- Multimedia Report 2 is designed to enable those vendors that wish to report usage of multimedia items at a more granular level to do so. It allows the vendor to break down usage by multimedia item type.
- 2. For 'Customer' specify, for example, the organizational level to which the usage reports refer: e.g. 'NorthEast Research Libraries Consortium', 'Yale University'
- 3. 'Institutional Identifier' is an optional field until the standard for this identifier being developed by the NISO Institutional Identifiers Working Group is available for implementation.
- 4. For guidance on Data Display Rules, see Journal Report 1

This report complies with the COUNTER Code of Practice for collection and reporting of usage data. For definitions of the terms used, see Appendix A.

XML Schema: http://www.niso.org/schemas/sushi/#counter